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Zhong, Jian; Cai, Xiaoming; Bloss, William

DOI: 10.1016/j.envpol.2014.02.001

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Document Version Early version, also known as pre-print

Citation for published version (Harvard):

Zhong, J, Cai, X & Bloss, W 2014, 'Modelling segregation effects of heterogeneous emissions on ozone levels in idealised urban street canyons: Using photochemical box models', *Environmental Pollution*, vol. 188, pp. 132-143. https://doi.org/10.1016/j.envpol.2014.02.001

Link to publication on Research at Birmingham portal

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Modelling segregation effects of heterogeneous emissions on ozone levels in idealised urban street canyons: using photochemical box models

4

5 Jian Zhong, Xiao-Ming Cai^{*} and William James Bloss

6 School of Geography, Earth & Environmental Sciences, University of Birmingham, Edgbaston,
7 Birmingham, B15 2TT, UK

8 ^{*}Corresponding author. Tel.: (0121) 4145533; Fax: (0121) 4145528.

9 *Email address*: <u>x.cai@bham.ac.uk</u> (X.-M. Cai).

10 Abstract

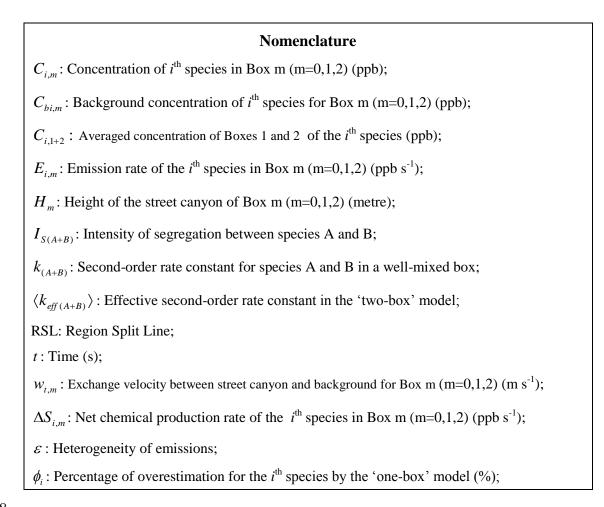
11 Air quality models include representations of pollutant emissions, which necessarily entail 12 spatial averaging to reflect the model grid size; such averaging may result in significant 13 uncertainties and/or systematic biases in the model output. This study investigates such 14 uncertainties, considering ozone concentrations in idealised street canyons within the urban 15 canopy. A photochemical model with grid-averaged emissions of street canyons is compared 16 with a multiple-box model considering each canyon independently. The results reveal that the 17 averaged, 'one-box' model may significantly underestimate true (independent canyon mean) ozone concentrations for typical urban areas, and that the performance of the averaged model 18 19 is improved for more 'green' and/or less trafficked areas. Our findings also suggest that the 20 trends of 2005-2020 in emissions, in isolation, reduce the error inherent in the averaged-21 emissions treatment. These new findings may be used to evaluate uncertainties in modelled 22 urban ozone concentrations when grid-averaged emissions are adopted.

23 Capsule:

A grid-based urban air quality model, if adopting a grid-averaging scheme of emissions from
 segregated street canyons, may significantly underestimate the street-level ozone abundance.

26 Keywords: Segregation effect; urban street canyon; emission heterogeneity; photochemical

27 box model; urban ozone concentrations.



28

29 **1** Introduction

30 Atmospheric chemical and physical processes are tightly coupled in air quality simulations (Karamchandani et al., 2012). A general operating hypothesis of most urban air quality grid-31 32 based models is that primary air pollutants emitted from vehicles, industry or other sources are instantaneously well-mixed or distributed within the entire model grid-cell which contains 33 the emissions (Auger and Legras, 2007). The grid-averaged emission rates of primary air 34 35 pollutants are normally used as an input representing the mean gridded emissions (Denby et 36 al., 2011) in atmospheric chemical models and the concentration in the canopy layer is 37 modelled as one box representing the canopy layer for the entire grid cell. However, in reality 38 these surface emissions vary, and exhibit a high temporal and spatial heterogeneous 39 distribution at the sub-grid scale, referred to as surface sub-grid emission heterogeneity 40 (Galmarini et al., 2008). This leads to segregation effects due to incomplete mixing. In the grid-averaging procedure, all sub-grid scale processes and features (Ching et al., 2006) are 41

42 lost and secondary pollutants (e.g. O₃) may therefore be systematically under- or over43 estimated.

44 Several model approaches have been suggested to account for the impacts of sub-grid 45 emission heterogeneity. Nested-grid or high-resolution modelling is a simple approach to 46 resolve sub-grid scale variablity. Examples of such approach can be seen from the 47 Community Multiscale Air Quality (CMAQ) model (Sokhi et al., 2006; Shrestha et al., 2009), 48 the Weather Research and Forecasting/Chemistry (WRF/Chem) model (Grell et al., 2005), 49 and the Comprehensive Air Quality Model with extensions (CAMx) (Shen et al., 2011). A 50 shortage of this approach is that it is only effective locally to a fixed area where the finer 51 resolution grid is located. In order to overcome the limitation, adaptive grid modelling 52 (Srivastava et al., 2000; Constantinescu et al., 2008; Garcia-Menendez et al., 2010) was 53 developed to allow dynamic change of the grid system during a simulation. Garcia-Menendez 54 and Odman (2011) discussed the details and reviewed the advances of the adaptive grid 55 modeling. Another approach to incorporate sub-grid emission heterogeneity is hydrid 56 modeling, which combines a regional grid-based model with a local Guassian dispersion 57 model (e.g. ADMS (Arciszewska and McClatchey, 2001) and AERMOD (Zou et al,2010)). 58 This approch has been extensively implemented, such as the CMAQ-ADMS model (Chemel et al., 2011; Beevers et al., 2012; Stocker et al., 2012), the CMAQ-AERMOD model (Stein et 59 60 al., 2007; Isakov et al., 2009; Johnson et al., 2010) and the WRF-AERMOD model (Kesarkar 61 et al., 2007). A more promising approach is the plume-in-grid (PinG) modelling 62 (Karamchandani et al., 2002), which imbeds a non-steady-state plume model inside the grid. Vijayaraghavan et al. (2006) implemented the plume-in-grid (PinG) modelling approach in 63 64 the CMAQ-APT model to reduce sub-grid scale variability in a simulation of central 65 California. They found that the sub-grid treatment can lead to up to 10 ppb less O₃ under the 66 condition of O_3 formation and up to 6 ppb more O_3 under other conditions, compared with a 67 base simulation without the PinG treatment. The approach offers a more realistic 68 representation of the elevated point emission sources and their atmospheric fate. Galmarini et 69 al. (2008) developed a Reynolds-average model to parameterize sub-grid emission 70 heterogeneity in the meso- and global scale. Their study built upon the assumption that concentrations can be divided into a mean part, depending upon the average emissions, and a 71 72 fluctuation component which depends on the variability of emissions, respectively. 73 Alternatively, Cassiani et al. (2010) developed a stochastic fields method to address surface 74 sub-grid emission heterogeneity in a mesoscale dispersion model. The advantage of this

method is that the sub-grid scale emission variability is well-represented by the probability density functions. Some of the above approaches to address sub-grid scale errors are also reviewed and discussed in details by Touma et al. (2006) and Karamchandani et al. (2011). Currently, strategies to address sub-grid emission heterogeneity are mostly focussed upon large scale grid-based models. However, for the small scale, there is little research focusing on the effects of sub-grid emission heterogeneity.

