

# The risk of subsequent surgery following bowel resection for Crohn's disease in a national cohort of 19,207 patients

King, Dominic; Coupland, Benjamin ; Dosanjh, Amandeep; Cole, Andrew; Ward, Stephen; Reulen, Raoul; Adderley, Nicola; Patel, Prashant; Trudgill, Nigel

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1 **TITLE PAGE**

2 **Title**

3 The risk of subsequent surgery following bowel resection for Crohn's disease in a national  
4 cohort of 19,207 patients

5

6 **Short Title**

7 Risk of further surgery in Crohn's Disease

8

9 **Author List:**

10 Dominic King<sup>1,5</sup>  
11 Benjamin Coupland<sup>2</sup>  
12 Amandeep Dosanjh<sup>2</sup>  
13 Andrew Cole<sup>3</sup>  
14 Stephen Ward<sup>4</sup>  
15 Raoul C Reulen<sup>5</sup>  
16 Nicola J Adderley<sup>5</sup>  
17 Prashant Patel<sup>2</sup>  
18 Nigel Trudgill<sup>1</sup>  
19

20 **Affiliations:**

21 <sup>1</sup>Department of Gastroenterology, Sandwell & West Birmingham NHS Trust, West Bromwich  
22 <sup>2</sup>Health Informatics, University Hospitals Birmingham NHS Foundation Trust, Birmingham  
23 <sup>3</sup>Department of Gastroenterology, University Hospitals of Derby and Burton NHS Foundation Trust,  
24 Derby  
25 <sup>4</sup>Department of Colorectal Surgery, University Hospitals Birmingham NHS Foundation Trust,  
26 Birmingham  
27 <sup>5</sup>Institute of Applied Health Research, University of Birmingham, Birmingham  
28

29 **Corresponding author:**

30 Dr NJ Trudgill,  
31 Sandwell General Hospital,  
32 Lyndon,  
33 West Bromwich,  
34 B71 4HJ  
35 Tel 0121 507 2577  
36 Fax 0121 507 3265  
37 Email: [nigel.trudgill@nhs.net](mailto:nigel.trudgill@nhs.net)

## 38 Abstract

### 39 *Background and Aims*

40 Surgery is required for the majority of patients with Crohn's disease (CD) and further surgery may be  
41 necessary if medical treatment fails to control disease activity. The aim of this study was to  
42 characterize the risk of and factors associated with further surgery, following a first resection for  
43 Crohn's disease.

### 44 *Methods*

45 Hospital Episode Statistics from England were examined to identify patients with CD and a first  
46 recorded bowel resection between 2007 and 2016. Multivariable logistic regression was used to  
47 examine risk factors for further resectional surgery within 5-years. Prevalence-adjusted surgical rates  
48 for index CD surgery over the study period were calculated.

### 49 *Results*

50 19,207 patients (median age 39 (IQR 27-53) years and 55% female) with CD underwent a first  
51 recorded resection during the study period. 3,141 (16%) underwent a further operation during the  
52 study period. The median time to further surgery was 2.4 (IQR 1.2-4.6) years. 3% of CD patients had  
53 further surgery within one year, 14% by 5 years and 23% by 10 years. Older age ( $\geq 58$ ), index  
54 laparoscopic surgery and index elective surgery (adjusted odds ratios 0.65 (95% CI 0.54-0.77), 0.77  
55 (0.67-0.88), 0.63 (0.53-0.73), and 0.77 (0.69-0.85), respectively) were associated with a reduced risk  
56 of further surgery by 5-years. Prior surgery for perianal disease (1.60 (1.37-1.87)), an extraintestinal  
57 manifestation (EIM) of CD (1.51 (1.22-1.86)) and index surgery in a high-volume centre for CD  
58 surgery (1.20 (1.02-1.40)) were associated with an increased risk of further surgery by 5-years. A 25%  
59 relative and 0.3% absolute reduction in prevalence-adjusted index surgery rates for CD was observed  
60 over the study period.

61 *Conclusions*

62 Further surgery following an index operation is common in CD. This risk was particularly seen in  
63 patients with perianal disease, EIMs and those who underwent index surgery in a high-volume  
64 centre.

65 What does this paper add to the existing literature?

66 Further surgery following a first recorded resection in Crohn's disease is high in England. Significant  
67 risk factors for further surgery included those coded with extraintestinal manifestations and prior  
68 perianal surgery. Over time, index resectional surgery rates have fallen as Crohn's disease  
69 prevalence has increased.

70

71 **Key Words**

72 Inflammatory Bowel Disease, Crohn's Disease, surgery, colectomy, perianal disease, extraintestinal  
73 manifestations of inflammatory bowel disease.

74 **Author contributions**

75 Study concept and design was jointly conceived by DK, BC, AD, PP and NT. Data extraction was  
76 performed by BC and AD and analysis was performed by BC and DK. Manuscript was drafted by DK.  
77 The data and manuscript were critically reviewed, revised, and approved by all authors.

78 **Abbreviations**

79 Inflammatory Bowel Disease (IBD), Crohn's Disease (CD), Hospital Episode Statistics (HES), Odds  
80 Ratio (OR), interquartile range (IQR).

81

82 **Data Availability statement:**

83 HES data are available under a data sharing agreement with NHS Digital for the purposes of service  
84 evaluation and is not available for open access.

85

86 **Funding declaration**

87 Nothing to Declare

88 **Conflicts of Interests**

89 NT reports grants from Dr. Falk, MSD, AstraZeneca and Pfizer outside the current work. Other  
90 authors have no conflicts of interest to declare.

91

92

## 93 Introduction

94 Crohn's Disease (CD) is characterised by inflammation of the intestinal wall, which if complicated by  
95 fistulisation and fibrotic stricturing usually requires surgical intervention <sup>1</sup>. Although a range of  
96 medical therapies have emerged over recent decades, a majority of patients with CD require a  
97 surgical bowel resection during their lifetime and the literature suggests a significant minority need  
98 further operations due to the high disease recurrence rate in CD <sup>2-4</sup>.

99 Disease recurrence is almost universal and guidelines advocate a surveillance and step up approach  
100 following surgical resection for ileocaecal disease and prophylactic medical management, in  
101 particular for high risk groups including smokers, those with penetrating disease behaviour and  
102 those with a history of surgical resection <sup>5,6</sup>. There is hope that medical therapies will change the  
103 natural history of CD and reduce the need for surgery and recurrent surgery in CD. However, with  
104 evolving practice in the biologic era, only relatively short follow-up periods in randomised control  
105 trials have demonstrated reduced surgical rates which have not translated to population studies <sup>7</sup>.  
106 Longer follow up from post-surgical intervention trials is awaited to see if long term benefit of such  
107 medical therapies can be realised <sup>7-9</sup>.

108 Although CD is the broad diagnostic label, it actually represents a spectrum of disease with different  
109 areas of the bowel predominantly affected in different individuals. Small bowel predominant, upper  
110 gastrointestinal, ileocaecal predominant and Crohn's colitis are recognised clinical patterns with  
111 ileocaecal being the most common. In addition, patients with CD may suffer with perianal disease,  
112 seen most commonly in the young, in those with Crohn's colitis and ileal disease <sup>10-12</sup>. Perianal  
113 disease is not easily defined, but includes anal fissuring, abscess formation and perianal fistulas, and  
114 some would also include haemorrhoids and perianal skin tags <sup>13</sup>. Perianal disease complicating CD is  
115 challenging to manage and can lead to reduced quality of life and herald a more severe disease  
116 course <sup>14</sup>. CD can also be associated with extraintestinal manifestations (EIM). Classical EIMs include

117 hepatobiliary, ophthalmic, dermatological and musculoskeletal conditions <sup>15,16</sup>. Up to half of patients  
118 with inflammatory bowel disease (IBD) will experience an EIM during their disease, with most  
119 running a parallel course to their intestinal disease <sup>15,17-19</sup>. EIMs are associated with a more  
120 extensive, severe phenotype in UC but similar data on CD outcomes is limited <sup>20-22</sup>.

