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Dual Stimuli-Sensitive Carrageenan-Based Formulation for Additive Manufacturing

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 KEYWORDS: Polyelectrolyte; smart hydrogels; responsive biopolymer; drug delivery; 3D
 printing.

8 ABSTRACT: The design and development of controlled release systems of molecules of interest 9 (nutrients, flavors, and drugs) have attracted significant attention over several years. Herein, we report a formulation of dual temperature and electro responsive x- and L-carrageenan based 10 11 hydrogel for efficient food material and drug delivery. The microstructure and the thermal 12 behavior of the hydrogel were characterized. The *in-vitro* drug release from the hydrogel was also 13 studied. Using this carrageenan-based formulation and folic acid as the drug model, a high drug 14 loading, and a sustained release because of either electric field or temperature were observed. In 15 principle, the proposed formulation does not rely on 3D printing to perform its function; however, 16 it adds to the feedstocks for 3D printing in the food and pharmaceutical industries. For the future, 17 this could allow potentially more complex smart structures to be created from this material, further 18 tuning release behavior.

INTRODUCTION: Biopolymer gels are materials composed of a polymer backbone, water, and in most cases, a crosslinking agent. They can absorb water or biological fluids due to their hydrophilic nature and three-dimensional polymeric network[1]. Biopolymer gels can be manufactured in different forms such as films, disks, rods, and microparticles. This will lead to a variety of applications in the medical and pharmaceutical fields and food production industries[1].

1 Carrageenan is a generic name for a family of linear, sulfated heteropolysaccharide extracted from 2 red algae[2,3]. They are water-soluble[2], hydrophilic[4], anionic[3], and of high-molecular-3 weight[5]. Carrageenan does not have a single molecular structure but consists of a family of 4 structures with an ester sulfate content of 15-40% (w/w). [4,6] They are composed of linear chains 5 of D-galactopyranosyl units linked via alternated $(1\rightarrow 4)$ - β -D-and $(1\rightarrow 3)$ - α -D-glucoside, which 6 sugar units have one or two sulfate groups. Some units contain a (3,6)-anhydro ring[1,6–8]. 7 Depending on the presence of the (3,6)-anhydrogalactose on the $\beta(1 - 4)$ -linked residue, as well as 8 on to the position and the number of sulfate groups [1,3,9], commonly carrageenan is classified 9 into $\mu, \nu, \lambda, \xi, \varkappa, \iota$, and θ types (although other types have also been identified)[4,7]. However, the 10 commercially available types of carrageenan are the \varkappa -, λ -, and ι -carrageenan[4,5,8,10,11]. The 11 x-carrageenan is composed of alternating D-galactose-4-sulfate and (3,6)-anhydro-D-galactose 12 units. The difference between ι -carrageenan and \varkappa -carrageenan is sulfate groups in position two 13 on the (3,6)-anhydro-D-galactose units. λ -Carrageenan has no (3,6)-anhydro-D-galactose units, 14 but it is composed of alternating (1,3)-D- galactose-2-sulfate and (1,4)-D-galactose-2,6-disulfate 15 units (sulfate substitution 32-39%)[12,13]. There is no pure form of any carrageenan, and 16 commercial carrageenan is either a mixture of these types, with the predominating quantity of one 17 type. Alternatively, they are hybrid molecules containing structural components of more than one 18 type[6].

19 x- and t- Carrageenan gel formation is a complex process that depends on galactan structure, 20 concentration, temperature, and the presence of co- and counter-ions[14]. Carrageenan gels form 21 via an ionotropic gelation mechanism coupled with a cold-set mechanism[15]. The gelation is 22 thermally reversible so that gels soften or disintegrate at elevated temperatures[16]. The gelling 23 process in carrageenan solutions is generally accepted as involving a coil-to-helix transition

1 followed by aggregation of double helices to form a space-spanning network [17,18]. At high 2 temperatures of up to 80 °C, carrageenan exists as random coils[19]. As they are cooled down to 3 room temperature, linkage of the chains (the chain has restricted rotation due to C-O-C link) causes 4 a twist in the molecule resulting in a helical structure which is further associated into double 5 helices[19,20]. A gel network is then formed when these double helices undergo further 6 aggregation [15,19]. This aggregation is mediated by specific binding of the gel promoting cations 7 [21,22]. Certain cations (for example, K⁺ for \varkappa -carrageenan and Ca²⁺ for ι -carrageenan) are found 8 to induce conformational changes in the carrageenan with the initial coil-to-helix transition 9 [17,23]. The cations also act as co-ordination sites to bring discrete double helices into proximity 10 to each other [14,19,21]. Due to the anionic nature of the polymer, cations are required to reduce 11 electrostatic repulsion between polymer chains and induce linkages [20]. They shield the negatively 12 charged sulfate groups present on the carrageenan backbone from each other[19].

