UNIVERSITY^{OF} BIRMINGHAM University of Birmingham Research at Birmingham

Failure investigations into interspersed railway tracks exposed to flood and washaway conditions under moving train loads

Khairul Anuar, Mohamad Ali Ridho; Kaewunruen, Sakdirat

DOI: 10.1016/j.engfailanal.2021.105726

License: Creative Commons: Attribution-NonCommercial-NoDerivs (CC BY-NC-ND)

Document Version Peer reviewed version

Citation for published version (Harvard):

Khairul Anuar, MAR & Kaewunruen, S 2021, 'Failure investigations into interspersed railway tracks exposed to flood and washaway conditions under moving train loads', *Engineering Failure Analysis*, vol. 129, 105726. https://doi.org/10.1016/j.engfailanal.2021.105726

Link to publication on Research at Birmingham portal

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1	Failure investigations into interspersed railway tracks
2	exposed to flood and washaway conditions under moving
3	train loads
4	
5	Mohamad Ali Ridho B K A ¹ , Sakdirat Kaewunruen ^{2,*}
6	¹ Department of Civil Engineering, University of Birmingham, Bir-
7	mingham, United Kingdom
8	Mxk152@student.bham.ac.uk
9	² Department of Civil Engineering, University of Birmingham, Bir-
10	mingham, United Kingdom
11	s.kaewunruen@bham.ac.uk (S.K.)
12	*Correspondence: s.kaewunruen@bham.ac.uk; Tel.: +44 (0) 1214 142
13	670 (S.K.)

14 Abstract. In traditional railway networks globally, timber sleepers have been widely adopted since the advent of railway systems. After a certain period of time, timbers tend 15 16 to degrade and become more and more difficult to seek cost-effective replacement hardwood sleepers. To provide a short-term solution, many rail infrastructure managers use 17 an interspersing method of track maintenance. The interspersed pattern sleeper of rail-18 19 way track, which is a spot replacement of old timber sleeper with concrete or composite 20 counterparts, is often utilised as a temporary maintenance for secondary railway tracks such as low-traffic lines, yards, balloon loops or siding. Reportedly, the performance of 21 22 interspersed tracks can quickly deteriorate when the tracks are exposed to heavy rains 23 and floods. In many cases, ballast washaway can be often seen. This study is the world first to demonstrate the effects of ballast washaway on the vulnerability assessment of 24 25 interspersed sleeper railway using nonlinear finite element simulations, STRAND7. 26 Two moving point loads representing an axle load along each rail has been established 27 to investigate the worst-case, potential actions for impaired performance of sleepers and 28 differential settlement of the track. In this study, the emphasis is placed on the effect of 29 ballast washaway on the maximum displacement of rails and the relative track geometries (i.e. top and twist). The maximum bending actions causing the failures of the track 30 components are also investigated. The insight will help track engineers develop appro-31 32 priate climate change adaptation method and policy for operations of interspersed railway tracks facing extreme rainfall and flooding conditions. 33

Keywords: Vulnerability, Resilience, Railway, Interspersed Tracks, Ballasted tracks,
 Flood, Extreme Condition, Washaway

36 **1** Introduction

Over two decades, railway tracks have been built using locally sourced materials such as steel rails, sleepers, fasteners, ballast, formation (capping layer over compacted soil), subgrade and foundation. It is very well-known that the dynamic loading conditions acting on railway tracks stemmed from either passenger or freight trains can induce dynamic behaviour (amplified phenomena above simple static behaviour) of a railway track. This dynamic behaviour is pronounced and can be observed when a train travels over 60 km/h. It is vital to understand the track dynamic responses to diverse 44 loading conditions [1] since excessive irregular responses can lead to train derailments. 45 It is noteworthy that the dynamic loading conditions, which often cause structural 46 cracks in brittle sleepers, densify and pulverise ballast support, are usually the large 47 impact loads due to wheel/rail irregularities (e.g. wheel flats, out-of-round wheels, etc.). 48 For example, a traditional transient waveform pattern of wheel impacts due to a dipped 49 joint can be seen in Fig. 1. Vividly, the amplitude of the impact forces can vary from 50 200kN to 400kN while the duration may range from 2 to 10 msec. Based on a transient 51 pulse concept (i.e. Duhamel's integral), these impact pulses can be associated with the 52 dynamic excitations with a frequency range from 100 Hz to 500 Hz (f = 1/T: f is the 53 frequency and T is the period). This frequency range can excite the resonances of track 54 components and lead to pre-mature damages, reducing the durability and service lives 55 of track components. In the reality, wheel/rail interaction imposes dynamic forces act-56 ing on rail seats. Noting that the dynamic load patterns are dependent on train speed, 57 track geometry, axle load, vehicle type, and wheel/rail defects or irregularities. In prac-58 tice, railway and track engineers must consider the frequency ranges of static and dy-59 namic loadings to plan and realise the life cycle asset maintenance and management of 60 railway tracks with respect to critical train speeds and bespoke operational parameters 61 [1-10]. 62

