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Deficit in knee extension strength following anterior cruciate ligament reconstruction is explained by a reduced neural drive to the vasti muscles

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1 KEY POINTS

2

3 Impaired expression and control of knee extension forces are common after anterior cruciate ligament reconstruction and are related to a high risk of a second injury. 4 To provide novel insights into the neural basis of this impairment, we investigated 5 • the discharge patterns of motor units in the vastus lateralis and vastus medialis 6 7 during voluntary force contractions. We found a lower knee extensor's strength of the reconstructed side with respect to 8 9 the contralateral side, which was explained by deficits in motor unit discharge rate 10 and an altered motoneuronal input-output gain. Insufficient excitatory inputs to motoneurons and increased inhibitory afferent signals potentially contributed to 11 12 these alterations. 13 These results further our understanding of the neural underpinnings of quadriceps • weakness following anterior cruciate ligament reconstruction and can help to 14 develop effective rehabilitation protocols to regain muscle strength and reduce the 15 risk of a second injury. 16

17

18 ABSTRACT

19 The persistence of quadriceps weakness represents a major concern following anterior

20 cruciate ligament reconstruction (ACLR). The underlying adaptations occurring in the

21 activity of spinal motoneurons are still unexplored. This study examined the discharge

22 patterns of large populations of motor units (MUs) in the vastus lateralis (VL) and

23 vastus medialis (VM) muscles following ACLR.

24 Nine ACLR individuals and ten controls performed unilateral trapezoidal contractions

of the knee extensor muscles at 35%, 50% and 70% of the maximal voluntary isometric

26 force (MVIF). High-density surface electromyography (HDsEMG) was used to record

the myoelectrical activity of the *vasti* muscles in both limbs. HDsEMG signals were

28 decomposed with a convolutive blind source separation method and MU properties

29 were extracted and compared between sides and groups.

- 30 The ACLR group showed a lower MVIF on the reconstructed side compared to the
- contralateral side (28.1%; P<0.001). This force deficit was accompanied by reduced
- 32 MU discharge rates ($\sim 21\%$; P < 0.05), lower absolute MU recruitment and derecruitment
- thresholds ($\sim 22\%$ and $\sim 22.5\%$, respectively; P < 0.05) and lower input-output gain of
- motoneurons (27.3%; *P*=0.009). Deficits in MU discharge rates of the VL and in
- absolute recruitment and derecruitment thresholds of both vasti MUs were associated
- 36 with deficits in MVIF (P<0.05). A strong between-side correlation was found for MU
- discharge rates of the VL of ACLR individuals (P<0.01). There were no significant
- 38 between-group differences (P>0.05).
- 39 These results indicate that mid-to-long term strength deficits following ACLR may be
- 40 attributable to a reduced neural drive to *vasti* muscles, with potential changes in
- 41 excitatory and inhibitory synaptic inputs.
- 42
- Keywords: ACL reconstruction; neural impairment; discharge rate; high-density
 electromyography; quadriceps weakness; motor unit

45 INTRODUCTION

Anterior cruciate ligament reconstruction (ACLR) is the most widely adopted 46 procedure to allow ACL injured athletes to resume their pre-injury level of sport 47 participation. Nevertheless, as a direct consequence of ACLR, the majority of patients 48 develop a substantial neuromuscular impairment of the quadriceps, known as 49 arthrogenic muscle inhibition (AMI) (Rice & McNair, 2010). AMI is markedly high 50 during the first months after surgery and tends to progressively decrease across the 51 rehabilitation process (Palmieri-Smith et al., 2008). However, insufficient quadriceps 52 strength and activation may also persist even years after ACLR (Ingersoll et al., 2008). 53 For instance, one study reported that 80% of fully rehabilitated ACLR elite soccer 54 players failed to achieve satisfactory quadriceps strength symmetry (limb symmetry 55 index \geq 90%) upon return to sport (~8 months after surgery) (Herrington *et al.*, 2018). 56 Persistently weak knee extensors may lead to long-term functional impairments 57 (Palmieri-Smith & Lepley, 2015), early development of knee osteoarthritis (Palmieri-58 Smith et al., 2009) and increased risk of second ACL injuries, particularly within the 59 first months after return to sport (Grindem et al., 2016; Kyritsis et al., 2016). 60

An impaired spinal reflex excitability has been documented acutely after ACLR 61 62 (Lepley et al., 2015) and therefore linked to AMI and to its causal factors, such as pain, swelling and mechanoreceptor disruption (Rice & McNair, 2010). By contrast, mid-to-63 64 long term deficits in quadriceps strength and function have been related to an impaired excitability of cortical descending pathways (Kuenze et al., 2015; Palmieri-Smith & 65 Lepley, 2015). Furthermore, indirect evidence of neuroplasticity has been recently 66 provided by Grooms and colleagues (2017) who reported greater activation of brain 67 areas responsible for motor planning and attention in ACLR patients than controls. 68 Although these previous studies have provided some insights into the spinal and 69 supraspinal mechanisms underlying the persistence of quadriceps weakness (PQW), 70 how these alterations affect the motor output delivered by spinal motoneurons to the 71 muscle is still largely unknown, as potential changes in motor unit activity have been 72 only hypothesised (Konishi et al., 2002; Bryant et al., 2008) or indirectly inferred 73 (Nuccio et al., 2020). 74

The recent development of decomposition techniques for the identification of 75 76 motor unit discharge timings from high-density surface electromyography (HDsEMG) (Del Vecchio et al., 2020), has allowed to accurately study the adaptations of large 77 populations of motor units (MUs) in response to different stimuli, such as training (Del 78 Vecchio et al., 2019; Casolo et al., 2020) and pathological conditions (Castronovo et 79 al., 2017). This study was designed to examine the discharge patterns of vasti MUs 80 81 following ACLR. Due to the well-established ACLR-related impairment in the voluntary activation of the knee extensor muscles (Hart et al., 2010; Kuenze et al., 82 2015; Lepley *et al.*, 2015), we hypothesised that the reconstructed side would show a 83 lower force and substantial alterations in MU activity compared to both the contralateral 84 85 side and a control group.