13 The Food and Drug Administration (FDA) has approved the GRAS (Generally Recognized as 14 Safe) status of carrageenan for food-grade applications[24]. Carrageenan, as a healthy natural 15 product, is widely used in food production as the thickening agent (mainly λ -carrageenan), gelling 16 agent (x and L-carrageenan), and stabilizer or combinations of these functions in many food 17 products, standardized with the necessary amounts of sucrose, glucose, salts or gelling aids, such 18 as KCl[4,25]. For instance, carrageenan, mainly \varkappa and ι - types and their salts, are widely used as 19 binders in low-fat meat products during the heating stage[26]. Usually, a mixture of x- and L-20 carrageenan is used in food applications [27]. Due to the weakness of pure ι -carrageenan gels, the 21 \varkappa form is often added to provide strength, without the mixed gel (mixed chains) losing the desired 22 rheological characteristics of pure *i*- gels [25].

1 Carrageenan can also be used in non-food industries as excipients in pharmaceutical pills and 2 tablets or the immobilization of biocatalysts[1]. Pacheco-Quito et al [28] have provided a review 3 of research on the various types of carrageenan-based biomedical and pharmaceutical applications. 4 Carrageenan is a common ingredient in dental care products, such as toothpaste and gels[29]. Its 5 antiviral and antimicrobial potential in vitro has already been described [30,31]. Tests (in vitro) 6 have demonstrated that *i*-carrageenan is a potent inhibitor of the influenza A virus, also importantly 7 of pandemic H1N1/2009[31]. Determined by their ability to form a gel and ability to undergo 8 covalent crosslinking and hydrogen bonding, carrageenan is a suitable candidate for entrapping 9 attributes inside its gelled or crosslinked core or through the formation of hydrogen bonding. 10 Furthermore, chemical modification of carrageenan can improve its affinity for the encapsulated 11 components[24]. Among the biomaterials already studied for the immobilization of enzymes, and 12 with potential use in functional bioactive packages, carrageenan is a very promising material[32]. 13 There are reports that *i*- carrageenan increases the aroma retention time and slows down their 14 transfer across the interface. In their systemic review, Guan et al. [33] have presented a 15 comprehensive overview of the carrageenan application as dissolution and permeability enhancer 16 and in polyelectrolyte complex formation in sustained-release matrices.

17 Those biopolymer gels that can exhibit a phase transition (i.e., volume change) in response to 18 change in the external conditions such as electric current, pH, ionic strength, temperature are called 19 "smart" gels[34]. Carrageenan is one of the major components in many stimuli-responsive 20 hydrogels. \varkappa -Carrageenan has been used to fabricate thermal, pH, and magnetic field responsive 21 nanocomposite hydrogels as a drug delivery system with a prolonged-release profile resulting in 22 improved drug release[35]. Geyik and Işıklan[36] have synthesized a binary graft derivative of \varkappa -23 carrageenan with dimethylaminoethyl methacrylate and acrylic acid that shows temperature- and pH-sensitive swelling behavior. Daniel-da-Silva et al.[37] fabricated crosslinked α-carrageenan gel nanoparticles for the sustained release of methylene blue. They found that the volume of gel nanoparticles is changed by a temperature-trigger, and therefore methylene blue release profile and delivery kinetics are temperature-responsive. They have reported that the release was attained 60% at 25 °C and 37 °C; however, it increased to 95% at 45 °C.

6 Fortification with folic acid in one or more of the commonly consumed dietary items is now 7 regarded as the best method to ensure that increased folate intake reduces the risks associated with 8 folate deficiency. Folic acid is relatively stable between pH 5.0 and 12.0 in an aqueous solution 9 when protected from light, even when it is heated (e.g., 100°C for 10 h)[38]. However, it has 10 limited water solubility[39]. A useful feature of carrageenan gels is their high capacity for water 11 absorption, which improves drug dissolution[33]. Edible gums in Cheddar cheese (alginate, 12 pectin, xanthan gum, t-carrageenan) were evaluated for folic acid encapsulation efficiency as 13 single and mixed polymers[40]. Folic acid incorporated in alginate and combinations of alginate 14 and pectin were reported to improve stability and high encapsulation efficiency [40,41]. In the same 15 report, although the encapsulation efficiency of folic acid in t-carrageenan was relatively low, by 16 using a mixture of t-carrageenan and alginate or pectin, the encapsulation efficiency is increased 17 by more than 1.5 fold[40]. There is no report on encapsulating folic acid in a carrageenan mixture. 18 There is a need to develop new techniques for enhancing folate content, stability, and 19 bioavailability in food products. It has also been reported that carrageenan gels are electrically 20 conductive[42]. Considering that one of the major application areas for carrageenan is dairy 21 products, and also one of the uses of pulsed electric fields (PEFs) is for pasteurization and possibly 22 sterilization [43], carrageenan gel can be formulated to encapsulate folic acid for use in dairy 23 products manufacturing as an alternative medium for delivery of the vitamin. Moreover, it has

been suggested that the application of a weak DC potential on hydrogels and hydrosols can be used as a method of laminar sanitization[1,44]. We have recently reported the drug release from 3D printed formulations of \varkappa -carrageenan and agar [45] as well as tamarind and gellan mixtures [46]. This study aims to explore the potential of a 3D printable mixture of \varkappa and ι -carrageenan to encapsulate and potentially enhance the stability of hydrophilic moieties. Folic acid was used as the model drug to investigate the capacity of this formulation in modifying folic acid release triggered by the temperature or by the direct electric current (DC).