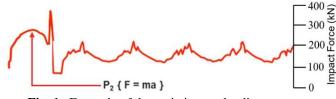


Fig. 1. Example of dynamic impact loading pattern

63

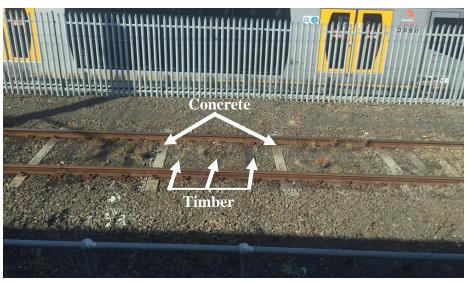
64 65

Timber sleepers have been widely used in railway track systems all over the world, 66 67 especially in North America, Africa, certain extent in Europe, Australia, and Asia. Their 68 life cycle is estimated to be around 10 to 15 years depending on their applications, 69 service explosures, operation parameters, environmental factors and the level of 70 maintenance quality. Over time, these timber sleepers degrade and require renewals. 71 Partial replacement or spot replacement of timber sleepers by prestressed concrete 72 sleepers is an interesting concept that has been adopted over the world. This temporary 73 method is to maintain track quality and improve short-term solutions that could be ag-74 ile, cheap, effective and quick. This kind of spot replacement is usually adopted for the 75 second or third class timber tracks or in some countries in the first-class main line. This solution is called "interspersed track". In general, restricted train speeds are regularly 76 77 adopted when track deteriorates to the condition below the base operation conditions 78 (BOCs) or a reasonably safe condition. By adopting the interspersed method, full oper-79 ational speed can still be allowed. Moreover, this approach strengthens for enhance-80 ment in ability to withstand high velocity operations or to restrain longitudinal rail 81 forces preventing a track buckling [9-11].

82 Although the spot replacement of aged, rotten timber sleepers is clearly more eco-83 nomical than a complete track renewal or reconstruction, the interspersed track poses 84 some disadvantages. In practice, the spot replacement pays special attention only to old, 85 rotten timber sleepers. The degraded timber sleepers will be removed and then the new 86 stiff concrete sleepers will be inserted onto old and weakened foundation, which has 87 been in services for a very long time. In fact, the track stiffness of the renewed track 88 with spot concrete sleepers is inconsistent as the existing timber tends to be aging too. 89 This track stiffness inconsistency and different track decay rate can be a reason of un-90 even settlement and foundation failure [9-13]. Based on differential track stiffness, de-91 terioration processes, track component durability and operational parameters, many

92 patterns of interspersed railway tracks have been introduced i.e. 1 in 2, 1 in 3, 1 in 4 93 and so on (which mean that there is 1 concrete sleeper in every indicated number of 94 sleepers, for instance, 1 in 4 mean 1 concrete sleeper in every 4 sleepers including the 95 concrete itself). It is important to note that this type of railway track mainly exists in a 96 rail network with low operational speeds. 1 in 4 interspersed track is commonly ob-97 served and will be the focus in this study. A key reason is that this type of track has 98 various flaws derived from how it is built. These can impair the long-term performance 99 of interspersed railway tracks as shown in Fig. 2 [13]. Fig.2 shows the conditions of 100 interspersed railway tracks in low-speed operation (<25 km/h). The tracks have been 101 commissioned between 2006 and 2008 and have served as a main high-speed link to 102 maintenance junctions.

103



- 104 105
- 105
- 107

Fig. 2. Example of 1 in 4 interspersed tracks (1 concrete sleeper after 3 timber sleepers – a set of four)

108 Serviceability of a railway track has become the governing criteria for sleepers made 109 of different material properties in the existing aged track systems. It is important to note 110 that a general recommendation (e.g. by Australian Office of Transport Safety Investi-111 gations) is to perform concrete sleeper installation only 'in-face' (i.e. the practice of 112 installing the same sleeper type continuously rather than interspersed with other sleep-113 ers in between, also referred to as 'on-face') [11-13]. This in-face method is advised to 114 improve vulnerability of the track systems. In reality, cost and time constraints have 115 prohibited the in-face installation. Many railway networks have employed on-face in-116 stallation (spot replacement of concrete sleepers) to retain operational services without 117 disruption from degradations of materials, components and track systems.

118 On the other hands, complexities of climate change and extreme weather conditions 119 have raised an essential concern of risk and uncertainty for railway operators. Extreme 120 weather conditions significantly affect railway operations and safety, such as fatalities, 121 injuries and property damage. It is well known that climate change and extreme weather 122 conditions incur serious challenges to infrastructure systems. However, most research 123 (over 200 journal articles annually) have been focussed only on the development of 124 high-level holistic frameworks for risk reduction, crisis responses, systems resilience, 125 and top-down infrastructure management. There is very little research that has been 126 conducted to understand the true capacity, to identify vulnerability to the transport in-127 frastructures, or to implement real actions to prevent and recover the natural crisis. It 128 has been widely recognized that there is an urgent need to integrate bottom-up consid-129 eration of climate change, its vulnerability, its structural integrity, and its extreme 130 weather impacts in policies, design, maintenance and reconstruction of infrastructure

131 systems. Everyday decision makings do not take into account the consequences that 132 could affect the new assets and infrastructures in the future. On this ground, this study 133 is crucial for railway managers, maintainers, and regulators in order to embrace real 134 insights for climate change adaptation and resilience-based measures that mitigate the 135 risks and uncertainty derived from extreme climatic conditions. For example, the cli-136 mate in South East Asia (such as in Thailand, Indonesia, Malaysia, Vietnam, etc.) is 137 dominated by 2 monsoon regimes namely as northeast monsoon and southwest mon-138 soon. The northeast monsoon circulates during the months of December, January and 139 February, and the period frequently possesses the most flooding conditions. Being in 140 the equatorial zone and tropical country, the average temperature throughout the year 141 is constantly high (e.g. 26 °C) and has a very high humidity due to the high temperature. 142 As a case study, Malaysia also can have a very heavy rainfall season, which is more 143 than 2500mm per year. It is clear that one of the most devastating natural disasters 144 experienced in many continents (e.g. Europe, Asia, Africa, etc.) are floods and their 145 consequential landslides, as illustrated in Fig. 3. These conditions can soften the soil 146 formation underneath the tracks and can also cause washaway when the ballast under 147 the sleepers have been removed by rainfalls and runoffs. This study will thus pay spe-148 cial attention to the risks associated with heavy rainfall and flood.

