

# Combination romidepsin and azacitidine therapy is well tolerated and clinically active in adults with high-risk acute myeloid leukaemia ineligible for intensive chemotherapy

Loke, Justin; Metzner, Marlen; Boucher, Rebecca; Jackson, Aimee; Hopkins, Louise; Pavlu, Jiri; Tholouli, Eleni; Drummond, Mark; Peniket, Andy; Bishop, Rebecca; Fox, Sonia; Vyas, Paresh; Craddock, Charles

DOI:

[10.1111/bjh.17823](https://doi.org/10.1111/bjh.17823)

License:

Creative Commons: Attribution (CC BY)

*Document Version*

Publisher's PDF, also known as Version of record

*Citation for published version (Harvard):*

Loke, J, Metzner, M, Boucher, R, Jackson, A, Hopkins, L, Pavlu, J, Tholouli, E, Drummond, M, Peniket, A, Bishop, R, Fox, S, Vyas, P & Craddock, C 2021, 'Combination romidepsin and azacitidine therapy is well tolerated and clinically active in adults with high-risk acute myeloid leukaemia ineligible for intensive chemotherapy', *British Journal of Haematology*. <https://doi.org/10.1111/bjh.17823>

[Link to publication on Research at Birmingham portal](#)

## General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.



## Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact [UBIRA@lists.bham.ac.uk](mailto:UBIRA@lists.bham.ac.uk) providing details and we will remove access to the work immediately and investigate.

Download date: 14. May. 2024

# Combination romidepsin and azacitidine therapy is well tolerated and clinically active in adults with high-risk acute myeloid leukaemia ineligible for intensive chemotherapy

Justin Loke,<sup>1,2</sup>  Marlen Metzner,<sup>3,4</sup>  
Rebecca Boucher,<sup>2</sup> Aimee Jackson,<sup>2</sup>  
Louise Hopkins,<sup>2</sup> Jiri Pavlu,<sup>5</sup>  
Eleni Tholouli,<sup>6</sup> Mark Drummond,<sup>7,8</sup>  
Andy Peniket,<sup>4,9</sup> Rebecca Bishop,<sup>2</sup>  
Sonia Fox,<sup>2</sup> Paresh Vyas<sup>3,4,9</sup> and  
Charles Craddock<sup>1,2</sup> 

<sup>1</sup>Centre for Clinical Haematology, University Hospital Birmingham, <sup>2</sup>Cancer Research UK Clinical Trials Unit, University of Birmingham, Birmingham, <sup>3</sup>MRC Molecular Haematology Unit, University of Oxford, <sup>4</sup>NIHR Oxford Biomedical Research Centre, Oxford, <sup>5</sup>Centre for Haematology, Imperial College London at Hammersmith Hospital, London, <sup>6</sup>Department of Clinical Haematology, Central Manchester University Hospitals NHS Foundation Trust, Manchester, <sup>7</sup>Beatson West of Scotland Cancer Centre, <sup>8</sup>University of Glasgow, Glasgow, and <sup>9</sup>Oxford University Hospitals Foundation NHS Trust, Oxford, UK

Received 28 June 2021; accepted for publication 24 August 2021

Correspondence: Charles Craddock, Centre for Clinical Haematology, Queen Elizabeth Hospital, Mindelsohn Way, Birmingham B15 2GW, UK.

E-mail: charles.craddock@uhb.nhs.uk

## Introduction

Treatment options for patients with acute myeloid leukaemia (AML) who are ineligible for intensive chemotherapy are limited and, as a consequence, patient outcomes remain poor.<sup>1</sup> A significant advance has been the use of epigenetic therapies in patients with AML and high risk myelodysplastic syndromes (MDS), and azacitidine remains the backbone of regimens involving novel agents, including venetoclax.<sup>2,3</sup> However, in patients with relapsed/refractory AML, the

## Summary

Azacitidine (AZA) is important in the management of patients with acute myeloid leukaemia (AML) who are ineligible for intensive chemotherapy. Romidepsin (ROM) is a histone deacetylase inhibitor which synergises with AZA *in vitro*. The ROMA trial established the maximum tolerated dose (MTD) of combined ROM/AZA therapy in patients with AML, as ROM 12 mg/m<sup>2</sup> on Days 8 and 15, with AZA 75 mg/m<sup>2</sup> administered for 7/28 day cycle. Nine of the 38 (23.7%) patients treated at the MTD were classified as responders by Cycle 6 (best response: complete remission [CR]/incomplete CR *n* = 7, partial response *n* = 2). Correlative next-generation sequencing studies demonstrated important insights into therapy resistance.