8 Materials and Methods

9 **Materials and preparation of samples:** \varkappa - and ι -carrageenan, potassium chloride, and folic 10 acid were purchased from Sigma-Aldrich (Dorset, UK). All materials were used as received. The 11 concentration of \varkappa - and ι -carrageenan for all samples are 1 wt. % and 1 wt. %. Following samples 12 were prepared; Carrageenan sols (\varkappa -, ι - and mixed, without salt or with salt (0.5 %, 1.0%, 2.0%), 13 without folic acid or with 0.05% folic acid) were prepared by dispersing powdered formulation in 14 deionized water (80 °C) in a sealed bottle in a water bath (for 30 min for TD-NMR and DSC 15 samples), mixing continuously using a magnetic mixer. Gels were prepared by pouring the 16 aforementioned sols (80 °C) into the gel reservoirs, covering to prevent moisture loss, and allowing 17 the samples to cool in the refrigerator (4 °C). Gels were stored overnight before the experiment. 18 All material contents in the formulations were prepared on a weight/volume basis.

19 Time-domain NMR (TD-NMR): The experiments were conducted using TD-NMR, Minispec
20 Hz, (Bruker BioSpin GmbH, Karlsruhe, Germany) to monitor the mobility and state of
21 aggregation of the polysaccharide chains. T₂ values were recorded using the software application
22 "t2_cp_mb" a Carr-Purcell-Meiboom-Gill (CPMG) pulse sequence provided by Bruker. For each
23 measurement, 200 data points were collected. Pulse separation between the 90° and the 180° pulse

was 0.5 ms, and the recycle delay was set to 2 s. Data were accumulated with eight scans. For each
measurement, the sample was placed in a small glass tube and then in an NMR-glass tube (outside
diameter 10 mm) at 25 °C. Four samples were prepared, and each sample was measured once.

4 Differential scanning calorimetry (DSC): To determine the extent of molecular ordering, the 5 measurements were carried out on a Setaram Micro DSC 3 Evo (Seteram, France) using stainless-6 steel cells. Deionized water was used as a reference. Initially, the sample was cooled to 20 °C and 7 then held there for 20 min. A cooling ramp was applied at a scanning rate of 1.0 °C/min up to 10 8 °C and then stayed there for 20 min. A heating ramp was used at a scanning rate of 1.0 °C/min up 9 to 80 °C, where it was held for another 20 min, and then a cooling ramp at the same rate back to 5 10 °C. This cycle was repeated another time so that two heating and two cooling curves were obtained 11 in total (Figure S2, Supporting Information). The data collected from the second cycle are reported 12 as the results. Peak onset and offset temperatures on cooling and heating were obtained from the intersection of tangents to the baseline and peaks, or of two peaks in the case of mixtures. The sol-13 14 gel temperatures were determined as the peak maximum of the heating and cooling curves. One 15 sample (~ 750 mg) was prepared for each formulation. The system of carrageenan w/without KCl 16 has already been comprehensively studied by DSC, and the results have already been reported 17 (e.g., [10], [47], and [48]). We found our results consistent with the literature. Therefore, each 18 sample was measured once. Selected samples were run twice, and no noticeable variations were 19 seen.

20 Rheology: To determine the phase behavior and the viscoelastic properties of the formulations,
21 rheological characterization of the materials was performed on a Kinexus Rheometer (Pro or Pro+,
22 Malvern Panalytical, Malvern, UK) using serrated parallel plates geometries (upper plate
23 diameter: 20 mm, lower plate diameter: 65mm) with a gap size of 1 or 2 mm (depending to the gel

thickness). All measurements were repeated three times. Gel samples were loaded in the form of
discs (diameter: 20mm and with the height of the gap).

Amplitude sweep: To determine the linear viscoelastic (LVER) region and phase angle,
oscillation amplitude sweep (strain controlled) measurements were performed in the range of 0.01100 % at the frequency of 1 Hz at 25 °C.

Frequency sweep: To get the mechanical spectrum and the relaxation exponent of the
sample, frequency sweep (at the shear strain of 0.1 % of LVER maximum limit) measurements
were performed in the range of 10-0.1 Hz at 25 °C.

9 **3D printing:** A custom-built food 3D printing system was used in this study (Supporting 10 Information). 3D digital design of the object was generated with Cura 15.04.6 (Ultimaker B.V., 11 Netherlands). A 10 mL syringe (covered with one layer of insulation) and a 22G needle (inner 12 diameter 0.413 mm) were used for all samples. The syringe was filled with sol samples (80 °C). 13 All samples were printed at the flow level of 60%.[49] The cubes (15 mm ×15 mm ×15 mm), the 14 doughnuts (ID: 9mm, OD:30 mm, height: 4mm) and rectangles (70 mm ×20 mm ×3mm) were 15 printed for printability assessment, for thermal release and electric stimuli drug release respectively 16 (Fig. 1. a-c). The cubes were printed on sandpaper (3M[™] Utility Cloth Sheet 314D, R.S. 17 Components Limited, Corby, UK), doughnuts were printed on aluminum foil and rectangles were 18 printed on stainless steel strips (76mm ×26 mm×1mm). All objects were printed at the printing 19 bed temperature of 50 °C.