149 Hence, this paper aims at investigating the vulnerability of the interspersed railway 150 tracks exposed to flooding conditions. Dynamic responses of the interspersed railway 151 tracks under moving train loads will be considered as the precursor to identify the level 152 of serviceability. Based on critical literature review, this research has never been pre-153 sented in open literature [14-21]. A class of two-dimensional interspersed track models 154 was created using Timoshenko beams in a finite element package, STRAND7. Dy-155 namic displacement has been evaluated to understand the geometric behaviours of rail 156 over sleeper, rail at midspan, cross level, and twists. The insight into the interspersed 157 track vulnerability will help rail track engineers to manage risks and uncertainty due to 158 flooding conditions and to enable a truly predictive maintenance and improve the reli-159 ability of infrastructure asset maintenance and management.





161 162 163

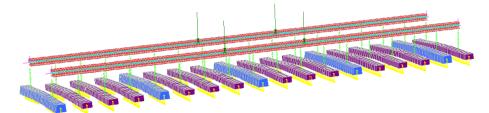
Fig. 3. Washaway of railway tracks occurred in Malaysia East Coast Line railway bridge, which cross Nenggiri River in Kemubu, Kelantan had totally lost due to massive flood in December 2014. (Courtesy: Malaysian Department of Public Works)

165 2 Methodology and Data

166 2.1 Track Modeling

167 Interspersed track models have been established and validated using field data. These 168 models have been adopted in this study. In the model, a two-dimensional Timoshenko 169 beam model has been employed and found to be one of the most suitable options for 170 modeling rails and concrete sleepers [21]. Using the numerical and experimental modal 171 parameters [22], the finite element models of railway tracks can be fully calibrated. Fig. 172 4 illustrates the finite element models in three-dimensional space for an in-situ 1:4 in-173 terspersed railway track with different types of sleepers. Using a general-purpose finite 174 element package STRAND7, the numerical model included the beam elements, which 175 take into account shear and flexural deformations, for modeling the sleeper and rails. 176 Each sleeper consists of 60 beam elements and each rail consists of 200 beam elements. 177 The 60kg rail cross section and sectional parameters (Area: 17,789.9 mm2; Second 178 moment of Area: 43.2 x106 mm4) were used [21]. The trapezoidal cross-section was 179 assigned to the concrete sleeper elements in accordance with the standard medium duty 180 sleepers (204 mm top-wide x 250 mm bottom-wide x 180 mm deep) [22]. The rectan-181 gular cross-section was assigned to the timber sleeper elements in accordance with the 182 standard timber sleepers (230 mm wide x 130 mm deep) used in Australia [22]. The 183 rail pads at railseats were simulated using a series of spring-dashpot elements. The nov-184 elty in this study is the realistic model of the support condition, which has been simu-185 lated using the nonlinear tensionless beam support feature in STRAND7. This attribute 186 allows the beam to lift over the support while the tensile supporting stiffness is omitted, 187 especially when the support is deteriorated unsymmetrically. The tensionless support 188 option can correctly stimulate the ballast characteristics in real-life tracks [21].





190

191 192 193

Fig. 4. Validated 1:4 interspersed track model (blue: concrete sleepers; and purple: timber sleepers). The model is subjected to a moving train axle (two wheel sets).

194 2.2 Engineering properties

195 Engineering properties of each element are tabulated in Table 1. Table 1 shows the 196 geometrical and material properties of the finite element model. All dimensions are 197 given in millimetres. The partial support condition, which has been reported to be more 198 suitable for standard gauge tracks, has been adopted for this study. Spring – dashpot 199 model of rail pad is used. For the envelope study, four separated forces with a constant 200 magnitude of 100kN have been used to imitate the loading condition of a passenger 201 train bogie (2 per each rail, 2 meters apart). This load magnitude has been used for 202 benchmarking purpose [21-23]. The non-dimensional analyses have then been carried 203 out to investigate the dynamic responses in terms of maximum displacements and cross 204 level (inferring track twists) over train speed and over frequency domain.

207

Table 1. A summary of engineering parameters in the model

Parameters	Range	Unit	Remarks
Length	lr=10.8	m	*standard gauge is 1.435m.
Gauge	g=1.5	m	*1.5m is distance between wheel loads.
Modulus	Er=2.0000e5	MPa	
Poisson's ratio	v _r =0.25	-	
Rail pad stiffness	$k_{p} = 17$	MN/m	

208 209

210 2.3 Risk explosures to flood and washaway conditions

211 When a railway track is exposed to flood and washaway conditions, the formation 212 strength and capacity will be undermined. The severity of strength reduction depends 213 on the duration of rainfalls and runoffs. In most cases when water ponding exists, total 214 track inspection cannot be adequately conducted, making it a very dangerous situation 215 to operate any train. In an event of heavy rainfall (e.g. 2 hours continuously), a flash 216 flood can incur. Any flash flood along railway corridors can weaken the formation, 217 resulting in a very low to nil track modulus. The location with low level of terrains will 218 often suffer this problem and sometimes lead to track mud pumping overtime. In prac-219 tice, engineers may not be able to observe this problem until the severity and damage 220 scale is large.