121 The aim of this study was to examine the risk of further surgery in CD following a first episode of  
122 resectional surgery and risk factors for such events.

123

## 124 Methods and materials

### 125 Data source

126 Hospital Episode Statistics (HES) contain data on National Health Service (NHS) secondary care  
127 episodes of inpatient and outpatient care for England. Diagnostic data are recorded using the  
128 International Classification of Diseases version 10 (ICD-10) codes and procedural data is recorded  
129 using Office of Population Censuses and Surveys Classification of Interventions and Procedures - 4<sup>th</sup>  
130 revision (OPCS4) codes. Demographic data are also recorded with each episode and patients can be  
131 tracked via a unique identification code between different episodes. Patient counts of five and less  
132 are suppressed from publication in order to comply with the HES data confidentiality requirements.

### 133 Inclusion criteria

134 Adult patients  $\geq 18$  years old were included in the study. For study inclusion, patients required a  
135 record of a small or large bowel surgical resection between 1<sup>st</sup> January 2007 and 31<sup>st</sup> December  
136 2016. Patients also required a Crohn's disease (ICD-10: K50) diagnosis on their index surgery  
137 admission. Two authors identified and came to a consensus for the procedural codes used, a list of  
138 which is found in Appendix 1. Given evidence of different outcomes in patients with Crohn's colitis  
139 compared with isolated small bowel disease <sup>23</sup>, patients were included in a separate CD colitis sub-  
140 cohort if they had a CD colitis code (K50.1) and an OPCS-4 code identifying a colectomy procedure.

### 141 Exclusion criteria

142 Patients were excluded if, following a CD diagnosis at index surgery, they had a greater frequency of  
143 Ulcerative Colitis (UC) (ICD-10: K51) diagnoses coded subsequently. Patients were also ineligible for  
144 inclusion if they had a cancer diagnosed during the year before index surgery or during follow-up to  
145 reduce the risk of resectional surgery being carried out for a cause other than CD. Patients without a  
146 recorded age, an age less than 18 and those with a missing or invalid code for sex were excluded, as  
147 were patients with residency outside of England. Further resectional surgery that took place either



148 during the same admission episode or within a 30-day period following index surgery was excluded  
149 from the primary analysis in order that surgical complications were not counted. Certain index  
150 surgical codes (e.g. stoma formation) were deemed to be associated with a high likelihood of  
151 subsequent planned elective surgical procedures (Appendix 1). Patients undergoing an operation on  
152 an elective admission within a year of such index surgery were also excluded from the primary  
153 analysis, as the two operations were regarded as one staged episode of resection. The exclusion of  
154 operations which were done in order to complete an index surgical procedure (such as re-joining the  
155 bowel), rather than further resectional surgery for recurrent disease, was done in order to reduce  
156 the risk of overestimating the recurrent surgery rate. However, the patients themselves were not  
157 excluded from further analysis so further *valid* surgery in these patients would be included.

#### 158 Data validation

159 To assess the validity of CD surgical coding, a list of patients meeting the same ICD-10 and OPCS4  
160 coding criteria was provided by the local coding departments at Sandwell & West Birmingham  
161 Hospitals NHS Trust. The accuracy of coding at each site was then assessed by consulting the  
162 electronic patient records to establish if both the CD diagnosis and the surgical procedural code  
163 were accurate.

#### 164 Demographic data

165 Patient age, sex, deprivation status and ethnicity were identified from index surgery admission  
166 coding. For the overall cohort, age was divided into quintiles 18-26, 27-34, 35-44, 45-57 and  $\geq 58$  for  
167 analysis. Ethnicity was stratified into white, Asian, other minority ethnicities and unknown. The  
168 Charlson comorbidity index, a measure of multimorbidity in patients and previously validated in HES  
169 <sup>24</sup>, was calculated using secondary diagnostic coding. Deprivation quintiles were calculated from the  
170 Index of Multiple Deprivation, a classification based on income, employment, crime and living  
171 environment <sup>25</sup>. Deprivation quintile 5 is the least deprived quintile and quintile 1 the most deprived.

172 Previous codes for perianal surgery or EIMs prior to the index resectional surgery admission were  
173 recorded (Appendix 2 and 3).

#### 174 Outcome measures

175 The primary outcome measure was first further resectional surgery during the follow up period after  
176 their first resection until December 31<sup>st</sup> 2018. Multiple further surgeries by 1, 5 and 10 years were  
177 also examined. Further resectional bowel surgery within 5 years was examined in those with at least  
178 5 years of follow up time for multivariable analysis. Secondary outcomes examined included the  
179 trends in CD surgery standardised to the burden of CD for a particular year using the annual point  
180 prevalence of CD in England <sup>26</sup>. The use of infliximab in the year prior to and following index surgical  
181 resection and the change in infliximab use over time was also investigated (infliximab is coded in HES  
182 as a high-cost drug under anti-TNF therapy).

183

#### 184 Statistical analysis

185 Demographic data is presented as number and percentage where applicable. Age and time to  
186 surgery are presented as median and interquartile range (IQR). Characteristics of included and  
187 excluded patients were compared using Chi-squared tests for categorical data.

188 A multivariable logistic regression model was constructed for risk of further surgery within 5 years of  
189 index surgery in those with at least 5 years of follow up for both the entire cohort and the Crohn's  
190 colitis sub-cohort with estimates presented as adjusted odds ratios (aOR). Variables included in the  
191 models were age quintiles, sex, provider volume of index resectional CD surgery, ethnicity,  
192 deprivation quintiles, index surgery admission method, Charlson comorbidity score, year of index  
193 surgery, prior perianal disease (defined as previous perianal surgery), the presence of an EIM at  
194 baseline and whether the index surgery was performed as a laparoscopic procedure.

195 A Kaplan-Meier plot of time to further surgery was produced for those with index surgery performed  
196 as an elective and emergency procedure. A further Kaplan Meier plot of time to further surgery with  
197 three curves representing three eras of index surgery was produced with accompanying global and  
198 stratified log rank tests.

199 A sensitivity analysis using multivariable logistic regression, including *all* first further surgery for CD  
200 within 5 years of index surgery was constructed. This sensitivity analysis incorporated those  
201 operations previously excluded, including surgery within 30 days of index operation and those at risk  
202 of staged elective operations within one year of index resection.

203 Index resectional surgery rates for CD in England were produced by dividing the yearly count of  
204 index resectional surgery by CD prevalence in England, derived from a nationally representative  
205 primary care database standardised to the English adult population per year, taken from Office for  
206 National Statistics data, taking account of the changing population at risk and CD prevalence <sup>26,27</sup>.  
207 Linear regression was used to assess the change in rate of index surgery over the time.

208 All statistical analyses were carried out using Stata SE v16 <sup>28</sup>. P-values of <0.05 were considered  
209 statistically significant.

210

## 211 **Ethics**

212 HES data is available under data sharing agreements with NHS Digital for the purpose of service  
213 evaluation. Ethics approval is not, therefore, required. HES data was granted by the Health  
214 Informatics Request Review Group at University Hospitals NHS Foundation Trust: UHB Registration  
215 number CARMS-14875.