(a)



(b)

(c)

Figure 1. 3D printed objects for the experiments (a) the cube (15 mm ×15 mm ×15 mm) out of \varkappa - and ι -carrageenan (1wt. %, 1 wt. %) in the presence of KCl (2 wt. %) (b) doughnut (ID: 9mm, OD:30 mm, height: 4mm) and (c) rectangle (70 mm ×20 mm ×3 mm) printed over stainless steel out of \varkappa - and ι -carrageenan (1wt.%, 1 wt.%) in the presence of KCl (2 wt.%) and folic acid (0.05 wt.%)

1

2 In vitro Release studies- drug loading: The drug loading for each formulation was determined 3 as follows: three doughnut-shaped printed samples were weighed and subsequently were 4 separately placed in 40 ml of deionized water at 37 °C at 150 rpm inside the benchtop-shaking 5 incubator (Incu-Shake MIDI, SciQuip, Shropshire, UK) for 24h. Then, 1 ml of the medium was analyzed by a UV-Vis spectrophotometer (Orion[™] AquaMate 8000, Thermo Fisher Scientific, 6 UK) at λ_{max} of 298 nm at room temperature. The drug loading was calculated as the average 7 8 calculated amount of released folic acid over the average weight of the printed samples. It is used 9 as the reference value both for thermal and electric stimuli release.

Thermal release: Three doughnut-shaped printed samples were separately placed in 40 mL (60 mL for 1wt.% of KCl). At the time intervals of 5, 10,15, 30, 45, 60, 90, 120, 180, and 240 min, 1ml of the medium was taken out (and replaced by 1ml of deionized water) and was analyzed by a UV-Vis spectrophotometer (OrionTM AquaMate 8000, Thermo Fisher Scientific, UK) at λ_{max} of 298 nm at room temperature. The reported drug release data are the average value for the three samples. Blank experiments were conducted using similarly prepared but drug-free samples.

Electric stimuli drug release: For studying the release of folic acid from the 3D printed sample,
it was connected to the positive terminal while a stainless steel strip was connected to the negative

terminal of a power supply. Both electrodes were placed at a distance of 25 mm in a small glass
 staining jar. The release medium was deionized water (Figure S3, Supporting Information).

3 To study the release without any trigger, 1 mL of solution was sampled (and replaced with 1ml 4 of deionized water each time) from the jar every 5 min during the first quarter of an hour. To study 5 the release as the result of the electrical trigger, at 15 min (just after sampling), a potential 6 difference of 5V (BaseTech, BT-305) was applied continuously, and sampling continued at time 7 intervals of 20, 25, and 30 min (and replaced with 1ml of deionized water each time). At 30 min, 8 the potential was stopped, and more 1ml samples were taken out at 35, 40, and 45 and 50 min (and 9 replaced with 1ml of deionized water each time). All samples were analyzed by a UV-Vis spectrophotometer (OrionTM AquaMate 8000, Thermo Fisher Scientific, UK) at λ_{max} of 298 nm. 10 11 Three replicates were used for each formulation. Blank experiments were conducted using similar, 12 but drug-free 3D printed samples.

13 **Results and Discussion**

TD NMR is a non-destructive method and is used to determine the transverse relaxation time (T_2) of water via the transverse relaxation of ¹H protons[50]. The value of the relaxation time at the end of the measurement (T_2) and its decay was used as an indicator for water-binding capacity. The results are presented in Figures 2. a and b.

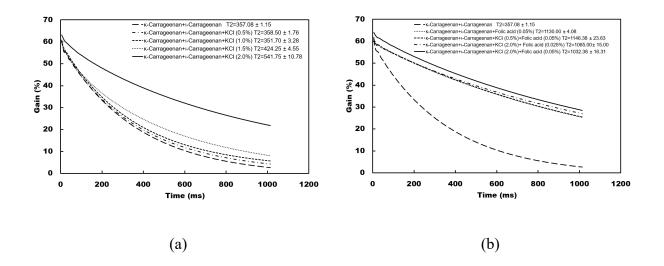


Figure 2. The value of the relaxation time at the end of the measurement (T_2) as well as its decay for \varkappa - and ι - carrageenan (a) in the presence of salt and (b) in the presence of salt and folic acid

2 T₂ decay is represented according to different models: exponential, Gaussian, Abragamian, and 3 stretched or compressed exponentials[51]. For liquids or systems with high mobility, exponential 4 decays describe the system rather well[51]. In other words, T₂ decay for liquids or systems with 5 high mobility is commonly fitted by an exponential decay function. All T₂ decay curves in Figures 6 2.a and b are fitted by an exponential equation (Table S1, Supporting Information). Therefore, all 7 formulations presented here are systems with high mobility. Moreover, by adding salt and/or folic 8 acid, the T_2 decay curves are changed from 'stretched exponentials' to 'compressed exponentials' 9 that indicate inhomogeneous distributions of exponential decays, interpreted as inhomogeneity of 10 the sample itself[51]. This is the characteristic of multiphase systems that usually generate multi-11 modal decays[51]. This microheterogeneity is most probably a result of a microphase separation 12 process[52]. We can conclude that by adding a relatively large amount of salt (KCl $\sim 2\%$), the 13 system behaves as a multiphase system.

