

# Cranial functional morphology of the pseudosuchian *Effigia* and implications for its ecological role in the Triassic

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1 Cranial functional morphology of the pseudosuchian *Effigia* and implications for its ecological role  
2 in the Triassic

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18 Data availability statement: Measurements and calculations for *Effigia* muscle and bite forces can be  
19 found in the Supplementary Information. Scaling information for the *Ornithomimus*, *Struthio* and  
20 *Alligator* models can be found in Table 1.

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## 44 ABSTRACT

45 Pseudosuchians, archosaurian reptiles more closely related to crocodylians than to birds, exhibited  
46 high morphological diversity during the Triassic with numerous examples of morphological  
47 convergence described between Triassic pseudosuchians and post-Triassic dinosaurs. One example is  
48 the shuvosaurid *Effigia okeeffeae* which exhibits an “ostrich-like” bauplan comprising a gracile  
49 skeleton with edentulous jaws and large orbits, similar to ornithomimid dinosaurs and extant  
50 palaeognaths. This bauplan is regarded as an adaptation for herbivory, but this hypothesis assumes  
51 morphological convergence, confers functional convergence, and has received little explicit testing.  
52 Here, we restore the skull morphology of *Effigia*, perform myological reconstructions, and apply  
53 finite element analysis to quantitatively investigate skull function. We also perform finite element  
54 analysis on the crania of the ornithomimid dinosaur *Ornithomimus edmontonicus*, the extant  
55 palaeognath *Struthio camelus* and the extant pseudosuchian *Alligator mississippiensis* to assess the  
56 degree of functional convergence with taxa that exhibit “ostrich-like” bauplans and its closest extant  
57 relatives. We find that *Effigia* possesses a mosaic of mechanically strong and weak features,  
58 including a weak mandible that likely restricted feeding to the anterior portion of the jaws. We find  
59 limited functional convergence with *Ornithomimus* and *Struthio* and limited evidence of  
60 phylogenetic constraints with extant pseudosuchians. We infer that *Effigia* was a specialist herbivore  
61 that likely fed on softer plant material, a niche unique among the study taxa and potentially among  
62 contemporaneous Triassic herbivores. This study increases the known functional diversity of  
63 pseudosuchians and highlights that superficial morphological similarityconvergence between  
64 unrelated taxa does not always imply functional and ecological convergence.

65

66 Key words: pseudosuchian, *Effigia*, Triassic, convergence, herbivory, functional morphology,  
67 *Ornithomimus*, *Struthio*

## 68 INTRODUCTION

69 The Triassic Period was a key time in evolutionary history that witnessed the emergence and  
70 radiation of Archosauria; the group of reptiles that includes crocodylians and birds (Nesbitt, 2003;  
71 2011; Nesbitt et al., 2010; Butler et al., 2011). The Late Triassic is considered a highly successful  
72 interval for Pseudosuchia – archosaurs more closely related to crocodylians than to birds – as this  
73 clade exhibited high levels of morphological diversity during this time (Brusatte et al., 2008, 2010).  
74 Numerous instances of morphological convergence have been described between Late Triassic  
75 pseudosuchians and distantly related archosaurs, many of which post-date the Triassic (Stocker et al.,  
76 2016). Examples include: the large, broad crania of ornithosuchids and rauisuchids, reminiscent of  
77 large theropod dinosaurs (Walker, 1964; Brusatte et al., 2009; Weinbaum, 2011, 2013); the  
78 quadrupedal, armoured bodies of aetosaurs, similar to the converging-on body plans of ankylosaurian  
79 dinosaurs (Desojo et al., 2013; Stocker et al., 2016); and the elongate rostra and bodies of phytosaurs  
80 which are similar to those of extant crocodylians (Chatterjee, 1978; Stocker, 2012; Witzmann et al.,  
81 2014). Pseudosuchians were thus among the dominant tetrapods of many Late Triassic food webs  
82 and filled a diverse array of ecological roles within terrestrial and semi-aquatic ecosystems (Brusatte  
83 et al., 2008).

84 Another well-known case of morphological convergence is the shuvosaurid poposauroid *Effigia*  
85 *okeeffeae* from the Late Triassic of southwestern USA (Nesbitt & Norell, 2006; Nesbitt, 2007).  
86 *Effigia* has been described as having a theropod-like body plan due to its gracile morphology, bipedal  
87 posture and the way in which its femora articulate with the pelvis (Nesbitt, 2007). More specifically,  
88 although all known cranial material of *Effigia* is partially crushed, reconstructions suggest a  
89 remarkable level of cranial convergence with Late Cretaceous ornithomimid dinosaurs, including  
90 large cranial fenestrae, enlarged orbits and edentulous jaws that were likely covered with a  
91 rhamphotheca (Norell et al., 2001; Nesbitt, 2007; Stocker et al., 2016). A similar cranial morphology  
92 is also present in extant palaeognath birds, most notably the ostrich (*Struthio camelus*) (Zusi, 1993),

93 and, to a lesser extent, the abelisauroid dinosaur *Limusaurus* from the Late Jurassic (Xu et al., 2009;  
94 Stocker et al., 2016). This independent, repeated evolution of an edentulous, bipedal and gracile  
95 bauplan (informally referred to as “ostrich-like”) not only further highlights the morphological  
96 disparity of Late Triassic pseudosuchians but also acts as an example of the extent to which  
97 archosaurs repeatedly occupied the same areas of morphospace (Brusatte et al., 2008, 2010; Nesbitt,  
98 2011; Stocker et al., 2016).

99 An ostrich-like bauplan has been cited as a possible adaptation for herbivory (Osmólska, 1997;  
100 Makovicky et al., 2004; Barrett, 2005; Nesbitt, 2007; Stocker et al., 2016) because extant birds with  
101 these features are known to be herbivorous and have been studied in detail (e.g. in *Struthio*; Williams  
102 et al., 1993; Milton et al., 1994). Observational studies are not possible for extinct taxa, but  
103 inferences can be made in various ways. Most dietary interpretations of *Effigia* and ornithomimids  
104 come from: (i) comparative morphology of anatomical characters with extant birds such as  
105 palaeognaths and Anseriformes (waterfowl) (Norell et al., 2001; Barrett, 2005; Nesbitt, 2007); (ii)  
106 assessing the evolutionary pathways of cranial eco-functional characters that likely facilitated  
107 herbivory (Zanno & Makovicky, 2011; Button & Zanno, 2020); (iii) preserved gut contents; and iv)  
108 other evidence such as the presence of a gastric mill (Kobayashi et al., 1999; Makovicky et al.,  
109 2004). These types of evidence, however, are limited either by the quality of the fossil record or by  
110 assumptions on the strength of relationships between morphology and inferred function (Bestwick et  
111 al., 2018 and references therein). Quantitative investigations into the degree of functional  
112 convergence between *Effigia* and morphologically similar, but distantly related, archosaurs are thus  
113 needed for inferring the likelihood that these taxa performed similar ecological roles.

114 Few studies have investigated the functional morphology of Triassic pseudosuchians, particularly  
115 with regard to potential feeding behaviours. Nevertheless, some valuable insights have been gained  
116 into pseudosuchian diets, how these taxa partitioned or competed for resources and on their broader  
117 evolution by using various biomechanical modelling methods (Desojo & Vizcaíno, 2009; [von von](#)

118 Baczko et al., 2014; [von](#) Baczko, 2018; Taborda et al., 2021). Two-dimensional muscle  
119 reconstructions and lever mechanical modelling of aetosaur jaws, for example, found that some  
120 aetosaurs had slow and powerful bites, interpreted as an adaptation for processing tough vegetation,  
121 whereas others exhibited faster, weaker bites interpreted as evidence of facultative insectivory  
122 (Desojo & Vizcaíno, 2009). Similar techniques found that ornithosuchids were capable of  
123 intermediately powerful, slower bites and were thus likely to have occupied a mesopredator and/or  
124 scavenger role in Late Triassic food webs ([von](#) Baczko, 2018). Two-dimensional models are,  
125 however, a simplified version of complex three-dimensional anatomy and are only capable of  
126 modelling jaw function via simple lever mechanics (Kammerer et al., 2006; Davis et al., 2010;  
127 Anderson et al., 2011; Porro et al., 2011; Santana, 2016). This is particularly problematic for many  
128 archosaur groups, such as extant crocodylians, which can generate high mediolateral forces from  
129 their jaw muscles (Porro et al., 2011). By contrast, three-dimensional techniques, such as finite  
130 element analysis (FEA), can more accurately predict the performance of organic structures because  
131 they can: i) predict biomechanical stresses and strains across the whole 3D skull; ii) allow  
132 incorporation of soft tissue elements such as rhamphothecae to improve biological realism  
133 (Lautenschlager et al., 2013; Cuff & Rayfield, 2015) and; iii) can enable modelling of a wider range  
134 of feeding-related behaviours, such as twisting, shaking and pecking (Porro et al., 2011; Rayfield,  
135 2011; Walmsley et al., 2013; McCurry et al., 2015; Taborda et al., 2021). Representative  
136 investigations into the functional morphology of *Effigia* can thus help to elucidate the true level of  
137 functional convergence between this pseudosuchian and other morphologically-similar members of  
138 Avemetatarsalia (archosaurs more closely related to birds than crocodiles).

139 Here, we restore the original morphology of the crushed and deformed skull of *Effigia*, perform  
140 myological reconstructions and apply 3D FEA to investigate the functional morphology of this Late  
141 Triassic pseudosuchian, in order to assess its degree of functional convergence with other taxa that  
142 exhibit an ostrich-like bauplan. To achieve the latter aim we used previously published 3D cranial

143 models from the ornithomimid dinosaur *Ornithomimus edmontonicus* and the palaeognath bird  
144 *Struthio camelus* (Cuff et al., 2015; Cuff & Rayfield, 2015). We also included a cranial dataset from  
145 *Alligator mississippiensis* in order to include an extant pseudosuchian and a morphological outgroup  
146 (Montefeltro et al., 2020). Finally, we modelled the impacts of different-sized rhamphothecae for our  
147 extinct study species and simulated pecking-like behaviours for all taxa in order to provide more  
148 stringent tests on the degrees of functional convergence and to better assess whether unrelated  
149 ostrich-like taxa performed the same ecological roles.

150

#### 151 Institutional Abbreviations

152 AMNH, American Museum of Natural History, New York, NY, USA; OUVC, Ohio University  
153 Vertebrate Collections, Athens, OH, USA; ROM, Royal Ontario Museum, Toronto, Ontario, Canada;  
154 RTMP, Royal Tyrrell Museum of Paleontology, Drumheller, Alberta, Canada.

#### 155 MATERIALS AND METHODS

##### 156 Specimen Information

157 The holotype of *Effigia okeeffeae* (AMNH FR 30587) was computed tomography (CT) scanned at  
158 Stony Brook University Hospital on a GE Systems Lightspeed 16 scanner with an interslice  
159 thickness of 0.625 mm. For full image specifications and post-processing procedures, see Nesbitt  
160 (2007). The unretrodeformed dataset can be requested through the AMNH.

161 For comparisons, we modelled the crania of *Struthio*, *Ornithomimus* and *Alligator*. The *Struthio*  
162 specimen was micro-computed tomography ( $\mu$ CT) scanned at the University of Hull, UK, using a X-  
163 Tek HMX 160 scanner. Due to specimen size, it was scanned in two parts (anterior and posterior;  
164 758 slices and 846 slices, with voxel sizes of 0.1594 mm and 0.1425 mm respectively). Both scan  
165 sets were rotated and resampled to the same voxel size (0.1594 mm resolution; see also Cuff et al.



166 2015). The *Ornithomimus* specimen (RTMP 1995.110.0001) was scanned along the coronal axis for  
167 a total of 420 slices (0.63 mm thickness) with a General Electric (GE) LightSpeed Plus CT scanner  
168 (see also Tahara & Larsson 2011 and Cuff & Rayfield 2015). The *Alligator* specimen (OUVC 9761)  
169 was scanned at O'Bleness Memorial Hospital, Athens, Ohio, using a GE Lightspeed Ultra Multislice  
170 CT scanner equipped with the Extended Hounsfield option and a "bow-tie" filter. The specimen was  
171 scanned helically at a slice thickness of 625  $\mu\text{m}$ , 120–140 kV and 200–300 mA (see also Witmer &  
172 Ridgely 2008).

173 *Struthio* was chosen for comparison as it is the taxon most often used by palaeontologists as a  
174 reference for inferring palaeognath-like behaviours in extinct taxa (Barsbold & Osmólska, 1990;  
175 Osmólska, 1997; Ji et al., 2003; Zanno & Makovicky, 2011), and it has also been the subject of  
176 several biomechanical studies (Rayfield, 2011; Cuff et al., 2015). For this study, sutures were not  
177 separately modelled from the rest of the cranium, producing a model that does not exhibit functional  
178 kinesis. We acknowledge that this results in a simplified cranium as sutures are known to alter and  
179 modulate stress and strain distributions in many taxa, both at the sutural junction and, in some cases,  
180 across the entire cranium (Herring & Teng, 2000; Rafferty et al., 2003; Kupczik et al., 2007; Moazen  
181 et al., 2009; Curtis et al., 2013; Jones et al., 2017; Dutel et al., 2021). This simplification was done  
182 for several reasons (i) sutures take substantial time and anatomical expertise to model, particularly in  
183 *Struthio* where some cranial sutures may become partially fused as individuals mature (Cuff et al.,  
184 2015). Consequently, the degree of sutural fusion is not always clear from CT scans and is difficult  
185 to representatively model; (ii) sutures represent another level of biological complexity that was  
186 avoided to make more general inferences from our results; (iii) we can make some post hoc  
187 inferences as to how the sutures might alter our results based on other more detailed analyses; (iv)  
188 our *Struthio* muscle model is already somewhat hypothetical; due to using jaw muscle attachment  
189 site from neognath birds as proxies where osteological correlates were not clear such as the jaw  
190 muscle arrangements (see Bite force for further information Muscle reconstructions; Rayfield, 2007;

191 Cuff et al., 2015). We did, however, produce a second *Struthio* model with simulated palatobasal  
192 (parasphenoid-pterygoid) and otic (quadrate-squamosal) joints (Bailleul et al., 2017). It should be  
193 made clear that this does not model the entire extent of rynchokinesis observed in *Struthio*, which  
194 would take more than adding just these two pairs of joints (and is also beyond the scope of the  
195 study). The inclusion of these joints, however, does allow some insight to be gained into their  
196 functional role during feeding behaviours. Results from the ‘jointed’ *Struthio* model can be found in  
197 the Supplementary Information. *Ornithomimus* was chosen due to its frequently noted high degree of  
198 morphological convergence with *Struthio* and the availability of complete and three-dimensionally  
199 preserved cranial material (Cuff & Rayfield, 2015). *Alligator* was included as an extant  
200 representative of the pseudosuchian lineage and as an outgroup with markedly different cranial  
201 morphology from the other study taxa due to the presence of teeth and a dorso-ventrally flattened and  
202 mediolaterally broader skull (Busbey, 1989). This sample enables a more thorough investigation into  
203 whether morphological convergence leads to functional convergence among unrelated taxa with  
204 ostrich-like bauplans.