## 216 Results

### 217 Data validation

218 All admissions at Sandwell & West Birmingham Hospitals NHS trust with an ICD-10 code for CD  
219 (K50\*) and a surgical code (Appendix 1), excluding individuals with any cancer code, were examined  
220 between December 2015 and December 2017. Of the 65 cases identified, all were accurately coded  
221 as CD when compared to the electronic patient record. 64 (98%) were correctly coded for the  
222 surgical procedure when compared to the operating notes.

### 223 Cohort characteristics

224 From 1<sup>st</sup> January 2007 until 31<sup>st</sup> December 2016, 19,270 patients with CD and a first resectional  
225 bowel surgery were identified for study inclusion (Figure 1). The cohort median age was 39 (IQR 27-  
226 53) years and 55% were female. 88% of patients were of white ethnicity and 81% of patients had a  
227 Charlson comorbidity score of 0. 56% (10,768) of index resections took place in providers in the  
228 upper tertile of provider volume for these operations ( $\geq 139$  of these procedures over the 10-year  
229 study period). 55% (10,584) of index resections were performed during an elective admission. 8.9%  
230 (1,703) of patients had a perianal disease surgical intervention coded prior to index resection,  
231 indicating a severe perianal disease component to their CD. 26.5% (5,098) of patients' index surgery  
232 were coded as laparoscopic (of 6,148 patients whose procedure started as laparoscopic, 1,050 (17%)  
233 were converted to open surgery). Index surgery recorded as a laparoscopic procedure increased  
234 from 11% of cases in 2007 to 37% in 2016. At baseline, 1,035 (5.4%) codes for an EIM of IBD were  
235 identified. 0.3% (51) of patients had multiple EIMs recorded. Infliximab was coded in 12% (2,331) of  
236 patients in the year prior to index surgery overall, but over the study period a rise in use from 5.6%  
237 in 2007 to 19% in 2016 was observed. 4.9% (932) of patients received infliximab in the year following  
238 index surgery (2.9% in 2007 increasing to 7.5% in 2016). 2.3% (438) of patients received infliximab  
239 both before and after index surgery (0.6% in 2007 increasing to 3.9% in 2016). Characteristics of the

240 overall cohort and of those with at least 5 years of follow up are presented in Table 1. Annual  
241 infliximab rates and laparoscopic surgery rates are shown in the appendix 11 and 12 respectively.

242 Of those excluded, deprivation level and ethnicity were comparable to those eligible for study  
243 inclusion,  $p=0.093$  and  $0.448$ , respectively. Those excluded from the study had proportionally more  
244 males, fewer patients aged 18-34 and more aged 58 and over. More patients with comorbidities  
245 were excluded compared to those included. These inclusion-exclusion differences were similar in  
246 those with at least 5 years of follow-up (Appendix 4).

247

#### 248 Further surgery during the follow-up period

249 Overall, 3,141 further resections were recorded during the study period, in 16.4% of patients. 625  
250 (20%) patients had further surgery performed within the first year that was not considered a staged,  
251 elective completion of the index surgical intent. Patients undergoing further surgery had a median  
252 (IQR) age of 37 (27-49) and 53% (1,667) were female. Age deciles at which patients underwent index  
253 and further surgery are shown in Appendix 5.

254 65.5% of further resections took place on an elective admission. 14% (459) of further surgery began  
255 as laparoscopic procedures, 2.5% (81) of which were converted to open procedures. 18.6% of index  
256 surgeries performed during emergency admissions had further surgery performed on an emergency  
257 admission compared to 14.6% of patients with an elective index surgery. Figure 2 shows a Kaplan-  
258 Meier curve for further surgery stratified by the index resection admission method (emergency or  
259 elective). 24.7% (421) of further surgery patients had a baseline perianal surgical intervention and  
260 7% (215) had a baseline EIM recorded. The median (IQR) time to further surgery was 2.36 (1.15-4.55)  
261 years overall. During follow up 79% (2,488) of patients had only one further resection recorded while  
262 21% (653) of patients had two or more further resections. By two years following the index resection  
263 7% (1,413/19,207) of patients had undergone further surgery, 13.7% (1,827/13,368) at 5 years and

264 22.6% (830/3,674) at 10 years had further resections. Of those with 10 years of follow up, 5.9%  
265 (215/3,674) of patients had two or more further resections.

266 When followed from index resection stratified by 3-year eras (2007-9, 2010-12, 2013-15), a  
267 separation in the rates of further surgery was observed, Figure 3. Globally a difference between  
268 curves was observed, log rank test  $p = 0.003$ . When stratified, a significant difference between the  
269 two earliest and the earliest and latest eras was observed (2007-09 and 2010-12  $p < 0.001$ , and 2007-  
270 09 and 2013-15  $p = 0.048$ ), though not between the latest two eras (2010-12 and 2013-15  $p = 0.784$ ).

271

272 **Multivariable logistic regression analysis of factors associated with further surgery**  
273 **within 5 years**

274 Table 2 shows the multivariable logistic regression model for factors associated with risk of further  
275 surgery within 5 years. Patients with a minimum of 5 years of follow up (those enrolled between  
276 2007 and 2013) were examined using multivariable logistic regression to assess factors associated  
277 with further resection within 5 years of index resection. 13,3368 (70%) patients were included in the  
278 analysis. 13.7% (1,827) of this cohort had a further resection within 5 years of index resection (Table  
279 1). Factors associated with risk of further resection within 5 years were presence of baseline EIM  
280 (aOR 1.51 (95% CI 1.22-1.86),  $p < 0.001$ ), baseline previous perianal surgical intervention (1.60 (1.37-  
281 1.87),  $p < 0.001$ ), a comorbidity score of 1-4 compared to those with a score of 0 (1.16 (1.01-1.35),  
282  $p = 0.049$ ) and undergoing index resection in the high-volume providers of CD surgery (1.20 (1.02-  
283 1.40),  $p = 0.027$ ). Factors associated with a reduced risk of further resection included index surgery  
284 performed laparoscopically (0.77 (0.67-0.88),  $p < 0.001$ ), the oldest age quintile ( $\geq 58$  years old)  
285 compared to the youngest quintile (18-25) (0.65 (0.54-0.77),  $p < 0.001$ ) and index resection  
286 performed on an elective admission (0.77 (0.69-0.85),  $p < 0.001$ ).

287 *All further surgery*

288 In the primary analysis, first further surgery was excluded if it took place within 30 days of index  
289 resection or was deemed to be a staged procedure, e.g. reversal of a stoma within one year of index  
290 resection performed on an elective admission; in this secondary analysis, all further surgery was  
291 included. In total, 21.3% (4,095) of patients underwent a further CD surgical resection during the  
292 follow-up period. A multivariable logistic regression model of factors associated with all further  
293 surgery within 5 years provided similar findings to the primary analysis and can be seen in Appendix  
294 6. The oldest age quintile ( $\geq 58$  years old) compared to the youngest (18-25), index surgery  
295 performed laparoscopically, and elective index resection were all associated with a reduced risk of  
296 further resection (0.73 (0.63-0.86), 0.78 (0.67-0.85) and 0.66 (0.61-0.73), respectively). Baseline  
297 previous perianal surgery, the presence of an EIM at baseline and index CD resection performed in a  
298 high-volume provider of such resections were associated with increased further surgical risk (1.51  
299 (1.31-1.74), 1.53 (1.27-1.85) and 1.19 (1.03-1.36), respectively). In this sensitivity analysis high  
300 comorbidity score (5+) was associated with an increased risk of further surgery compared to those  
301 with a score of 0 (1.30 (1.07-1.57),  $p=0.009$ ), however, the association with comorbidity scores 1-4  
302 were not statistically significant. Baseline characteristics and regression model tables are shown in  
303 Appendices 6 and 7, respectively.