81 Here, we extend consideration of emissions heterogeneity to the small scale, i.e. the canyon 82 scale. The canopy layer is a major source for emissions into the overlying atmosphere / 83 boundary layer and is normally within the lowest grid-cell of a grid-based model. From the 84 canopy layer perspective, urban street canyons are typical sub-grid scale features seperated by rows of buildings. These emissions into the canyon layer may be pre-processed within 85 86 urban street canyons before they enter to the entire grid-cell in the lowest part of the grid-87 based model (Fisher et al., 2006). Urban street canyons, where human exposure takes place, are the area of interest in this paper. The additional information between the grid-averaging 88 89 implementation and the sub-grid calculation taking the emission heterogeneity into 90 consideration may be of importance in terms of accurately calculating air pollutant abundance 91 and their associated adverse health effects.

The aim of this study is to investigate segregation effects of heterogeneous emissions on O_3 levels in idealised urban street canyons, and to identify how segregation effects are influenced by the balance between chemistry and dynamics. The paper is structured as follows. In Section 2, the methodology based on photochemical box models is described in details, as well as the corresponding concept of intensity of segregation and the model scenarios. In the following sections, the results for prediction of ozone levels and the intensity of segregation are discussed.

99 2 Methodology

There are a large number of possible arrangements of street canyons in the urban canopy layer. In this study, we select two typical idealised urban street canyons as a representation. One large photochemical box model (hereafter referred to as the 'one-box' model) with averaged emissions of the two street canyons is used to represent the deterministic calculation based on the grid-average process; alternatively two small photochemical boxes (hereafter referred to as the 'two-box' model) are combined to represent two segregated street canyons with their own respective emissions. The photochemical box models (which assume that 107 chemical species inside each box are well-mixed) can be simply applied and computationally
108 inexpensive simulated. The model is written in FORTRAN77 language and run using
109 FACSIMILE 4 integrator (Curtis and Sweetenham, 1987). A reduced chemical scheme
110 (RCS), developed by Bright et al. (2013), is used as the chemical mechanism within the
111 photochemical box models. The detailed model configuration is described as follows.

112 2.1 Model Setup

.

Figure 1 illustrates the overview of the box model configuration. It is assumed that in a cell of an urban air quality model, there are two street canyons with heterogeneous emissions represented by Box 1 and Box 2 with the same volume of air as indicated in the right panel (i.e. 'Two-box model') of Figure 1. There is no exchange between the two boxes, i.e. total segregation is assumed; we only consider exchange between the within-canyon air and the background air above the canopy layer. It is also assumed that the 'two-box' model represents the reality and the mean concentration,

120
$$C_{i,1+2} = (C_{i,1} + C_{i,2})/2$$
 (1)

represents the 'true' concentration of the i^{th} species in the canopy layer corresponding to this 121 cell, with the concentrations in the 'one-box' model departing from this truth due to 122 123 segregation effects. If a simplified approach of one single box (Box 0 indicated in the left panel of Figure 1) is adopted in which the volume of Box 0 is the sum of the volumes of Box 124 125 1 and Box 2 (indicated in the right panel of Figure 1) and $C_{i,0}$ is the modelled concentration from the 'one-box' model (Box 0 in Figure 1), there would be an error for $C_{i,0}$ (either an 126 127 overestimation or an underestimation) in comparison with the 'true' mean concentration $C_{i,1+2}$ 128 derived from the 'two-box' model (Box 1 and Box 2 in Figure 1). This error is expressed as

129
$$\Delta C_i = C_{i,0} - C_{i,1+2} \tag{2}$$

130 We may also interpret ΔC_i as the concentration difference due to heterogeneity of emissions, 131 or the overestimated concentration by Box 0. For individual reactive species in the 'one-box' 132 model (Box 0), the mass transport can be described as the following equation (Liu and 133 Leung, 2008):

134
$$\frac{d}{dt}C_{i,0}(t) = E_{i,0} - \frac{W_{t,0}}{H_0}(C_{i,0} - C_{bi,0}) + \Delta S_{i,0}$$
(3)

135 Where, $C_{i,0}$ (ppb) is the concentration of i^{th} species by volume in Box 0, t (s) is the time, $E_{i,0}$ 136 (ppb s⁻¹) is the emission rate of i^{th} species by volume in Box 0, $w_{t,0}$ (m s⁻¹) is the exchange 137 velocity between the street canyon and background for Box 0, H_0 (m) is the height of the 138 street canyon of Box 0, $C_{bi,0}$ (ppb) is the background concentration of i^{th} species of Box 0 139 and $\Delta S_{i,0}$ (ppb s⁻¹) is the net production rate of i^{th} species due to chemical reactions in Box 0.

140 Similarly, the system of equations in the 'two-box' model (Box 1 and Box 2) can be 141 expressed as follows:

142
$$\frac{d}{dt}C_{i,1}(t) = E_{i,1} - \frac{W_{t,1}}{H_1}(C_{i,1} - C_{bi,1}) + \Delta S_{i,1}$$
(4)

143
$$\frac{d}{dt}C_{i,2}(t) = E_{i,2} - \frac{W_{i,2}}{H_2}(C_{i,2} - C_{bi,2}) + \Delta S_{i,2}$$
(5)

In Equations (4) and (5), all symbols are as those in Equation (3) but for Box 1 and Box 2, respectively. In our model, we assume that $w_{t,0} = w_{t,1} = w_{t,2}$, $C_{bt,0} = C_{bt,1} = C_{bt,2}$, $E_{i,1} = E_{i,0}(1+\varepsilon)$ and $E_{i,2} = E_{i,0}(1-\varepsilon)$, where ε is the heterogeneity of emissions for the twobox model (e.g. $\varepsilon = 0$: homogeneous emissions for the two boxes; $\varepsilon = 1$: all emissions into Box 1 and no emissions into Box 2). When the systems reach the steady state (or a quasisteady state) as $t \to t_s$, then $\frac{d}{dt}C_{i,m}(t) \to 0$ (m=0,1,2), and Equations (3)-(5) yield:

150
$$C_{i,0}(t_s) = \frac{H_0}{W_{t,0}} [E_{i,0} + \Delta S_{i,0}(t_s)] + C_{bi,0}$$
 (6)

151
$$C_{i,1}(t_s) = \frac{H_1}{W_{t,1}} [E_{i,1} + \Delta S_{i,1}(t_s)] + C_{bi,1}$$
(7)

152
$$C_{i,2}(t_s) = \frac{H_2}{W_{i,2}} [E_{i,2} + \Delta S_{i,2}(t_s)] + C_{bi,2}$$
 (8)

153
$$C_{i,1+2}(t_s) = [C_{i,1}(t_s) + C_{i,2}(t_s)]/2$$
 (9)

154 Thus the concentrations $C_{i,m}$ and the chemical production rate $\Delta S_{i,m}$, for m=0,1,2, are related 155 by above respective equations. The relationships are a function of the corresponding emission 156 rates and background conditions, respectively. It is noted that, from (2), (6)-(9), we have

157
$$\Delta C_i(t_s) = \frac{H_0}{W_{t,0}} [\Delta S_{i,0}(t_s) - \frac{\Delta S_{i,1}(t_s) + \Delta S_{i,2}(t_s)}{2}]$$
(10)

158 If the emission is a passive scalar (i.e. a species which does not undergo chemical reaction), 159 then the difference $\Delta C_i(t_s)$ is zero. For reactive species, the differences depend on the 160 heterogeneity of emissions and the nonlinear nature of photochemical reactions, together with 161 the exchange velocity caused by dynamic effects. Therefore the characteristics of $\Delta C_i(t_s)$ 162 can be complex and will be examined in depth in the following sections.