**Keywords:** acute myeloid leukaemia, relapsed, refractory, early phase, clinical trial, hypomethylating agent.

activity of azacitidine monotherapy is reduced<sup>4</sup> and strategies which increase the activity of azacitidine are required. *In vitro* studies demonstrated evidence of synergistic anti-leukaemic activity between azacitidine and histone deacetylase inhibitors (HDACi).<sup>5</sup>

Emerging clinical data suggest that azacitidine used with the HDACi, romidepsin, may have significant anti-tumour activity and synergy.<sup>6</sup> Romidepsin belongs to the cyclic peptide family<sup>7</sup> and inhibits different pathways to previously investigated HDACi.<sup>8</sup> Romidepsin has been shown to be

clinically potent and well-tolerated as monotherapy in patients with peripheral T-cell lymphoma,<sup>9</sup> but has not been investigated in AML. Therefore, in this phase I/II ROMAZA trial, we examined the safety and efficacy of romidepsin and azacitidine in patients with newly diagnosed, relapsed or refractory AML who are ineligible for conventional chemotherapy.

## Methods

### *Study conduct*

Review boards of participating institutions approved the study protocol, which was conducted according to the Declaration of Helsinki and Good Clinical Practice Guidelines of the International Conference on Harmonization (EudraACT No: 2011-005023-40 and ISCRTN:69211255).

### *Patients and investigations*

Patients with newly diagnosed, relapsed or refractory AML as defined by the World Health Organisation classification and deemed ineligible for intensive chemotherapy on the grounds of age or co-morbidities, were eligible for trial inclusion. Patients with prior treatment with demethylating agents were ineligible.

*Treatment.* The maximum tolerated dose of romidepsin in combination with azacitidine was determined using an escalating/de-escalating 3 + 3 cohort design. Patients were recruited in planned cohort sizes of three up to a sample size of 18, with an additional 35 patients recruited to an expansion cohort. (Figure S1, Data S1). Patients initially received up to six cycles of combination therapy and continued if benefiting clinically.

*Adverse event reporting.* Tolerability and safety were assessed according to the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) version 4.0. A dose-limiting toxicity (DLT) was defined as a clinically significant grade 3 or 4 non-haematological toxicity, excluding nausea (manageable with anti-emetics) and fatigue (persisting for more than seven days), which occurred within the DLT monitoring period and was related to the trial medication.

*Endpoints.* The primary endpoint for the escalation cohort was to determine the maximum tolerated dose (MTD) of the romidepsin/azacitidine combination (Figure S3). The objective for the expansion cohort was to provide preliminary efficacy and safety data of the combination at the MTD with response defined as acquisition of complete remission (CR), incomplete CR (CRi) or partial response (PR) as the primary endpoint. This was assessed at the end of three and six cycles of treatment according to modified Cheson criteria.<sup>10</sup> Secondary endpoints were tolerability and safety of the combination

and overall survival for patients treated at the MTD. Further details of statistical and mutational analysis using next-generation sequencing (NGS) are available in Data S1.

## Results

### *Baseline characteristics*

Forty-eight patients were recruited from October 2013 to October 2017 (Figure S1). Thirteen patients were recruited to the dose-finding cohorts, which included four replacements for those who did not complete the DLT monitoring period. A subsequent 35 patients were recruited to the expansion cohort of patients treated at the MTD (cohort 2) (Figure S2). Baseline patient characteristics are listed in Table I, 36/48 (75%) had relapsed/refractory disease.