86 METHODS

87 Participants

Nineteen male soccer players volunteered to participate in this cross-sectional study 88 89 (Table 1). Ten out of nineteen underwent ACLR within the previous twelve months. Enrolled ACLR soccer players were operated by the same orthopaedic surgeon (PP.M.) 90 91 within thirty days from their ACL injury, using either an ipsilateral bone-patellar 92 tendon-bone (BPTB) or a semitendinosus-gracilis tendon (STGR) autograft and followed the same standardized rehabilitation program (Labanca et al., 2018; Rocchi et 93 al., 2018). Nine healthy soccer players, who were matched by physical activity level 94 (Tegner & Lysholm, 1985), were included as controls. Previous history of knee or 95 quadriceps injury, anterior knee pain during open kinetic chain exercises and a Tegner 96 score < 7 were adopted as exclusion criteria. Informed written consent was signed by 97 all participants and the experimental protocols and procedures were approved by the 98 Internal Review Board of the University of Rome "Foro Italico" and conformed to the 99 100 standards set by the Declaration of Helsinki.

Table 1. Participant demographics

	Group		
Characteristics	ACLR (n=10)	CONTROL (n=9)	P value
Age (y)	24.8 ± 3.2	25.7 ± 2.5	.52
BMI (kg⋅m⁻²)	23.3 ± 0.6	22.9 ± 0.5	.14
Tegnere Activity Level score (range: 1-10)	7.6 ± 1.0	7.9 ± 1.1	.56
sCKRS score (range: 0-100)	92.6 ± 2.7	100 ± 0	< 0.001 *
Graft	BPTB (n=8/10) STGR (n=2/10)	NA	NA
Concomitant Injuries	No other injuries (n=8/10) Meniscus medialis (n=2/10)	NA	NA
Time after ACL surgery (davs)	244.4 ± 84.5	NA	NA

BMI = Body Mass Index; sCKRS= Modified Subjective Cinccinati Knee Rating Scale; BPTB=Bone-Patellar Tendon-Bone graft; * significantly different

102 Overview

101

Participants visited the laboratory on two different occasions. During the first visit they 103 104 familiarized with the experimental procedures by performing a series of maximal and submaximal isometric voluntary knee extensions. Subjective scores of knee function 105 were collected during this session, using the modified version of the Cincinnati Knee 106 107 Rating Scale questionnaire (CKRS) (Shelbourne & Nitz, 1992). In the second visit, 108 which was held twenty-four hours after the familiarization session, participants underwent the main experimental session, which involved the simultaneous recordings 109 110 of voluntary isometric knee extensor force (during maximal and submaximal contractions) and HDsEMG signals from both vastus lateralis (VL) and vastus medialis 111 (VM) muscles. 112

113 Experimental Protocol

114 After a standardized warm-up (Nuccio et al., 2020), participants performed 3-4 trials (~

lasting 3-5 s each) during which they were verbally encouraged to reach their unilateral

116 maximal voluntary isometric force (MVIF) by pushing "as hard as possible". Rest

117 between trials was ~ 60 s. Approximately 5 minutes after, they were instructed to

perform submaximal trapezoidal contractions at three different force targets (2 X 35, 50, 118 70 %MVIF), characterized by a recruitment (linear increase in force at 5% MVIF \cdot s⁻¹), a 119 plateau (10 s of constant force at target), and a derecruitment (linear decrease in force at 120 121 5% MVIF \cdot s⁻¹) phase. Participants were asked to exert force with their knee extensors and match as accurately as possible a visual template of the trapezoidal path, which was 122 123 displayed on a monitor placed 1 m away from the participants. Three-minutes of rest 124 were provided between all submaximal contractions. All measurements were performed 125 bilaterally and both the first side to be tested and the first trapezoidal contraction to be performed, were randomly determined. Participants were asked to avoid strenuous 126 exercise and caffeine intake in the 48 hours preceding the test. 127

128 Force and HDsEMG recordings

Force and HDsEMG signal recordings followed the same procedures described in detail 129 elsewhere (Nuccio et al., 2020). Briefly, participants were comfortably seated and 130 fastened to a Kin-Com dynamometer (KinCom, Denver, USA) by means of three 131 different Velcro straps (thigh, chest, pelvis), with the knee joint fixed at 45° of flexion 132 (full knee extension at 0°)(Figure 1A). Two bi-dimensional grids of 64 electrodes each 133 (5 columns X 13 rows; gold-coated; diameter of 1 mm; inter-electrode distance of 8 134 135 mm; OT Biolettronica, Turin, Italy) were used to record HDsEMG signals from the vasti muscles (Figure 1B). The optimal orientation and positioning of the electrode 136 137 grids over VL and VM surfaces were determined in accordance with existing guidelines (Barbero et al., 2012) and adjusted following the same procedures as in our previous 138 139 investigation (Nuccio et al., 2020). After skin shaving and cleansing (70% ethanol), 140 both electrode grids were attached to muscle surfaces using two disposable bi-adhesive 141 foam layers (SpesMedica, Battapaglia, Italy). Skin-electrode contact was ensured by filling the foam layer holes with conductive paste (SpesMedica, Battapaglia, Italy). A 142 ground electrode was placed on the contralateral wrist, whereas reference electrodes for 143 both VL and VM grids were placed on the ipsilateral patella and medial malleolus, 144 respectively. The monopolar HDsEMG signals were recorded using a multichannel 145 amplifier (EMG-Quattrocento, A/D converted on 16 bits; bandwidth 10-500 Hz; OT 146 Biolelettronica, Turin, Italy), amplified (X 150) and band-pass filtered (10-500 Hz) at 147 148 source, prior to offline analysis. Force and HDsEMG signals were sampled at 2048 Hz

- 149 and collected simultaneously using the software OTBioLab (OTBioelettronica, Turin,
- 150 Italy). Both force templates and real-time biofeedback of the exerted force were
- displayed at a uniform visual gain during each trial through a custom LabVIEW
- 152 software (LabVIEW 8.0; National Instruments).