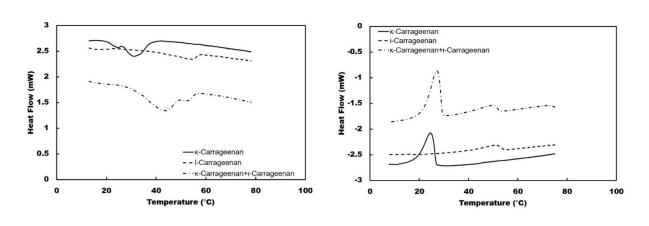
However, only a slight amount of folic acid makes the system multiphase, and it is relatively irrespective of the amount of salt present. A decrease in water mobility is reflecting in a rise in T_2 and hence a lesser degree of microphase separation. Therefore, in release studies, we expect a lower folic acid release in formulations containing a higher amount of salt. There may also be additional intermolecular associations at higher ionic strength. These could arise because of higher concentrations of salt and folic acid and increased electrostatic repulsions due to less shielding by counter-ions.

8 DSC heating and cooling traces are shown in Figure 3 and Table S2 (Supporting Information). 9 The results obtained here being consistent with, and complementary to, those previously 10 reported [53]. For \varkappa -carrageenan, gelling is accompanied by a sharp transition, while melting 11 involves a broader transition at a higher temperature. The melting of both \varkappa -carrageenan and t-12 carrageenan takes place at a slightly higher temperature (~ 31 °C and ~ 54 °C) than their gelling 13 (~24 °C and 50 °C). The setting of the gel is associated with the conformational transition of the 14 molecules attributed to double helix formation. The melting transition is attributed to the disruption 15 of helix-helix aggregates [48]. It has already been reported that \varkappa -carrageenan forms aggregates, 16 whereas t-carrageenan forms fewer or no aggregates[10,25]. Gel melting occurs at a higher 17 temperature because it requires the melting of helical aggregates (possibly even crystallites). The 18 κ -carrageenan / ι -carrageenan mixture shows two-step gelation in both heating and cooling (Figure 19 3a and d). Each step is almost coinciding with that observed for x-carrageenan and t-carrageenan, 20 on cooling (Figure 3d and Table S2 (Supporting Information))[10]. On heating (Figure 3a), one 21 step is coinciding with that seen for t-carrageenan; however, the corresponding step with \varkappa -22 carrageenan is displaced by ~ 20 °C due to thermal hysteresis. Network interaction types can be 23 interpenetrating, coupled, or phase-separated in mixed gels[27]. Our results show that the two

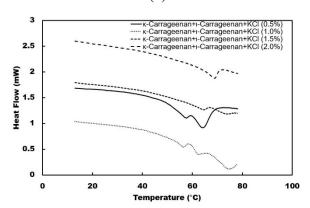
1 components gel independently of each other in mixed \varkappa -carrageenan / ι -carrageenan. A KCl 2 concentration dependence of the onset, offset, and peak maximum temperatures of the x-3 carrageenan / L-carrageenan mixture in the presence of salt was observed on cooling and heating 4 (Figure 3b and e and Table S2(Supporting Information)). In the presence of 1 g KCl (1%, 0.013)5 mol), the \varkappa -carrageenan and t-carrageenan networks melt and gel at the same temperature. It shows 6 that the two different aggregation steps now occur over a similar range. It might also imply that 7 independent \varkappa -carrageenan and ι -carrageenan networks are no longer present. However, on further 8 increase in salt concentration, the first exotherm (already assigned to \varkappa -carrageenan) moves to 9 higher temperatures. The second exotherm (previously assigned to t-carrageenan) remains 10 approximately in the same position but becomes progressively smaller (Figure 3b and e). The 11 reason is that with K⁺, there is evidence of ion-pair formation, with stronger binding to \varkappa -12 carrageenan than to ι -carrageenan[54].

13 As illustrated in Figure 3f, after adding folic acid, the DSC cooling scans again show two 14 exotherms that further increase in salt concentration the first exotherm (α -carrageenan) moves to 15 higher temperatures. In contrast, the second exotherm (t-carrageenan) remains approximately in 16 the same position. Broad (as opposed to sharp, it does not imply the peaks are broadening) 17 endothermic peaks (Figure 3 a-c) might be indicating the possible involvement of a kinetically 18 limited conformational change and the difficulty of coil-to-helix transition because of the presence 19 of folic acid. Therefore, our data suggest a direct binding between folic acid and carrageenan, 20 particularly L-carrageenan. Nitrogen atoms on the folic acid molecule could interact with sulfate 21 groups of carrageenan. t-Carrageenan has an extra SO_3 group, which explains why it is more bound 22 to folic acid.