163

Finally, we define the *percentage of overestimation* by the 'one-box' model (Box 0) for the i^{th} species as:

166
$$\phi_i(t) = \frac{\Delta C_i(t)}{C_{i,1+2}(t)} \times 100\%$$
 (11)

167 $\phi_i(t)$ may also be interpreted as the overestimated concentration by the the 'one-box' model 168 relative to the 'true' concentration by the 'two-box' model. If $\phi_i(t) = 0\%$, it means that the 169 'one-box' model provides the true answer; if $\phi_i(t) = 10\%$ or -10%, it means that Box 0 over-170 or under-estimates the concentration by 10%, respectively.

171 **2.2 Intensity of segregation**

172 In order to characterise the sub-grid scale variability due to incomplete mixing, a widely used 173 dimensionless number, the *intensity of segregation* (Krol et al., 2000) between two chemical 174 species A and B, $I_{S(A+B)}$, is introduced and defined as

175
$$I_{S(A+B)} = \frac{\langle A'B' \rangle}{\langle A \rangle \langle B \rangle}$$
 (12)

where the angle brackets represent the volume average, the prime denotes the local deviation from the volume-averaged concentration, and A'B' stands for the covariance between A and B. For any species A in the 'two-box' model of this study, $\langle A \rangle = \frac{1}{2}(A_1 + A_2)$ is A's mean concentration of the two boxes, A_1 and A_2 are A's concentrations in Box 1 and Box 2, respectively, $A'_1 = A_1 - \langle A \rangle$, $A'_2 = A_2 - \langle A \rangle$ and $\langle A'B' \rangle = \frac{1}{2}(A'_1B'_1 + A'_2B'_2)$. The intensity of 181 segregation between A and B is a proper measure of the effect of segregation on nonlinear 182 chemical processes (Hilst, 1998). For a second-order reaction $A+B \rightarrow C$ in a heterogeneously 183 system (i.e. the 'two-box' model in this study), the formation of C (Vinuesa and de Arellano, 184 2005) can be described as follows,

185
$$\frac{d\langle C \rangle}{dt} = \langle k_{eff(A+B)} \rangle \langle A \rangle \langle B \rangle$$
(13)

186 where $\langle k_{eff(A+B)} \rangle$ is the effective second-order rate constant for formation of C in the 'two-187 box' model which can be represented by

188
$$\langle k_{eff(A+B)} \rangle = k_{(A+B)} (1 + I_{S(A+B)})$$
 (14)

189 where $k_{(A+B)}$ is the original rate constant of the reaction in the well-mixed 'one-box' model. 190 Such a constant is normally obtained from laboratory experiments in a well-mixed chamber.

191 If $I_{S(A+B)} = 0$, it means that species A and B can be regarded as well-mixed; If $I_{S(A+B)} > 0$ or 192 $I_{S(A+B)} < 0$, it implies that $\langle k_{eff(A+B)} \rangle$ in the 'two-box' model is larger or smaller than $k_{(A+B)}$ in 193 the 'one-box' model due to the effect of segregation.

194 2.3 Model Scenarios

195 **2.3.1 Initial and background conditions**

The initial conditions of the box models in this study were taken from those used in Bright et al. (2013) which in turn were based upon atmospheric field data from the Tropospheric Organic CHemistry (TORCH) experiment (Lee et al., 2006). The photochemical box model is run without emissions for the first 30 minutes in order to spin up the model, which allows concentrations of intermediate species to be calculated. Then the concentrations of all species at 30 min are used as the background conditions in the boundary layer for exchange with the inside canyon environment for all the simulations.

203 **2.3.2 Emissions and case settings**

Drawing upon the UK Road Vehicle Emission Factors (Boulter et al., 2009), emission rates for NO_x, VOCs and CO of 620, 128 and 1356 g km⁻¹ hr⁻¹ were used respectively, which represent an urban continuous road traffic of 1500 vehicles hr⁻¹ with an average speed of 30 207 mph for the year of 2010 (Bright et al., 2013). The emission rates into a volume of urban street canyons (18 m×18 m×1 m) are equivalent to $E_{NOx}=0.28$, $E_{VOCs}=0.22$ and $E_{CO}=1.0$ ppb 208 s⁻¹ (here referred to a 'Typical Real-world Emission Scenario', TRES) for the NO_x, VOCs 209 and CO, respectively. This canyon geometry was used by Bright et al. (2013) for their large-210 eddy simulations. In this study, E_{CO} is set as 1.0 ppb s⁻¹ for all the scenarios, and the 211 212 representative E_{NOx} and E_{VOCs} are scaled by different factors between 0.1 and 2 in order to characterize a wide range of real scenarios, i.e. E_{NOx} varies from 0.028 to 0.56 ppb s⁻¹ in steps 213 of 0.028 ppb s⁻¹, while E_{VOCs} varies from 0.022 to 0.44 ppb s⁻¹ in steps of 0.022 ppb s⁻¹. The 214 ratio of primary NO to NO₂ emission rate is 9:1, while the relative fractional VOCs emission 215 216 rates are 44% for C₂H₄, 19% for C₃H₆, 25% for HCHO and 12% for CH₃CHO (as mixing 217 ratio by volume) for all the scenarios.

218 In this study we focus on the effects of two parameters, ε (heterogeneity of emissions) and w_t 219 (exchange velocity), on ϕ_i and other characteristics. Table 1 gives an overview of the two 220 parameters for all cases. For each case, the corresponding one photochemical box model (i.e. 221 the 'one-box' model, Box 0) and two segregated photochemical box models (i.e. the 'twobox' model, Box 1 and Box 2) were run. The heterogeneity of emissions (ε) is set at a value 222 of 0.5 and the exchange velocity (w_t) is set as 0.02 m s⁻¹ in the base case, 'BASE'. The value 223 224 of ε =0.5 implies that the emissions into Box 1 (or Box 2) is 50% higher (or lower) than the 225 averaged emissions parameterized into Box 0. In reality, this is often the case; within an 226 Eulerian cell of an urban air quality model, some streets may have a much higher level of traffic than others. The value of $w_t=0.02 \text{ m s}^{-1}$ is adopted based on the result from a large-227 eddy simulation for a street canyon with a $18 \text{ m} \times 18 \text{ m}$ cross-section under a neutral 228 condition if the reference wind speed is about 2 m s^{-1} (Cai, 2012). 229

In order to account for the segregation effect due to variations of ε and w_t , we examine in 230 231 detail the cases in which ε and w_t are perturbed by 40%, respectively. Case HE-L and HE-H (see Table 1 for definitions) have been configured for 40% lower and higher ε , respectively, 232 than 0.5, while keeping the same w_t as that of Case BASE. To consider the effect of exchange 233 234 velocity (w_t) , we set up the cases of EX-L and EX-H for 40% lower and higher w_t , respectively, than 0.02 m s⁻¹, while keeping the same ε as that of Case BASE. The range of 235 values of w_t from 0.012 m s⁻¹ to 0.028 m s⁻¹ is justified based on previous findings that w_t 236 237 varies when the canyon aspect ratio (H/W, where H is the building height and W is the street width) is altered from 1 to a higher or lower value (e.g. Chung and Liu, 2013) and that urban surface heating may enhance w_t significantly (e.g. Cai, 2012).