153 Data Analysis

154 Force signal analysis

- 155 The force signal was converted to Newtons (N) and low-pass filtered with a cut-off
- 156 frequency of 15 Hz (4th order, zero-lag, Butterworth filter). A gravity correction was
- applied to remove the signal offset. The trapezoidal contractions characterized by
- evident pre-activations (≤ 0.5 N from the baseline force signal in the 150 ms prior to the
- 159 force onset) or countermovement were discarded.

160 Motor unit analysis

In offline analyses, monopolar HDsEMG signals were band-pass filtered (20-500 Hz) 161 using a 2nd order Butterworth filter. A validated convolutive blind source separation 162 technique (Holobar & Zazula, 2007; Holobar et al., 2014) was adopted to decompose 163 the HDsEMG signal into individual MU discharge timings, which were subsequently 164 converted into binary spike-trains. Identified spike-trains were then manually inspected 165 166 by an experienced operator and those showing poor signal quality were removed (Holobar & Zazula, 2007). Specifically, MUs showing a pulse-to-noise ratio (PNR) \leq 167 168 30 dB (decomposition accuracy \leq 90%) and/or an inter-spike time interval higher than 2s were excluded from further analysis (Holobar et al., 2014). Raster plots in Figure 169 1C-D-E-F show the spike trains of MUs identified during different trapezoidal 170 contractions. For each example pool of MUs, the cumulative spike train (CST) was 171 computed (Thompson et al., 2018; Del Vecchio et al., 2018), low-pass filtered using a 172 4th order 10 Hz Butterworth filter and superimposed to raster plots. The high similarity 173 174 between Force and CST traces suggests that the smoothed CST (i.e., indicative of the 175 effective neural drive to the muscle) provides a good estimation of muscle force 176 generation.

177 MU recruitment threshold (RT) and de-recruitment threshold (DERT) were defined as the absolute (N) and relative (%MVIF) force levels at which each MU discharged its 178 first and last action potential, respectively. Mean MU discharge rate (DR) was 179 calculated for each phase and throughout the entire trapezoidal contraction. Specifically, 180 MU DR at recruitment and de-recruitment were calculated from the first four and the 181 182 last four discharge timings, whilst DR at plateau was computed from the discharge timings identified during the whole plateau phase. For each participant, values of MU 183 184 RT, DERT and DR extracted from trials at similar relative force levels were firstly averaged (e.g., over the 2 x 50% MVIF). Then, to examine the adaptations of vasti 185 MUs, the resulting participant-specific values were averaged across target forces (35-186 50-70 %MVIF) within each lower limb and muscle. The relative contribution of low-187 and high-threshold MUs (LTMUs, HTMUs) to side-to-side differences in MU DR was 188 additionally examined in the ACLR group, by arbitrarily clustering MUs according to 189 their RT (LTMUs: \leq 30% MVIF; HTMUs: > 30% MVIF). To perform this analysis, the 190 activity of MUs identified within the same lower limb and muscle was averaged across 191 target forces, for each participant. The relationship between the change in discharge rate 192 (ΔDR_{R-T}) from recruitment (mean DR of the first 4 MU action potentials) to target force 193 194 (mean DR of the whole plateau phase) relative to the change in force (Δ Force_{R-T}) from recruitment (force at which MUs were recruited) to target (force at 35, 50, 70 %MVIF), 195 196 was examined at subject-specific level in both absolute (N) and relative (%MVIF) 197 values to estimate the input-output relationship of the quadriceps motoneuron pool. This analysis provides indirect information on the synaptic input received by the motoneuron 198 199 pool, representing the sum of all the inputs converging from the different levels of the nervous system. 200



Figure 1. Experimental setup and examples of the decomposition output. Participants were seated in a
dynamometer with their knee fixed at 45° of flexion (A). Two grids of 64 electrodes were attached over the
VL and VM at 20° and 50° with respect to reference lines (B). Raster plots displaying the spike trains of
MUs identified by the decomposition analysis from a Reconstructed (C), Contralateral (D), Dominant (E)

201

and Non Dominant (F) lower limb. Superimposed traces of the smoothed cumulative spike trains (CST)and Force are displayed for each trial.

208

209 Statistical analysis

210 The normality of the distribution was checked through the Shapiro-Wilk test. 211 Equivalent non-parametric tests were adopted in case of non-normal data distribution. 212 Similarly, the sphericity assumption was verified using the Mauchly's test and the Greenhouse-Geisser correction was applied in case of violation. Multiple independent t-213 214 tests were used to compare demographic characteristics between groups. All the statistical tests were performed with motor unit data averaged within each muscle (i.e., 215 216 VL and VM) and lower limb (i.e., Reconstructed, Contralateral, Dominant and Non Dominant), for each participant. The overall number of identified MUs was compared 217 218 among groups, sides, muscles and contraction levels using a four-way mixed model 219 analysis of variance (ANOVA). Differences in MVIF, MU RT (absolute and relative), MU DERT (absolute and relative) and MU DR patterns were assessed using separate 220 two-way (Side X Group) mixed-model ANOVAs. Discharge patterns of the LTMUs 221 and HTMUs were compared between sides of the ACLR group using a two-way 222 repeated measure ANOVA (Side x MU's type). These previous tests were run 223 224 separately for VL and VM muscles and, except for MU RT-DERT, for each phase of 225 the trapezoidal contraction. Pearson's correlation coefficients were used to examine the 226 relationship between ΔDR_{R-T} and $\Delta Force_{R-T}$ for each participant. This analysis was 227 performed embracing the pool of MUs extracted from the quadriceps muscle (VL + VM) to obtain an estimate of the participant-specific net synaptic input converging to 228 229 the quadriceps of each lower limb. A linear regression analysis was then carried out to model this relation. Participant-specific regression slopes (i.e., rate of DR increase 230 231 relative to that of Force) were compared between sides using a two-way (Side X Group) 232 mixed-model ANOVA. To examine whether deficits in MU outputs were related to 233 deficits in MVIF (Δ MVIF) of the ACLR group, we carried out further linear regression 234 analyses. MU variables included in this analysis were the side-to-side difference in MU 235 DR (Δ MU DR), MU RT (Δ MU RT) and MU DERT (Δ MU DERT). The variance between the reconstructed and the contralateral side was calculated for all variables as: 236

237 ((Contralateral – Reconstructed) / Reconstructed)*100. The same regression analysis

- 238 was conducted for the control group and the side-to-side difference was computed as
- 239 ((Dominant Non Dominant) / Non Dominant)*100. The coefficient of determination
- 240 (R^2) was computed as an index of prediction power. A Bonferroni correction was
- applied when needed to account for multiple comparisons. The effect size was obtained
- from the ANOVA and calculated as partial eta squared (ηp^2). All statistical analyses
- 243 were undertaken in SPSS, Version 22.0 (SPSS Inc, Chicago, IL, USA). The significance
- level was set at P < 0.05. Results are reported as mean \pm SD.