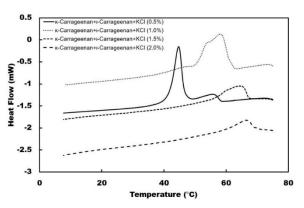
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(d)





3

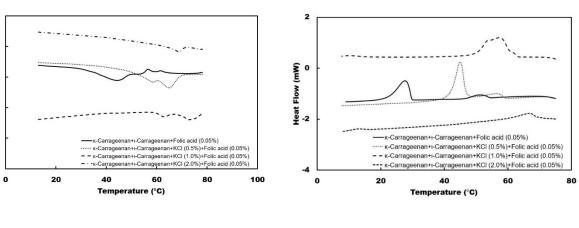
2

Heat Flow (mW) 0 L

-1

-2





(c)

(f)

Figure 3. DSC traces for solutions of \varkappa - and ι -carrageenan (1 wt. %, 1 wt. %), (a, c) in the presence of salt (b, d), in the presence of salt and folic acid (c, f) on heating (a, b, c) and cooling (d, e, f). Salt and folic acid concentrations are indicated in the figures. The scanning rate is 1.0 °C/min.

1

This semi-opaque quality of the gels also suggests some form of microheterogeneity, and examination with a light microscope reveals a granular structure on a distance scale of 1-100 μ m. Figure 4. displays the microscope image for the printed sample of \varkappa - and ι -carrageenan in the presence of KCl (2.0%)and folic acid (0.05%), which might highlight this folic acid and ι carrageenan binding. The other samples (printed or non-printed) were transparent (under the microscope), and we could not take any images.

8



Figure 4. Microscope image of *κ*- and ι-carrageenan (1 wt. %, 1 wt. %) in the presence of KCl
(2.0%) and folic acid (0.05%). The sample was printed in rectangular form (70 mm ×20 mm ×3
mm) over a glass slide. The image was taken using an optical microscope (DM 2500 LED, Leica®,
CH) with a charge-coupled device camera (DFC450 C, Leica®, CH)

1 Several pieces of research have shown that \varkappa - carrageenan / ι - carrageenan mixtures undergo 2 two-step gelation, meaning that two independent polysaccharide gel networks are formed[10]. 3 However, the gel stiffness of mixed gels was found to be much larger than the sum of the elastic 4 moduli of the individual gels[55]. This means that the two types of carrageenan do not form 5 independent homogeneously distributed networks with the same structure as in the individual 6 systems[55]. An overview of the literature shows that the formation of separate interpenetrated 7 networks in mixtures of \varkappa - carrageenan / ι - carrageenan is excluded[56]. It has been shown that 8 the different types of gel production and the different ionic concentrations determine the structural 9 dissimilarity[27]. This leads to the conclusion that the structures are highly dependent on gelation 10 history[27].

11 Figure 5. displays the image of printed cubes for each sample. It can be seen that the printability 12 (shape fidelity) of \varkappa - and ι -carrageenan depends only on the KCl concentration. \varkappa - and ι -13 carrageenan in the presence of KCl 2.0% (with or without folic acid (0.05%)) has an excellent 14 printability (shape fidelity). Nevertheless, the lower concentration of salt gives rise to lower 15 printability (shape fidelity). Even the printability (shape fidelity) of \varkappa - and ι -carrageenan in the 16 presence of folic acid (0.05%) and KCl (0.5%) is higher than that of KCl (1.0%). Routine rheology 17 measurements (Table S3 (Supporting Information)) show that both gels are printable (self-18 supporting)[57]. However, the gel with KCl (1.0%) has a complex shear modulus much higher 19 than with KCl (0.5%). Therefore, the material that is extruded from the syringe is a strong, already 20 robust gel, where the layers cannot be fused together. We think this high rigidity of the gel with 21 KCl (1.0%) could be related to the origin of some state of new physical associations and ionic 22 interactions in the gel matrix.

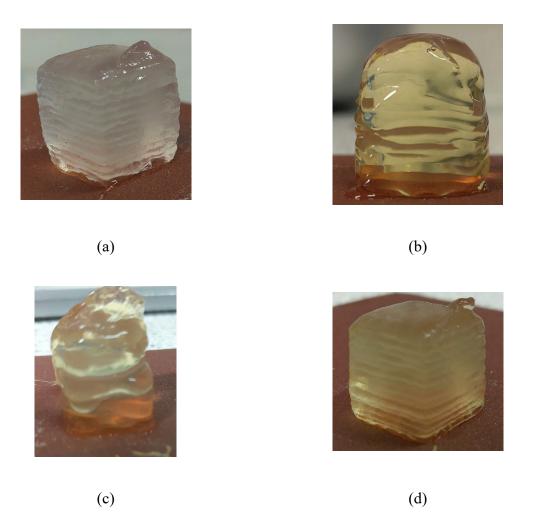


Figure 5. The printability of \varkappa - and t-carrageenan in the presence of (a) KCl 2.0% (b) Folic acid 0.05% and KCl 0.5% (c) Folic acid 0.05% and KCl 1.0% (d) Folic acid 0.05% and KCl 2.0%. The cube size is 15 mm ×15 mm ×15 mm.