240 **3** Results and discussion

241 **3.1** Overestimation of ozone levels

242 Figure 2 depicts $C_{0,1+2}$ (ppb), i.e. the 'true' concentration derived from the 'two-box' model, for all cases listed in Table 1 as a function of E_{NOx} and E_{VOCs} , once the simulations had 243 reached a quasi-steady state (here defined as at t = 4 hr). The ranges of $C_{O_3,1+2}$ for all cases are 244 listed in Table 2, which reveals that the range of $C_{O_2,1+2}$ strongly depends on the variation of 245 w_t (indicated in Figure 2(d) and Figure 2(e)) rather than the variation of ε (indicated in Figure 246 2(b) and Figure 2(c)) and that the maximum range of $C_{O_{2},1+2}$ is (5.62, 160.82) ppb for Case 247 EX-L with the lowest exchange velocity (0.12 m s⁻¹). In this study, the background O_3 248 249 concentration is approximately 43.61 ppb and by using a Region Split Line (RSL) we divide the plot area into 2 regions, i.e. Region I (with the ratio of E_{VOCs} to E_{NOx} lower than the slope 250 of RSL) for which $C_{O_{3},1+2}$ is lower than 43.61 ppb and Region II (with the ratio of E_{VOCs} to 251 E_{NOx} higher than the slope of RSL) for which $C_{O_{x},1+2}$ is higher than 43.61 ppb. The RSL for 252 253 all cases is marked in Figure 2. Figure 2(f) indicates that the RSL for Cases BASE, HE-H and 254 HE-L exhibits the same slope with the E_{VOCs} : E_{NOx} ratio (by volume) of 2.6, and the slopes of 255 the RSL are 1.9 for Cases EX-L and 3.4 for Cases EX-H (listed in Table 2). Therefore, we may conclude that the slope of the RSL depends on w_t but not on ε , and that the higher w_t , the 256 higher the slope of the RSL. In Region I, the titration effect of O_3 by NO is dominant and 257 258 therefore leads to the net destruction of O_3 (i.e. lower than the background levels). However, 259 in Region II, OH oxidation processes are dominant and sufficient VOCs are present to 260 promote the conversion of NO to NO₂ by peroxy radicals, thereby causing net ozone formation. It is therefore not surprising that $C_{O_3,1+2}$ is higher than its background level in 261 Region II. The TRES (i.e. $E_{NOx}=0.28$ ppb s⁻¹, $E_{VOCs}=0.22$ ppb s⁻¹) defined in Section 2.3.2 is 262 marked in the plots (triangle symbol); this emissions scenario, with the E_{VOCs} : E_{NOx} ratio (by 263 264 volume) of 0.786, falls into Region I for all cases. This represents the typical situation in an 265 urban area, namely that the ozone concentration inside a street canyon is lower than that in the overlying background atmosphere. It is noted in Figure 2(f) that the TRES is relatively 266 closer to the RSL for Case EX-L, in which the exchange velocity between the canyon and the 267

268 boundary layer aloft, w_t , is 40% lower than the base case. A low w_t might be caused by a 269 calm, stable meteorological condition or by a high canyon aspect ratio (i.e. large H/W). The trajectory from 2005 to 2020 in Figure 2 represents the emission scenarios of these years, 270 271 which are derived from the UK fleet composition projections (NAEI, 2003) and the UK Road 272 Vehicle Emission Factors (Boulter et al., 2009) assuming constant traffic volume and speed same as the 'TRES' for 2010. Figure 2 shows that the trajectory from 2005 to 2020 falls into 273 274 Region I and is approaching to the RSL with the reduction of VOCs and NO_x emissions due 275 to current and future control technologies, assuming constant activity (i.e. traffic) levels.

276

277 Figure 3 illustrates the transects of $C_{O_3,1+2}$ (ppb) through the emission scenarios in Figure 2(f). The rationale behind the choices is explained as follows. The dashed line, the dotted line 278 and the dot-dash line all pass through the point for the TRES, as marked in Figure 2(f). The 279 emission profile along this dashed line at the fixed E_{NOx} of 0.28 ppb s⁻¹ (Figure 3(a)) 280 281 represents a technology of targeting only E_{VOCs} from vehicles, or the roads with a varying 282 coverage of vegetation which may emit further VOCs into the urban canopy (Loughner et al., 283 2012). The emission profile along this dotted line at the fixed E_{VOCs} of 0.22 ppb s⁻¹ (Figure 284 3(b)) represents a technology of targeting only E_{NOx} . The emission profile along the dot-dash line (Figure 3(c)) represents a technology of both E_{VOCs} and E_{NOx} ("TRES-2010") with the 285 proportional traffic-emitting rate of both VOCs and NO_x for the TRES. This dot-dashed line 286 287 may also represent control of the number of vehicles in streets or scenarios for different areas 288 (busier or less busy roads) with the same fleet composition as the TRES. The trajectory (Figure 3(d)) indicates emission scenarios for the years 2005 to 2020 with the same traffic 289 volume and speed as the TRES. Figures 3(a) & 3(b) demonstrate that $C_{O_{3},1+2}$ increases with 290 291 E_{VOCs} for the "Fixed E_{NOx} " scenario, but decreases with E_{NOx} for the "Fixed E_{VOCs} " scenario. 292 Figure 3(c) suggests that for less busier roads than the TRES, $C_{0,1+2}$ is higher, and vice 293 versa. Figure 3(d) shows that as control technologies are applied, $C_{0,1+2}$ increases. By 2020 it 294 will be very close to the background level, particularly for Case EX-L for which the canopy layer is less ventilated. A higher ozone concentration also occurs to Case EX-L when E_{VOCs} is 295 296 very high for the "Fixed E_{NOx} " scenario (Figure 3(a)) or when E_{NOx} is very low for the "Fixed E_{VOCs} " scenario (Figure 3(b)). The results show a nonlinear relationship between the O₃ 297 298 concentration and E_{VOCs} and/or E_{NOx} , which is in line with many previous studies (e.g. Liu and Leung, 2008). The TRES is indicated by a solid line in Figure 3(a)-(d) and $C_{O_3,1+2}$ for all cases with the TRES are about 20 ppb with a small variation across those scenarios tested. However, the analysis below demonstrates that these concentrations by the 'two-box' model will be significantly underestimated by the 'one-box' model.