304

305 **Crohn's colitis sub-cohort**

306 2,329 patients with a CD colitis code and an index colectomy code were identified for a sub-cohort  
307 analysis, of which 507 (21.8%) went on to have a further resection. The median age in this group was  
308 41 (IQR 28-54) years and 57% were female. Charlson comorbidity score and ethnicity were similar to  
309 the overall cohort (80% with score 0 and 88% white). 54% (1,257) of index resectional surgeries took  
310 place on an elective admission and 57% (1,321) in providers in the upper tertile of provider volume  
311 of these operations ( $\geq 18$  of these procedures over the 10-year study period). 13% of CD colitis

312 patients had a previous perianal surgical intervention coded prior to index resectional surgery  
313 (compared to 9% overall). At baseline, 173 (7%) patients were coded with an EIM. The CD colitis sub-  
314 cohort characteristics are shown in Appendix 8.

#### 315 Further surgery in the Crohn's colitis sub-cohort

316 In the CD colitis sub cohort, 20% (100) of patients having further surgery had two or more further  
317 resections during the follow-up period. By two years following the index resection, 10% (243/2,329)  
318 of patients had undergone a further resection, 19% (302/1,623) by 5 years and 28% (123/435) by 10  
319 years. The median (IQR) time to a further resection was 2.14 (1.17-3.97) years in the CD colitis sub-  
320 cohort. Infliximab was coded in 16% (81) of patients in the year before or after a further resection.  
321 18% (136) of further surgery patients had a baseline perianal surgical intervention recorded, and  
322 10% (53) had a baseline EIM recorded. It was again observed in the sub-cohort that those who  
323 underwent index resection during an elective admission were associated with a reduced risk of  
324 further surgery within 5 years (aOR 0.75 (0.57-0.98), p=0.033). Comorbidity score of 5+ compared to  
325 scores of 0 were also associated with a reduced risk of further surgery (0.47 (0.23-0.95), p=0.035)  
326 while perianal disease was associated with a 65% increased risk (1.65 (1.16-2.34), p=0.005). Index  
327 surgery performed laparoscopically was not significantly associated with 5 year surgery risk (0.99  
328 (0.70-1.39), p=0.950). The multivariable logistic regression model of factors associated with 5-year  
329 further resection in the CD colitis sub-cohort is shown in Appendix 9.

330

#### 331 Changes in practice over the study period

332 Levels of infliximab use in the year prior to and following index resection (before further surgery)  
333 increased from 5.6% to 19.0% and 2.9% to 7.5%, respectively, between 2007 and 2016. Index  
334 resections per year increased from 1,816 in 2007 to 1,973 in 2016. When CD prevalence over time  
335 was accounted for, surgical rates actually fell from 12.2 to 9.2 resections per 1000 CD patients in  
336 England over this period (p<0.001) (Appendix 10) <sup>26</sup>. A fall in rates was seen for index resections



337 irrespective of whether the admission method was elective or emergency. Figure 4 shows the trends  
338 in English CD prevalence and the rates of index resection for CD over the study period, stratified by  
339 surgery and admission type.

## 340 Discussion

341 In this study we have shown that 16.4% of patients underwent further surgery after an initial  
342 resection of large and/or small bowel for Crohn's disease. The rate of at least one further surgery by  
343 5 years was 14% and by 10 years 23%. 5.9% of those with 10 years of follow up had undergone more  
344 than one further operation for CD. Rates were higher still in the CD colitis sub-cohort with 19%  
345 undergoing further surgery by 5 years after index surgery and more than 28% by 10 years, of which  
346 8.3% had more than one further surgery. Overall, 21% of patients undergoing further surgery had at  
347 least two further surgeries during the study period with 2% having 4 or more operations after an  
348 index operation. Older age, index surgery performed laparoscopically and elective admission for  
349 index surgery were all associated with a reduced risk of further surgery by 5 years. Prior perianal  
350 surgical intervention, an EIM at baseline and high provider volume of index surgery were associated  
351 with an increased risk of further surgery by 5 years. In the CD colitis sub-cohort comorbidity scores  
352 of 5+ (though not age) were associated with a reduced risk of further surgery while laparoscopic  
353 surgery was not found to be associated with further surgery.

354 Over time index surgery rates for CD have fallen <sup>29,30</sup>. Increased recognition and understanding of  
355 these conditions with early medical intervention, national IBD audit and standards for IBD care in the  
356 UK, changing attitudes to surgery and novel medical therapies are all likely to play important roles in  
357 this reduction <sup>29,31-33</sup>. In the current study, we have used previous data showing an increase in CD  
358 prevalence over time to demonstrate that although the number of index surgical resections for CD  
359 have increased over time, the denominator (CD patients in the population) has also increased,  
360 leading to a fall in rates of CD index surgery in real terms <sup>34</sup>. However, there remains a clear risk of  
361 further surgery in patients undergoing resection. Surgery is often the right option in CD, leading to  
362 prolonged disease-free periods for many with associated improvements in quality of life <sup>35,36</sup>.  
363 Recurrent surgery has also fallen over time, a likely result of an evolving therapeutic armoury in CD  
364 and improved surgical care <sup>2,30</sup>. However, recurrence rates following resectional CD surgery remain

365 high, and while endoscopic recurrence is higher than clinical relapse, the need for further surgery  
366 remains substantial <sup>4,37</sup>. The data presented here parallels others' findings. Ahmed et al, using HES  
367 data, showed that as a proportion of CD hospital admissions, all types of major abdominal surgery  
368 for CD have fallen over time <sup>38</sup>. Similarly, a UK primary care study looking at first and further  
369 resectional surgery over 10 years from CD diagnosis and index surgery, respectively, found a  
370 significant fall in surgical risk <sup>30</sup>. Historically, surgical rates have fallen significantly, even before the  
371 advent of biologic medications <sup>29,39</sup>. However, meta-analyses have found that index surgery and  
372 further surgery risk, though falling over time, remain high <sup>2,3</sup>.

373 Those in the oldest age quintile were at reduced risk of further surgery compared to the youngest  
374 patients studied. This observation has been demonstrated previously and although date of diagnosis  
375 is not available in the HES database, those with new onset CD in older age may be less at risk of  
376 surgery than the young <sup>40,41</sup>. Moreover, those who reach older age with CD may experience  
377 autoimmune disease "burn-out" where the immune system is less able to mount a severe  
378 inflammatory response and so runs a more benign course <sup>42</sup>. Younger patients known to have a more  
379 severe disease course may be less adherent to treatment or less engaged with follow up and thus be  
380 at increased risk of emergency presentations as well as higher recurrent risk due to the natural  
381 history of CD in the young <sup>1,43</sup>.

382 Index surgery during an emergency admission was associated with an increased risk of further  
383 surgery both overall and in the CD colitis sub-cohort. The reason behind such an association is likely  
384 to be multifactorial. More aggressive disease may present acutely and be an indication of a more  
385 severe disease course; up to 16% of cases of CD may present in such a way <sup>36</sup>. Partially obstructing  
386 strictures, initially managed conservatively, are at risk by their nature of progressing to complete  
387 obstruction requiring emergency intervention <sup>44</sup>. Emergency surgery poses a higher risk of  
388 complications associated with both the emergency situation (peritoneal contamination,  
389 malnourished patient, sepsis, etc.) as well as the increased need for laparotomy rather than

390 laparoscopic surgery in emergency settings<sup>34,45,46</sup>. This implies that further surgery will not only be  
391 for CD recurrence but also relate to previous surgery, e.g. adhesions<sup>36</sup>.