Figure 6. gives plots of log ηⁿ (out of phase component of shear viscosity) versus log η^r (dynamic component of shear viscosity), also known as the Cole-Cole plot[58]. The Cole-Cole plot can be used for analyzing the miscibility of polymer blends; Miscible blends (similar to single-component viscoelastic systems) have only one semicircular arc, while two-phase blends exhibit two arcs. Those two-phase systems with liquid-like behaviors produce a smooth curved arc (with an additional arc in some cases), while those with solid-like behaviors produce an arc that deviates

from the semicircular shape[59]. Here, the Cole-Cole plot of all samples shows one arc. The 1 2 formulations with folic acid, KCl (1.0 %), and KCl (0.5 %) show a high viscosity tail that reflects 3 a long-term relaxation mechanism due to the dynamics of ionic aggregates that become more 4 significant by increasing the salt concentration (neutralization intensity)[23]. However, as the salt 5 concentration increases, the viscosity tail (long-term relaxation) decreases that may be due to the 6 aggregation of ions. The re-association of anions and cations is responsible for the existence of 7 salt aggregation in the polymer electrolytes. The aggregation of salt suppresses the number density 8 of free mobile ions, which leads to a decrease in viscosity[60].

9

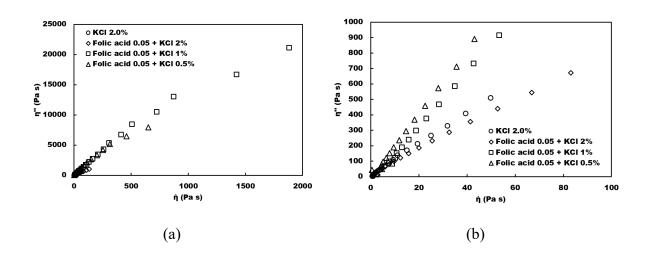


Figure 6. Cole-Cole plot for \varkappa - and ι -carrageenan in the presence of folic acid 0.05% and KCl (different concentrations) (a) full range (b) focus on the shorter range

10

Polymeric matrices of ι -, \varkappa - and λ -carrageenan and their blends (without salt), prepared by simple mixing or solvent evaporation technique, have been used for controlled release drug delivery. It has been reported that although ι -, \varkappa - and λ -carrageenan cannot form miscible blends, they can still be used in the development of a controlled drug release formulation due to their

ability to form hydrogen bonds with the drug[61]. The release behavior of folic acid from \varkappa -1 2 carrageenan / L-carrageenan mixture in the presence of salt under in vitro conditions in deionized 3 water at 37°C has been shown in Figure 7. Cumulative release of the folic acid follows a steady, 4 continued-release profile, without a burst release phenomenon, and the time for 50 % release is 30 5 min (0.5% KCl) and 60 min (2% KCl). The release behavior of folic acid from sodium alginate-6 pectin electrospun fibers under in vitro conditions has been reported[62]. In this report, 97% of 7 folic acid was released from the electrospun fibers in an aqueous solution (pH 7.8) within 1 h[62]. 8 Similarly, Madziva et al [41] reported about 90 % release of folic acid from alginate-pectin 9 microcapsules in phosphate buffer in 80-120 min. These findings provide practical information for 10 designing a carrageenan-based system from which folic acid can be released in response to 11 temperature. The release data were analyzed by using the generalized model [63] (Equation 1) where $\left(\frac{M_t}{M_{\infty}}\right)$ is the folic acid fractional release; 12

$$\frac{M_t}{M_{\infty}} = kt^n$$
 (Equation 1)

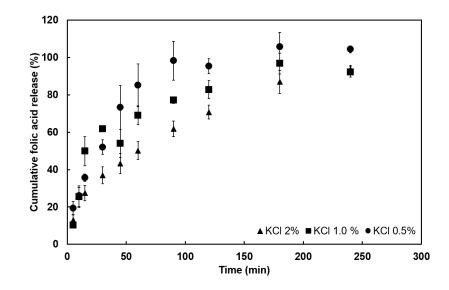
 M_t is the amount of molecule released up to any time t

- M_{∞} is the final release amount of molecule
- k is a structural/geometric constant for a particular system
- n is designated as the release exponent representing the release mechanism

Nanaki *et al* [61] have reported that carrageenan-based formulations consisting of ι/λ and \varkappa/λ blends (without salt) released the drug over a 5 h period while formulations consisting of \varkappa/ι mixtures released the drug over a 9 h period. However, the release seemed to follow 1st order kinetics.

1 Here, the release from both formulations fitted the generalized model well, with a correlation 2 coefficient R² of 0.9421(0.5%KCl) and 0.9818 (2% KCl). Values of n (0.4746 for 0.5% KCl and 3 0.4797 for 2% KCl) close to 0.5 indicate that diffusion of the folic acid through the carrageenan 4 matrix is rate-controlling [64]. It can also be seen that the release of folic acid is faster with 0.5%5 KCl than with 2% KCl. Factors that affect the release profile are the proportion of carrageenan in 6 the complex and the concentration of the cross-linker (here, salt). Our TD-NMR results suggest 7 that the size of the aggregate (as the basis of the gel) decreases as the salt concentration is increased. 8 Higher cross-linker (K^+) concentration could strengthen crosslinking, increase the density of the 9 polymer matrix, and decrease the inner space for drug diffusion [65]. As a result, the drug diffusion 10 coefficient decreases and slows the release rate [66]. The slower folic acid release in the presence 11 of a higher concentration of salt might also be the result of increased ionic interactions hindering 12 the release of molecules. Another factor that affects the release profile is the carrageenan-drug 13 interaction that increases the drug loading. We have already found that folic acid binds to \varkappa - and 14 ι -carrageenan. However, in all formulations, the amount of \varkappa - and ι -carrageenan is the same. The 15 highest loading of folic acid for these formulations was found to be in the order of KCl (2%) > 16 KCl(0.5%) > KCl(1%). Electrostatic interaction between carrageenan and folic acid may increase 17 drug solubility[65]. However, due to the meager amount of solids content in the gel system, 18 binding of the drug to the gel matrix is limited, but at the same time, the diffusion path of the drug 19 is less obstructed by the gel matrix [67].