303

Figure 4 shows the values for ϕ_{O_3} (the percentage of overestimation for O₃ by the 'one-box' 304 305 model) for all cases listed in Table 1 at t=4 hr. It is interesting to notice that the RSL (defined above) of each case splits the plot area into two regions, i.e. Region I where ϕ_{O_3} is negative 306 307 and Region II where ϕ_{O_3} is positive. In Region I, ϕ_{O_3} is negative, which means the modelled 308 O₃ concentration by the 'one-box' model is lower than the 'true' value by the 'two-box' 309 model (i.e. the 'one-box' model will underestimate O_3 levels). It is further shown that if only 310 ε is changed from 0.5 (Figure 4(a)) to 0.7 (Figure 4(c)) and to 0.3 (Figure 4(b)), respectively, a rapid change in ϕ_{O_2} is found. The maximum underestimation could be up to -35.24 % for 311 312 Case HE-H (Figure 4(c)), and the minimum underestimation could be -6.12 % for Case HE-L (Figure 4(b)). The larger ε is, the higher the maximum level of ϕ_{O_3} will be. It is also noted 313 that if only the exchange velocity (w_t) is changed from 0.020 m s⁻¹ (Figure 4(a)) to 0.012 m s⁻¹ 314 ¹ (Figure 4(d)) and to 0.028 m s⁻¹ (Figure 4(e)), respectively, there is a less significant change 315 in the maximum level of ϕ_{O_2} (listed in Table 2). However, there are noticeable shifts of the 316 317 RSL (discussed above) and the isopleths patterns associated with the variation of w_t . The 318 trajectory from 2005 to 2020 falls into the underestimation area (i.e. Region I), and is marked in the plot for each case. In Region II for all the cases, the O₃ levels will be slightly over-319 320 estimated up to 3.07 % obtained for Case HE-H (Table 2).

321

Figure 5 shows the transects of ϕ_{O_3} through the lines in Figure 4(f). For the TRES emission scenario for the year of 2010 indicated by the solid line in Figure 5, underestimates of O₃ concentration by the 'one-box' model are -12.37% for Case BASE, -4.31% for Case HE-L, -25.07% for Case HE-H, -8.90% for Case EX-L and -12.30% for Case EX-H, respectively, suggesting that the effect of emission heterogeneity is more significant than the effect of exchange velocity. 328

Figure 5(a) shows that as E_{VOCs} increases at the fixed E_{NOx} of 0.28 ppb s⁻¹, the modelled O₃ 329 concentrations by the 'one-box' model are underestimated compared with the 'true' values, 330 indicated by the negative ϕ_{O_2} . The lower E_{VOCs} is, the larger the extent of underestimation 331 332 will be. Figure 5(a) also indicates that by keeping traffic-emission rate E_{NOx} unchanged, extra E_{VOCs} (e.g. from vegetation or anthropogenic activities) will reduce ϕ_{O_3} , resulting in the 333 improved performance of the 'one-box' model. However, future reduction in vehicle-related 334 E_{VOCs} , anticipated to arise from renewal of the vehicle fleet and implementation of more 335 stringent emissions reduction technologies, will lead to an increase in the magnitude of ϕ_{O_3} . 336 This also suggests that the performance of the 'one-box' model for O₃ concentration might be 337 expected to be better for a more 'green' area, with biogenic VOC emissions, assuming such 338 339 emissions were not incorporated in the model scenario / conditions.

340

Figure 5(b) illustrates the results of ϕ_{O_3} along the dotted line of Figure 4(f), i.e. varying E_{NOx} 341 for a fixed E_{VOCs} corresponding to the TRES level of 0.22 ppb s⁻¹. The modelled O₃ 342 concentrations by the 'one-box' model largely underestimate the 'true' values, indicated by 343 the negative ϕ_{O_3} (within Region I), with small positive values for ϕ_{O_3} only obtained at the 344 lowest E_{NOx} (within Region II). The magnitude of ϕ_{O_3} increases while E_{NOx} increases and the 345 346 maximum level of ϕ_{o_1} can be more than -30%. A large slope at the TRES for Case HE-H 347 suggests that reductions in vehicle NO_x emissions anticipated to arise from renewal of the vehicle fleet and implementation of more stringent emissions reduction technologies, will 348 lead to a reduction in the magnitude of ϕ_{O_3} , i.e. an improvement in model performance 349 350 overall.

351

Figure 5(c) shows the results of ϕ_{o_3} along the dot-dash line of Figure 4(f), i.e. varying E_{VOCs} and E_{NOx} with the same emission ratio (i.e. 0.786) for the TRES (e.g. less or more trafficked areas). It is noted that the performance of the 'one-box' model for a less trafficked area/scenario (e.g. Birmingham) is better than that for a more trafficked area/scenario (e.g. London). Figure 5(c) also shows that the effect of w_t on ϕ_{o_3} is relatively small for all cases. 357 However it is worth mentioning some secondary features that are counter intuititive, and thus 358 not easily interpreted. Firstly, there exists a threshold of (E_{NOx}, E_{VOCs}) below which, and 359 another threshold of (E_{NOx}, E_{VOCs}) above which, ϕ_{O_2} for Case EX-L and ϕ_{O_2} for Case EX-H are 360 on the opposing sides of ϕ_{O_3} for the base case; the first threshold of (E_{NOX}, E_{VOCs}) is about $6 \times$ (0.028, 0.022) ppb s⁻¹ and the second threshold of (E_{NOx} , E_{VOCs}) is about 10×(0.028, 0.022) 361 ppb s⁻¹. Between the two thresholds, the values of ϕ_{O_3} for both Case EX-L and Case EX-H are 362 363 larger than that for the case BASE. Secondly, according to intuition and linear reasoning, a higher w_t (Case EX-H) implies a better ventilation of the two street canyons with the 364 365 background and in consequence a smaller difference between the two canyons; this effect 366 would be similar to a smaller ε (Case HE-L) that implies a smaller difference between the two canyons. Therefore the points for Case EX-H (\blacksquare) and Case HE-L (\triangle) should appear on 367 the same side of Case BASE (O); likewise the points for Case EX-L (▲) and Case HE-H 368 369 (\Box) should appear on the same side of Case BASE (O). However, the results for O_3 concentration in Figure 3 do not always support the reasoning, neither do the results for ϕ_{O_2} 370 371 in Figure 5. These all indicate the complexity of the nonlinear chemical system and suggest 372 the necessity of in-depth analysis for specific scenarios.

373

Figure 5(d) shows the results of ϕ_{o_3} along the trajectory from the year of 2005 to 2020 as indicated by Figure 4(f). It is noted that the level of extent of underestimation deceases with year, which indicates that in the future the performance of the 'one-box' model will be better. The underestimates of O₃ concentration by the 'one-box' model for the year 2020 are -3.91% for Case BASE, -1.41% for Case HE-L, -7.60% for Case HE-H, -2.27% for Case EX-L and -3.47% for Case EX-H, respectively.