245 **RESULTS**

246 Participant's characteristics and MVIF

247 The two groups were similar in terms of demographics and physical activity levels

248 (Table 1). A significant between-group difference was found solely for the subjective 249 knee function score (P < 0.001).

The analysis of MVIF revealed a significant Side x Group interaction ($F_{(1,17)} = 30.1$; P <250 0.001; $\eta p^2 = 0.64$). On average, the reconstructed side was 28.7% weaker than the 251 contralateral side (818.1 \pm 131 N vs 637.8 \pm 175.4 N; t₍₉₎= -5.9; P = 0.0002), whereas 252 253 similar interlimb forces were observed for the control group (Dominant: 683.2 ± 106.3 N vs Non Dominant: 651.1 ± 138.5 N; $t_{(8)} = 1.4$; P = 0.199). In addition, there were no 254 significant effects for Group ($F_{(1,17)} = 0.9$; P = 0.339; $\eta p = 0.054$), which indicates that 255 the MVIFs recorded from the reconstructed and contralateral sides of the ACLR group 256 257 were similar to those expressed by the dominant and non-dominant sides of the control 258 group.

259 MU Decomposition

A total of 3133 MUs were identified (ACLR: n = 1517; control: n = 1616). The

distribution and number of MUs for each group, muscle, side, and contraction level, are

shown according to their absolute and relative RT in Figure 2. The average number of

- identified MUs did not differ between groups (P = 0.58), sides (P = 0.92) or contraction
- levels (P = 0.59). By contrast, the number of identified MUs differed between muscles

(main effect for muscle; P = 0.011). Specifically, the number of MUs identified per 265 266 participant, averaged across trials, sides and contraction levels was higher in the VL than in the VM (7.8 ± 3.6 vs 6.0 ± 2.7 , respectively; P = 0.008).



268

267

269 Figure 2. Number and distribution of vasti MUs according to their absolute and relative RT. Swarm plots representing all MUs identified for both the reconstructed and contralateral sides of the ACLR group 270 271 (A-C) and for both the dominant and non-dominant sides of the control group (B-D). MUs clustered as a 272 function of their absolute and relative RT are displayed in panels A-B and C-D, respectively.

273

274 **MU** Properties

MURT and **MUDERT** 275

276 A significant side-to-side difference was found for the absolute MU RT and MU DERT

- obtained from both VL (Side x Group interaction; RT: $F_{(1,17)} = 8.0$; P = 0.012; $\eta p^2 =$ 277
- 0.32; DERE: $F_{(1,17)} = 7.2$; P = 0.015; $\eta p^2 = 0.30$) and VM of the ACLR group (Side x 278

279 Group interaction; RT: $F_{(1,17)} = 10.8$; P = 0.004; $\eta p^2 = 0.39$; DERT: $F_{(1,17)} = 13.6$; P = 0.002; $\eta p^2 = 0.44$) (Figure 3).

281	On average, MUs of the VL were recruited and de-recruited at lower absolute
282	forces on the reconstructed side compared to the contralateral side (RT: - 40.1 ± 32.9 N;
283	-19.6%; $P = 0.004$; Figure 3A; DERT: - 41.6 ± 40.5 N; -19.1%; $P = 0.006$; Figure 3E).
284	Similarly, the pool of MUs identified from the VM of the reconstructed side showed a
285	51.9 ± 49.2 N lower MU RT (-24.5%; $P = 0.009$; Figure 3B) and a 59.5 ± 54.3 N lower
286	MU DERT (-25.8%; $P = 0.007$; Figure 3F) with respect to the contralateral side. Such a
287	difference is probably due to inter-limb differences in the contractile properties of MUs.
288	By contrast, MUs of the control limbs were recruited and de-recruited at similar
289	absolute ($P > 0.05$; Figure 3A-B-E-F) and relative ($P > 0.05$; Figure 3C-D-G-H) force
290	values. Overall, ACLR individuals showed similar MU RT and DERT to the control
291	group in both absolute and relative values ($P > 0.05$). These results may reflect the
292	adoption of similar MU recruitment and derecruitment strategies across limbs and
293	groups.





MU DERT

Figure 3. Differences in MU RT and MU DERT. Bar plot showing the average values for MU RT (left side) and MU DERT (right side) of the VL (A-C and E-G, respectively) and VM (B-D and F-H, respectively), across all MUs, for each participant of both the ACLR (white bars) and control (grey bars) groups. Absolute MU RT and MU DERT are reported in the upper panels. Relative MU RT and MU DERT are reported in the lower panels. Participant-specific values are displayed using diamond-shaped symbols. *P < 0.05.