205

## 206 Retrodeformation and Digital Reconstruction

207 The CT image files of *Effigia* were imported into Avizo (version 7.0 & 8.0, Visualisation Science  
208 Group) for segmentation from the surrounding matrix. The individual skull elements were  
209 highlighted and separately labelled using the segmentation editor in Avizo to produce surface models  
210 and volumes. In some cases, individual skull bones had broken into multiple pieces during  
211 fossilization and post-fossilization processes (compaction, uplift, etc.; Fig. S1). All elements were  
212 subsequently retrodeformed to their hypothesised original morphology and realigned to restore the  
213 skull to an approximate non-deformed condition (Fig. S1). Retrodeformation was carried out in  
214 Avizo. Only two *Effigia* skulls are known, one largely complete and one partially preserved, and

215 both exhibit some deformation in the form of breakage, displacement, plastic deformation, or a  
216 combination of some or all three (Nesbitt & Norell, 2006; Nesbitt, 2007). The restoration process  
217 followed the steps outlined by Lautenschlager (2016) and was informed by: the topographic  
218 relationships of individual elements in the 3D CT scan data; identification and subsequent repair of  
219 cracks and holes; and osteological comparisons with closely related taxa, such as extant  
220 crocodylians. Osteological features that were badly damaged, or missing entirely, on one side of the  
221 skull were substituted by mirroring the corresponding feature from the opposite side of the skull,  
222 assuming bilateral symmetry. Palatal features were assembled first, followed by the remainder of the  
223 cranium, and lastly the mandibles, in order to better identify the original dimensions of the skull, in  
224 particular, reconstruction of the cranial and mandibular widths through the quadrate-squamosal and  
225 quadrate-articular articulations and through the contact point of the ectopterygoid-mandible, lacrimal  
226 and jugal (Fig. S1). It should be noted that the palate morphology of *Effigia* is unique, so the  
227 reconstruction was based on the constraints of other skull bones and with broad comparisons with  
228 other archosaurs such as crocodylians. Annotated diagrams of the complete retrodeformed cranium  
229 and mandible are shown in Fig. 1.

230 The full *Ornithomimus* retrodeformation protocol can be found in Cuff & Rayfield (2015) but the  
231 main steps are noted here for completeness. Retrodeformation took place in Avizo 7.0. All  
232 *Ornithomimus* cranial material exhibits some form of deformation, thus specimens ROM 841 and  
233 ROM 851 were observed first hand to inform the process. Where relevant, the cranial morphology of  
234 other ornithomimids such as *Sinornithomimus dongi* (Kobayashi & Lü, 2003) and *Gallimimus*  
235 *bullatus* (Osmólska et al., 1972) were used to aid the process. The palatines and pterygoids exhibited  
236 quite large degrees of mediolateral displacement and overlap. The palatal bones were therefore  
237 individually segmented and aligned and then used as a marker for estimating the mediolateral  
238 dimensions for the rest of the cranium. Subsequent filling of cracks, holes and missing material were  
239 performed as per Lautenschlager (2013).

240 To increase the degree of model realism, keratinous rhamphothecae were added to the crania and  
241 mandibles of the three edentulous study taxa using Avizo. All rhamphothecae were modelled around  
242 3 mm thick, informed by tentative soft tissue preservation in ornithomimids (Norell et al., 2001; Cuff  
243 & Rayfield, 2015), and modelled as a homogenous and isotropic layer that attached directly to the  
244 bone. Although this does not capture the full biological complexity of rhamphothecae, it does enable  
245 more representative comparisons between the study taxa. The modelled *Struthio* rhamphotheca  
246 covers much of the premaxilla and maxilla on the cranium, partially surrounding the nares, and  
247 extends to the jugal bar along the upper jaw. Accurately inferring the presence and shape of  
248 rhamphothecae in extinct taxa is difficult as these non-mineralised tissues are rarely preserved  
249 (Norell et al., 2001), and there are no conclusive osteological correlates for these structures (see  
250 Lautenschlager et al. 2014 and Cuff & Rayfield 2015 for a discussion). Two different rhamphotheca  
251 morphologies, dubbed ‘small beak’ and ‘large beak’, were therefore created for both *Effigia* and  
252 *Ornithomimus* to encompass the lower and higher ranges of possible shapes based on the shapes of  
253 the cranial bones (Fig. 2). The small beak cranial rhamphotheca of *Effigia* covers the anterior half of  
254 the premaxilla (Fig. 2A; 2B) and the small beak rhamphotheca of *Ornithomimus* covers the ventro-  
255 lateral and ventral margins of the premaxilla and anterior half of the maxilla (Fig. 2I; 2J). The large  
256 beak cranial rhamphotheca of *Effigia* extends to the anterior edges of the nasal and maxilla bones  
257 (Fig. 2C; 2D), and the large beak rhamphotheca of *Ornithomimus* extends to the anterior margins of  
258 the antorbital fenestrae without covering the nares (Fig. 2K; 2L). The small beak mandibular  
259 rhamphotheca of *Effigia* covers the anterior half of the dentary (Fig. 2E; 2F) and the large beak  
260 mandibular rhamphotheca extends to the posterior margin of the dentary (Fig. 2G; 2H).

261

262 Muscle Reconstructions

263 Muscle origination and insertion sites for *Effigia* (Fig. 3) were identified for each jaw adductor  
264 muscle independently based on osteological correlates such as muscle scars, ridges and depressions.  
265 Where such features were badly preserved, obscured or altogether absent, extant phylogenetic  
266 bracketing was used to infer the positions and extents of muscle attachment sites. Following Holliday  
267 & Witmer (2007), *Effigia* myoanatomy was bracketed between that of extant crocodylians (Busbey,  
268 1989; Holliday et al., 2013) and birds (Webb, 1957; Lautenschlager et al., 2014), with the extant  
269 lepidosaur *Sphenodon punctatus* (Holliday & Witmer, 2007; [Jones et al., 2009](#)) used as an outgroup.  
270 Reconstructions of the myoanatomy of non-avian theropod dinosaurs (Holliday, 2009;  
271 Lautenschlager, 2013) were also consulted as independent reference points.

272 The origin and insertion sites for each muscle were connected by thin cylinders connecting the centre  
273 of each site. Where necessary, cylinder pathways were adjusted to avoid cross-cutting each other and  
274 osteological structures (Curtis et al., 2009). Additional cylinders were then plotted from the edges of  
275 each muscle attachment site to produce simplistic frames that were ‘fleshed out’ to create full 3D  
276 muscle reconstructions. The final size and shape of each muscle was determined by the geometry of  
277 the surrounding bone surfaces and by preventing any cross-cutting between muscles.

278 Based on phylogenetic bracketing, we infer that *Effigia* had a fibrocartilaginous sesamoid, similar  
279 (though not necessarily homologous) to the cartilago transiliens of extant crocodylians, within its  
280 adductor chamber (Tsai & Holliday, 2011). In extant crocodylians, this structure develops as a  
281 fibrous nodule within the medial portion of the m. pseudotemporalis superficialis tendon, becoming  
282 continuous with the m. intramandibularis and eventually forming connections with immediately  
283 surrounding muscles and a fibrous connection to the coronoid eminence (Tsai & Holliday, 2011).  
284 The sesamoid serves to prevent damage and tendon flattening as associated muscles wrap around a  
285 trochlear surface; in the case of crocodylians this relates to the m. pseudotemporalis and m.  
286 intramandibularis complex passing over the pterygoid wing, although analogous structures are found  
287 in turtles within the adductor mandibulae externus group where it passes over the trochlear process

288 of the quadrate (Bramble, 1974), in a range of squamates where it is associated with the quadrate  
289 (Montero et al., 2017), and in birds where sesamoids are commonly found within the jugomandibular  
290 ligament (Burton, 1973).

291 A fibrocartilaginous linkage between the m. pseudotemporalis superficialis and the m.  
292 intramandibularis was noted in birds and turtles by Holliday & Witmer (2007), leading Tsai &  
293 Holliday (2011) to suggest the possibility of homology between these structures; if this were the case  
294 a fibrocartilaginous link between these muscles would be plesiomorphic for archosaurs.

295 In *Effigia* the path of the m. pseudotemporalis superficialis and m. intramandibularis wraps around  
296 the pterygoid wing and at the same point is laterally constricted by the surangular. The pterygoid  
297 wing itself is dorsolaterally broad and rounded rather than thin and flat, therefore providing a smooth  
298 trochlear-like surface for a hypothetical intertendon to articulate around. Due to the similarity in  
299 position to the fibrocartilaginous linkage in turtles and birds (Holliday & Witmer, 2007), the  
300 presence of cranial sesamoids at tendinous pressure-points in a range of phylogenetically bracketing  
301 taxa and the likely application of regular pressure at this point, we reconstructed a small sesamoid at  
302 this point in *Effigia*. The sesamoid was considered in our muscle reconstructions and in placing the  
303 muscle forces for the FEA models. Due to the difficulty in modelling fibrocartilaginous structures  
304 suspended between muscle bodies, the sesamoid was not incorporated as a separate component in the  
305 FEA models. However, the effect of this sesamoid on muscle vectors was retained during analyses as  
306 the mandible and cranium are modelled separately.

### 307 Bite Force

308 Muscle force estimates ( $F_{\text{mus}}$ ) were calculated using a modified version of the dry skull method of  
309 Thomason (1991). Average cross-sectional areas (CSA) of each muscle were obtained using the  
310 Avizo material statistics module, which lists the respective CSA values for each individual material  
311 (in this instance the muscles). Muscle force was calculated for each muscle individually (i.e. for one

312 side of the skull), using Eq. 1, assuming an isometric muscle stress value ( $\sigma$ ) of 0.3 N mm<sup>-2</sup>,  
 313 following Thomason (1991) and Lautenschlager (2013):

$$314 \quad F_{\text{mus}} = \text{CSA} \times \sigma$$

315 This method is rather simplistic as it does not account for the pennation angle of the individual  
 316 muscle fibres, likely resulting in underestimations of muscle and bite forces. Muscle forces acting in  
 317 dorsoventral directions were used for bite force lever mechanics as anteroposterior and mediolateral  
 318 muscle forces have a very limited influence on jaw closure (Cuff & Rayfield, 2015). Muscle  
 319 insertion angles from the vertical axis were measured directly in the three-dimensional model in both  
 320 the sagittal ( $\alpha$ ) and the coronal planes ( $\beta$ ) using the Avizo measurement tool. The resulting muscle  
 321 force that accounts for insertion angle ( $F_{\text{res}}$ ) was calculated using Eq. 2:

$$322 \quad F_{\text{res}} = F_{\text{mus}} \times \cos\alpha \times \cos\beta$$

323 Final bite force estimates ( $F_{\text{bite}}$ ) were calculated independently for each muscle using Eq. 3:

$$324 \quad F_{\text{bite}} = (F_{\text{res}} \times L_{\text{inlever}}) / L_{\text{outlever}}$$

325  $L_{\text{outlever}}$  denotes the distance between the bite point to the jaw joint and  $L_{\text{inlever}}$  denotes the distance  
 326 between the insertion point of the respective muscle and the jaw joint. All distances were measured  
 327 in horizontal view in Avizo. The calculated values for these parameters can be found in  
 328 Supplementary Table 1.

329 Muscle forces for *Alligator* and *Ornithomimus* were derived from Montefeltro et al. (2020) and Cuff  
 330 & Rayfield (2015) respectively. It should be noted that the *Ornithomimus* muscle forces are rather  
 331 conservative estimates and the actual forces in life might have been slightly greater (Cuff &  
 332 Rayfield, 2015). Estimated jaw muscle forces for *Struthio* have never been published, so these forces  
 333 were estimated by identifying origin and insertion sites based on osteological correlates (Webb,  
 334 1957). Where correlates were not clear, the myoanatomy of the extant common buzzard, *Buteo buteo*

335 (Lautenschlager et al., 2014), and rock dove, *Columba livia* (Jones et al., 2019), were consulted.  
336 Despite the long independent evolutionary histories of *Struthio* and neognath birds, avian adductor  
337 muscle morphology is relatively conserved (Holliday & Witmer, 2007), allowing neognath muscles  
338 to be used as proxies where necessary. The CSA of each muscle was measured in ImageJ (National  
339 Institutes of Health), multiplied by the isometric stress value to attain the muscle force.

#### 340 Finite Element Analysis

341 The 3D models of all specimens were imported into Hypermesh 11 (Altair Engineering) for the  
342 generation of solid tetrahedral meshes (consisting of approximately 300,000 elements per model).  
343 All cranial models were scaled to the same surface area as the *Effigia* cranium to enable more  
344 representative comparisons between archosaurs (Dumont et al., 2009). The muscle forces of the other  
345 archosaurs were also scaled accordingly. Scaling information can be found in Table 1. All models  
346 were loaded with maximum adductor muscle forces as calculated in Table 2. Loads were applied  
347 across multiple nodes at the inferred muscle origination and insertion sites of the crania and  
348 mandibles, respectively. This was performed using a custom-built macro (Altair UK) which  
349 simultaneously loads multiple nodes projected towards a node(s), resulting in a vector equivalent to  
350 the line of action of each muscle.

351 To further enable realistic comparisons between these archosaur taxa, specimens were assigned the  
352 same material properties for bone based on values for *Alligator* mandibular bone ( $E = 15.0$  GPa,  $\nu =$   
353  $0.29$ ; Zapata et al., 2010). Material properties for teeth were also based on values for *Alligator* ( $E =$   
354  $60.4$  GPa,  $\nu = 0.31$ ; Zapata et al., 2010). Material properties for the keratinous rhamphothecae ( $E =$   
355  $1.04$  GPa,  $\nu = 0.4$ ) were based on extant bird beaks and taken from Chen et al. (2008). Material  
356 properties for the areas of bone that immediately surround the palatobasal and otic joints in the  
357 jointed *Struthio* model were based on *Alligator* connective tissue ( $E = 0.09$  GPa,  $\nu = 0.3$ ; Porro et al.,  
358 2013). All material properties within the models were treated as isotropic and homogeneous. The



359 skull models were constrained from rigid body motion in all degrees of freedom at the parietals and  
360 the condyles of the mandibular capitulum of the quadrates. For all models and feeding simulations  
361 four nodes were constrained at the parietals and four nodes were constrained on each of the quadrates  
362 (12 in total). Usually, the occipital condyle and paroccipital process are used as the positions for  
363 these constraints (e.g. Lautenschlager et al. 2013), but the posterior braincase of *Effigia* was not  
364 scanned and is therefore unavailable. For the *Effigia* mandible, four nodes were constrained in all  
365 degrees of freedom at the articulation point on the dorsal surface of the articular (eight in total).