### *Treatment administration*

Patients received a median of 2.5 cycles of treatment across the whole trial. Twenty out of 38 patients treated at the MTD discontinued their treatment before the third cycle of treatment and were not assessed for their response. Only 4/20 discontinued treatment due to treatment toxicity.

### *MTD assessment*

In the absence of DLTs in the first cohort (10 mg/m<sup>2</sup> romidepsin on Day 8 and 15, and 75 mg/m<sup>2</sup> azacitidine on Day 1–9 (in a 5-2-2 combination)) and second cohort (12 mg/m<sup>2</sup> romidepsin on Day 8 and 15, and 75 mg/m<sup>2</sup> azacitidine on Day 1–9) (Figure S1), a third cohort of four patients was opened at 12 mg/m<sup>2</sup> romidepsin on Day 8, 15 and 22 and 75 mg/m<sup>2</sup> azacitidine on Day 1–9. A total of four patients were recruited as one patient was unevaluable. All patients experienced at least one serious adverse event (SAE). Whilst there were no reported DLTs at this dose level, a proportion of patients suffered from nausea, vomiting and associated weight loss. As a result, the dose was de-escalated to that of Cohort 2 (12 mg/m<sup>2</sup> romidepsin on Day 8 and 15, and 75 mg/m<sup>2</sup> azacitidine on Day 1–9 (in a 5-2-2 combination)) which was used as the MTD.

### *Safety and tolerability*

The combination therapy was well tolerated: five non-haematological treatment-related adverse events of Grade 3 or higher affecting at least 10% of all trial patients were reported in the study across the 38 patients treated at the MTD (Tables SI and SII).

### *Response and survival*

Using an intention-to-treat (ITT) approach, nine of the 38 (23.7%) patients treated at the MTD were classified as

Table I. Patient characteristics across the whole trial.

Characteristic	Cohort 1 <i>n</i> = 6	Cohort 2 <i>n</i> = 38	Cohort 3 <i>n</i> = 4	Overall <i>n</i> = 48
Disease, <i>n</i> (%)				
Primary	5 (83)	19 (50)	1 (25)	25 (52)
Secondary	1 (17)	19 (50)	3 (75)	23 (48)
Age (years), median (range)	54 (18–67)	69 (31–84)	52 (46–63)	68 (18–84)
Sex, <i>n</i> (%)				
Male	3 (50)	22 (58)	2 (50)	27 (56)
Female	3 (50)	16 (42)	2 (50)	21 (44)
Cytogenetics, <i>n</i> (%)				
Favourable risk	0 (0)	1 (2)	0 (0)	1 (2)
Intermediate risk	2 (33)	22 (58)	0 (0)	24 (50)
Poor risk	3 (50)	12 (32)	4 (100)	19 (40)
Not known/failed	1 (17)	3 (8)	0 (0)	4 (8)
Disease status, <i>n</i> (%)				
Untreated	0 (0)	11 (29)	1 (25)	12 (25)
Relapse	6 (100)	22 (58)	3 (75)	31 (65)
Primary refractory disease	0 (0)	5 (13)	0 (0)	5 (10)
<i>FLT3-ITD</i> , <i>n</i> (%)				
Present	1 (17)	6 (16)	1 (25)	8 (17)
Absent	2 (33)	27 (71)	2 (50)	31 (64)
Unknown	3 (50)	5 (13)	1 (25)	9 (19)
<i>NPM1</i> mutation, <i>n</i> (%)				
Present	0 (0)	4 (11)	0 (0)	4 (8)
Absent	3 (50)	29 (76)	3 (75)	35 (73)
Unknown	3 (50)	5 (13)	1 (25)	9 (19)
ECOG status, <i>n</i> (%)				
0	6 (100)	22 (58)	3 (75)	31 (65)
1	0 (0)	12 (32)	0 (0)	12 (25)
2	0 (0)	4 (10)	1 (25)	5 (10)
Prior allogeneic stem cell transplant, <i>n</i> (%)	4 (67)	10 (26)	3 (75)	17 (35)

ECOG, Eastern Cooperative Oncology Group.

responders by Cycle 6 (best response: CR/CRi *n* = 7, PR *n* = 2) (Figure S4) (a pre-specified success criteria by A'herns design required 10/38 responders). Of the 11 patients who were previously untreated, four (36.4%) had a response. In the 27 patients with relapsed/refractory disease there were five responses (18.5%). Of the 29 non-responders, 20 discontinued treatment prior to end of Cycle 3 and consequently did not undergo response assessment.