301

302 *MUDR*

- 303 Participant-specific values of the average MU DR obtained from the whole trapezoidal
- 304 contraction are displayed for each target force, muscle and side in Figure 4A-B.
- 305 Significant Side x Group interactions were found for each phase of the trapezoidal
- so contraction and for both VL (Recruitment: $\eta p^2 = 0.22$; P = 0.042; Plateau: $\eta p^2 = 0.62$; P
- 307 < 0.001) and VM muscles (Recruitment: $\eta p^2 = 0.36$; P = 0.007; Plateau: $\eta p^2 = 0.55$; P < 0.001)
- 308 0.001; De-recruitment: $\eta p^2 = 0.32$; P = 0.012), except for the derecruitment phase of VL
- 309 (P = 0.10). Specifically, the mean DR expressed by MUs of the reconstructed side was
- significantly lower than the contralateral side at recruitment (VL: 1.4 ± 1.5 pps; -20.1
- 311 %; P = 0.024; VM: 1.9 ± 1.0 pps; -29.1 %; P = 0.001; Figure 4C-F) plateau (VL: -
- 312 2.0 ± 0.8 pps; -19.1 %; P < 0.001; VM: -2.7 ± 1.2 pps; -25.4 %; P < 0.001; Figure 4D-
- **G**) and de-recruitment (VM: -0.8 ± 0.8 pps; -14.6 %; P = 0.02; Figure 4E-H). No
- significant side-to-side differences were found for the control group (P > 0.05). Overall,
- mean MU DR values of the ACLR group were similar to the values expressed by the
- control group for both VL (P = 0.79) and VM (P = 0.33) muscles.

317





319Figure 4. Differences in MU DR. Scatter plots representing the mean MU DR (averaged across the whole320trapezoidal contraction) of the VL and VM, for each target force and participant of both the ACLR (A;321reconstructed vs contralateral side) and control (B; dominant vs non-dominant) groups. Bar plots showing322the average MU DR at recruitment (C and F for VL and VM, respectively), plateau (D and G for VL and323VM ,respectively) and derecruitment (E and H for VL and VM, respectively), for both the ACLR (white324bars) and control (grey bars) groups. Participant-specific values are displayed using diamond-shaped325symbols.*P < 0.05</td>

327	Figure 5 shows the DR expressed by MUs of the ACLR group, clustered according to
328	their RT and graphed separately for each phase, contraction level and muscle. The
329	analysis of MU DR as a function of MU RT was adopted to assess the relative
330	contribution of LTMUs (< 30% MVIF) and HTMUs (\geq 30% MVIF) to side-to-side
331	deficits in MU DR. Significant Side x MU's type interactions were found for the VL at
332	recruitment ($P = 0.003$; $\eta p^2 = 0.65$), whilst significant side effects were found for each
333	phase of the trapezoidal contraction in the VM ($P < 0.05$) and for the plateau phase in
334	the VL (P < 0.001; $\eta p^2 = 0.87$). The reduced excitatory input received by the VL of the
335	reconstructed side with respect to the contralateral side at recruitment was due to a
336	selective impairment of the HTMUs (- 28.6%; $P < 0.001$; Figure 5-G). At plateau, both
337	LTMUs and HTMUs significantly contributed to side-to-side differences in MU DR of
338	the VL (LTMUs: - 15.2%; $P = 0.014$; HTMUs: - 20.6%; $P < 0.001$). For the VM
339	(Figure 5-H), the DR of both LTMUs and HTMUs was significantly lower in the
340	reconstructed side compared to the contralateral side at recruitment (LTMUs: - 19.4%,
341	P = 0.006; HTMUs: - 37%, $P = 0.002$) and plateau (LTMUs: - 21.4%, $P = 0.001$;
342	HTMUs: - 30.9%, $P = 0.001$). At derecruitment, only the LTMUs were significantly
343	affected (LTMUs: - 14.1%, P = 0.014).



344

345 Figure 5. Differences in MU DR for LTMUs and HTMUs of the ACLR group. Scatter plots showing 346 the DR of all identified MUs from both the reconstructed and contralateral sides. MUs are clustered 347 according to their RT, displayed separately for each phase of the trapezoidal contraction (i.e., recruitment, 348 plateau, derecruitment) and for each force target (35% of MVIF in panels A-B; 50% of MVIF in panels C-349 D; 70% of MVIF in panels E-F). Differences between the reconstructed (white bars) and the contralateral side (grey bars) in MU DR of both LTMUs (MU RT < 30% MVIF) and HTMUs (MU RT $\ge 30\%$ MVIF) 350 351 are reported for both the VL and the VM in panels G and H, respectively. Participant-specific values in 352 panels G-H are displayed using diamond-shaped symbols. *P < 0.05

353

354 Input-output gain of the vasti motoneuron pool

- An estimation of the synaptic input converging to *vasti* motoneurons was obtained by
- examining the relation between the change in MU DR (ΔDR_{R-T} : from recruitment to

target force) with respect to the change in volitional force (Δ Force_{R-T}: from recruitment 357 to the target) in both the VL and VM muscles. ΔDR_{R-T} and $\Delta Force_{R-T}$ were linearly 358 correlated in all participants and muscles, in both absolute (N) and relative (%MVIF) 359 values. Participant-specific slopes of the regression lines, representing the rate of 360 change in MU DR as a function of the rate of change in Force, were, on average, 361 significantly lower for the reconstructed side than the contralateral side, only when 362 considering relative force values (Relative Force: P = 0.009; Figure 6F; Absolute force: 363 364 P > 0.05; Figure 6C). No inter-limb differences were found for the control group (P > 0.05 Figure 6C-F). Furthermore, no differences were found between the ACLR and 365 control groups, in both absolute and relative values (P > 0.05; Figure 6C-F). 366 Collectively, these results suggest a reduced net excitatory input to the pool of vasti 367 368 motoneurons on the reconstructed side and a consequent reduction in the effective neural drive to the muscles. 369



371 Figure 6. Differences in the input-output gain of the vasti motoneuron pool. Scatter plots showing the 372 change in knee extensor's force (Δ Force_{R-T} from recruitment to target) as a function of the change in MU 373 DR (AMU DR_{R-T} from recruitment to target) of all vasti MUs identified in both the ACLR (A-D), and 374 control group (B-E). Δ Force_{R-T} is expressed in both absolute (A-B) and relative values (D-E). Regression lines are reported for each lower limb for absolute (Reconstructed: $R^2 = 0.35$; Contralateral: $R^2 = 0.44$; 375 Dominant: R²=0.41 Non Dominant: R²=0.42) and relative forces (Reconstructed: R²=0.41; Contralateral: 376 377 $R^2=0.47$; Dominant: $R^2=0.41$ Non Dominant: $R^2=0.38$). Bar plots in panels C and F show the absolute and 378 relative slopes (rate of change of MU DR as a function of the rate of change of Force) of the regression 379 lines obtained for each participant, respectively. Participant-specific values in panels C-F are displayed 380 using diamond-shaped symbols.*P = 0.009