221 In a case that the gradient or vertical slope of railway tracks and corridor is steep, 222 the runoffs can cause erosion of formation and cause ballast washaway. This event will 223 completely eliminate the ballast and track formation that support the track systems. The 224 severity of this incident depends on the volume and the speed of runoff and whether 225 any water-borne debris exists. If the railway corridor has been properly designed (e.g. 226 with a crossfall tapering towards the drainage), the ballast washaway might occur par-227 tially (e.g. only half of track support) but the scale of damage might be large (e.g. a 228 large number of sleepers are affected). If the flood condition exists, rail engineers may 229 not be able to observe the affected zone until major damages incur such as land slide, 230 derailments, etc. For instance, land slip could also occur as illustrated in Fig. 5. Initially, 231 loss of track support will occur, followed by tension cracks and land slips. Track engi-232 neers are generally unable to observe or notice occurrences of the loss of track support. 233

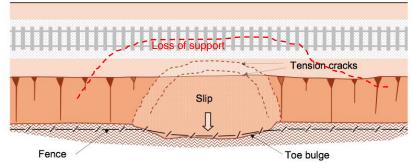


Fig. 5. Risks of heavy rail falls and runoff, and flood conditions.

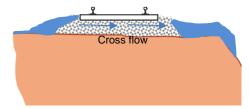


Fig. 6. Cross runoff causing ballast washaway

When a railway track is located in an inclined plane of terrain, cross water runoffs can also cause ballast washaway, as illustrated in Fig. 6. The cross flow can infiltrate the ballast and erode the ballast particles (and potentially formation), causing the ballast washaway (loss of track support), and eventually land slips. When the track system is exposed to a large area with ballast washaway, any operation of a train is reckless.

In this study, a special attention to the initial flood condition when it undermines the track support is considered. This is because, under this situation, engineers and operators cannot inspect the track and observe any problem. In some extent, a service train is operated on the flooded track systems. This study will identify the vulnerability and potential risks when the train services are exposed to such conditions. The emphasis is placed on the interspersed railway tracks since these interspersed methods are often adopted in vulnerable railway corridors and networks.

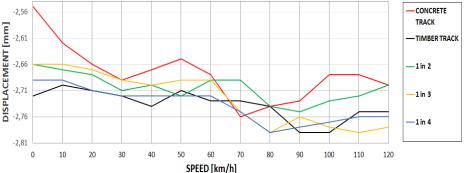
252 **3 Results and Discussions**

Based on the track models, the dynamic responses of the railway tracks (without any damage) under moving train loads can be seen in Fig. 7. It is clear that the train speed influences the dynamic displacements of the track systems. When the train speed increases, the dynamic displacement generally increases. The variance of the dynamic displacement can be observed and is because the dynamic properties or structural periods of track systems can respond differently to different excitation frequencies (i.e. v = $f \times \lambda$ or f = 1/T).