At the date of data cut-off (21st May 2020), one patient in Cohort 2 remained on treatment and there were 45/48 deaths. The median overall survival of the 38 patients treated at the MTD was 6.21 months (95% confidence interval [CI]: 3.71, 8.87), whilst the median overall survival for the 9/38 patients who responded from Cohort 2 was 15.3 months (95% CI: 4.3, 29.1).

#### *Mutational analysis by NGS at baseline and sequentially post-treatment*

We obtained diagnostic mutational status across 97 genes in 35 patients using NGS. The median number of variants per patient was six (Figure S5). The most common mutations

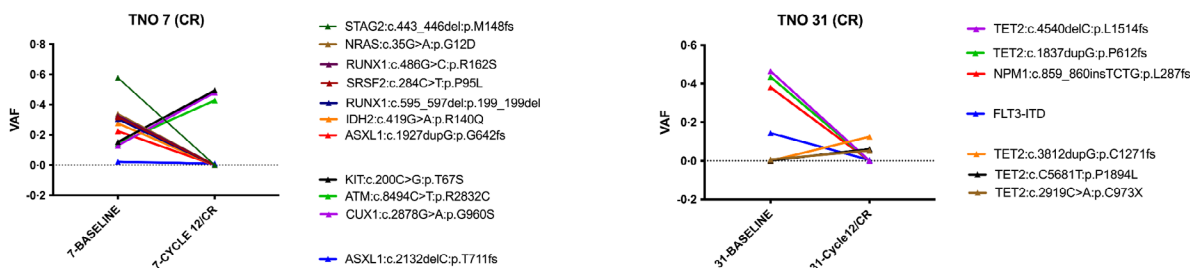
were in *RUNX1* and *FLT3*, reflecting predominance of adverse risk genetic mutations,<sup>11,12</sup> consistent with our population disease history.

#### *Serial clonal structures in responding and non-responding patients*

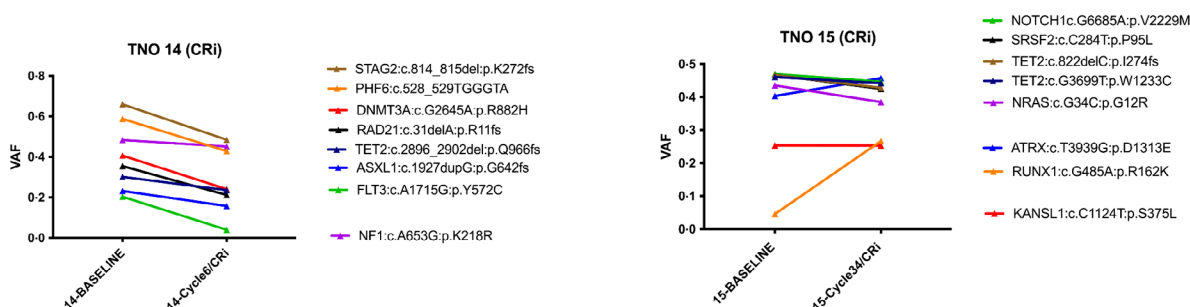
We next examined the pattern of clonal mutational architecture at different sequential time points in response to azacitidine and romidepsin, and related this to their clinical responses. In two patients achieving a CR (Fig 1A), the dominant clone present at commencement of therapy was suppressed, suggesting clonal selection in responding patients. For example, in TNO 7 mutations, pre-treatment include *STAG2*, *NRAS*, *RUNX1* and *SRSF2*. However, NGS analysis of the sample after Cycle 12, at a time when the patient remained in CR, a subdominant clone – present at diagnosis – consisting of *ATM/KIT/CUX1* mutations becomes the dominant clone.