20



1

Figure 7. The release behavior of folic acid from *κ*-carrageenan / ι-carrageenan mixture in the presence of salt under in vitro conditions in deionized water at 37°C. The reported drug release data are the average value for the three doughnuts (ID: 9mm, OD:30 mm, height: 4mm) samples, and the error bars show the standard deviation.

6 When a polyelectrolyte gel is placed within an electric field exhibiting a potential gradient, such 7 as by placing between two electrodes with an applied voltage, the hydrogel swells or contracts 8 depending on the charge of the hydrogel. This responsive behavior occurs through a combination 9 of Coulombic, electrophoretic, piezoelectric, electroosmotic, and electrostrictive interactions[68]. 10 Electrically controlled drug release has also been investigated for carrageenan gels. The release of 11 the folic acid with electric stimulation in deionized water is shown in Figure 8. We could not 12 obtain well-shaped 3D printed electrodes for x- and t-carrageenan in the presence of folic acid 13 (0.05 %) and KCl (1.0 %). When the electric field is applied to the gel, folic acid releases from the 14 gel at a constant rate, whereas the release slows down shortly after stopping the applied field. This 15 is because the potential creates a uniform electric field that causes the hydrogel to shrink. Shrinking 16 the gel and changing the network size pushes the drug molecules with the liquid phase at the same

time from the hydrogels[69]. A delay of around 5min is observed in the release upon applying the potential, which is due to conducting the experiment in deionized water. A short time is needed for KCl to be released in the medium, make it conductive, and later folic acid is released. That is why the rate of the release is higher when KCl (2.0 %) than when KCl (0.5 %). However, when considering the electrical response of gels, the electrochemistry of the system must be taken into account. The catalytic activity of the electrodes or other electrolytic processes will be superimposed on the electrical response of most gels[70].

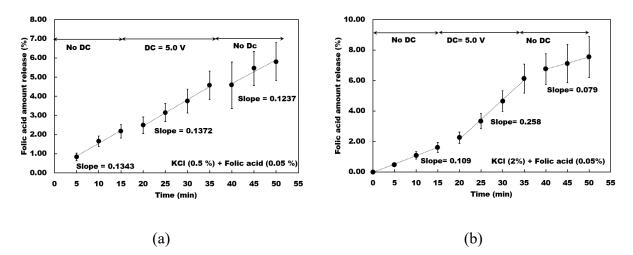


Figure 8. The release of the folic acid from \varkappa -carrageenan / ι -carrageenan mixture in the presence of (a) KCl (0.5 %), (b) KCl (2.0 %) with electric stimulation (DC: 5.0 V) in deionized water at ambient temperature. The release slows down shortly after stopping the applied field. The delay of around 5min is due to conducting the experiment in deionized water. The reported drug release data are the average value for the three samples, and the error bars show the standard deviation.

9 The *κ*- and *ι*-carrageenan in the presence of folic acid (0.05 %) and KCl (2 %) might also be pH10 responsive. It is even bending while in the electric field (Figure S4 (Supporting Information)). This

bending response, which is the result of osmotic pressure difference around the gel [70], places
this formulation under the category of biodegradable soft (edible) actuators, which have potential
applications as less invasive electronic capsules in monitoring the internal organs[71]. These are a
promising area for future research.

5 **Conclusion:** Designing multi-responsive formulations is highly desirable for many food 6 engineering and health applications. However, the available materials are rare. In this paper, we 7 used a formulation based on \varkappa - and ι -carrageenan and potassium chloride to obtain different 8 properties, among them printability, thermal release, and electrical stimuli drug release. All 9 formulations presented here are systems with high mobility and multiphase structures. 10 Microheterogeneity and microphase separation were also observed. The drug release profiles 11 (thermal and electrical) can be controlled by modifying the formulation parameters of the gel and 12 therefore increasing or decreasing the density of the aggregate. Moreover, when the electric field 13 is applied to the gel, folic acid releases from the gel at a constant rate, whereas the release slows 14 shortly after stopping the applied field. Although in principle, the proposed formulation does not 15 rely on 3D printing, the food and drug industry needs new feedstocks [72], and this formulation is 16 a new addition that could offer exciting applications for the future. Considering the widespread 17 use of carrageenan in dairy products and pulsed electric fields (PEFs) for pasteurization and 18 possibly sterilization [43], the developed carrageenan-based gel can be used as an alternative 19 medium for enriching dairies by folic acid.

20

21 Associated content

22 Supporting Information

23 The Supporting Information is available free of charge at

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