237 238

239

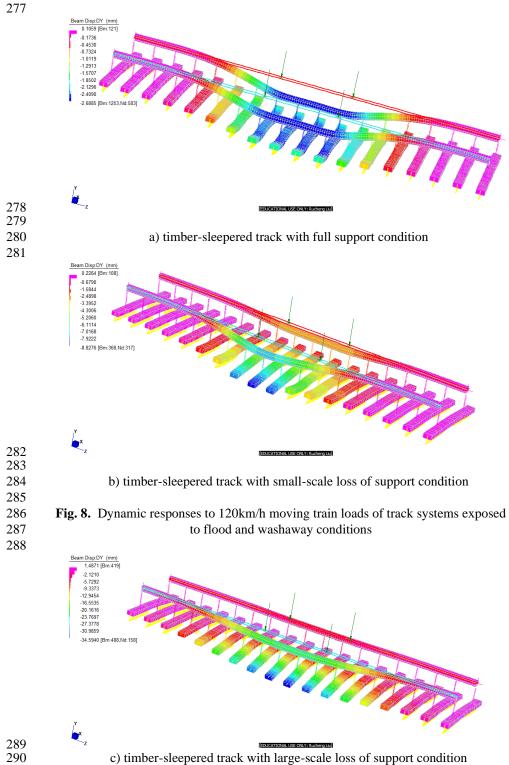


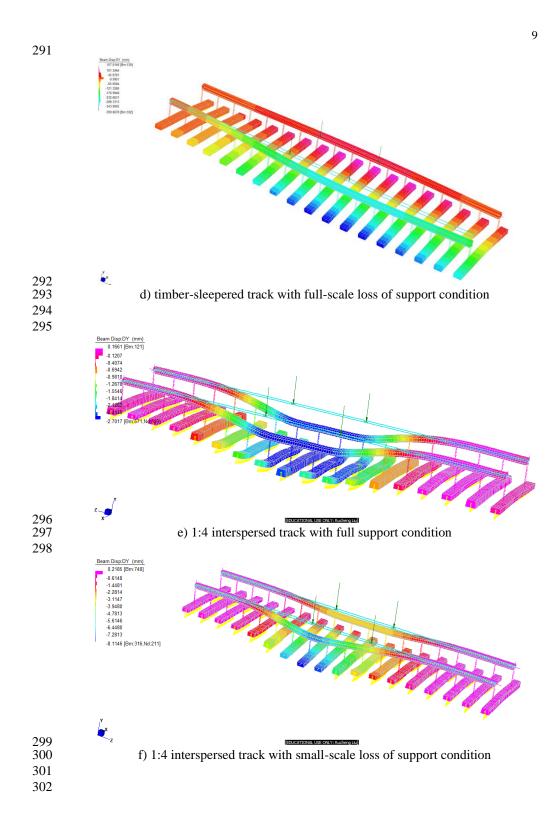


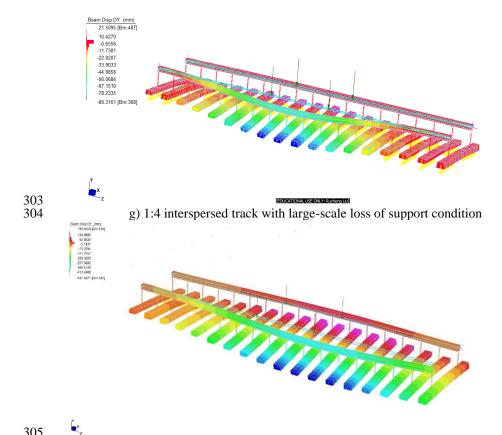
264

Fig. 7. Dynamic displacements of rails subjected to moving train loads (for track systems with a good track support condition)

For the track systems with a good track support, the symmetry of dynamic displacements on both rails (left and right rails) can be observed. The movement of trains with large rail displacements on interspersed tracks would simply affect the ride comfort of passengers or goods. The symmetrical large rail displacements will commonly cause higher roughness of track geometries, which in turn generally induce higher vibrations (e.g. on-board vibration), louder noises (e.g. rolling noises), and poorer ride comfort. The analyses into the vulnerability of the 1:4 interspersed track systems have been conducted in comparison with timber-sleepered track systems. Fig. 8 illustrates the dynamic response envelopes of track systems exposed to small-scale and large-scale losses of support conditions. In this study, only half of sleeper support is considered for the effect of floods and washaway condition on the loss of support conditions as the case study.









h) 1:4 interspersed track with full-scale loss of support condition

Fig. 8. Dynamic responses to 120km/h moving train loads of track systems exposed to flood and washaway conditions

			Tra	ick Geon	netry				
		Short Twist		Track Speed (Normal / Passenger) km/hr					
Wide Gauge	Tight gauge	2.7m DO NOT USE	2m	20/20	40/40	60/60	80/90	100/115	115/160
<21	<10	<16	<12	N	N	N	N	N	Ν
21 – 22	10	16 – 18	12 –13	N	N	N	N	P3	P2
23 – 26	11 - 12	19 – 21	14 - 15	N	N	N	P3	P2	P1
27 – 28	13 – 14	22 – 23	16	N	Ν	P3	P2	P1	E2
29 – 30	15 – 16	24 – 25	17 – 18	N	P3	P2	P1	E2	E2
31 – 32	17	26 – 27	19 – 20	P2	P2	P1	E2	E2	E2
33 – 34	18	28 – 29	21 - 22	P1	P1	E2	E2	E2	E1
35 – 37	19 – 20	30 – 31	23	E2	E2	E2	E2	E1	E1
>37	>20	>31	> 23	E1	E1	E1	E1	E1	E1
	Long	Twist			_	peed (Norma	_		
Not in a 1	Long Fransition		Insition		_		_		
Not in a 1 13.2m			ansition 14m	20/20	_		_		115/160
	Transition 14m	In a Tra	14m		Track S	peed (Norma	al / Passeng	er) km/hr	
13.2m	Transition 14m	In a Tra 13.2m	14m		Track S	peed (Norma	al / Passeng	er) km/hr	
13.2m DO NOT USE	Fransition 14m	In a Tra 13.2m DO NOT USE	14m	20/20	Track S 40/40	peed (Norma 60/60	al / Passeng 80/90	er) km/hr 100/115	115/160
13.2m DO NOT USE <29	Transition 14m <31	In a Tra 13.2m DO NOT USE <32	14m <34	20/20 N	Track Sj 40/40 N	peed (Norma 60/60 N	al / Passeng 80/90 N	er) km/hr 100/115 N	115/160 N
13.2m DO NOT USE <29 29 - 33	Transition 14m <31 31 – 35	In a Tra 13.2m DO NOT USE <32 32 - 36	14m <34 34 - 38	20/20 N N	Track S 40/40 N N	peed (Norma 60/60 N N	al / Passeng 80/90 N N	er) km/hr 100/115 N P3	115/160 N P2
13.2m DO NOT USE <29 29 - 33 34 - 38	Second state 14m <31	In a Tra 13.2m DO NOT USE <32 32 - 36 37 - 41	14m <34 34 - 38 39 - 43	20/20 N N N	Track S 40/40 N N N	eed (Norma 60/60 N N N	al / Passeng 80/90 N N P3	er) km/hr 100/115 N P3 P2	115/160 N P2 P1
13.2m DO NOT USE <29	Second state 14m <31	In a Tra 13.2m DO NOT USE <32 32 - 36 37 - 41 42 - 46	14m <34 34 - 38 39 - 43 44 - 49	20/20 N N N N	Track S 40/40 N N N N	60/60 N N N P3	al / Passeng 80/90 N N P3 P2	er) km/hr 100/115 N P3 P2 P1	115/160 N P2 P1 E2
13.2m DO NOT USE <29	Sympletic function 14m <31	In a Tra 13.2m DO NOT USE <32 32 - 36 37 - 41 42 - 46 47 - 52	14m <34	20/20 N N N N N	Track S 40/40 N N N P3	60/60 N N N P3 P2	al / Passeng 80/90 N P3 P2 P1	er) km/hr 100/115 N P3 P2 P1 E2	115/160 N P2 P1 E2 E2
13.2m DO NOT USE <29	Sympletic and the symplet sympl	In a Tra 13.2m DO NOT USE 32 - 36 37 - 41 42 - 46 47 - 52 53 - 59	14m <34	20/20 N N N N N P2	Track S 40/40 N N N P3 P2	eeed (Norma 60/60 N N P3 P2 P1	al / Passeng 80/90 N P3 P2 P1 E2	er) km/hr 100/115 N P3 P2 P1 E2 E2	115/160 N P2 P1 E2 E2 E2 E2