In contrast, recurrent myeloid mutations tended to persist, at similar VAFs, in patients who achieved a CRi (*n* = 3,

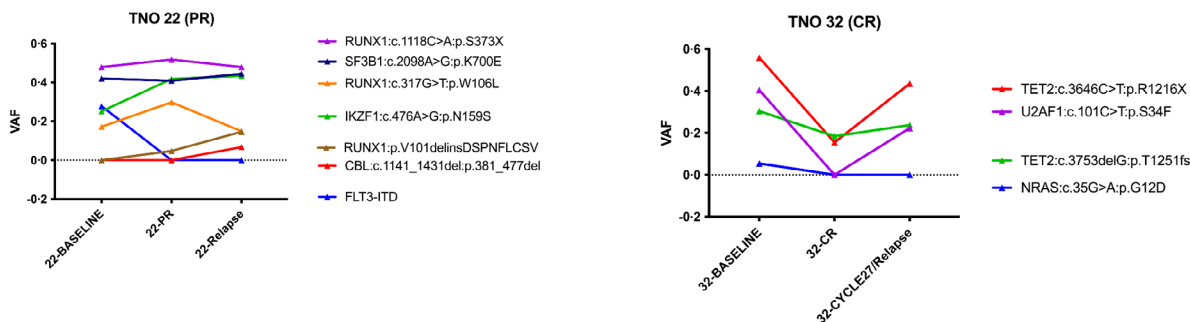
**(A)**  
Mutation analysis at baseline and at CR



**(B)**  
Mutation analysis at baseline and at CRi



**(C)**  
Mutation analysis at baseline, response and at relapse



**(D)**  
Mutation analysis at baseline, and at resistant disease

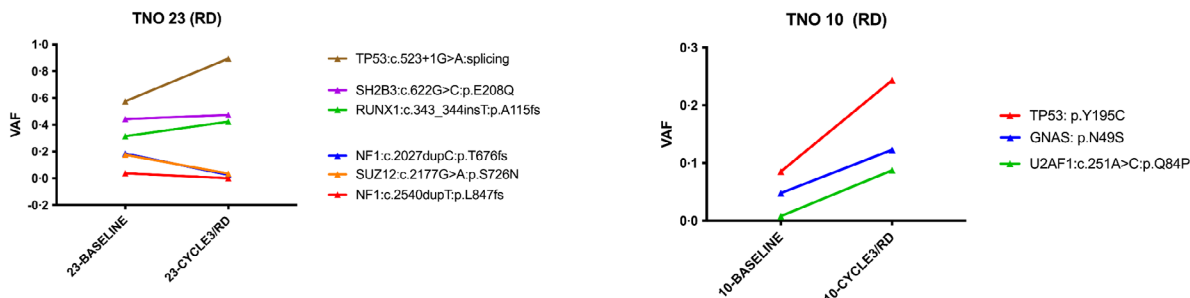


Fig 1. Mutational analysis of patients at baseline and in sequential samples post-azacitidine and -romidepsin treatment. Mutational analysis at baseline and sequential treatment samples for patients with (A) complete remission (CR); (B) with an incomplete CR (CRi), and (C) patients who achieve a response but subsequently relapse, and (D) patients with resistant disease. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

Fig 1B; Figure S6B). This could be consistent with the persistence of pre-leukaemic or leukaemic clones. If these clones are leukaemic then it would suggest that the mechanism of action of romidepsin and azacitidine is to promote differentiation.

In patients who responded and subsequently have a documented relapse (Fig 1C), complex patterns of clonal evolution were seen. In TNO22, a *FLT3*-ITD clone decreased in size when the patient was in PR and remained low at relapse. In contrast, cells with *RUNX1/CBL* mutations were selected for at PR and the VAF of these mutations increased at relapse – consistent with resistance to azacitidine and romidepsin. In contrast, in patient TNO32 the VAF of mutations in *TET2*, *U2AF1* and *NRAS* were reduced at CR, only to increase at relapse. This is consistent with leukaemic cells showing initial therapy sensitivity followed by therapy resistance, possibly through epigenetic mechanisms. Finally, we observed that in patients with resistant disease ( $n = 5$ , Fig 1D; Figure S6A) mutant clones persist or expand.