392 An increased risk of further surgery was associated with index surgery at higher volume providers.  
393 This may represent the fact that more complex disease is seen more commonly in higher volume  
394 centres where multidisciplinary teams with surgeons expert in IBD are based<sup>47</sup>. Other factors found  
395 to be associated with increased risk of further surgery were prior perianal surgical intervention and  
396 the presence of a baseline EIM. Perianal disease has been shown previously to be associated with  
397 increased disease relapse<sup>14,48</sup>. Perianal disease and in particular fistulas have an impact not only on  
398 the need for index surgery but also on the risk of further surgery. A population based cohort study  
399 by Bernell et al, found a relative risk of index resectional surgery of 1.2 (95%CI 1.03-1.3) for those  
400 with perianal fistulas in CD and a 40% (1.4 (1.2-1.7)) increased relative risk for disease recurrence  
401 following index resection<sup>48</sup>. A further study from Bernell et al, in 907 patients undergoing  
402 ileocaectomy, found that perianal fistulas conferred a 1.6 (1.2-2.3) relative risk of disease  
403 recurrence<sup>40</sup>. Others have also shown this risk association and perianal fistulas is an indicator of the  
404 need for continued medical therapy following surgical resection<sup>5,49,50</sup>.

405 EIMs are common in IBD with up to half of patients developing at least one EIM and a higher  
406 prevalence in those with CD<sup>15</sup>. EIMs have a spectrum of severity and associated morbidity and those  
407 with less clinical consequence may not be reliably recorded in a secondary care setting (e.g.  
408 episcleritis). In light of this limitation, it may be appropriate to consider the EIMs captured in this  
409 study as signs of clinical activity, which is consistent with the fact that most EIMs run a parallel  
410 course to bowel activity<sup>19</sup>. EIMs were recorded at baseline, rather than at the time of further  
411 surgery, suggesting that those with EIMs have a more severe disease course compared to those  
412 without.

413

414 Study limitations

415 Database studies of this kind have significant strengths in terms of patient numbers, demographics,  
416 and the reliability of procedural coding, which we have been able to validate in a hospital setting.

417 Although the first resectional surgery recording was the method used to include subjects in this  
418 study, it is possible that resectional surgery took place historically before HES coding was  
419 established. This would mean that some patients in the study would be included who have had  
420 previous resectional operations. It should be noted that although attempts were made to reduce  
421 confounding by excluding suspected staged surgical procedures, there is still a risk of inclusion of  
422 such procedures as a new surgical episode if they took place more than one year after the index  
423 procedure. A further limitation in terms of procedural coding is the detail, which is not available  
424 from, for instance, operation notes. Ileocaecal resection, for example, is a common procedure for  
425 terminal ileal and caecal disease but is coded under the right hemicolectomy code identifier.  
426 Moreover, the length of ileal resections may be a risk factor associated with recurrence but is not  
427 available from HES coding<sup>40</sup>. Endoscopic balloon dilatation for Crohn's disease strictures is safe and  
428 effective and may delay or even prevent further surgery<sup>51</sup>. However, we found very few episodes of  
429 this procedure in HES and it may have been coded under colonoscopy. However, audits of large  
430 teaching hospitals in England suggest low annual numbers of endoscopic balloon dilatation<sup>52</sup>.

431 Significant risks shown to be associated with a more severe disease course in CD which are not  
432 available in HES include age at diagnosis, disease extent, disease duration, family history and  
433 smoking status<sup>53,54</sup>. Although infused anti-TNF therapy (infliximab or biosimilar) is captured as a high  
434 drug cost in HES, it is clear that other biologics, including self-administered subcutaneous  
435 medications, and oral drugs such as azathioprine are not. This is a significant limitation given the  
436 frequent use of adalimumab (either originator or biosimilar)<sup>33</sup>. The IBD audit 2016 demonstrated a  
437 fall in surgery prior to medical treatment between 2012 and 2016, demonstrating changing trends  
438 potentially linked to therapeutics<sup>33</sup>. We have shown that there is a separation in risk of further

439 resections between patients who had index resection in 2007-9 and 2010-12 and 2007-9 and 2013-  
440 15. It is not possible to ascribe causality to this observation, however it is noteworthy that approval  
441 in England for maintenance anti-TNF therapy was introduced in 2010<sup>55</sup>. Furthermore, this study was  
442 retrospective and includes data that are now several years old, and changes in the use of biologic  
443 therapy and surgical technique, including laparoscopic surgery, over this time may limit its  
444 applicability to current patients with Crohn's disease.

#### 445 Conclusions

446

447 This study has shown that further resectional surgery for CD remains common with a quarter of  
448 patients in England having one or more further operations over a 10-year follow-up period. Prior  
449 perianal disease, the presence of an EIM, index operation in a high-volume provider of such surgery  
450 and emergency admission at the time of the first operation for CD are all associated with an  
451 increased risk of further surgery by 5 years. We have also demonstrated that rates of first resection,  
452 when adjusted for CD prevalence, have fallen over time. Healthcare professionals should be aware  
453 of these findings in light of endoscopic surveillance guidelines and the recommendation to  
454 proactively manage patients with CD in order to reduce the risk that recurrent disease poses to  
455 patients, including recurrent surgery.

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577

## 578 Tables and Figures

579 Table 1: Demographic and clinical characteristics of study cohort

580

| Demographics                     |                            | Patients (%) | Further Surgery (%) | Patients with ≥ 5-year follow-up (%) | Further surgery within 5 years (%) |
|----------------------------------|----------------------------|--------------|---------------------|--------------------------------------|------------------------------------|
| Sex                              | Male                       | 8677 (45.2)  | 1474 (17.0)         | 5971 (44.7)                          | 833 (14.0)                         |
|                                  | Female                     | 10530 (54.8) | 1667 (15.8)         | 7397 (55.3)                          | 994 (13.4)                         |
| Age quintile                     | 18-25                      | 4344 (22.6)  | 793 (18.3)          | 2678 (20.0)                          | 400 (14.9)                         |
|                                  | 26-34                      | 3536 (18.4)  | 601 (17.0)          | 2775 (20.8)                          | 394 (14.2)                         |
|                                  | 35-44                      | 3738 (19.5)  | 671 (18.0)          | 2706 (20.2)                          | 387 (14.3)                         |
|                                  | 45-57                      | 3793 (19.7)  | 628 (16.6)          | 2606 (19.5)                          | 374 (14.4)                         |
|                                  | 58+                        | 3796 (19.8)  | 448 (11.8)          | 2603 (19.5)                          | 272 (10.4)                         |
| Median (IQR) age                 |                            | 39 (27-53)   | 37 (27-49)          | 40 (28-53)                           | 38 (27,50)                         |
| Provider volume of index surgery | Low (1-79)                 | 2297 (12.0)  | 340 (14.8)          | 1731 (12.9)                          | 211 (12.2)                         |
|                                  | Med (80-139)               | 6142 (32.0)  | 961 (15.6)          | 4071 (30.5)                          | 524 (12.9)                         |
|                                  | High (>139)                | 10768 (56.1) | 1840 (17.1)         | 7566 (56.6)                          | 1092 (14.4)                        |
| Ethnicity                        | White                      | 16903 (88.0) | 2798 (16.6)         | 11850 (88.6)                         | 1643 (13.9)                        |
|                                  | Asian                      | 562 (2.9)    | 101 (18.0)          | 350 (2.6)                            | 57 (16.3)                          |
|                                  | Other minority ethnicities | 609 (3.2)    | 94 (15.4)           | 410 (3.1)                            | 48 (11.7)                          |
|                                  | Unknown                    | 1133 (5.9)   | 148 (13.1)          | 758 (5.7)                            | 79 (10.4)                          |
| Deprivation quintile             | 1 (Most deprived)          | 4127 (21.5)  | 713 (17.3)          | 2826 (21.1)                          | 416 (14.7)                         |
|                                  | 2                          | 4127 (21.5)  | 690 (16.7)          | 2879 (21.5)                          | 402 (14.0)                         |
|                                  | 3                          | 3958 (20.6)  | 655 (16.5)          | 2770 (20.7)                          | 378 (13.6)                         |
|                                  | 4                          | 3650 (19.0)  | 553 (15.2)          | 2522 (18.9)                          | 321 (12.7)                         |
|                                  | 5 (Least deprived)         | 3345 (17.4)  | 530 (15.8)          | 2371 (17.7)                          | 310 (13.1)                         |
| Index surgery admission method   | Emergency                  | 8483 (44.2)  | 1576 (18.6)         | 5879 (44.0)                          | 914 (15.5)                         |
|                                  | Elective                   | 10584 (55.1) | 1546 (14.6)         | 7385 (55.2)                          | 900 (12.2)                         |