Fig. 9. Maintenance limits of track twists (adopted from Base Operating Condition,
BOC, from Transport for NSW, Australia). Note: N is normal condition; P3 is a situation needed to repair within 3 months; P2 is a situation needed to repair within 28
days; P1 is a situation needed to repair within 7 hours; E2 is a situation needed to re-

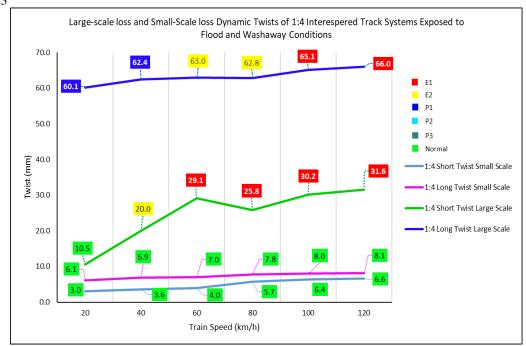
pair within 24 hrs; E1 is a situation needed to repair immediately.

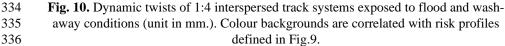
316 317

318 It is clear from Fig. 8 that the train loads incur the difference in dynamic rail dis-319 placements on left and right rails. This difference at a position is often referred to as 320 'cross level'. When a train bogie or a train body travels over the differential cross levels, 321 the twists in the train body or bogie can incur. These twists can cause train derailments. 322 The twist on train body is often called 'long twist' while the twist on train bogie is 323 called 'short twist'. These twist limits can be illustrated in Fig. 9 (adopted from a 324 maintenance standard of Transport for NSW, Australia). If the track twists reach E2 325 and E1, this situation is at danger and requires emergency actions. The train could derail 326 when travel over E2/E1 conditions.

The dynamic twists of the interspersed track systems considering the losses of support conditions are shown in Fig. 10. The short twist is determined using 2m , while the long twist is based on 14m cord. The twist results have been correlated with the risk colours shown in Fig. 9 (green is normal, light blue is P2, dark blue is P1, yellow is E2, red is E1).

332 333





From Fig. 10, it should be noted that N is normal condition; P3 is a situation needed to repair within 3 months; P2 is a situation needed to repair within 28 days; P1 is a situation needed to repair within 7 hours; E2 is a situation needed to repair within 24 hrs; E1 is a situation needed to repair immediately. This implies that when the 1:4 interspersed track is exposed to large scale loss of support condition, it could be very dangerous to operate a train above 40 km/h. In fact, it will still be at risk when a train travels at 20 km/h since the long twist defect could derail the train, especially when the train could also have certain defects (e.g. stiff bogies, deflated suspensions, etc.). On this ground, it is clear that rail operators should be very careful in train operations when the railway tracks become vulnerable due to flood and washaway conditions. In order to mitigate this issue, engineers should consider applying ballast bond solutions to enable free drainage whilst reinforce the ballast particles [24]. This insight will help track engineers develop appropriate climate change adaptation method and policy for operations of interspersed railway tracks facing extreme rainfall and flooding conditions.

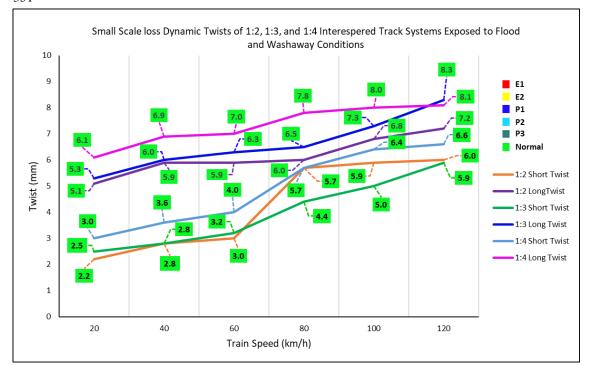
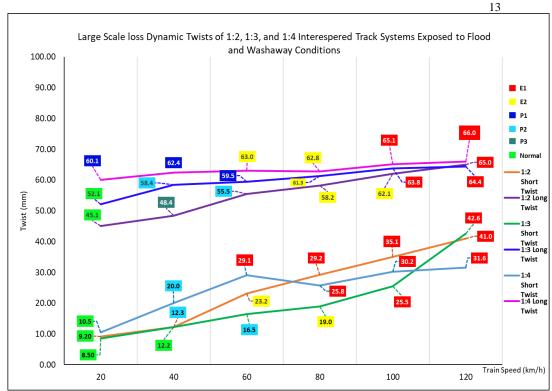
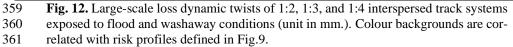
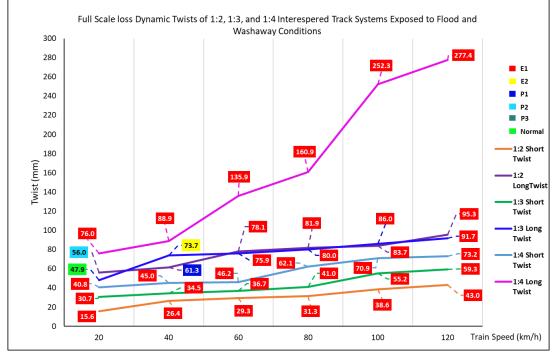