380 **3.2** Intensity of segregation between O₃ and NO

Figure 6 illustrates the results of $I_{S(O_3+NO)}$, the intensity of segregation between O3 and NO, for all cases listed in Table 1 at the quasi-steady state (*t*=4 hr) as a function of E_{NOx} and E_{VOCs} . It is interesting to notice that the RSL (defined above) of each case divides the plot area into two regions, i.e. Region I where $I_{S(O_3+NO)}$ is negative and Region II where $I_{S(O_3+NO)}$ is positive as indicated in Figure 6(a)-(e). The trajectory from the year of 2005 to 2020 falls into 386 the negative region (i.e. Region I), and is marked in the plot for each case. It can be shown that the range of $I_{S(O_3+NO)}$ (listed in Table 2) increases rapidly while ε increases from 0.3 to 387 0.7, i.e. (-7.78 %, 1.79 %) for Case HE-L, (-21.29 %, 5.21 %) for Case BASE and (-40.98 %, 388 11.02%) for Case HE-H. The range of $I_{S(O_3+NO)}$ does not change significantly with the change 389 of the exchange velocity from 0.012 m s⁻¹ to 0.028 m s⁻¹, i.e. (-21.12 %, 6.78 %) for Case 390 EX-L, (-21.29 %, 5.21 %) for Case BASE and (-21.18 %, 3.57) for Case EX-H. It is noted 391 that the plots of $I_{S(O_3+NO)}$ (Figure 6) are strongly correlated with those of ϕ_{O_3} (Figure 4). In 392 Region I for each case, the heterogeneity of emissions will lead to negative values of 393 $I_{S(O_2+NO)}$, which means that the effective rate constant of the titration reaction (NO + O₃ \rightarrow 394 NO₂ + O₂) to consume O₃, $\langle k_{eff(O_3+NO)} \rangle = k_{(O_3+NO)} (1 + I_{S(O_3+NO)})$, in the 'two-box' model is lower 395 than the original rate constant, $k_{(O_2+NO)}$, in the 'one-box' model. In other words, adopting the 396 classical rate constant $k_{(O_2+NO)}$ in the 'one-box' model results in too much titration. As a 397 result, the ozone level in the 'two-box' model (i.e. the 'true' value) is higher than the 398 399 modelled ozone level from the 'one-box' model, which agrees well with a negative value of ϕ_{O_2} , i.e. the modelled ozone level from the 'one-box' model is underestimated. In Region II 400 for each case, a positive value of $I_{S(O_3+NO)}$ is observed, which indicates that $\langle k_{eff(O_3+NO)} \rangle$ is 401 larger than $k_{(O_1+NO)}$ and the 'true' value of O₃ is less than the modelled value of O₃ by the the 402 'one-box' model. Therefore, a positive value of ϕ_{O_2} is also observed in Region II, although 403 the maximum overestimation only reaches 3.07 % (Table 2) for those scenarios considered 404 405 here. Our findings also indicate that the slope of the RSL is determined by w_t (discussed above), while the pattern and range of ϕ_{O_3} and $I_{S(O_3+NO)}$ in Region I and Region II depend 406 more closely on ε . It is also interesting to note that increasing ε will enhance the effect of 407 408 segregation and therefore promote sub-grid scale variability and potentially systematic error in modelled O₃ abundance. It appears that the impact of change in ε and w_t on ϕ_{O_3} and 409 $I_{S(Q_2+NQ)}$ is nonlinear to E_{NOx} and E_{VOCs} due to the fact that O₃ is a secondary, rather than the 410 411 primary, pollutant.

413 Figure 7 shows the cross-sectional analyses, as indicated in Figure 6(f), of $I_{S(Q_2+NQ)}$ (%). For 414 the TRES emission scenario for the year of 2010 indicated by the solid line in Figure 7, the values of $I_{S(O_3+NO)}$ are -15.47% for Case BASE, -5.38% for Case HE-L, -31.34% for Case 415 HE-H, -9.93% for Case EX-L and -17.37% for Case EX-H, respectively. It is noted that at the 416 417 fixed NO_x emission (Figure 7(a)), the magnitude of $I_{S(O_2+NO)}$ for all cases decreases (becomes more negative) with reduced E_{VOCs} . However, at the fixed E_{VOCs} (Figure 7(b)), the value of 418 $I_{S(Q_2+NQ)}$ for each case decreases from positive to exclusively negative values with increased 419 420 E_{NOx} in Region II and then becomes increasingly negative as E_{NOx} continues to increase in 421 Region I. It is interesting that the smaller the values of ε or w_t (Figure 7(a) and Figure 7(b)) 422 are, the smaller the magnitude of $I_{S(O,+NO)}$ (compared with Case BASE) will be. It can be 423 seen from Figure 7(c) that $I_{S(O_2+NO)}$ becomes less negative for less trafficked area/scenario 424 and seems to be stable for the more polluted area/scenario. Figure 5(d) shows that the magnitudes of $I_{S(Q_2+NQ)}$ decrease with year, suggesting that in the future the segregation 425 426 effect on ozone levels would be less signigicant. The comparison between the plots in Figure 7 with their equivalents in Figure 5 also indicates a strong relationship bewteen $I_{S(O_3+NO)}$ and 427 428 ϕ_{O_3} .

429 **4 Conclusions**

430 Segregation effects of heterogeneous emissions have been examined by considering the surface sub-grid emission heterogeneity in two idealised urban street canyons within the 431 432 urban canopy layer and investigated how differing chemical effects (arising from the 433 heterogeneity of emissions) and dynamic effects (i.e. exchange velocity) influence the error 434 in O_3 if implementing the grid-averaging paramerization for heterogeneous emissions. This study offers a better understanding of the paramerization of raw emissions for urban air 435 436 quality models by highlighting the importance of segregation effects of heterogeneous emissions within the typical city-blocks (i.e. urban street canyons) and by providing a 2D 437 438 pattern of overestimation for O₃. The common situations in urban areas are found to fall into 439 Region I where the modelled O₃ concentration in street canyons (lower than that in the 440 overlying background atmosphere) by the 'one-box' model will be underestimated compared with the 'true' value by the 'two-box' model. Our findings also indicate that the performance 441 442 of the 'one-box' model for O₃ concentration is better for a more 'green' area with extra VOCs

443 sources and for the less trafficked area/scenario. Future emission trends are expected to lead 444 to the error in the 'one-box' model approach falling. The error in ozone levels is strongly linked to segregation effects of heterogeneous emissions and is balanced by both dynamics 445 and chemistry. This study is restricted to two boxes by considering only two typical street 446 canyons with emission hetergeneity, which are totally segregated, neither transported nor 447 448 mixed with each other. Future studies should take more photochemical boxes into 449 consideration and model more scenarios well represented by more street canyons. Our final 450 remark is that finding an appropriate real-world dataset to evaluate the box-averaged 451 concentrations of this study is challeging due to the fact that concentrations of chemical 452 species such as ozone are non-uniform inside a street canyon (Bright et al., 2013). Therefore 453 high spatial density observations of pollutant concentrations inside street canyons are needed 454 in support of a rigorous evaluation of the modelling approach. Recent development of low-455 cost sensors (e.g. Mead et al. 2013) provides a potential for the task to be completed in the 456 future.

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- 458

459 **Acknowledgements**

The authors would like to thank Dr Vivien Bright for provision of the reduced chemical scheme (RCS). JZ thanks to the University of Birmingham for the award of a Li Siguang Scholarship, which is offered in partnership with the China Scholarship Council (CSC). The helpful comments of the anonymous reviewers are gratefully acknowledged.