366 All models were imported into Abaqus (Version 6.10; Simulia) for analysis and postprocessing. The  
367 following feeding-related simulations were performed for each model:

368 i) Anterior bite. Bilateral biting at the tip of the snout in the premaxilla. One node was constrained on  
369 each of the left and right side of the jaws (two in total) in all degrees of freedom. For all cranial  
370 models except *Alligator*, the constraints were placed on the rhamphotheca covering the anteroventral  
371 tip of the premaxillae. For both *Effigia* mandible models, the constraints were placed on the  
372 rhamphotheca covering the dorsoanterior tip of the dentaries. For *Alligator*, the constraints were  
373 placed on the anterior-most tooth on each side of the premaxilla.

374 ii) Middle bite. Bilateral biting at the middle of the snout. One node was constrained on each of the  
375 left and right side of the jaws (two in total) in all degrees of freedom. For the small-beaked *Effigia*  
376 models, the constraints were placed on the posterior-most edge of the premaxilla and dentary in the  
377 cranium and mandible, respectively. For the small-beaked *Ornithomimus*, the constraints were placed  
378 on the maxilla. For the large-beaked cranial models of *Effigia* and *Ornithomimus* and for *Struthio*,  
379 the constraints were placed on the rhamphothecae that covers the maxillae. For the large-beaked  
380 *Effigia* mandible model, the constraints were placed on the rhamphotheca that covers the posterior  
381 edge of the dentary. For *Alligator*, the constraints were placed on the 4th tooth in the maxillary tooth  
382 row as these are the main teeth used for seizing prey (Erickson et al., 2012).

383 iii) Posterior bite. Bilateral biting at the inferred posterior functional end of the snout. One node was  
384 constrained on each of the left and right side of the jaws (two in total) in all degrees of freedom. For  
385 both beak models of *Effigia*, the constraints were placed on the maxilla and surangular of the crania  
386 and mandibles, respectively. For *Struthio*, the constraints were placed on the rhamphotheca that  
387 covers the posterior region of the maxillae. For both beak models of *Ornithomimus* the constraints  
388 were placed on the jugals. For *Alligator*, the constraints were placed on the posterior-most tooth in  
389 the maxilla and dentary.

390 iv) Pecking. An external force moving dorsoposteriorly towards the cranium was used to simulate a  
391 feeding-related pecking action at the inferred functional tip of the snout. We applied a force of 340 N  
392 to one node at the snout tip. The adductor muscles generate this magnitude (Table 2) after accounting  
393 for both halves of the cranium. As the cranium can withstand this force, we applied it to the rostrum.  
394 For both beak morphologies of *Effigia* and *Ornithomimus* and for *Struthio*, the external force  
395 contacts the anterior tip of the rhamphotheca. For *Alligator*, the external force contacts the anterior  
396 tip of the premaxilla.

397 Von Mises stress (a measure of overall structure strength under loading conditions) were displayed  
398 as contour plots for all simulations to enable visual assessments of the relative performance of the  
399 crania and mandibles. Stresses were also measured at ten equally spaced locations along the dorsal  
400 and palatal surfaces of each cranium to provide more detailed assessments on model performance.  
401 The highly derived condition of the bones in the *Struthio* cranium (Cuff et al., 2015) hinders  
402 identification of homologous landmarks between pseudosuchian and avemetatarsalian skulls.  
403 Therefore, the dorsal and palatal surfaces of each cranium was divided into ten sections of equal  
404 length along a longitudinal axis with von Mises stresses measured in the approximate centre of each  
405 section. For *Alligator*, many of the sampling locations along the palatal surface are from the  
406 secondary, or closed, palate; a bony plate comprising the maxillae, palatines and pterygoids that  
407 separates the nasal and oral passages (Busbey, 1995; Rayfield & Milner, 2008). This structure is

408 unique to *Alligator* among our study taxa. Measurement locations across the dorsal and palatal  
409 surfaces of all crania are shown in Fig. S2. Measurement locations are the same in the jointed and  
410 non-jointed *Struthio* models.

411

## 412 RESULTS

### 413 Retrodeformation redescrptions

414 Retrodeformation enabled new anatomical information to be gained on the overall morphology of the  
415 skull as well as on specific cranial elements. Some of the main results are highlighted here and  
416 further detailed descriptions can be found in the Supplementary Information. As a disclaimer,  
417 accurate anatomical interpretations of *Coelophysis* Quarry material can be problematic due to the  
418 difficulty in identifying whether material has been subjected to taphonomic processes and the extent  
419 to which these processes have occurred. Notably, plastic deformation has ~~notably~~ been observed in  
420 *Coelophysis* Quarry material, such as specimens of the theropod dinosaur *Coelophysis bauri*  
421 (Colbert, 1989; Schwartz & Gillette, 1994). Our interpretations and redescrptions of the  
422 retrodeformed *Effigia* material are therefore cautious and based on the available osteological  
423 evidence as preserved.

424 With respect to general skull morphology, the skull table is reconstructed as generally flat in lateral  
425 view, in contrast to the dorsally bowed outline shown in Nesbitt & Norell (2006) and in *Shuvosaurus*  
426 *inexpectatus* due to the lack of dorsal curvature of the frontals in the new reconstruction (Fig. 1). The  
427 ventral border of the cranium, comprising the premaxillae, maxillae and jugals, is inferred here to be  
428 anteroposteriorly concave in lateral view (Fig. 1) rather than straight as described previously (Nesbitt  
429 & Norell, 2006; Nesbitt, 2007). Consequently, the craniomandibular joint is now deflected ventrally  
430 with respect to the rest of the skull (Fig. 1). Our new reconstruction results in mandibles that are  
431 reconstructed as dorsoventrally taller in lateral view than those presented by Nesbitt (2007) due to

432 the greater curvature of the angular (Fig. 1). The dorsal surface of the dentaries exhibited strong  
433 anteroventral curvature towards their anterior extremities following segmentation, contrasting with  
434 the flat dorsal surface that was recognised previously (Nesbitt & Norell, 2006). As a result, the  
435 dentaries now have a more precise contact and greater overlap with the ventral shelves of the  
436 premaxillae during full jaw closure (Fig. 1).

437 With regard to specific elements, a postero-laterally projecting prong from the main body of the  
438 nasal bone fits within, and partially overlies a complementary groove on the dorsal margin of the  
439 lacrimal. The articulation of the lacrimal with the jugal differs from the reconstruction of Nesbitt &  
440 Norell (2006); the distal end of the lacrimal ventral process does not appear to expand antero-  
441 posteriorly along the dorsal surface of the jugal. Instead, the lacrimal tapers towards its ventral  
442 extremity, ending in a rounded tip that inserts into a sulcus on the dorsal surface of the jugal. The  
443 ventral process of the prefrontal, which was not described by Nesbitt (2007), abuts the lacrimal  
444 obliquely and tapers ventrally. Lastly, upon segmentation of the pterygoids, a pair of deep sockets  
445 were identified medially to the quadrate ala, which form recesses for the reception of the  
446 basipterygoid processes.

447

#### 448 *Effigia* Musculature

##### 449 *m. Pterygoideus dorsalis (m. PTd)*

450 The *m. pterygoideus dorsalis* most likely originates from a deep fossa on the dorsal surface of the  
451 palatines, directly posterior to the pila postchoanalis (Fig. 3A). The dorsal extent of the *m. PTd* is  
452 bounded by a secondary palatine plate, dorsal to the main element, extending laterally from the  
453 palatine's medial expansion. This is inferred largely from the generally plesiomorphic muscle  
454 position in extant crocodylians, birds and lepidosaurs (Busbey, 1989; Holliday & Witmer, 2007;  
455 Holliday et al., 2013; Lautenschlager et al., 2014) and from reconstructions in dinosaurs (Holliday,

456 2009; Lautenschlager, 2013). Medially, the m. PTd is bordered by the dorsal vaulting at the sagittal  
457 contact of the pterygoids and laterally by their dorsally expanded wing. As in extant archosaurs, the  
458 m. PTd passes over the posterior edge of the lateral process of the pterygoid and plunges  
459 posteroventrally towards its mandibular insertion (Fig. 3A).

460 The mandibular insertion is clearly defined as a flat ventromedial surface of the surangular and  
461 articular, ventral to the quadrate articulation (Fig. 3A). The dorsal extent of the attachment is defined  
462 by a medially-projecting crest at the junction of the surangular and prearticular, and posteriorly it  
463 extends to the posterior limit of the short retroarticular process. The anterior extent of the attachment  
464 is poorly defined.

465

#### 466 *m. Pterygoideus ventralis (m. PTv)*

467 The origin of the m. pterygoideus ventralis is poorly defined. The condition in *Effigia* is therefore  
468 inferred from the condition in crocodylians and birds; attachment along the posteroventral edge of  
469 the pterygoid flange. As with the m. PTd, the m. PTv is directed ventrally and posteriorly before  
470 wrapping ventrally around the mandible, directly ventral to the quadrate-articular articulation (Fig.  
471 3B).

472 The insertion of the m. PTv is marked by a fossa on the ventrolateral surface of the mandible (Fig.  
473 3B). This inference is supported by extant phylogenetic bracketing as the muscle attaches to this area  
474 in crocodylians and palaeognaths (Holliday, 2009). The reconstructed size of the m. PTv is based on  
475 that from a juvenile *Alligator* (Holliday et al., 2013) due to a lack of constraining osteological  
476 evidence.

477

#### 478 *m. Adductor Mandibulae Posterior (m. AMP)*

479 The m. AMP is one of the most phylogenetically conserved muscles within the adductor chamber,  
480 maintaining generally consistent origination and insertion points throughout Sauropsida (Holliday &  
481 Witmer, 2007). The m. AMP originates from the lateral surface of the quadrate in *Sphenodon* and  
482 *Struthio* (Holliday & Witmer, 2007; [Jones et al., 2009](#)); and has been reconstructed in a similar  
483 position in the therizinosaurian dinosaur *Erlikosaurus andrewsi* (Lautenschlager, 2013), a range of  
484 ornithomimosaurian dinosaurs (Cuff & Rayfield, 2015) and sauropod dinosaurs (Young et al., 2012;  
485 Button et al., 2016). Extant crocodylians display a derived condition, with the m. AMP originating  
486 from the ventral surface of the quadrate; as the quadrate of *Effigia* is far more similar to those of  
487 birds, dinosaurs and *Sphenodon*, an origination for the m. AMP based on extant crocodylians is  
488 excluded. The insertion of the m. AMP is within the internal mandibular fossa (Holliday, 2009), a  
489 condition shared in all taxa noted above. *Effigia* displays a clear fossa on the lateral surface of the  
490 quadrate, constraining the muscle posteriorly and laterally (Fig. 3C). This muscle is inferred to  
491 extend anteriorly into a groove that excavates the dorsomedial surfaces of the angular and  
492 prearticular, at the anterior end of which the muscle terminates (Fig. 3C).

493

494 *m. Adductor Mandibulae Externus Superficialis (m. AMES)*

495 The origin of the m. AMES is based on a combination of the muscle and bone morphology in  
496 crocodylians and ancestral lepidosaurs, and the large dorsal temporal fenestra of *Effigia*. In  
497 crocodylians, the origin is on the ventrolateral surface of the quadrate whereas the origination in  
498 ancestral lepidosaurs is the medial surface of the supra-temporal bar (Holliday & Witmer, 2007;  
499 Holliday et al., 2013). The origin of the m. AMES in crocodylians is defined by a groove created by  
500 a flange of the quadrate following its curve posterodorsally until it nears the mandibular articulation  
501 (Holliday et al., 2013). In *Effigia*, the quadrate, by contrast, curves posterodorsally but displays a  
502 similar flange and groove to that seen in crocodylians (Fig. 3D) (Nesbitt, 2007). This flange forms a  
503 dorsally/anterodorsally orientated channel that is directed posterodorsally towards the lateral border

504 of the supratemporal fenestra. The m. AMES of *Effigia* is therefore suggested to have originated  
505 from the lateral border of the supratemporal fenestra and formed additional attachments to the lateral  
506 quadrate as it followed this channel towards its mandibular insertion (Fig. 3D).

507 The insertion includes the flattened dorsal surface of the posterior surangular, immediately anterior  
508 to the quadrate articulation (Fig. 3D). This is consistent in the majority of phylogenetic bracketing  
509 taxa. The primary medial constraint of the m. AMES is the quadrate, although it is also bordered  
510 medially by the m. adductor mandibulae externus medialis. Laterally, the m. AMES is bordered by  
511 the squamosal, postorbital, quadratojugal and jugal (Fig. 3D). ~~Between these bones the muscle is~~  
512 ~~able to bulge into the lateral temporal fenestra.~~

513

514 *m. Adductor Mandibulae Externus Medialis (m. AMEM)*

515 The m. AMEM likely attached to the posterior margin of the supratemporal fenestra (Fig. 3E).  
516 Although no distinct demarcations of where this muscle attached are preserved in *Effigia*, its  
517 fenestral morphology closely resembles those of non-avian dinosaurs and lepidosaurs, whose m.  
518 AMEM originate from a similar area (Holliday & Witmer, 2007; Holliday, 2009; Lautenschlager,  
519 2013), in contrast to the condition in crocodylians, where the m. AMEM originates from the  
520 trapezoidal region of the quadrate (Busbey, 1989).

521 The mandibular insertion of the m. AMEM is based largely on that of extant crocodylians. Due to the  
522 dorsoventrally flattened morphology of crocodylian skulls, their temporal muscles must project  
523 further laterally than in birds and dinosaurs in order to reach their mandibular insertion points. The  
524 muscles must therefore wrap around the pterygoid wing. As these muscles wrap around the  
525 pterygoid, they link to the m. intramandibularis (m. IRA) via the cartilago transiliens. At this  
526 location, these muscles terminate and are secondarily inserted onto the mandible via the m. IRA. The  
527 *Effigia* skull is not dorsoventrally flattened, but the anteriorly shifted jaw articulation in *Effigia*

528 forces the temporal muscles to extend further anteriorly to attach to the mandible (Fig. 3E). The  
529 temporal muscles must therefore wrap around the pterygoid wing (Fig. 3E).

530

531 *m. Adductor Mandibulae Externus Profundus (m. AMEP)*

532 The m. AMEP originates from the lateral margin of the supratemporal fenestra (Fig. 3F), similar to  
533 lepidosaurs and dinosaurs (Holliday & Witmer, 2007; Holliday et al., 2013; Lautenschlager et al.,  
534 2014).