|                                      |                                   |             |              |             |              |
|--------------------------------------|-----------------------------------|-------------|--------------|-------------|--------------|
|                                      | <b>Unknown</b>                    | 140 (0.7)   | 19 (13.6)    | 104 (0.8)   | 13 (12.5)    |
| <b>Year of index surgery</b>         | <b>2007</b>                       | 1816 (9.5)  | 434 (23.9)   | 1816 (13.6) | 260 (14.3)   |
|                                      | <b>2008</b>                       | 1848 (9.6)  | 429 (23.2)   | 1848 (13.8) | 261 (14.1)   |
|                                      | <b>2009</b>                       | 1886 (9.8)  | 395 (20.9)   | 1886 (14.1) | 279 (14.8)   |
|                                      | <b>2010</b>                       | 1901 (9.9)  | 342 (18.0)   | 1901 (14.2) | 252 (13.3)   |
|                                      | <b>2011</b>                       | 1962 (10.2) | 304 (15.5)   | 1962 (14.7) | 235 (12.0)   |
|                                      | <b>2012</b>                       | 2004 (10.4) | 335 (16.7)   | 2004 (15.0) | 285 (14.2)   |
|                                      | <b>2013</b>                       | 1951 (10.2) | 270 (13.8)   | 1951 (14.6) | 255 (13.1)   |
|                                      | <b>2014</b>                       | 1902 (9.9)  | 269 (14.1)   | -           | -            |
|                                      | <b>2015</b>                       | 1964 (10.2) | 191 (9.7)    | -           | -            |
|                                      | <b>2016</b>                       | 1973 (10.3) | 172 (8.7)    | -           | -            |
|                                      | <b>Charlson comorbidity score</b> | <b>0</b>    | 15620 (81.3) | 2594 (16.6) | 11009 (82.4) |
| <b>1-4</b>                           |                                   | 2465 (12.8) | 408 (16.6)   | 1615 (12.1) | 241 (14.9)   |
| <b>5+</b>                            |                                   | 1122 (5.8)  | 139 (12.4)   | 744 (5.6)   | 89 (12.0)    |
| <b>Prior perianal surgery</b>        |                                   | 1703 (8.9)  | 421 (24.7)   | 1148 (8.6)  | 231 (20.1)   |
| <b>Extraintestinal manifestation</b> |                                   | 1035 (5.4)  | 215 (24.7)   | 622 (4.7)   | 119 (19.1)   |
| <b>Laparoscopic Index surgery</b>    |                                   | 5098 (26.5) | 662 (13.0)   | 3051 (22.8) | 334 (10.9)   |
| <b>Total</b>                         |                                   | 19207       | 3141 (16.4)  | 13368       | 1827 (13.7)  |

*\*≤5 patients: data not shown to ensure patient anonymity*

581 Table 2: Multivariable logistic regression of factors associated with further resection  
 582 within 5 years of index resection  
 583

| Factors                                    |                            | Adjusted Odds Ratio | [95% Conf. Interval] |      | P value |
|--|----------------------------|---------------------|----------------------|------|---------|
| Sex  | Male                       | reference           |                      |      |         |
|  | Female                     | 1.01                | 0.91                 | 1.12 | 0.847   |
| Age quintile                               | 18-25                      | reference           |                      |      |         |
|  | 26-34                      | 0.95                | 0.81                 | 1.10 | 0.470   |
|  | 35-44                      | 0.95                | 0.82                 | 1.11 | 0.512   |
|  | 45-57                      | 0.97                | 0.83                 | 1.13 | 0.663   |
|  | 58+                        | 0.65                | 0.54                 | 0.77 | <0.001  |
| Provider volume of index surgery           | Low                        | reference           |                      |      |         |
|  | Medium                     | 1.05                | 0.89                 | 1.25 | 0.559   |
|  | High                       | 1.20                | 1.02                 | 1.40 | 0.027   |
| Ethnicity                                  | White                      | reference           |                      |      |         |
|  | Asian                      | 1.10                | 0.82                 | 1.47 | 0.532   |
|  | Other minority ethnicities | 0.79                | 0.58                 | 1.07 | 0.126   |
|  | Unknown                    | 0.71                | 0.56                 | 0.90 | 0.005   |
| Deprivation quintile                       | 1 (Most deprived)          | reference           |                      |      |         |
|  | 2                          | 0.95                | 0.82                 | 1.10 | 0.503   |
|  | 3                          | 0.94                | 0.81                 | 1.09 | 0.427   |
|  | 4                          | 0.88                | 0.75                 | 1.03 | 0.100   |
|  | 5 (Least deprived)         | 0.91                | 0.77                 | 1.07 | 0.238   |
| Index admission surgery                    | Emergency                  | reference           |                      |      |         |
|  | Non-emergency              | 0.77                | 0.69                 | 0.85 | <0.001  |
| Charlson comorbidity Score                 | 0                          | reference           |                      |      |         |
|  | 1-4                        | 1.16                | 1.00                 | 1.35 | 0.050   |
|  | 5+                         | 0.96                | 0.75                 | 1.22 | 0.710   |
| Year of index resection                    | 2007                       | reference           |                      |      |         |
|  | 2008                       | 0.97                | 0.80                 | 1.17 | 0.721   |
|  | 2009                       | 1.02                | 0.85                 | 1.22 | 0.853   |
|  | 2010                       | 0.89                | 0.73                 | 1.07 | 0.214   |
|  | 2011                       | 0.80                | 0.66                 | 0.97 | 0.021   |
|  | 2012                       | 0.98                | 0.82                 | 1.18 | 0.840   |
|  | 2013                       | 0.90                | 0.74                 | 1.08 | 0.263   |
| Prior perianal surgery                     |                            | 1.60                | 1.37                 | 1.87 | <0.001  |
| Presence of extraintestinal manifestations |                            | 1.51                | 1.22                 | 1.86 | <0.001  |
| Index surgery performed laparoscopically   |                            | 0.77                | 0.67                 | 0.88 | <0.001  |