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468 Table 1. Overview of the model scenarios

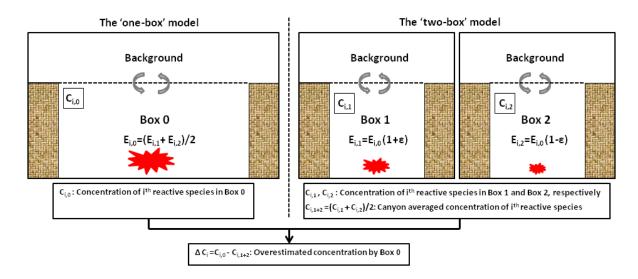
Case	Heterogeneity of emissions (ɛ)	Exchange velocity w_t (m.s ⁻¹)
BASE	0.5	0.02
HE-L	0.3	0.02
HE-H	0.7	0.02
EX-L	0.5	0.012
EX-H	0.5	0.028

Note: 'BASE' is the base case. 'HE' denotes the heterogeneity of emissions, while 'EX' means the exchange velocity. 'L' or 'H' represents a lower or higher value than the corresponding component in the base Case BASE.

Case $C_{O_{3},1+2}$ (ppb) $I_{S(O_3+NO)}(\%)$ ϕ_{O_3} (%) Slope of RSL (E_{VOCs} : E_{NOx}) (min, max) (min, max) (min, max) BASE (ϵ =0.5, w_t =0.02 m s⁻¹) (7.56, 88.51) (-17.35, 1.48) (-21.29, 5.21) 2.6 HE-L (ϵ =0.3, w_t =0.02 m s⁻¹) (6.70, 89.16) (-6.12, 0.52) (-7.78, 1.79) 2.6 HE-H (ϵ =0.7, w_t =0.02 m s⁻¹) (9.69, 87.34) (-35.24, 3.07) (-40.98, 11.02)2.6 EX-L (ϵ =0.5, w_t =0.012 m s⁻¹) (5.62, 160.82) (-17.31, 2.26) (-21.12, 6.78) 1.9 EX-H (ϵ =0.5, w_t =0.028 m s⁻¹) (9.58, 68.13) (-17.25, 0.82) (-21.18, 3.57) 3.4

471 Table 2. Overview of the range of values among emission scenarios for all cases

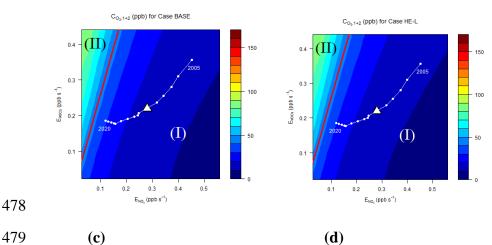
Note: 'BASE' is the base case. 'HE' denotes the heterogeneity of emissions, while 'EX' means the exchange velocity. 'L' or 'H' represents a lower or higher value than the corresponding component in the base Case BASE. $C_{O_3,1+2}$ denotes the true concentration of O₃ (ppb); ϕ_{O_3} means the *percentage of overestimation* for O₃ by the 'one-box' model (%); $I_{S(O_3+NO)}$ is the *intensity of segregation* between O₃ and NO (%); RSL represents the Region Split Line; E_{VOCs} and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹).



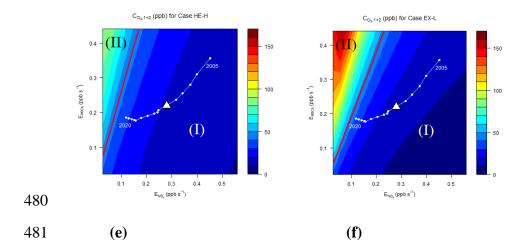
475 Figure 1. Overview of the model setup. $E_{i,m}$ means the emission rate of ith species in Box m (m=0,1,2) (ppb s⁻¹);

 ϵ is the heterogeneity of emissions.









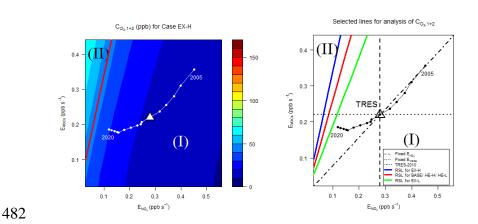
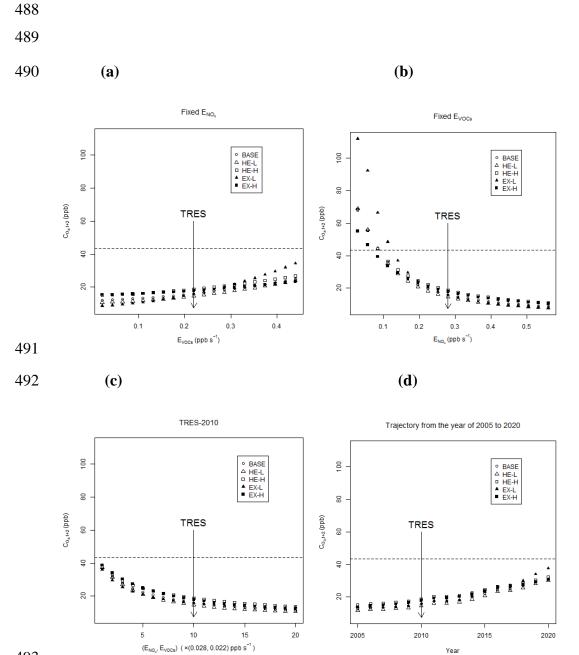


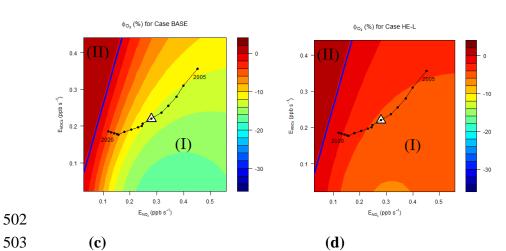
Figure 2. $C_{O_3,1+2}$ (ppb), the 'true' concentration of O_3 derived from the 'two-box' model, in the (a) Case 483 484 BASE, (b) Case HE-L, (c) Case HE-H, (d) Case EX-L, (e) Case EX-H and (f) Selected lines for analysis. Evocs 485 and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); RSL means Region Split Line; \triangle represents 486 the 'Typical Real-world Emission Scenario', TRES, for the year of 2010; The trajectory from 2005 to 2020 487 represents the emission scenarios for 2005 to 2020, assuming constant traffic volume and speed.



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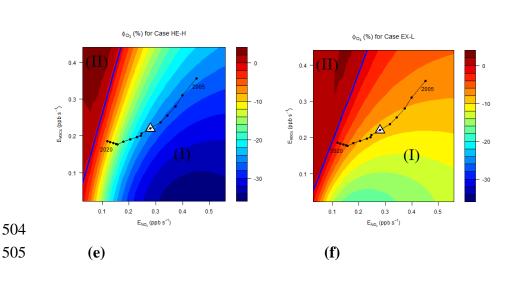
Figure 3. $C_{O_3,1+2}$ (ppb), the 'true' concentration of O₃ derived from the 'two-box' model, for (a) "Fixed E_{NOx} " at a fixed NO_x emissions (0.28 ppb s⁻¹), (b) "Fixed E_{VOCs} " at a fixed VOCs emissions (0.22 ppb s⁻¹), (c) "TRES-2010" varying the total traffic volume only and (d) "Trajectory from the year of 2005 to 2020" assuming constant traffic volume and speed. E_{VOCs} and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); The dashed line indicates the background O₃ level of 43.61 ppb; The solid line indicates the 'Typical Real-world Emission Scenario', TRES, for the year of 2010.