535 The extent of the m. AMEP mandibular insertions are similar to those of the m. AMEM and it is  
536 inferred to have inserted into the cartilago transiliens as in crocodylians. However, as mentioned  
537 above, the sesamoid was not included in our FEA models. The m. AMEP is constrained laterally by  
538 the m. AMEM and medially by the m. pseudotemporalis superficialis (m. PSTs) (Fig. 3F). As these  
539 constraints would have been made entirely of soft tissue and are hypothesised, the muscle group  
540 consisting of the m. AMEM, m. AMEP and m. PSTs was reconstructed with a generally cylindrical  
541 cross-section, bulging only to the extent allowed by other better constrained myological and  
542 osteological features (Fig. 3F).

543

544 *m. Pseudotemporalis superficialis (m. PSTs)*

545 The m. PSTs most likely attached to the medial surface of the supratemporal fenestra (Fig. 3G). This  
546 is inferred from the high degree of similarity in temporal morphology between *Effigia*, lepidosaurs  
547 and dinosaurs (Holliday & Witmer, 2007; Holliday, 2009).

548 The mandibular attachment is similar to those of the m. AMEM and m. AMEP but, as previously  
549 explained, the insertion site is the cartilago transiliens and the m. IRA (Fig. 3G).

550

551 *m. Pseudotemporalis profundus (m. PSTp)*



552 The m. PSTp has not been reconstructed in *Effigia* for two reasons: (i) an ossified epipterygoid – a  
553 clear origin site in lepidosaurs and many dinosaurs (Holliday, 2009) – is not preserved in *Effigia* and  
554 appears to have been absent; and (ii) *Effigia* does not display any osteological correlates for the  
555 origin of the m. PSTp. The presence of this muscle is debated in crocodylians and, if present, is  
556 likely to be a vestigial structure consisting of a short, thin muscle originating from the lateral bridge  
557 of the laterosphenoid and merging into the dorsal surface of the m. PTd (Holliday et al., 2013). If the  
558 crocodylian condition was present in *Effigia*, the muscle would contribute very little to bite force.  
559 The m. PSTp is also not reconstructed in the comparative ornithomimid cranial FE models (Cuff et  
560 al., 2015). Without osteological correlates, reconstructing the m. PSTp could compromise the FE  
561 model validity.

562

### 563 *m. Intramandibularis (m. IRA)*

564 The m. IRA is interpreted to extend from the anteroventral surface of the hypothesised cartilago  
565 transiliens to the dorsomedial surface of the angular and prearticular (Fig. 3H). The mandibular  
566 insertion is marked by an anteroposterior groove at the contact between these two mandibular  
567 elements. This is a rather conservative interpretation because we cannot rule out the possibility that  
568 the m. IRA extends much anteriorly, as exhibited by extant crocodilians, filling more of the  
569 intramandibular space and attaching to the dentary and splenial (Bona & Desojo, 2011; Holliday et  
570 al. 2013). Such a condition has been reconstructed for non-avian dinosaurs (e.g. Gignac & Erickson,  
571 2017). Posteriorly, the m. IRA is constrained by the anterior margin of the m. AMP as the latter  
572 muscle also inserts into this groove. Dorsolaterally, the m. IRA is constrained by the surangular (Fig.  
573 3H).

574

575 Finite Element Analysis Results

576 *Muscle force estimates*

577 Our jaw muscle reconstructions demonstrate that *Effigia* has the largest total jaw-closing muscle  
578 force among the scaled archosaur models, and exhibits double the total force of the unscaled  
579 *Ornithomimus* (Table 2). The reconstruction of the m. IRA in *Effigia* but not in the other study  
580 archosaurs somewhat limits discussion of the relative muscle contributions between archosaur taxa.  
581 Nevertheless, some informative comparisons can be made. For example, in *Effigia* the m. PTv  
582 provides the largest contribution to total muscle force, as in *Struthio* and *Alligator*, and has the  
583 largest force among the m. PTvs of the scaled archosaurs (Table 2). In contrast, the *Effigia* m. PTd  
584 produced the lowest force of those among the scaled archosaurs (Table 2). Overall, the *Effigia*  
585 adductor mandibulae forces are most similar to those of *Ornithomimus* (Table 2) among the taxa  
586 examined.

587 *Feeding simulations*

588 To facilitate comparisons between the archosaurs considered herein, von Mises stress distributions  
589 across crania and mandibles are presented for each feeding simulation (Figs. 4–7) and stress values at  
590 specific measurement locations across the dorsal and palatal cranial surfaces (Figs. 8–9 respectively)  
591 are presented with reference to taxon and rhamphotheca morphology. Results from the jointed  
592 *Struthio* model are broadly similar to those of the un-jointed model, with the exception of localized  
593 patterns around the palatobasal and otic joints (see Supporting Information and Fig. S3).

594 During anterior bite simulations, the *Effigia* small-beaked cranium model displays high stress around  
595 the following areas: the anterior surfaces of the squamosals; the ventral and posterior surfaces of the  
596 quadrates; the ventral and lateral surfaces of the pterygoids; the contact between the premaxilla and  
597 nasal (hereafter referred to as the nasal bridge) and the posterior midline of the parietals (Figs. 4A;  
598 8A; 9A). The *Effigia* large-beaked cranium model displays similar distributions of von Mises stress  
599 to the small-beaked model although the former displays slightly lower stress around the quadrates,

600 squamosals, parabasisphenoid and posterior midline of the parietals (Figs. 4B; 8A; 9A). The small-  
601 beaked mandible model displays very high von Mises stress distributions across most of the  
602 surangular and the ventral surface of the angular (Fig. 4C). The large-beaked mandible model  
603 displays very similar stress distributions to the small-beaked mandible model except that the  
604 rhamphotheca exhibits much lower stress than the equivalent exposed bone in the small beak model  
605 (Figs. 4C; 4D).

606 The *Ornithomimus* small-beaked cranium model displays very low stresses across the cranium with  
607 only the ventral and lateral surfaces of the quadrates, the lateral surfaces of the pterygoids and  
608 parietals, and the posterolateral surface of the parabasisphenoid showing small areas of intermediate  
609 stress (Figs. 4E; 8A; 9A). The *Ornithomimus* large-beaked cranium model displays very similar  
610 stress distributions to the small-beaked model except that the large-beaked model displays more  
611 restricted areas of elevated stress around the parietals and ventral surfaces of the quadrates (Figs. 4E;  
612 4F; 8A; 9A). *Struthio* displays very high stresses across: most of the pterygoids and palatines; the  
613 anterior surface of the parabasisphenoid; the dorsal surfaces of the jugals and the lateral surfaces of  
614 the quadrates (Figs. 4G; 8A; 9A). *Alligator* generally exhibits relatively low stresses across the  
615 cranium (Figs. 4H; 8A; 9A). Areas of high stress include: the nasal bridge; the ventral surfaces of the  
616 maxilla in between the maxillary teeth; the lateral and ventral surfaces of the pterygoids and the  
617 medial surface of the quadrates (Fig. 4H).

618 During middle bite simulations, the *Effigia* small-beaked cranium model displays similar stress  
619 distributions to the anterior bite simulation, with high stresses around the squamosals, quadrates,  
620 pterygoids, parabasisphenoid and the ventral surface of the parietals (Figs. 5A; 8B; 9B). However,  
621 the middle bite simulation exhibits lower stress around the nasal bridge and higher stress on the  
622 medial surfaces of the maxillae (Figs. 5A; 8B; 9B). The *Effigia* large-beaked cranium model displays  
623 broadly similar stress distributions to the anterior bite simulation (Figs. 5B; 8B; 9B) but the nasal  
624 bridge exhibits much lower stresses (Figs. 5B; 8B; 9B). The *Effigia* small-beaked mandible model

625 displays similar distributions of very high stress to that of the anterior bite simulation, although in the  
626 former there are larger areas of very high stress in the ventral and dorsal surfaces of the surangular  
627 and angular, respectively (Fig. 5C). The *Effigia* large-beaked mandible model displays larger areas of  
628 very high stress across the surangular than the anterior bite simulation (Figs. 5C; 5D). For both the  
629 small- and large-beaked *Ornithomimus* model middle bite simulations, the stress distributions during  
630 middle biting are almost identical to those observed in the anterior bite simulations (Figs. 4E; 4F; 5E;  
631 5F; 8A; 9A). Middle bites in *Struthio* generate very similar stress distributions to the anterior bite  
632 simulation with the exceptions that the former displays slightly higher stress around the posterior half  
633 of the jugal and slightly lower stress around the nasal bridge and palatal surface of the vomers (Figs.  
634 5G; 8B; 9B). *Alligator* displays low stresses across the cranium during middle biting (Figs. 5H; 8B;  
635 9B). The ventral surfaces of the pterygoids and of the maxillae between the maxillary teeth exhibit  
636 slightly lower stress than the anterior bite simulation (Figs. 5H; 8B; 9B).

637 During posterior bite simulations, the *Effigia* small-beaked cranium model displays higher stress  
638 around the dorsal surface of the palatines, the posterior surfaces of the maxillae, the anterior surfaces  
639 of the lacrimals and the parabasisphenoid than in the other bite simulations (Figs. 6A; 8C; 9C). The  
640 medial surfaces of the maxillae display lower stress (Figs. 6A; 8C; 9C). The *Effigia* large-beaked  
641 cranium model displays larger areas of high stresses than the other bite simulations, including in the  
642 maxillae, lacrimals and parabasisphenoid (Figs. 6B; 8C; 9C). The *Effigia* small-beaked mandible  
643 model displays large areas of very high stress around the surangular and angular, although stresses in  
644 the anterior half of the mandible are lower than in the other bite simulations (Fig. 6C). The *Effigia*  
645 large-beaked mandible model displays very high von Mises stresses that are similar to the  
646 distributions of the small-beaked mandible model posterior bite simulation (Figs. 6C; 6D). For both  
647 the small- and large-beaked *Ornithomimus* models, the stress distributions are very similar to those  
648 displayed in the anterior and middle bite simulations (Figs. 4E; 4F; 5E; 5F; 6E; 6F; 8; 9). *Struthio*  
649 displays very similar stress distributions to the anterior and middle bite simulation (Figs. 4G; 5G;

650 6G; 8; 9). *Alligator* displays generally little stress across the cranium; the ventral surface of the  
651 pterygoids displays the highest stresses, although the lateral surfaces of these bones display less  
652 stress than in other bite simulations (Figs. 4H; 5H; 6H; 8C; 9C).

653 During pecking simulations, the *Effigia* small-beaked cranium model displays very high stresses in  
654 most regions, including: areas of the premaxillae not covered by the rhamphotheca; the anterior-most  
655 tip of the premaxillae, the nasal bridge; the anterior and medial surfaces of the maxillae; the lateral  
656 and dorsal margins of the parabasisphenoid; the anterior surfaces of the squamosals; and dorsal and  
657 palatal midline of the parietals (Figs. 7A; 8D; 9D). The *Effigia* large-beaked cranium model has  
658 comparable stress distributions to the small-beaked model. The main difference is that the larger  
659 rhamphotheca displays much lower stress levels in the dorsal and palatal surfaces than the equivalent  
660 areas of exposed premaxillae and maxillae in the small-beaked model (Figs. 7A; 7B; 8D; 9D). The  
661 *Ornithomimus* small-beaked cranium model displays very high von Mises stresses concentrated in:  
662 the nasal bridge; the lateral and palatal surfaces of the maxillae; the palatal surface of the vomers and  
663 basisphenoid; and the lacrimals and posterior surfaces of the jugals (Figs. 7C; 8D; 9D). The  
664 *Ornithomimus* large-beaked cranium model displays somewhat similar stress distributions to the  
665 small-beaked model, the main differences being that the larger rhamphotheca displays much lower  
666 stress in the large-beaked simulation than the uncovered premaxillae and maxillae in the small-  
667 beaked simulation, while the palatal surface of the vomers and dorsal surface of the parietals exhibit  
668 higher stresses in the large-beaked model (Figs. 7C; 7D; 8D; 9D). *Struthio* displays several areas of  
669 very high stresses across the cranium during pecking, including: the anterior surface of the  
670 rhamphotheca; areas of the nasals that are not covered by the rhamphotheca; the anterior halves of  
671 the pterygoid; the parasphenoid; the quadratojugal; and the dorsal surfaces of the quadrates (Figs.  
672 7E; 8D; 9D). *Alligator* generally exhibits high stresses across most of the cranium, including: the  
673 dorsal and ventral surfaces of the premaxillae, including the nasal bridge; the dorsal surfaces of the  
674 maxillae and the ventral surface between the maxillary teeth; the parietals; the postorbitals; and the

675 posterior surface of the jugals that border the lateral temporal fenestrae (Figs. 7F; 8D; 9D). By  
676 contrast, except for the anterior-most tip of the premaxillae, the palatal surface of the *Alligator*  
677 cranium exhibits lower stresses than most of the other models (Fig. 9D).

678

## 679 DISCUSSION

680 *Morphological convergence between Effigia and 'ostrich-like' avemetatarsalians*

681 The *Effigia* skull reconstruction presented here reaffirms many of the characters cited as  
682 morphological convergences between this pseudosuchian taxon and ornithomimid dinosaurs,  
683 including enlarged orbits and edentulous jaws (Nesbitt & Norell, 2006; Nesbitt, 2007), and the  
684 ventral deflection of the anterior tip of the dentaries. However, we identify four marked differences  
685 between *Effigia* and 'ostrich-like' avemetatarsalians. (i) The proportions of the *Effigia* rostrum are  
686 anteroposteriorly shorter and mediolaterally broader in relation to overall cranium length, especially  
687 in comparison to those of ornithomimids. In addition, the ventrally concave margins of the *Effigia*  
688 premaxillae enables contact between the entire length of the dorsomedial and dorsolateral surfaces of  
689 the premaxillae and dentaries, a unique condition among the taxa studied herein. (ii) In *Effigia*, the  
690 external nares are much larger in lateral view than in either of the avemetatarsalian taxa, are located  
691 more posteriorly than in *Ornithomimus*, and differ in having a more triangular outline. (iii) In *Effigia*  
692 the nasal bridge is slightly concave whereas in *Struthio* it is strongly concave and in *Ornithomimus* it  
693 is convex. (iv) The *Effigia* mandible is dorsoventrally taller than that of the other study taxa and is  
694 perforated by a huge external mandibular fenestra. Morphological differences like these are often not  
695 considered as strongly as morphological similarities when inferring functional convergence between  
696 unrelated taxa (Lauder, 1995), which often results in mismatches between hypothesised function  
697 based on comparative anatomy alone versus that inferred from quantitative biomechanical modelling  
698 (Bestwick et al., 2018).

699 *Biomechanical modelling comparisons*

700 Overall, the muscle reconstructions and FEA outputs indicate that the skull of *Effigia* possesses a  
701 unique mosaic of mechanically strong and weak features for its size (around 2 m long total length  
702 and 1 m high total height (Nesbitt, 2007); no mass estimates yet available). For example, the large  
703 cross-sectional areas of the jaw-closing muscles and relatively high estimates of muscle force, in  
704 particular that for the m. PTv, are perhaps unsurprising given the extremely large diameter of the  
705 cranial and mandibular fenestrae, which can potentially provide extensive surfaces for muscle  
706 attachment sites (Holliday, 2009; Pêgas et al., 2021). However, the occurrence of high magnitude  
707 stresses in the mandibles and nasal bridge highlight these areas as mechanically weak. This indicates  
708 that the jaw muscles may not have exerted forces close to the maximum values calculated in this  
709 study during feeding.