381

382 Determinants of deficits in MVIF

Linear regression analyses were adopted to identify potential MU variables related to 383 deficits in MVIF of the ACLR group. We found a strong and linear correlation between 384 the relative side-to-side difference in MVIF (Δ MVIF) and the relative side-to-side 385 difference in mean MU DR (Δ MUDR) of the VL (R² = 0.44; P = 0.04; Figure 7A). In 386 addition, as shown in **Figure 7B** and **7C**, Δ MVIF was significantly related with the 387 Δ MUDR of the VL obtained during the recruitment (R² = 0.49; P = 0.02) and plateau 388 $(R^2 = 0.49; P = 0.02)$ phases of the trapezoidal contraction. In contrast to the VL, no 389 significant associations (P > 0.05) were found between Δ MVIF and Δ MUDR for the 390 391 VM muscle (Figure 7E-F-G-H). These results suggest that deficits in MU DR of the VL strongly contribute to weakness in knee extension strength following ACLR. 392 393 Similarly, for the control group, the Δ MVIF was significantly correlated with Δ MUDR of the VL at recruitment ($R^2 = 0.55$; P = 0.02), plateau ($R^2 = 0.60$; P = 0.01) and when 394 considering the whole trapezoidal contraction ($R^2 = 0.67$; P = 0.007), whilst no 395 associations were found for the VM, except for Δ MUDR at recruitment (R² = 0.56; P = 396 0.02). 397

$\Delta MVIF vs \Delta MU DR$



Figure 7. Relations between ΔMU DR and ΔMVIF for the ACLR group. Scatter plots showing the
association between the relative side-to-side difference in MU DR (ΔMU DR) and the relative side-toside difference in MVIF (ΔMVIF) for the ACLR group. The linear regression analysis was carried out for
the average MU DR of the whole trapezoidal contraction (A-E for VL and VM, respectively), recruitment
(B-F for VL and VM, respectively), plateau (C-G for VL and VM, respectively) and derecruitment (D-H
for VL and VM, respectively) phases. Participant-specific values for the VL and VM are displayed using
filled and empty circles, respectively.

- 406 To assess whether deficits in MU DR of the VL were uniform across ACLR
- 407 participants, we further examined the relation between MU DR of the reconstructed side
- 408 vs the contralateral side. Interestingly, the average MU DR of the reconstructed side
- 409 explained the 89.7% of the variance in MU DR of the contralateral side (P < 0.001;
- 410 Figure 8A). Strong and significant associations were additionally observed for each
- 411 phase of the trapezoidal contraction (P < 0.001; Figure 8B-C-D), suggesting that
- deficits in MU DR of the VL were uniform across our sample of ACLR individuals.

413



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Figure 8. Uniform deficit in MU DR of the VL across ACLR participants. Scatter plots showing the association between the average MU DR of the reconstructed side and the average MU DR of the contralateral side for the VL, across all MUs and target forces. The linear regression analysis was carried out for the average MU DR of the whole trapezoidal contraction (A), recruitment (B), plateau (C) and derecruitment (C) phases. Participant-specific values are displayed using filled circles.

420

Figure 9 depicts the relations between Δ MVIF and both Δ MU RT and Δ MU DERT for 421 the ACLR group. Δ MVIF was significantly correlated with the absolute Δ MU RT and 422 Δ MU DERT in both the VL (Δ MU RT: R² = 0.50; P = 0.02; Δ MU DERT: R² = 0.60; P 423 = 0.008; Figure 9A and 9C, respectively) and the VM (Δ MU RT: R² = 0.69; P = 0.003; 424 Δ MU DERT: R² = 0.68; P = 0.003; Figure 9E and 9G, respectively). Conversely, no 425 significant correlations were found for relative $\Delta MU RT$ (P > 0.05; Figure 9B-F) and 426 Δ MU DERT (P > 0.05; Figure 9D-H). These findings suggest that differences in the 427 peripheral properties of vasti MUs (e.g., reduced twitch forces) may partly explain the 428 observed weakness of the knee extensor muscles. The regression analyses for the 429 control group revealed no significant associations between Δ MVIF and both absolute 430 and relative $\Delta MU RT (P > 0.05)$ and $\Delta MU DERT (P > 0.05)$. 431

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434 Figure 9. Relations between Δ MVIF and both Δ MU RT and Δ MU DERT for the ACLR group. 435 Scatter plots showing the linear regressions between both the relative side-to-side difference in MU RT 436 and MU DERT (Δ MU RT and Δ MU DERT) and the relative side-to-side difference in MVIF (Δ MVIF) 437 for the ACLR group. The regression analysis was carried out for both the absolute ΔMU RT (A-E for VL 438 and VM, respectively) and Δ MU DERT (C-G for VL and VM, respectively), as well as for both the 439 relative $\Delta MU RT$ (B-F for VL and VM, respectively) and $\Delta MU DERT$ (D-H for VL and VM, respectively). Participant-specific values for the VL and VM are displayed using filled and empty circles, 440 441 respectively.

442

443 **DISCUSSION**

The present study demonstrated, for the first time, that deficits in knee extension 444 strength following ACLR are explained by deficits in spinal motoneuronal output (i.e., 445 neural drive to the vasti muscles). We found lower knee extensor MVIFs on the 446 reconstructed side with respect to the contralateral side, which were accompanied by 447 deficits in MU DR and by reduced absolute MU RT and DERT during submaximal 448 force contractions. In addition, we found an altered input-output gain for the entire pool 449 of vasti motoneurons, which potentially reflects reduced excitatory (i.e., descending 450 command) and/or increased inhibitory (i.e., afferent feedback) inputs to motoneurons. 451 452 An inhibitory-facilitated reduction in motoneuronal intrinsic excitability and changes at

453 muscle unit level may have contributed to deficits in MU DR and MU RT-DERT,

454 respectively. In contrast to our hypothesis, no significant differences in MVIF and MU

455 properties were found between the ACLR and the control group, potentially due to the

456 bilateral neuromuscular gain (i.e., increased MVIF and MU DR) (Del Vecchio *et al.*,

- 457 2019) that was achieved by the ACLR group following the specific strength training
- 458 program of the knee extensors that they underwent during the rehabilitation process.