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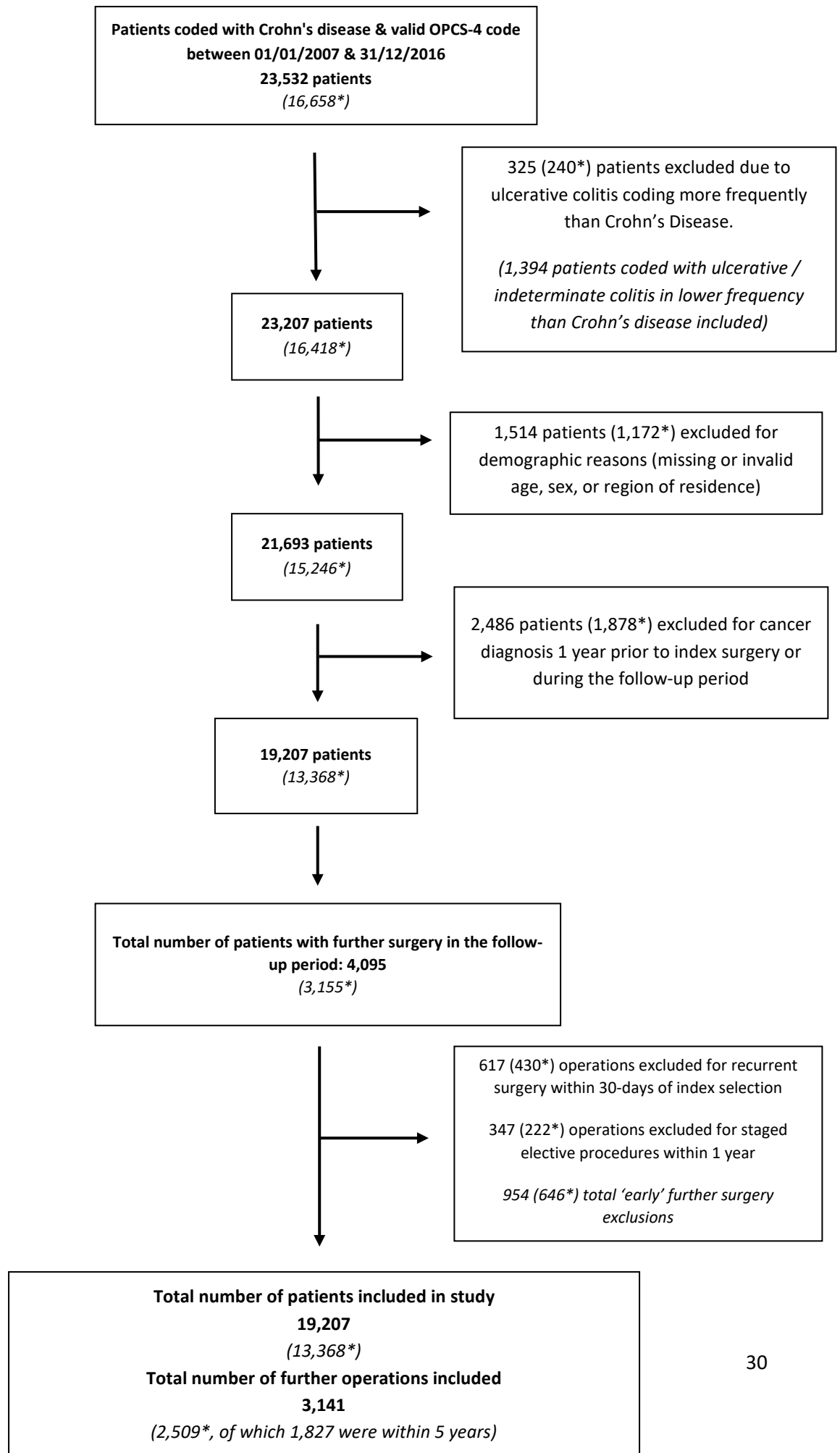


Figure 1. Study Flow Chart \*Patients with at least 5 years of follow up included in primary analysis.

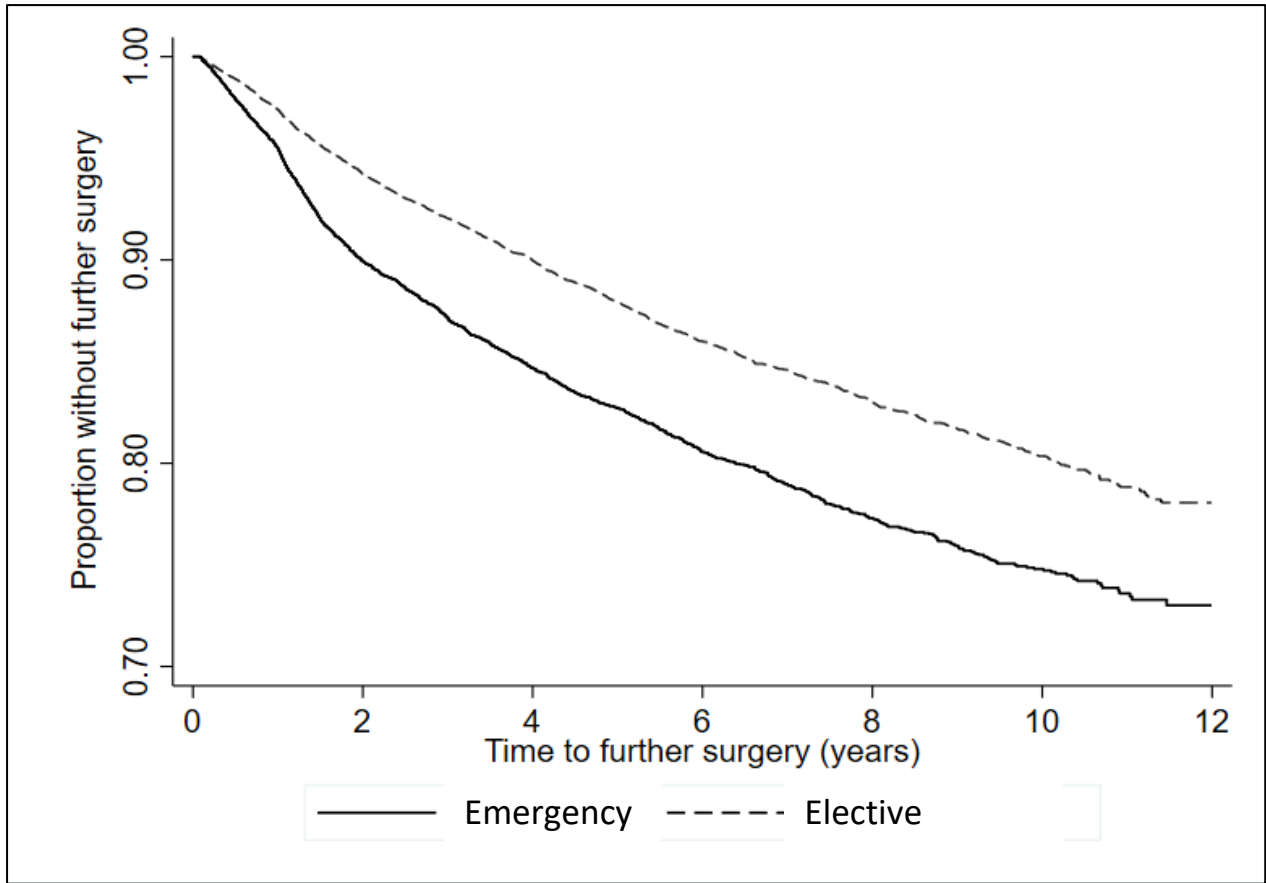


Figure 2. Kaplan-Meier curve showing time to further resection for those who underwent an index resection during an emergency or an elective admission.