710 The impact of reconstructed rhamphotheca morphology on stress distributions is clearly  
711 demonstrated, particularly in the anterior biting and pecking simulations. The large-beaked  
712 morphology is more effective at dissipating stresses around the premaxillae and nasal bridges (except  
713 for areas not covered by the rhamphotheca), and around the dentary. Similar results have been  
714 reported from investigations on rhamphotheca function in extant birds and non-avian dinosaurs  
715 (Soons et al., 2012; Lautenschlager et al., 2013; Cuff et al., 2015), highlighting functional  
716 convergence between beaked avemetatarsalians and *Effigia*. We do not draw any conclusions on the  
717 actual shape and thickness of the *Effigia* rhamphotheca as that was not a primary aim of this study.  
718 We simply infer, based on our results, that larger cranial and mandibular rhamphothecae would have  
719 enabled better dissipation of high stresses generated during feeding behaviours.

720 Model comparisons between *Effigia* and *Ornithomimus* are somewhat limited due to the cautious  
721 approach taken with respect to muscle reconstructions of the latter (Cuff & Rayfield, 2015).

722 Although we accept that ornithomimids had disproportionately small jaw muscles and weak bites for

723 their size (Cuff & Rayfield, 2015), these conservative estimates likely resulted in the low stress  
724 distributions presented here, artificially indicating a strong cranium. Nevertheless, useful  
725 comparisons can be made. For example, extremely high stress magnitudes from the pecking  
726 simulations in both taxa are unsurprising since their crania do not exhibit functional kinesis and thus  
727 lack a mechanism to facilitate more uniform stress distributions (Rayfield, 2007; Curtis et al., 2013;  
728 Moazen et al., 2013; Cuff et al., 2015). However, differences in the distribution of high magnitude  
729 stresses are important for inferring the relative likelihoods of this behaviour. In *Effigia*, most of the  
730 high magnitude stresses are in the anterior third of the cranium and are partially dissipated by the  
731 rhamphotheca in the large-beaked model. In contrast, most of the high magnitude stresses in  
732 *Ornithomimus* are in the posterior two-thirds of the cranium. Less stress is therefore dissipated in the  
733 *Ornithomimus* large beak model compared to the *Effigia* large beak model. While ~~ereas~~ 340 N is a  
734 high upper estimate of external force, pecking behaviours nonetheless result in high, potentially  
735 detrimental stress for both taxa ~~it could be argued that pecking was rarely performed, if at all, by~~  
736 ~~either taxon~~. This result is unexpected given the degree of morphological convergence between  
737 ornithomimids and palaeognaths (Makovicky et al., 2004; Barrett, 2005), which further exemplifies  
738 the notion that shared form does not necessarily reflect similar function in extinct taxa (Fisher, 1985;  
739 Thomason, 1995; Ferry-Graham et al., 2002; Lautenschlager et al., 2016).

740 The *Struthio* FEA outputs demonstrate the functional differences between it and the extinct  
741 edentulous taxa in this study. The location of the adductor muscle origins in the ventral half of the  
742 cranium is a derived condition for Aves, due primarily to expansion of the braincase (Holliday &  
743 Witmer, 2007; Lautenschlager et al., 2014; Jones et al., 2019), which results in low magnitude stress  
744 distributions around the dorsal half of the cranium. The biting simulations also highlight the palate as  
745 the main area of structural weakness, reflecting the fact that *Struthio* does not use orthal biting  
746 motions to procure or process food items (Williams et al., 1993; Milton et al., 1994). The relatively  
747 large pterygoideus muscles instead serve to mitigate mandibular retraction from the adductors



748 (Gusseklou & Bout, 2005a). *Struthio* feeds primarily by plucking small grasses, flowers, leaves and  
749 fruits from the ground or low-lying plants, and throwing these items to the back of the jaws to be  
750 swallowed (Williams et al., 1993; Milton et al., 1994). This feeding behaviour is informally termed  
751 ‘catch-and-throw behaviour’ (Zweers et al., 1994). Much of the external force associated with  
752 feeding is therefore focused around the anterior-most part of the rostrum as the bill regularly contacts  
753 the ground whilst plucking, while the palate is subjected to much lower forces. Our pecking  
754 simulations better replicate this behaviour, so it is unsurprising that *Struthio* exhibits generally low  
755 magnitude stress distributions in our simulations. It should be noted that stresses in the nasal bridges  
756 are artificially high due to the removal of sutural bone from this area which is known to mitigate  
757 stress (Cuff et al., 2015). Nevertheless, featuresadaptations for pecking behaviours appear to be  
758 unique to *Struthio* among our study taxa and casts doubt on distantly related ‘ostrich-like’ archosaurs  
759 exhibiting identical suites of functional behaviours.

760 The *Alligator* FEA outputs demonstrate clear morphological and functional differences between it  
761 and *Effigia*. The dorsoventrally flattened skulls of extant crocodylians are widely regarded as  
762 adaptations for semi-aquatic life (Iordansky, 1973; McHenry et al., 2006; Grigg & Kirshner, 2015),  
763 and the extended pterygoid flanges provide enlarged attachment sites for the adductor muscles  
764 (Holliday et al., 2013, 2015; Sellers et al., 2017). Crocodylians exhibit the largest bite forces among  
765 extant tetrapods (Erickson et al., 2003, 2012), and our results are consistent with previous  
766 biomechanical studies showing that crocodylian skulls are adapted to resist high feeding-generated  
767 forces (McHenry et al., 2006; Walmsley et al., 2013; Montefeltro et al., 2020). This capacity enables  
768 extant crocodylians to occupy durophagous and/or apex predator niches (see Somaweera et al. 2020  
769 for a review). The anterior bite simulation highlights the nasal bridge as mechanically weak in  
770 *Alligator*, as in *Effigia*, although crocodylians mitigate stresses in this area by using unilateral bites  
771 to seize prey (Erickson et al., 2012; Montefeltro et al., 2020), and crushing items in the posterior  
772 region of the jaws before swallowing (Cleuren & De Vree, 2000; Labarre et al., 2017). The high

773 magnitude stresses from the pecking simulation are expected since crocodylian skulls are akinetic  
774 (Sellers et al., 2017) and so possess no morphological adaptations to dissipate these stresses,  
775 suggesting that such a feeding behaviour is not possible. The functional morphology of *Alligator*  
776 reflects adaptations for a very different lifestyle from that proposed for *Effigia*.

#### 777 *Possible feeding behaviours of Effigia*

778 The morphological and functional evidence presented here and in previous studies suggests that  
779 *Effigia* was most likely adapted for herbivory (Nesbitt & Norell, 2006; Nesbitt, 2007; Zanno &  
780 Makovicky, 2011; Lautenschlager et al., 2016; Button & Zanno, 2020). Consequently, further  
781 questions relating to the ecology and functional morphology of *Effigia* concern the types of plant  
782 material consumed and the feeding behaviours used to acquire them. As previously mentioned,  
783 pecking behaviour was possible but likely limited. The mechanically weak mandible probably  
784 restricted food procurement and processing to the anterior portion of the jaws. It is unlikely that  
785 *Effigia* crushed hard food objects with its rostrum due to the weak nasal bridge and the low  
786 mechanical advantage when processing foods further away from the jaw musculature and  
787 craniomandibular joint (Kammerer et al., 2006; Santana & Dumont, 2009; Santana et al., 2010;  
788 Erickson et al., 2012), although the swallowing of small seeds that require no processing cannot be  
789 excluded.

790 An alternative feeding behaviour involves occlusion between the surfaces of the dorsoventrally  
791 concave rostrum and ventrally deflected anterior mandible. This bite would have enabled a shear-like  
792 cropping motion as the bite point moves anteriorly along the ventromedial and dorsolateral surfaces  
793 of the premaxillae and dentaries during jaw closure. Cropping behaviours generate less stress on the  
794 jaws than crushing behaviours (Jasinoski et al., 2009), which may have facilitated consumption of  
795 relatively fibrous plant matter. This behaviour would be more likely if the rhamphothecae were large,  
796 as their presence would dissipate stresses along more of the occlusal surfaces of the premaxillae and

797 dentaries. However, the overall weakness of the mandible suggests that if cropping was the main  
798 feeding behaviour, *Effigia* would likely prioritise soft plants or softer plant parts. Further testing of  
799 the speed of *Effigia* jaw closure could reveal more information on the efficiency of cropping  
800 behaviours.

801 Other feeding behaviours associated with herbivory could have been used by *Effigia* but require  
802 further investigation. For example, the catch-and-throw behaviour used by extant palaeognaths  
803 (Zweers et al., 1994; Gussekloo & Bout, 2005b; Dzemski & Christian, 2007) is theoretically possible  
804 as a ventrally deflected anterior portion of the mandible provides a larger, scoop-like surface for  
805 procuring items from the ground. However, palaeognaths have highly flexible cervical  
806 ~~vertebrae~~columns that enable the head to reach down and pluck items from the ground (Dzemski &  
807 Christian, 2007), and extrapolating neck flexibility to extinct taxa requires thorough understanding of  
808 the soft tissues in the neck (Cobley et al., 2013). The current lack of rigorous cervical muscle  
809 reconstructions in *Effigia* therefore limits our understanding of the potential role of the neck in  
810 feeding behaviour.

811 Another possible behaviour involves stripping plant material from branches by recruiting the neck  
812 muscles to pull the skull posteriorly while the jaws are closed. This behaviour is used by some extant  
813 birds that possess dorsoventrally tall mandibles, such as vultures (Accipitridae) to remove flesh from  
814 carcasses (Hertel, 1995). Moreover, pull-back behaviours have been suggested for herbivorous  
815 therizinosaurid dinosaurs, as the simultaneous use of the jaw and anterior neck muscles subjects the  
816 cranium to lower stresses than the jaw muscles acting alone (Lautenschlager et al., 2013). However,  
817 poor preservation of the *Effigia* braincase (Nesbitt, 2007) prevents accurate reconstructions of the  
818 craniocervical joint and musculature at present.

819 *Functional and ecological convergence between pseudosuchians and avemetatarsalians*

820 Our biomechanical modelling demonstrates that the functional morphology of *Effigia* is unlike that  
821 of either ‘ostrich-like’ avemetatarsalians or crocodylians. This study thereby emphasises the finding  
822 that the repeated evolution of similar bauplans in distantly related taxa does not automatically imply  
823 functional and ecological convergence, and that quantitative biomechanical modelling techniques  
824 should be used where possible to test such hypotheses (Lauder, 1995; Lautenschlager et al., 2016;  
825 Bestwick et al., 2018). Greater consideration of the environmental conditions and evolutionary  
826 histories of morphologically convergent taxa are also needed in order to understand the likelihood of  
827 ecological and functional convergence. For example, ostriches are opportunistic herbivores that feed  
828 almost exclusively on low-lying angiosperms such as grasses and shrubs (Williams et al., 1993),  
829 plants that were not present in the Triassic. Furthermore, stress distribution differences between our  
830 study pseudosuchians probably reflect the ~245 million years of independent evolutionary history  
831 between *Effigia* and *Alligator* (Brusatte et al., 2010; Nesbitt, 2011), with crocodylians undergoing  
832 marked morphological changes for adaptation to inhabit aquatic habitats (Iordansky, 1973; Grigg &  
833 Kirshner, 2015). However, it is possible that some phylogenetic signal would have been present  
834 when considering more closely related pseudosuchian clades. Phylogenetic relationships within  
835 Poposauroidea are relatively well resolved with its constituent lineages exhibiting remarkably high  
836 morphological disparity (Butler et al., 2011; Nesbitt, 2011; Schachner et al., 2019). The sister taxon  
837 of the gracile, bipedal and edentulous shuvosaurids is *Lotosaurus*, a taxon with edentulous jaws and  
838 large external nares, which is a robust quadruped with a distinct dorsal sail (Zhang, 1975; Butler et  
839 al., 2011; Nesbitt, 2011). The next most inclusive taxon is *Poposaurus*, a gracile biped that shares  
840 many morphological similarities with early diverging theropod dinosaurs, such as recurved teeth  
841 (Mehl, 1915; Nesbitt, 2011; Parker & Nesbitt, 2013). The order in which poposauroid bauplans were  
842 assembled and/or modified is currently unclear (Nesbitt, 2011). This evidence indicates that the  
843 anatomy and functional morphology of shuvosaurids is more likely the result of shared ancestry and

844 rapid experimentation (Stocker et al., 2016), rather than similar selection pressures acting on both  
845 shuvosaurids and ostrich-like avemetatarsalians.

846 Our results, in tandem with morphological data and functional investigations of other  
847 contemporaneous archosaurs suggest that *Effigia*, and by extension other shuvosaurids, performed  
848 unique functional and ecological roles within Late Triassic terrestrial ecosystems and were likely  
849 selective herbivores that fed primarily by browsing on soft plants/softer plant parts (Fig. 10). While  
850 there is no direct evidence on the plants that might have formed shuvosaurid diets, new growth from  
851 extant plants is structurally weak due to low silica and lignin content (Massey et al., 2007). It is  
852 therefore possible that shuvosaurids prioritised feeding on new plant growth. In the absence of  
853 detailed information on neck function, shuvosaurids are likely to have fed within 1–2 metres of  
854 ground level (Fig. 10; upper estimate based on incomplete *Sillosuchus* material; (Nesbitt, 2011)).  
855 This result contrasts with some contemporaneous aetosaurs such as *Stagonolepis* and *Typothorax*,  
856 whose robust limbs, shovel-shaped rostra and high bite forces suggest diets of tough vegetation  
857 located underground (Desojo & Vizcaíno, 2009; Heckert et al., 2010; Desojo et al., 2013) (but see  
858 Taborda et al. 2021 for suggestions of possible faunivory in a Late Triassic aetosaur from 3D finite  
859 element analysis). In addition, biomechanical studies of sauropodomorphs suggest they were  
860 generalised herbivores, perhaps exhibiting facultative faunivory (Button et al., 2016; Lautenschlager  
861 et al., 2016), and likely fed on taller plants based on their larger body size (Galton, 1985). Overall,  
862 our results suggest that Late Triassic food webs were more functionally diverse and complex than  
863 previously appreciated.