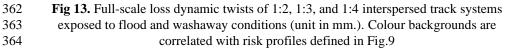
Fig. 11. Small-scale loss dynamic twists of 1:2, 1:3, and 1:4 interspersed track systems exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles defined in Fig.9.

According to Fig. 9, the dynamic response for interspersed track 1:2, 1:3, 1:4 exposed to small-scale loss from Fig. 11 will not cause any issue in terms of short and long twist. It is safe to operate the train on a small-scale loss track even at 120 km/h. Nevertheless, the situation might change if the train not in a favourable state.









Based on Fig. 12, it is relatively dangerous to operate above 60km/h on interspersed track 1:4 exposed to large-scale loss. Immediate repair is needed to ensure the safety of the train operation since the dynamic twist could lead to train derailment The interspersed track 1:2, 1:3, 1:4 exposed to large-scale loss is very vulnerable to dynamic twist where the train only allowed to operate not more than 40 km/h.

370 In Fig. 13, the figure shows Interspersed track exposed to full-scale loss is very dan-371 gerous for the trains to operate even with a vigilant monitoring. Even at speed of 20 372 km/h will cause short twist which lead to E1 situation (immediate repair). In short, 373 immediate maintenance should be carried as it is impossible for a train to utilize its 374 functionality as it's only allowed to operate below than 20 km/h. This will definitely 375 affect the operation of the rail service. Moreover, the ballast support and sleepers might 376 expose to several defects such as structural cracks and pulverized ballast. In addition, 377 the interspersed track known to has inconsistent track stiffness which cause uneven settlement and foundation failure resulting in track deterioration overtime. Hence, it is 378 379 crucial for the rail operators to take immediate action and come up with a truly predic-380 tive track maintenance to improve the reliability of infrastructure asset maintenance and 381 life cycle management.

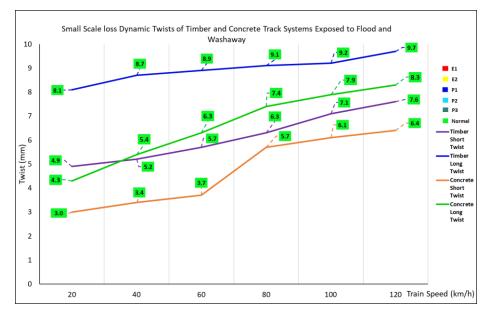




Fig. 14. Small scale loss dynamic twists of timber and concrete track systems exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles defined in Fig.9.

- 386 387 388 389
- 390
- 390 391
- 391 392
- 393
- 394
- 395
- 396

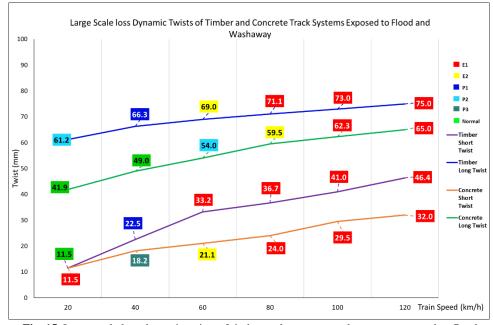




Fig. 15. Large scale loss dynamic twists of timber and concrete track systems exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles defined in Fig.9.

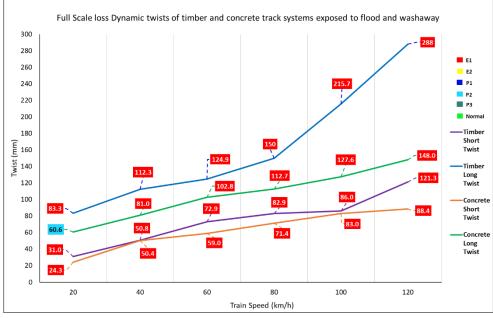
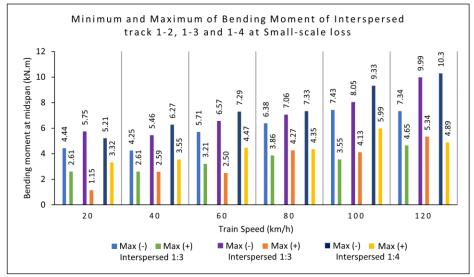




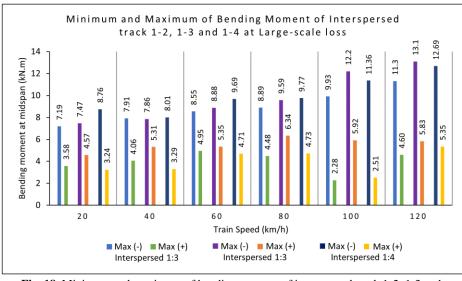
Fig. 16. Full scale loss dynamic twists of timber and concrete track systems exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles defined in Fig.9.