## Discussion

Responses in the relapsed/refractory setting are challenging to obtain and this study exemplifies the difficulty in treating this cohort of less fit patients with rapid kinetic disease.<sup>13</sup> Of particular note, 17 (35%) had previously received an allogeneic stem cell transplant.

The tolerability of the ROM/AZA regimen is notable because previous experiences of HDACi were associated with increased toxicity. Romidepsin belongs to a different class of HDACi, as previously investigated in AML,<sup>7,14</sup> and we demonstrate that this combination can be safely delivered in the outpatient setting.

In order to obtain insights into the mechanism of this novel combination we monitored the clonal architecture of the disease through the serial NGS detection of mutations. The prevalence of *TP53* mutations appear to reflect the heavily pre-treated nature of this cohort of patients, and in patients who subsequently have progressive disease, these mutant clones expand, in keeping with previous results of patients treated with decitabine/panobinostat.<sup>15</sup>

In summary, this study established a MTD for combined ROM/AZA therapy that is safe and clinically active within adults with relapsed AML. Further studies will be required to compare the clinical activity of ROM/AZA directly to a comparator treatment arm.

## Acknowledgements

We thank the patients who participated in this trial and their families. This study was funded by Bloodwise and Celgene. We would like to acknowledge the study staff at the sites. P.V. is supported by the Bloodwise Specialist Programme Grant 13001 and by the NIHR Oxford BRC Fund, programme grant from the MRC Molecular Haematology Unit

(MC\_UU\_12009/11). The Centre for Haematology at Imperial College London receive funding from the NIHR BRC.

## Author contributions

JL, MM, RB, AJ, PV and CC analysed the data. LH, RB, AJ and SF were involved in the management of the trial. ET, JL, AP, MD, PV and CC recruited patients to the study. CC designed the trial. All authors had access to the primary data and contributed to manuscript development. All authors assumed responsibility for executing the study according to the protocol and statistical analysis plan, completeness and integrity of the data, and the decision to submit the manuscript.

## Disclosures

PV is on the advisory boards for BMS, Daiichi Sankyo, Astellas, AbbVie, Pfizer, Jazz; speaker bureau for AbbVie, Novartis, Jazz, Daiichi Sankyo, BMS, the Takeda scientific advisory board for Auron, Oxford Biomedica and receives research support from CD47 Inc, BMS, Novartis, GSK. CC has received honoraria from Celgene, Daichi-Sankyo, Novartis and Pfizer as well as research funding from Celgene. JL has received travel funding from Novartis and Daichi-Sankyo, and honoraria from Pfizer, Janssen and Amgen. JP has received travel funding and honoraria from Daichi-Sankyo and Jazz.

## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Data S1.** Supplementary Methods.

**Table SI.** Treatment-related adverse events.

**Table SII.** Treatment-related serious adverse events.

**Fig S1.** Flow diagram summarising trial.

**Fig S2.** Overview of patients treated at the maximum tolerated dose.

**Fig S3.** Schema to determine MTD.

**Fig S4.** Swimmer plot of response for cohort treated at MTD.

**Fig S5.** Mutation profile at baseline for 35 patients.

**Fig S6.** Mutational analysis at baseline and samples subsequent to treatment.

**Table SIII.** Sequencing coverage per gene.

**Table SIV.** Sequencing coverage of each patient sample.

## References

1. Burnett AK, Milligan D, Prentice AG, Goldstone AH, McMullin MF, Hills RK, et al. A comparison of low-dose cytarabine and hydroxyurea with or without all-trans retinoic acid for acute myeloid leukemia and high-risk myelodysplastic syndrome in patients not considered fit for intensive treatment. *Cancer*. 2007;**109**(6):1114–24.