864

## 865 CONCLUSIONS

866 Our study shows that despite the high degree of overall similarity between the crania of *Effigia*,  
867 ornithomimids and extant palaeognaths, the functional morphology of this pseudosuchian differed

868 substantially from that of ‘ostrich-like’ archosaurs. *Effigia* possesses an unusual mosaic of  
869 mechanical features that most likely restricted habitual feeding functions to the anterior portion of its  
870 jaws. A shearing motion between the anterior parts of the mandible and rostrum during orthal closure  
871 would have generated the least stress under our modelling conditions. Our analyses indicate that this  
872 pseudosuchian was most likely herbivorous and likely a specialist that cropped the softer parts of  
873 plants during feeding. Our study indicates that although ‘ostrich-like’ bauplans evolved  
874 independently at least three times in archosaurs over a 230-million-year period, different functional  
875 behaviours were employed by each lineage. This study showcases the importance of rigorous,  
876 quantitative and repeatable techniques like FEA to deduce whether morphological convergence  
877 between unrelated taxa confers functional convergence or not as well as providing the potential to  
878 uncover more detailed information on their specific ecological roles. The inferred functional  
879 morphology of *Effigia* indicates that it (and other closely related and morphologically similar  
880 shuvosaurids) performed a unique ecological role within Late Triassic food webs. This example not  
881 only increases our understanding of Late Triassic terrestrial ecosystems, but also emphasises the  
882 overall ecological diversity and success of the pseudosuchian archosaurs at this time.

883

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891

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1217 Fig. 1. Labelled diagrams of the retrodeformed *Effigia okeeffeae* skull. (A) Cranium lateral view. (B) Cranium  
 1218 dorsal view. (C) Cranium palatal view. (D) Mandible lateral view. (E) Mandible dorsal view. Abbreviations:  
 1219 af, antorbital fenestra; an, angular; ant, angular tuber; ar, articular; bt, basal tuber; cp, cultiform process; d,  
 1220 dentary; ds, dentary shelf; ect, ectopterygoid; f, frontal; fa, foramen; j, jugal; l, lacrimal; ls, laterosphenoid; ltf,  
 1221 lateral temporal fenestra; m, maxilla; mf, mandibular fenestra; mpr, median pharyngeal recess; na, naris; ns,  
 1222 nasal; o, orbit; p, parietal; pal, palatine; pbs, parabasisphenoid; pf, prefrontal; pm, premaxilla; po, postorbital;  
 1223 pre, prearticular; pt, pterygoid; q, quadrate; qj, quadratojugal; s, splenial; sq, squamosal; sr, surangular; stf,  
 1224 supra-temporal fenestra; v, vomer. All models to scale.

1225

1226 Fig. 2. Different rhamphotheca morphologies for *Effigia okeeffeae* (A–H) and *Ornithomimus edmontonicus*  
 1227 (I–L) used in finite element analysis. (A) Small-beaked *Effigia* cranium, oblique view. (B) Small-beaked  
 1228 *Effigia* cranium, palatal view. (C) Large-beaked *Effigia* cranium, oblique view. (D) Large-beaked *Effigia*  
 1229 cranium, palatal view. (E) Small-beaked *Effigia* mandible, oblique view. (F) Small-beaked *Effigia* mandible,  
 1230 dorsal view. (G) Large-beaked *Effigia* mandible, oblique view. (H) Large-beaked *Effigia* mandible, dorsal  
 1231 view. (I) Small-beaked *Ornithomimus* cranium, oblique view. (J) Small-beaked *Ornithomimus* cranium,

1232 palatal view. (K) Large-beaked *Ornithomimus* cranium, oblique view. (L) Large-beaked *Ornithomimus*  
 1233 cranium, palatal view. Models not to scale.

1234

1235 Fig. 3. Reconstructed adductor musculature of *Effigia okeeffeae* shown in right lateral view. (A) m.  
 1236 pterygoideus dorsalis. (B) m. pterygoideus ventralis. (C) m. adductor mandibulae posterior. (D) m. adductor  
 1237 mandibulae externus superficialis. (E) m. adductor mandibulae externus medialis. (F) m. adductor mandibulae  
 1238 externus profundus. (G) m. pseudotemporalis superficialis. (H) m. intramandibularis. The mandibular  
 1239 insertions of the muscles in parts (E–H) are reconstructed as attaching to a cartilaginous sesamoid, the  
 1240 cartilago transiliens. The sesamoid was included in the muscle reconstructions but excluded from finite  
 1241 element analyses due to the unknown material properties of cartilaginous structures.

1242

1243 Fig. 4. Comparisons of von Mises stress distribution of study taxa subjected to bilateral anterior bite  
 1244 simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-  
 1245 beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus*  
 1246 cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator*  
 1247 *mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity).  
 1248 Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling  
 1249 information can be found in Table 1. All models are shown in oblique view.

1250

1251 Fig. 5. Comparisons of von Mises stress distribution of study taxa subjected to bilateral middle bite  
 1252 simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-  
 1253 beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus*  
 1254 cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator*  
 1255 *mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity).  
 1256 Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling  
 1257 information can be found in Table 1. All models are shown in oblique view.

1258

1259 Fig. 6. Comparisons of von Mises stress distributions of study taxa subjected to bilateral posterior bite  
1260 simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-  
1261 beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus*  
1262 cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator*  
1263 *mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity).  
1264 Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling  
1265 information can be found in Table 1. All models are shown in oblique view.

1266

1267 Fig. 7. Comparisons of von Mises stress distributions of study taxa subjected to pecking simulations. (A)  
1268 Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-beaked *Ornithomimus*  
1269 *edmontonicus* cranium. (D) Large-beaked *Ornithomimus* cranium. (E) *Struthio camelus* cranium. (F) *Alligator*  
1270 *mississippiensis* cranium. The location and direction of the loading force is indicated by the red arrows. Note  
1271 the different scaling for stress compared to the biting simulations (Figs. 4–6). Models were all scaled to the  
1272 same surface area for analysis. Scaling information can be found in Table 1. All models are shown in oblique  
1273 view.

1274

1275 Fig. 8. von Mises stress magnitudes of the of the study archosaur crania at ten measurement locations along  
1276 their dorsal surfaces for four different feeding simulations. (A) Bilateral anterior bite simulation values. (B)  
1277 Bilateral middle bite simulation values. (C) Bilateral posterior bite simulation values. (D) Pecking simulation  
1278 values. Note the different y-axis scales between (A–C) and (D). Measurement point locations along each  
1279 cranium can be found in Fig. S2.

1280

1281 Fig. 9. von Mises stress magnitudes of the of the study archosaur crania at ten measurement locations along  
1282 their palatal surfaces for four different feeding simulations. (A) Bilateral anterior bite simulation values. (B)

1283 Bilateral middle bite simulation values. (C) Bilateral posterior bite simulation values. (D) Pecking simulation  
 1284 values. Note the different y-axis scales between (A–C) and (D). Measurement point locations along each  
 1285 cranium can be found in Fig. S2.

1286

1287 Fig. 10. Life reconstruction of *Effigia okeeffeae* based on the skull redescription and results of the functional  
 1288 models. *Effigia* is depicted feeding on softer plant material, represented by the fern-like *Cladophlebis* from the  
 1289 Chinle Formation (Parker & Martz, 2010). Created by Mark Witton, who retains the copyright.

1290

1291 Fig. S1. Reconstructed cranial morphology of *Effigia okeeffeae*. (A) Photograph of the CT scanned specimen  
 1292 AMNH FR 30587 in left lateral view adapted from Nesbitt, (2007). (B) Digital model of the segmented  
 1293 specimen (lacking mandibles). (C) Cranium with re-aligned elements and post-mortem degradation features,  
 1294 such as cracks and holes, corrected. (D) Restored cranial morphology used for finite element models in this  
 1295 study.

1296

1297 Fig. S2. Location of measurement points along the dorsal and palatal cranium surfaces of the study  
 1298 archosaurs. (A) Small-beaked *Effigia okeeffeae*, dorsal view. (B) Small-beaked *Effigia*, palatal view. (C)  
 1299 Large-beaked *Effigia*, dorsal view. (D) Large-beaked *Effigia*, palatal view. (E) Small-beaked *Ornithomimus*  
 1300 *edmontonicus*, dorsal view. (F) Small-beaked *Ornithomimus*, palatal view. (G) Large-beaked *Ornithomimus*,  
 1301 dorsal view. (H) Large-beaked *Ornithomimus*, palatal view. (I) *Struthio camelus*, dorsal view. (J) *Struthio*,  
 1302 palatal view. (K) *Alligator mississippiensis*, dorsal view. (L) *Alligator*, palatal view. Models not to scale.

1303

1304 Fig. S3. von Mises stress distributions (A–D) and point magnitudes (E–F) of *Struthio* with modelled  
 1305 palatobasal and otic joints. (A) Bilateral anterior bite simulation. (B) Bilateral middle bite simulation. (C)  
 1306 Bilateral posterior bite simulation. (D) Pecking simulation. Note the different von Mises scales between (A–  
 1307 C) and (D). (E) Stress magnitudes along the dorsal and palatal cranium surfaces from the anterior, middle and

1308 posterior bite simulations. (F) Stress magnitudes along the dorsal and palatal cranium surfaces from the  
1309 pecking simulation. The location and direction of the loading force is indicated by the red arrows. Note the  
1310 different y-axis scales between (E) and (F) for consistency with Figs. 8 and 9. Dorsal and palatal measurement  
1311 locations are the same as the non-jointed *Struthio* model (Fig. S2).

1312

1313

1314



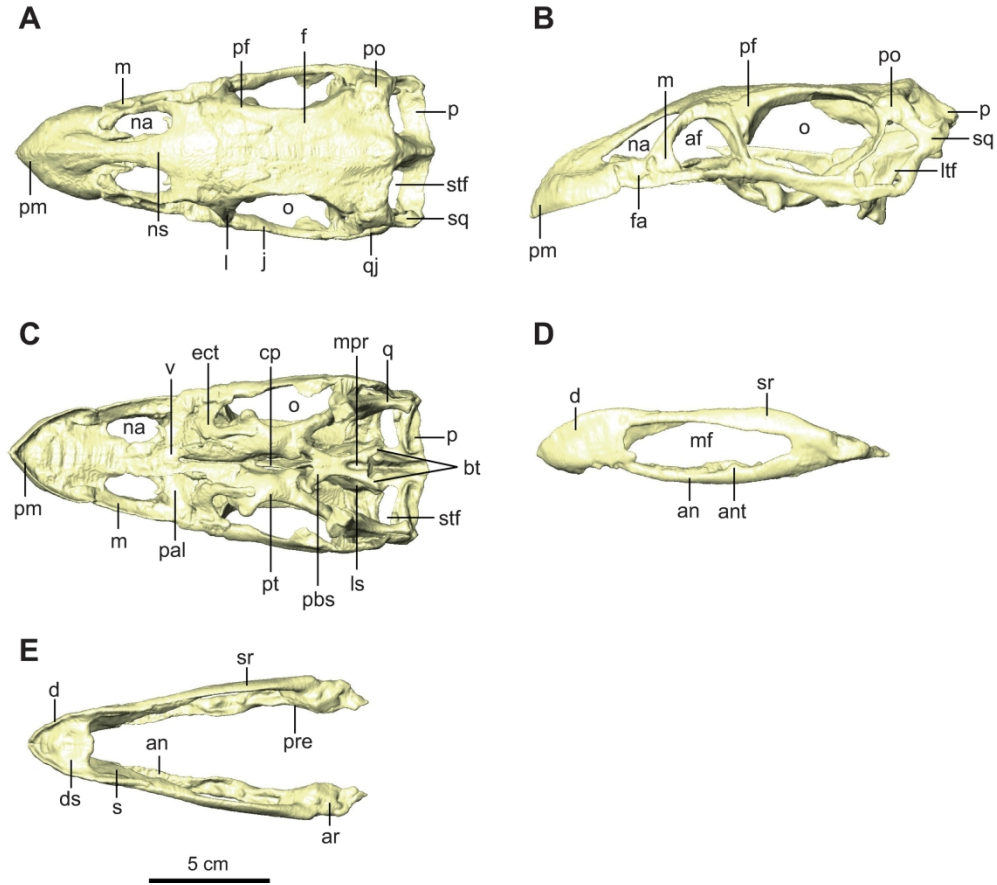


Fig. 1. Labeled diagrams of the retrodeformed *Effigia okeeffeae* skull. (A) Cranium lateral view. (B) Cranium dorsal view. (C) Cranium palatal view. (D) Mandible lateral view. (E) Mandible dorsal view. Abbreviations: af, antorbital fenestra; an, angular; ant, angular tuber; ar, articular; bt, basal tuber; cp, cultiform process; d, dentary; ds, dentary shelf; ect, ectopterygoid; f, frontal; fa, foramen; j, jugal; l, lacrimal; ls, laterosphenoid; ltf, lateral temporal fenestra; m, maxilla; mf, mandibular fenestra; mpr, median pharyngeal recess; na, naris; ns, nasal; o, orbit; p, parietal; pal, palatine; pbs, parabasisphenoid; pf, prefrontal; pm, premaxilla; po, postorbital; pre, prearticular; pt, pterygoid; q, quadrate; qj, quadratojugal; s, splenial; sq, squamosal; sr, surangular; stf, supratemporal fenestra; v, vomer. All models to scale.