The force produced by a given muscle depends on the contractile properties of 459 460 muscle units and on the ensemble output of motoneurons which, in turn, is determined by recruitment and rate coding. Our sample of ACLR individuals showed no significant 461 462 alterations in both relative MU RT and DERT (Figure 3), which may suggest that the neural strategies modulating the number of MUs to be activated and deactivated during 463 464 submaximal force contractions did not change following ACLR. Conversely, we found 465 significantly lower absolute RT (VL: - 19.6%; VM: - 24.5%) and DERT (VL: - 19.1%; VM: - 25.8%) on the reconstructed side with respect to the contralateral side which 466 were strongly related with deficits in MVIF (Figure 9). Reduced absolute recruitment 467 468 and derecruitment thresholds are consistent with peripheral motor unit adaptations (Van Cutsem et al., 1997). Specifically, it is plausible that the contractile properties of vasti 469 470 MUs (e.g., twitch forces) were affected and that changes occurring at the muscle unit level may have contributed to the reduced force-generating capacity of the reconstructed 471 472 side. The reduced DR at recruitment and derecruitment further support this hypothesis. In addition, in a previous study, we observed reduced muscle fibre conduction velocities 473 following ACL surgery which may reflect alterations in muscle fibre's diameter and 474 sarcolemmal excitability (Nuccio et al., 2020). Moreover, there is evidence for mid-to-475 476 long term changes in quadriceps morphology (Flück et al., 2018; Lepley et al., 2019; Birchmeier et al., 2020) and architecture (Noehren et al., 2016) following ACL surgery. 477 For instance, Noehren et al. (2016), reported a significant deficit in quadriceps strength 478 at an average of six months post-surgery that was accompanied by a decreased 479 physiological cross-sectional area, an increased abundance of hybrid type IIa/X muscle 480 fibres and a decreased frequency of type IIa muscle fibres. Therefore, alterations at the 481 muscle unit level are plausible and seem to coexist with the impairments at a 482 483 motoneuronal level.

The main neural mechanism contributing to the lower MVIF of the reconstructed 484 side with respect to the contralateral was the lower MU DR expressed by MUs of the 485 VL (Figure 7). We observed deficits in MU DR at recruitment (VL: - 20.1%; VM: -486 29.1%), plateau (VL: - 19.1%; VM: - 25.4%) and derecruitment phases (VM: - 14.6%) 487 of the submaximal trapezoidal contractions (Figure 4). Interestingly, although the MU 488 DR at plateau of both HTMUs and LTMUs was reduced in both muscles, deficits in 489 490 MU DR at recruitment and derecruitment were due to alterations of HTMUs, for the 491 VL, and of both HTMUs and LTMUs, for the VM (Figure 5). Despite this musclespecific adaptation in MU DR, the weakness of the knee extensors was only predicted 492 by deficits in MU DR of the VL (Figure 7). Therefore, at recruitment, only the reduced 493 MU DR of the HTMU and not of LTMUs may be of clinical relevance. 494

495 The frequency at which motoneurons discharge their action potentials is 496 proportional to the net synaptic input received by motoneurons and is modulated by the intrinsic properties of motoneurons (Heckman & Enoka, 2012). Motoneuronal inherent 497 factors such as monoamine-facilitated (i.e. neuromodulation) persistent inward currents 498 499 (PICs) are known to sharply increase (up to five-fold) the excitability of motoneurons and, as a consequence, the MU DR when motoneurons are first activated (Heckman et 500 501 al., 2008; Heckman & Enoka, 2012). Interestingly, increased inhibitory afferent inputs (i.e. recurrent and reciprocal inhibition) can markedly depress PICs resulting in reduced 502 503 MU DRs at recruitment (Hyngstrom et al., 2007; Revill & Fuglevand, 2017). Accordingly, although there is no evidence for changes in reciprocal and Renshaw cell-504 mediated recurrent inhibition following ACLR, the well-documented inability to fully 505 activate the knee extensor muscles after a joint trauma (Rice & McNair, 2010) may 506 507 potentially underlie intrinsic motoneuronal alterations. Specifically, inhibitory mechanisms such as Ib nonreciprocal inhibition, gamma-loop dysfunction and flexion-508 509 reflex could be facilitated by peripheral alterations (i.e., disruption of articular receptors 510 after a joint trauma), in order to reduce the stress to the damaged joint (Konishi et al., 2002; Rice & McNair, 2010; Krogsgaard et al., 2011; Needle et al., 2017). Based on 511 this assumption, an inhibitory-induced PICs deactivation is plausible and could partially 512 explain the between-side difference in MU DR at recruitment observed in the ACLR 513 514 group. However, these parameters were not directly investigated in the current study

and further research is needed to clarify their contribution to quadriceps weakness afterinjury.