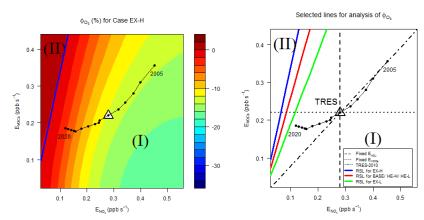




(b)





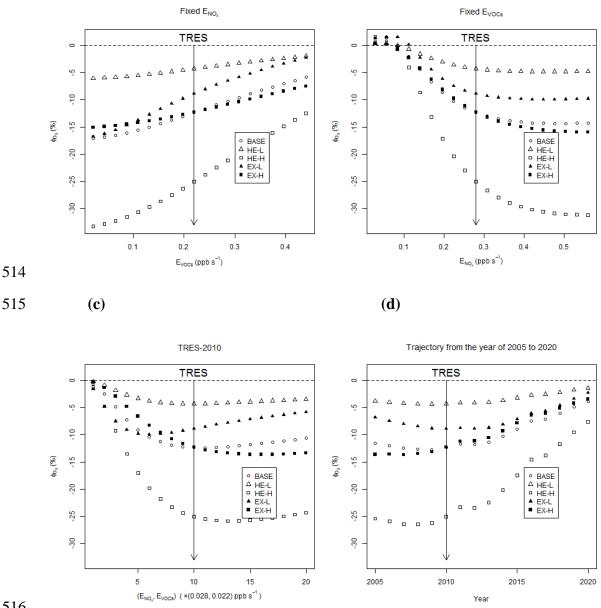




507 Figure 4. ϕ_{O_3} (%), the percentage of overestimation for O₃ by the 'one-box' model, in the (a) Case BASE, (b) 508 Case HE-L, (c) Case HE-H, (d) Case EX-L, (e) Case EX-H and (f) Selected lines for analysis. E_{VOCs} and E_{NOx} are 509 the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); RSL means Region Split Line; \triangle represents the 'Typical 510 Real-world Emission Scenario', TRES, for the year of 2010; The trajectory from 2005 to 2020 means the 511 emission scenarios for 2005 to 2020, assuming constant traffic volume and speed.

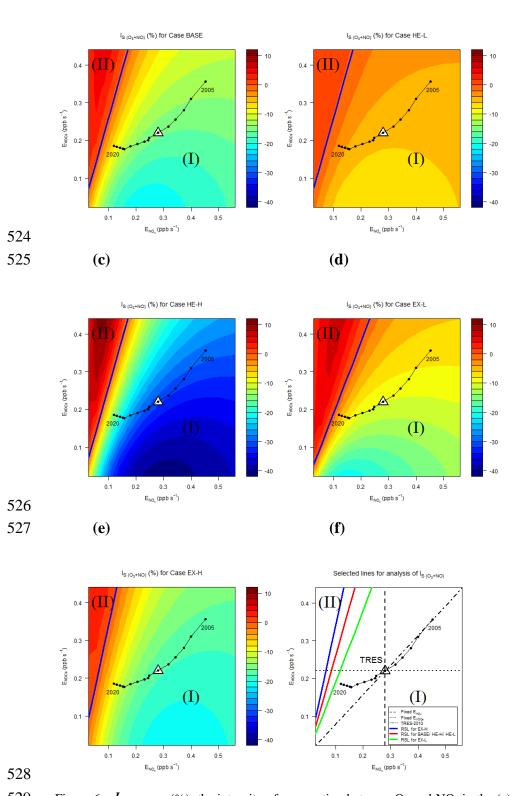






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517 Figure 5. ϕ_{O_3} (%), the percentage of overestimation for O₃ by the 'one-box' model, for (a) "Fixed E_{NOx} " at a fixed NO_x emissions (0.28 ppb s⁻¹), (b) "Fixed E_{VOCs} " at a fixed VOCs emissions (0.22 ppb s⁻¹), (c) "TRES-518 519 2010" varying the total traffic volume only and (d) "Trajectory from the year of 2005 to 2020" assuming 520 constant traffic volume and speed. E_{VOCs} and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); The 521 solid line indicates the 'Typical Real-world Emission Scenario', TRES, for the year of 2010.



(b)

523

(a)

Figure 6. $I_{S(O_3+NO)}$ (%), the intensity of segregation between O₃ and NO, in the (a) Case BASE, (b) Case HE-L, (c) Case HE-H, (d) Case EX-L, (e) Case EX-H and (f) Selected lines for analysis. E_{VOCs} and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); RSL means Region Split Line; \triangle represents the 'Typical

532 Real-world Emission Scenario', TRES, for the year of 2010; The trajectory from 2005 to 2020 indicates the

533 emission scenarios for 2005 to 2020, assuming constant traffic volume and speed.



(b)

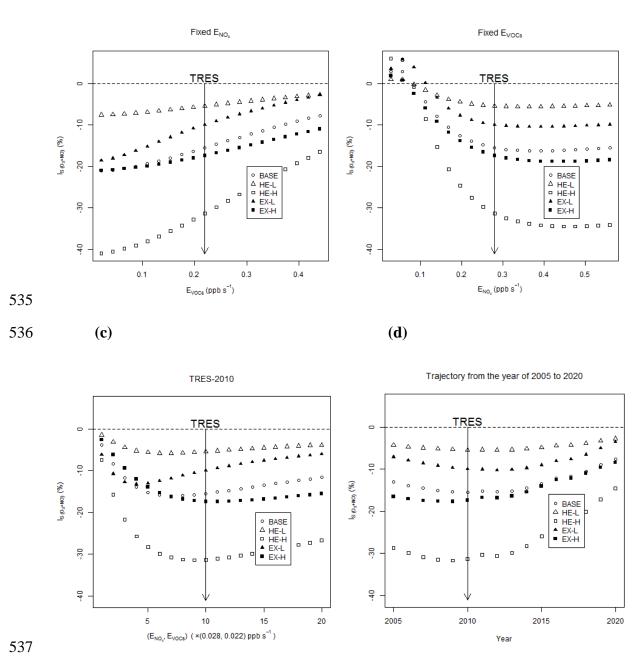


Figure 7. $I_{S(O_3+NO)}$ (%), the intensity of segregation between O₃ and NO, for (a) "Fixed E_{NOx} " at a fixed NO_x emissions (0.28 ppb s⁻¹), (b) "Fixed E_{VOCs} " at a fixed VOCs emissions (0.22 ppb s⁻¹), (c) "TRES-2010" varying the total traffic volume only and (d) "Trajectory from the year of 2005 to 2020" assuming constant traffic volume and speed. E_{VOCs} and E_{NOx} are the emission rates of VOCs and NO_x, respectively (ppb s⁻¹); The solid line indicates the 'Typical Real-world Emission Scenario', TRES, for the year of 2010.

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