## Short Report

- Dombret H, Seymour JF, Butrym A, Wierzbowska A, Selleslag D, Jang JH, et al. International phase 3 study of azacitidine vs conventional care regimens in older patients with newly diagnosed AML with >30% blasts. *Blood*. 2015;**126**(3):291–9.
- DiNardo CD, Jonas BA, Pullarkat V, Thirman MJ, Garcia JS, Wei AH, et al. Azacitidine and venetoclax in previously untreated acute myeloid leukemia. *N Engl J Med*. 2020;**383**(7):617–29.
- Craddock C, Labopin M, Robin M, Finke J, Chevallier P, Yakoub-Agha I, et al. Clinical activity of azacitidine in patients who relapse after allogeneic stem cell transplantation for acute myeloid leukemia. *Haematologica*. 2016;**101**(7):879–83.
- Shaker S, Bernstein M, Momparler LF, Momparler RL. Preclinical evaluation of antineoplastic activity of inhibitors of DNA methylation (5-aza-2'-deoxycytidine) and histone deacetylation (trichostatin A, depsipeptide) in combination against myeloid leukemic cells. *Leuk Res*. 2003;**27**(5):437–44.
- O'Connor OA, Falchi L, Lue JK, Marchi E, Kinahan C, Sawas A, et al. Oral 5-azacytidine and romidepsin exhibit marked activity in patients with PTCL: a multicenter phase 1 study. *Blood*. 2019;**134**(17):1395–405.
- West AC, Johnstone RW. New and emerging HDAC inhibitors for cancer treatment. *J Clin Invest*. 2014;**124**(1):30–9.
- Newbold A, Lindemann RK, Cluse LA, Whitecross KF, Dear AE, Johnstone RW. Characterisation of the novel apoptotic and therapeutic activities of the histone deacetylase inhibitor romidepsin. *Mol Cancer Ther*. 2008;**7**(5):1066–79.
- Coiffier B, Pro B, Prince HM, Foss F, Sokol L, Greenwood M, et al. Results from a pivotal, open-label, phase II study of romidepsin in relapsed or refractory peripheral T-cell lymphoma after prior systemic therapy. *J Clin Oncol*. 2012;**30**(6):631–6.
- Cheson BD, Bennett JM, Kopecky KJ, Büchner T, Willman CL, Estey EH, et al. Revised recommendations of the International Working Group for diagnosis, standardization of response criteria, treatment outcomes, and reporting standards for therapeutic trials in acute myeloid leukemia. *J Clin Oncol*. 2003;**21**(24):4642–9.
- Mendler JH, Maharry K, Radmacher MD, Mrozek K, Becker H, Metzler KH, et al. RUNX1 mutations are associated with poor outcome in younger and older patients with cytogenetically normal acute myeloid leukemia and with distinct gene and microRNA expression signatures. *J Clin Oncol*. 2012;**30**(25):3109–18.
- Kottaridis PD, Gale RE, Frew ME, Harrison G, Langabeer SE, Belton AA, et al. The presence of a FLT3 internal tandem duplication in patients with acute myeloid leukemia (AML) adds important prognostic information to cytogenetic risk group and response to the first cycle of chemotherapy: analysis of 854 patients from the United Kingdom Medical Research Council AML 10 and 12 trials. *Blood*. 2001;**98**(6):1752–9.
- DiNardo CD, Rausch CR, Benton C, Kadia T, Jain N, Pemmaraju N, et al. Clinical experience with the BCL2-inhibitor venetoclax in combination therapy for relapsed and refractory acute myeloid leukemia and related myeloid malignancies. *Am J Hematol*. 2018;**93**(3):401–7.
- Craddock CF, Houlton AE, Quek LS, Ferguson P, Gbandi E, Roberts C, et al. Outcome of azacitidine therapy in acute myeloid leukemia is not improved by concurrent vorinostat therapy but is predicted by a diagnostic molecular signature. *Clin Cancer Res*. 2017;**23**(21):6430–40.
- Uy GL, Duncavage EJ, Chang GS, Jacoby MA, Miller CA, Shao J, et al. Dynamic changes in the clonal structure of MDS and AML in response to epigenetic therapy. *Leukemia*. 2017;**31**(4):872–81.