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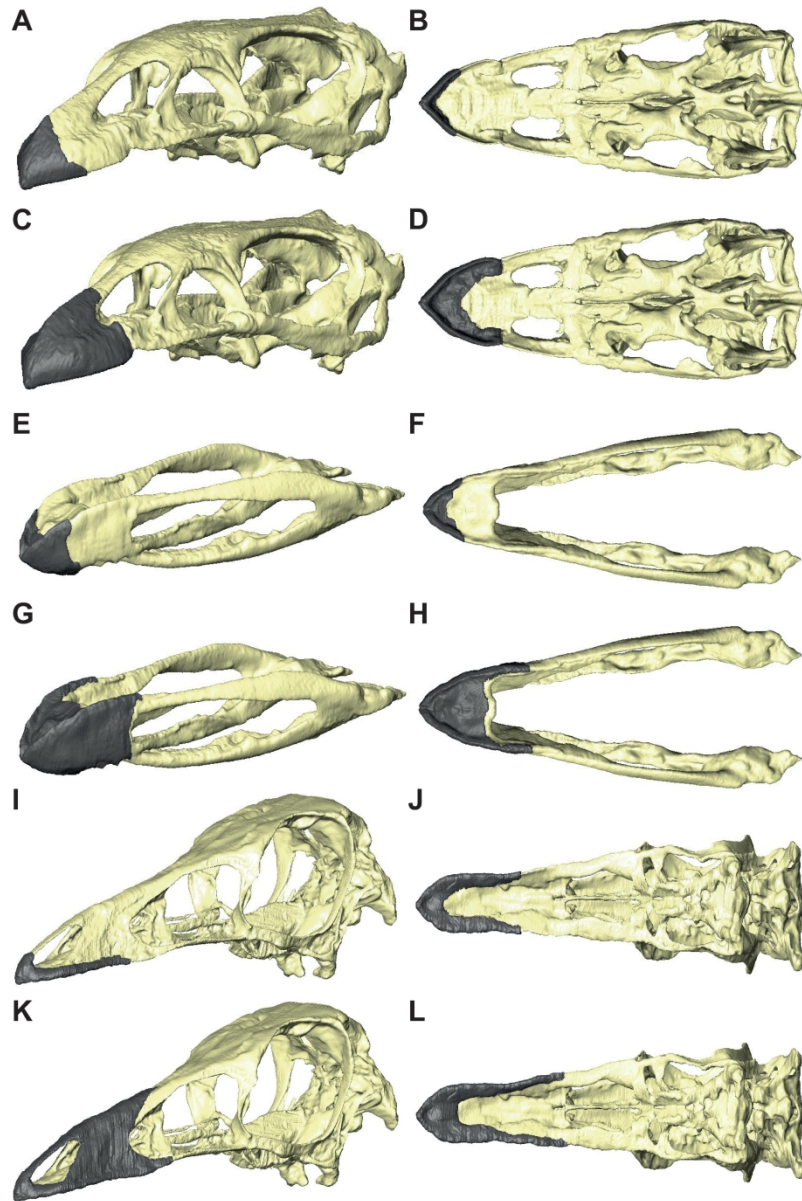


Fig. 2. Different rhamphotheca morphologies for *Effigia okeeffeae* (A–H) and *Ornithomimus edmontonicus* (I–L) used in finite element analysis. (A) Small-beaked *Effigia* cranium, oblique view. (B) Small-beaked *Effigia* cranium, palatal view. (C) Large-beaked *Effigia* cranium, oblique view. (D) Large-beaked *Effigia* cranium, palatal view. (E) Small-beaked *Effigia* mandible, oblique view. (F) Small-beaked *Effigia* mandible, dorsal view. (G) Large-beaked *Effigia* mandible, oblique view. (H) Large-beaked *Effigia* mandible, dorsal view. (I) Small-beaked *Ornithomimus* cranium, oblique view. (J) Small-beaked *Ornithomimus* cranium, palatal view. (K) Large-beaked *Ornithomimus* cranium, oblique view. (L) Large-beaked *Ornithomimus* cranium, palatal view. Models not to scale.

206x308mm (300 x 300 DPI)

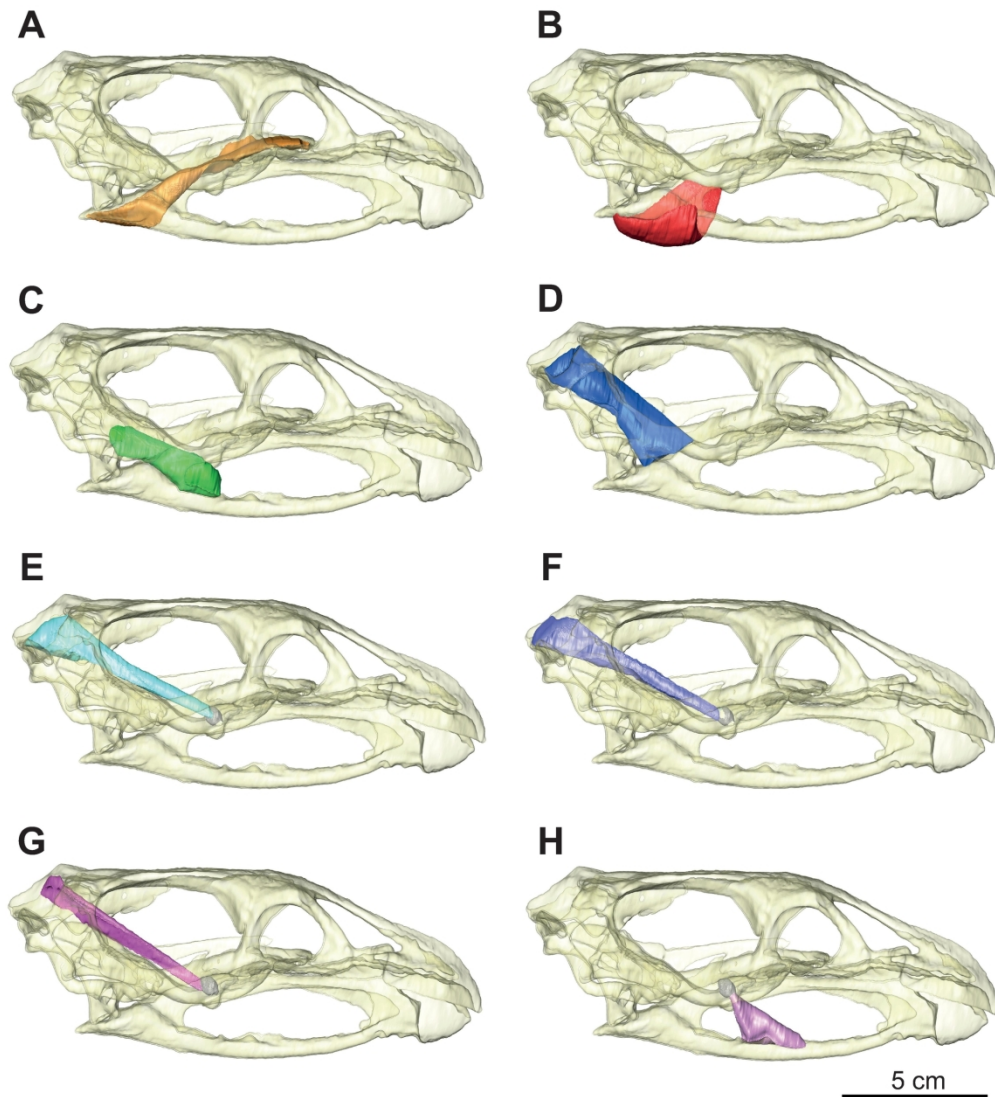


Fig. 3. Reconstructed adductor musculature of *Effigia okeeffeae* shown in right lateral view. (A) m. pterygoideus dorsalis. (B) m. pterygoideus ventralis. (C) m. adductor mandibulae posterior. (D) m. adductor mandibulae externus superficialis. (E) m. adductor mandibulae externus medialis. (F) m. adductor mandibulae externus profundus. (G) m. pseudotemporalis superficialis. (H) m. intramandibularis. The mandibular insertions of the muscles in parts (E–H) are reconstructed as attaching to a cartilaginous sesamoid, the cartilago transiliens. The sesamoid was included in the muscle reconstructions but excluded from finite element analyses due to the unknown material properties of cartilaginous structures.

195x213mm (300 x 300 DPI)

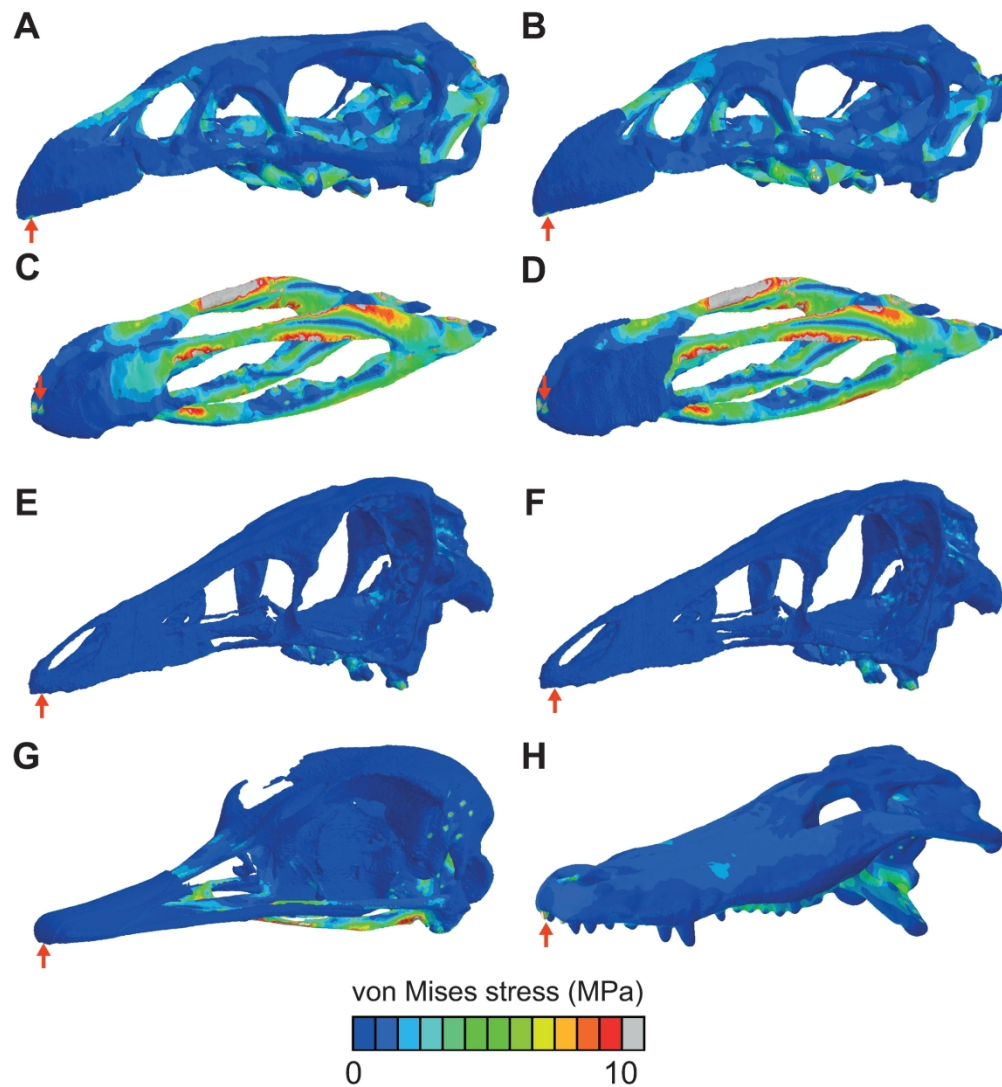


Fig. 4. Comparisons of von Mises stress distribution of study taxa subjected to bilateral anterior bite simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus* cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity). Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling information can be found in Table 1. All models are shown in oblique view.

202x219mm (300 x 300 DPI)

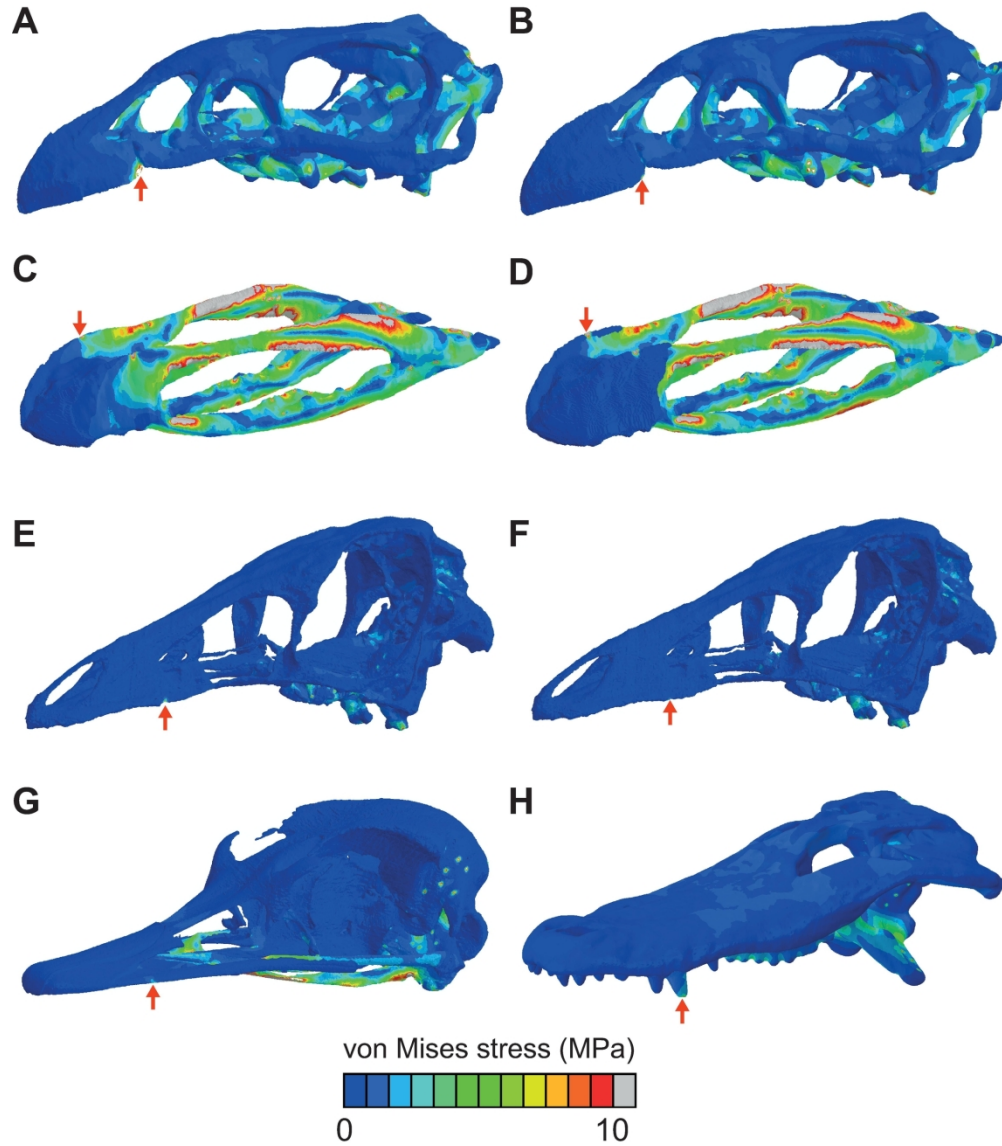


Fig. 5. Comparisons of von Mises stress distribution of study taxa subjected to bilateral middle bite simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus* cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity). Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling information can be found in Table 1. All models are shown in oblique view.