To support the hypothesis of a substantial neural impairment affecting the 517 518 activity of vasti motoneurons following ACLR, we estimated the input-output gain of the entire pool of vasti MUs. Participant-specific slopes resulting from the relationship 519 520 between $\Delta MU DR_{R-T}$ (MU DR at target force minus MU DR at recruitment) with 521 respect to the relative Δ Force_{R-T} (target force minus RT) were significantly lower on the 522 reconstructed side than on the contralateral side, thus reflecting an overall reduction in 523 the net excitatory input to motoneurons of the reconstructed side (Figure 6). The synaptic input received by motoneurons represents the sum of both excitatory and 524 inhibitory inputs from afferent and descending neural pathways that are ultimately 525 processed and transduced into the effective neural drive to the muscle (Heckman & 526 Enoka, 2012). Accordingly, whether the neural deficit of the reconstructed side is the 527 528 result of a more reduced corticospinal excitability, rather than alterations in spinal or 529 afferent circuits cannot be inferred from this analysis. Previous investigations reported 530 ACLR-related neural alterations at cortical (Lepley et al., 2015; Grooms et al., 2017; 531 Lisee et al., 2019), spinal (Lepley et al., 2015) and peripheral levels (Krogsgaard et al., 2011). A longitudinal study conducted by Lepley and colleagues (2015) demonstrated a 532 533 different time-course of the neural changes following ACLR with a reduced spinal reflex excitability occurring early following surgery and deficits in corticospinal 534 535 excitability occurring during the latest stages of rehabilitation (about six months post-536 surgery). In another study, Lepley et al. (2019) corroborated these findings, reporting 537 that persistent deficits in quadriceps strength after ACL surgery were accompanied by 538 unaltered Hoffman reflex values, increased active motor threshold (AMT), decreased motor evoked potentials (MEP) and greater activation in the frontal areas of the brain 539 540 measured through fMRI. Collectively these results highlighted the presence of significant neuroplasticity which was accompanied by an impaired excitability of 541 neurons within the primary motor cortex (i.e., increased AMT) and a consequent 542 543 reductions in the effective neural drive to the muscle (i.e., decreased MEP) (Lepley et 544 al., 2019). In accordance with these studies, the reduced input-output motoneuron gain found in the current investigation could reflect a reduced excitatory input from 545 supraspinal centres. However, an increased synaptic inhibition due to both AMI-related 546

changes in the afferent feedback and dysfunctions of the gamma-loop may have
contributed to this finding (Konishi *et al.*, 2002; Rice & McNair, 2010; Needle *et al.*,
2017).

550 The comparison between the participant-specific slopes of the regression lines obtained from the estimation of the input-output gain with absolute forces revealed no 551 552 differences between the lower limbs of the ACLR group, indicating that for the same level of absolute rate of change in force (i.e., output) the rate of change in MU DR (i.e., 553 554 input) was similar (Figure 6). Although this finding would suggest no side-to side differences in muscle efficiency (i.e., similar neural input needed to exert similar 555 556 absolute forces) and a potential intrinsic compensation of the quadriceps muscle to the neural impairment, the significant differences in MVIF and absolute MU RT between 557 558 the reconstructed and contralateral sides question this interpretation.

A compelling finding of this study was the strong and linear relation found 559 560 between the MU DR of the VL in the reconstructed side with that of the contralateral side (Figure 8). If coupled with the strong correlation found between Δ MVIF and Δ MU 561 DR of the same muscle, this observation suggests that several months following ACLR 562 a) the neural deficit underlying the weakness of the knee extensors is uniform across 563 564 ACLR individuals and b) the deficit in the neural drive to the VL is predictive and proportional to that of MVIF. Although the small sample involved in this study does not 565 566 allow strong generalizations, this finding may open novel perspectives in the field of rehabilitation after ACLR. For instance, the identification of potential 567 568 neurophysiological markers of the ACLR-related knee extensor's weakness may help to 569 improve rehabilitation protocols aimed at restoring quadriceps strength and function.

Furthermore, the findings from our study indicate that deficits in knee extension
strength are predicted by neural deficits of the VL and not VM (Figure 7). Such an
inter-muscle difference may have an impact in clinical contexts. The VM is usually
considered as the main rehabilitation target to fully recover knee extension strength after
ACLR. This is because a weak VM leads to alterations in patellofemoral biomechanics
which, as a consequence, may increase patellofemoral pain (a factor inducing
arthrogenic quadriceps inhibition following ACLR) (Buckthorpe *et al.*, 2019). However,

- 577 in accordance with our findings, treating the neuromuscular deficits of the VL is
- 578 essential to restore a symmetric knee extension strength. Therefore, clinical
- 579 professionals should be aware of the importance of the VL and should consider its full
- 580 recovery as a main target when prescribing post-surgery rehabilitation protocols.

Some limitations should be considered. First, the cross-sectional design of the 581 582 present investigation does not allow to consider the clinical and neurological progresses of the quadriceps throughout the rehabilitation process following ACLR. Therefore, 583 584 longitudinal studies investigating changes in the behaviour of motor units from presurgery to the latest stages of rehabilitation are warranted (Enoka, 2019). Second, our 585 586 results suggest a bilateral gain in knee extension strength and MU outputs of the ACLR group (i.e., no differences with control lower limbs). Therefore, the neural impairment 587 588 of the reconstructed side may be overestimated, as we could not determine the extent of 589 the potential gain in both MVIF and MU DR of the contralateral side. However, 590 regardless of this potential confounding effect, we found a persistent between-side deficit in MVIF which represents a key issue to solve in order to reduce the risk of 591 592 further knee injuries (Grindem et al., 2016; Kyritsis et al., 2016). Third, the different subject-specific number of MUs that have been identified within trials (i.e., 593 594 HTMUs/LTMUs) and across trials (i.e., MUs at 30-50-70% of MVIF) may have 595 affected the distribution of MUs, resulting in a high between-participant variability in 596 MU DRs. Lastly, the potential sources (i.e., supraspinal, spinal or peripheral) and their differential contribution to MU adaptations remain to be elucidated. 597

In conclusion, we demonstrated that the persistent deficit in knee extension strength following ACLR is related to a reduced neural drive to the *vasti* muscles. The uniform deficit in MU DR of the VL and the reduced absolute MU RT and DERT were identified as main determinants. Additionally, we documented alterations in the inputoutput motoneuronal relation which suggest synaptic alterations due to either a reduced net excitatory input (i.e., altered descending command) or increased inhibitory afferent inputs to spinal motoneurons.

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- 727

728 Additional Information

729

730 Competing interests

731 None of the authors has any conflicts of interests.

732 Data Availability Statement

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740 Author Contribution

- 541 SN, ADV and AC acquired and analysed the data. SN drafted the manuscript and plotted
- the figures. All authors contributed to the conception of the work, revised it critically for
- important intellectual content, approved the final version of the manuscript and agree to
- be accountable for all aspects of the work. All persons designated as authors qualify for
- authorship, and all those who qualify for authorship are listed.