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589 Figure 3

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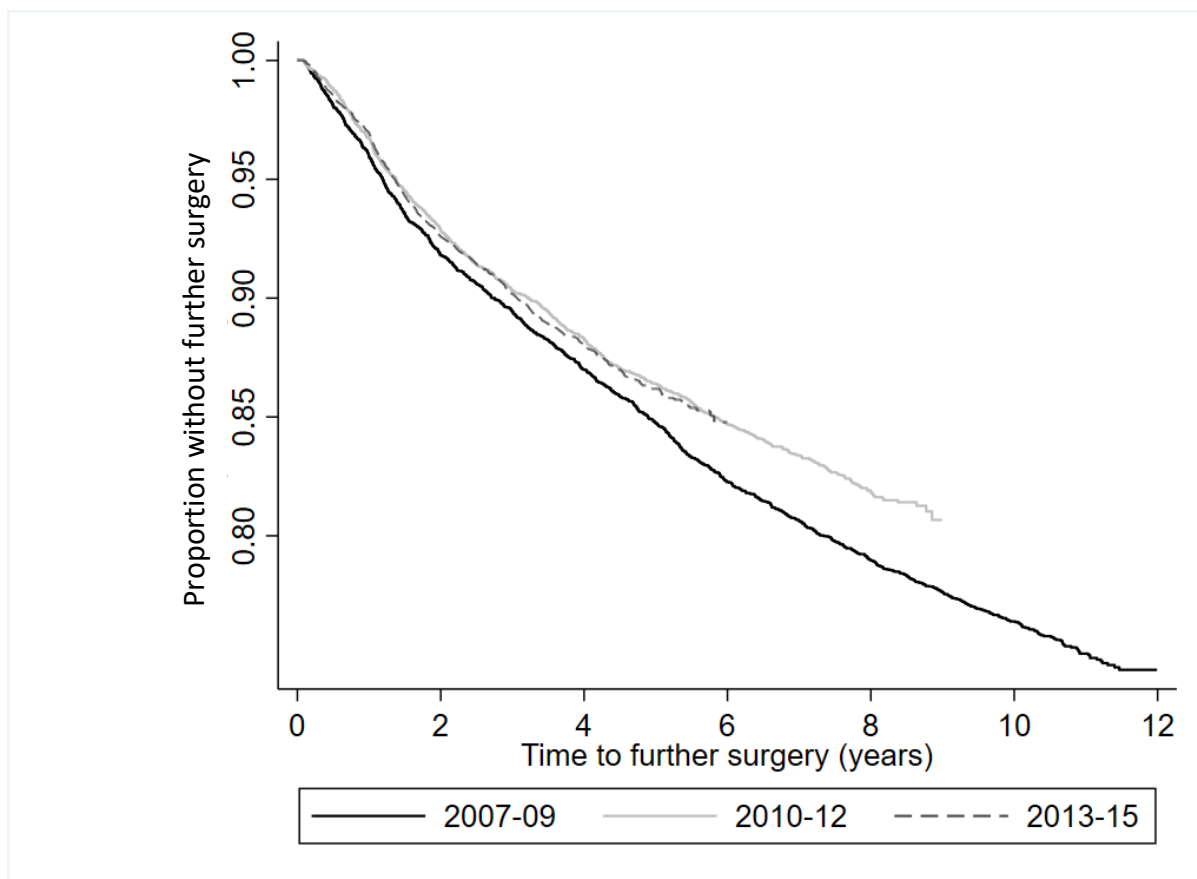
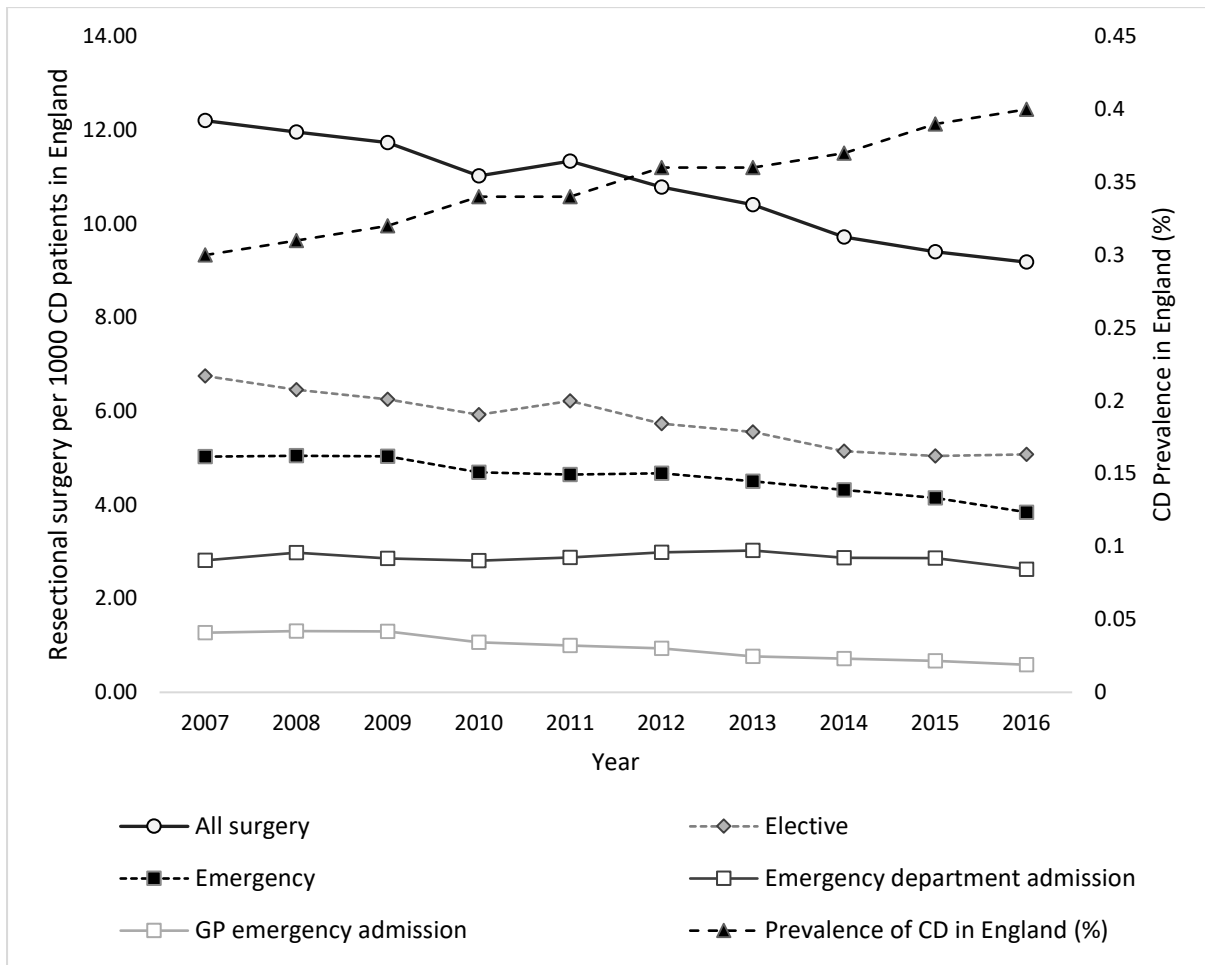


Figure 3. Kaplan-Meier analysis showing the proportion of patients who have further surgery stratified by 3-year time periods of index Crohn's disease resection

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592

593 Figure 4



594

595 Figure 4. Index resection rates stratified by surgery and admission type, and Crohn's disease  
 596 (CD) prevalence in England  
 597 GP: General practitioner