201x232mm (300 x 300 DPI)

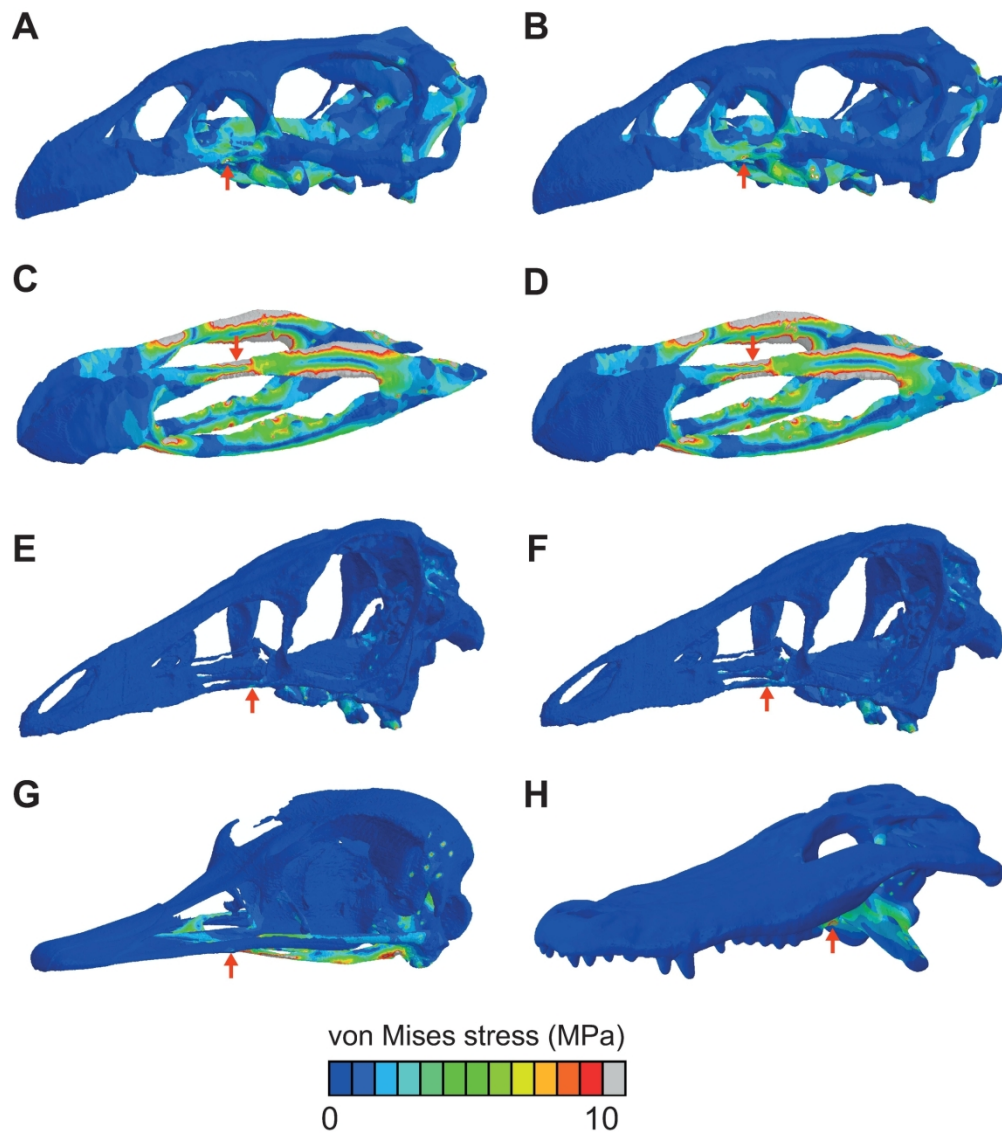


Fig. 6. Comparisons of von Mises stress distributions of study taxa subjected to bilateral posterior bite simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-beaked *Effigia* mandible. (D) Large-beaked *Effigia* mandible. (E) Small-beaked *Ornithomimus edmontonicus* cranium. (F) Large-beaked *Ornithomimus* cranium. (G) *Struthio camelus* cranium. (H) *Alligator mississippiensis* cranium. Bite positions indicated by red arrows (only one side of jaw is indicated for clarity). Models were all scaled to the same surface area, and muscle loads scaled accordingly, for analysis. Scaling information can be found in Table 1. All models are shown in oblique view.

198x224mm (300 x 300 DPI)

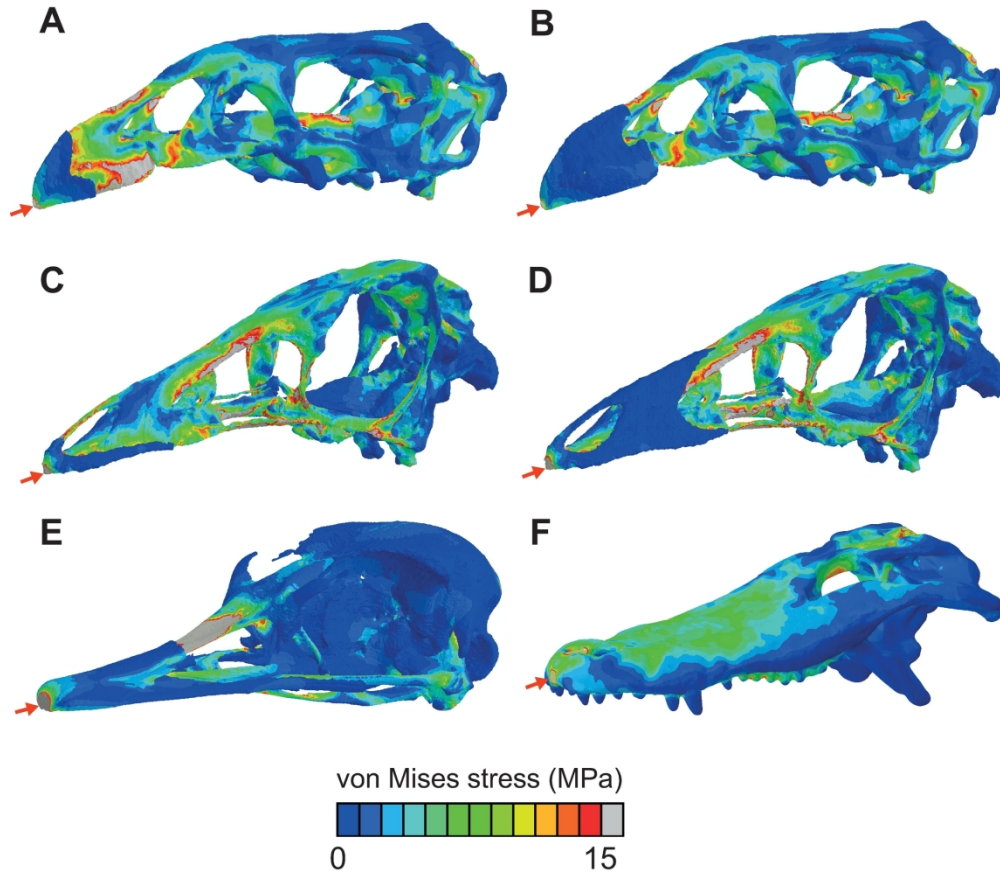


Fig. 7. Comparisons of von Mises stress distributions of study taxa subjected to pecking simulations. (A) Small-beaked *Effigia okeeffeae* cranium. (B) Large-beaked *Effigia* cranium. (C) Small-beaked *Ornithomimus edmontonicus* cranium. (D) Large-beaked *Ornithomimus* cranium. (E) *Struthio camelus* cranium. (F) *Alligator mississippiensis* cranium. The location and direction of the loading force is indicated by the red arrows. Note the different scaling for stress compared to the biting simulations (Figs. 4–6). Models were all scaled to the same surface area for analysis. Scaling information can be found in Table 1. All models are shown in oblique view.

205x180mm (300 x 300 DPI)

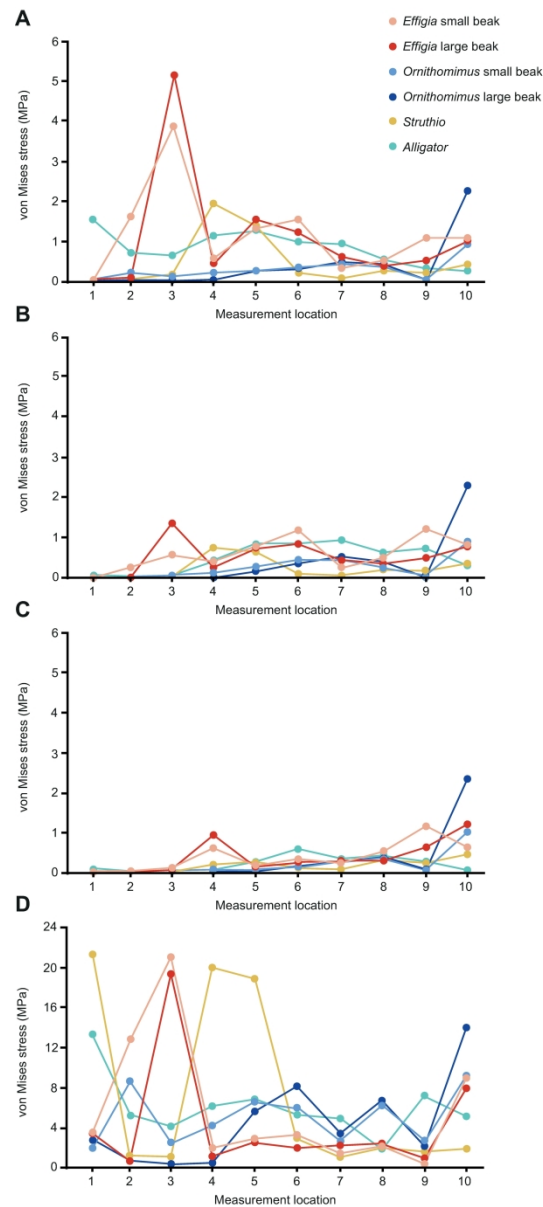


Fig. 8. von Mises stress magnitudes of the of the study archosaur crania at ten measurement locations along their dorsal surfaces for four different feeding simulations. (A) Bilateral anterior bite simulation values. (B) Bilateral middle bite simulation values. (C) Bilateral posterior bite simulation values. (D) Pecking simulation values. Note the different y-axis scales between (A–C) and (D). Measurement point locations along each cranium can be found in Fig. S2.

182x409mm (300 x 300 DPI)



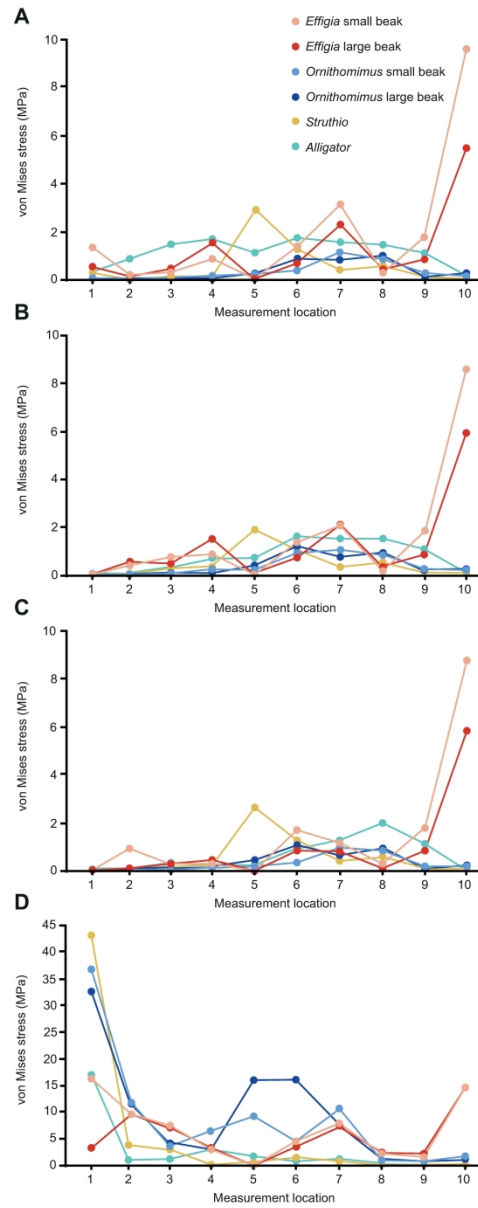


Fig. 9. von Mises stress magnitudes of the of the study archosaur crania at ten measurement locations along their palatal surfaces for four different feeding simulations. (A) Bilateral anterior bite simulation values. (B) Bilateral middle bite simulation values. (C) Bilateral posterior bite simulation values. (D) Pecking simulation values. Note the different y-axis scales between (A–C) and (D). Measurement point locations along each cranium can be found in Fig. S2.

160x409mm (300 x 300 DPI)



Fig. 10. Life reconstruction of *Effigia okeeffeae* based on the skull redescription and results of the functional models. *Effigia* is depicted feeding on softer plant material, represented by the fern-like *Cladophlebis* from the Chinle Formation (Parker & Martz, 2010). Created by Mark Witton, who retains the copyright.

**TABLE 1. Scaling information for the model crania of the study archosaurs.**

*Ornithomimus*, *Struthio* and *Alligator* model crania were scaled down to the same surface area as the *Effigia* cranium.

	<i>Effigia</i>	<i>Ornithomimus</i>	<i>Struthio</i>	<i>Alligator</i>
Actual cranium length (mm)	166.6	185	200.3	371
Initial model surface area (mm <sup>2</sup> )	43113	52085	72348	396765
Surface area and muscle force scale factor	-	1.208	1.6781	9.202
Length scale factor	-	1.099	1.295	3.033
Scaled model cranium length (mm)	-	168.314	154.622	122.296

**TABLE 2. Muscle force estimates of individual jaw adductor muscles for study archosaurs**

Muscle	Muscle force (N)			
	<i>Effigia</i>	<i>Ornithomimus</i>	<i>Struthio</i>	<i>Alligator</i>
m. PTd	10.2	14.2/17.1	20.5/34.3	15.8/145.8
m. PTv	60.6	5.9/7.1	46.6/78	19/174.5
m. AMP	15.2	12.4/15	5.2/8.8	8.9/81.7
m. AMEM	14	7.2/8.7	30.3*/50.7*	4.9/45.3
m. AMEP	12.6	10.7/12.9	8/13.4	4.4/40.1
m. AMES	22.5	8.7/10.5	- / -	10.1/92.8
m. PSTs	12.2	8.6/10.4	1.78/2.9	3.5/32
m. PSTp	-	-	1.8/3.1	2.8/25.7
m. IRA	21.7	-	-	-
Sum	168.9	67.6/81.7	114.3/191.2	69.3/637.8

Muscle force estimates are unilateral. See Supplementary Table S1 for more

information on how *Effigia* muscle forces were measured and calculated.

*Ornithomimus*, *Struthio* and *Alligator* force estimates are presented as scaled values (forces used in finite element analyses where the crania are scaled to the same surface area as the *Effigia* cranium) and unscaled values (forces from actual crania size), respectively. See Supplementary Table S1 for more detailed information on how scaled muscle forces were calculated. *Effigia* and *Struthio* force estimates were calculated in this study. *Struthio* m. AMEM force estimates denote the derived m. AMEM/S muscle group found in extant birds (Holliday & Witmer 2007). Unscaled *Ornithomimus* estimates are from Cuff & Rayfield (2015) and unscaled *Alligator*

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estimates are from Montefeltro et al (2020). The m. PSTp was not calculated for *Effigia* and *Ornithomimus* (see *Effigia musculature* in the Results section for more information) and the m. IRA was not calculated for *Ornithomimus*, *Struthio* and *Alligator*. All values to 1 d.p.