From Fig. 14, small-scale loss will not cause any issue even if the train operated at 120 km/h. However, looking at large-scale (Fig. 15) and full-scale loss (Fig. 16), timber sleepers long twist reading's reach up to 288.0 mm (full-scale loss) when the train operates at 120 km/h. This is due to the difference between timber and sleepers in terms of properties and geometry resulting in difference of dynamic twist data. Full-scale loss is extremely unsafe for a train to operate on and the track engineers must carry on an

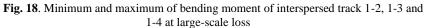


409 immediate repair (E1 situation) as the strength formation and capacity of the rail com-410 pletely diminished.

Fig. 17 Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 at small-scale loss







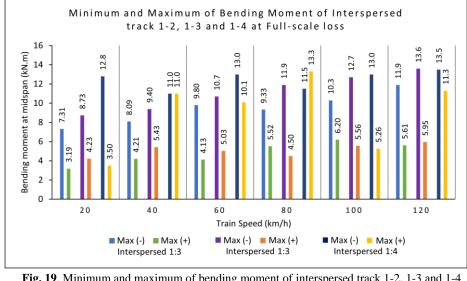




Fig. 19. Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 at full-scale loss

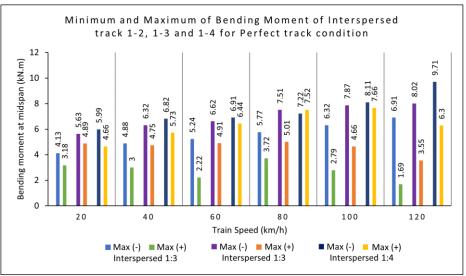
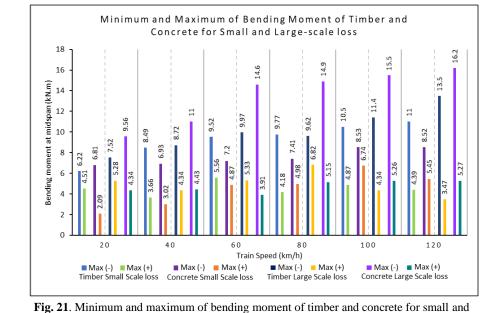




Fig. 20. Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 for perfect track condition

425 The interspersed track 1:2 has better performance in terms of flexural response for 426 small-scale loss (Fig. 17), large-scale loss (Fig. 18) and full-scale loss (Fig. 19) while 427 the bending moment for interspersed track 1:3 is comparable to the interspersed track 428 1:4 in some cases. For instance, the bending moment of interspersed track 1:3 came out 429 higher than interspersed track 1:4 for large-scale (Fig. 18) and full-scale loss (Fig. 19) 430 but not for small-scale loss (Fig. 17). All in all, this inconsistency of stiffness in inter-431 spersed track might influence the flexural response of this track which causing one side 432 hogging of the damaged sleepers (half damaged sleepers).





large-scale loss.

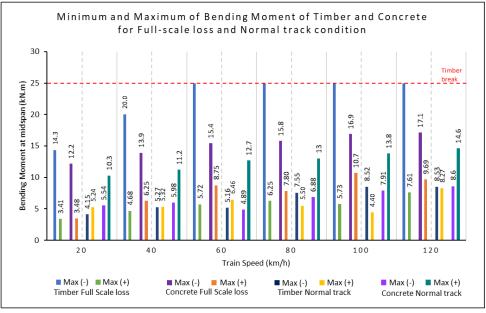




Fig. 22. Minimum and maximum of bending moment of timber and concrete for full-scale loss and Normal track.

In Fig. 22, the maximum bending moment for timber sleepers, full-scale loss, at train
speed of 60 km/h, 80 km/h, 100 km/h and 120 km/h, exceeded the maximum value the
timber sleepers able to withstand which is 25 kN.m causing the timber sleepers to fail.
Full-scale loss has the worst flexural response compared to small-scale loss which is
expected to be so. It is highly advisable not to operate the trains on a full-scale loss
track which may cause a catastrophic incident.

449 **4** Conclusion

450 This study identifies the vulnerability in the railway infrastructures exposed to flood 451 and washaway conditions. This study is the world's first to determine the capability of 452 operating trains over vulnerable track systems. A special track system, called the inter-453 spersed track, is used as case studies. Nonlinear finite element analyses of interspersed 454 track systems have been established. A clear novelty in the model is the adoption of 455 tensionless support condition that can mimic the actual ballast condition. It is very im-456 portant to realistically simulate the actual ballast condition when the track is vulnerable 457 and the asymmetric instabilities occur. This study considers the loss of support condi-458 tions as the consequence of flood and washaway conditions stemmed from extreme 459 weather and climatic events.

460 The dynamic responses of the interspersed track systems exposed to the extreme 461 weather events have demonstrated the vulnerability of the operations. By considering 462 the risk profiles, the dynamic responses can be instrumental in identifying risks with 463 respect to the operations and track conditions. Dynamic track twists can be derived and 464 employed as the catalyst in vulnerability determination. It is clear that track conditions 465 exposed to flood conditions cannot be easily determined from traditional inspections or 466 observations by engineers, maintainers or operators. On this ground, it is at risk to op-467 erate a train over vulnerable track systems. Considering the 1:4 interspersed track sys-468 tems, it is found that a train should not be operated above 40 km/h when it is suspected 469 that the track suffers from flood and washaway conditions. In an emergency, a train 470 might be able to travel at a low speed (e.g. less than 20 km/h) but vigilant monitoring 471 and control is mandatory. Note that low speed trains could derail in a fail-safe situation 472 if careful monitoring and control is set. However, in general, it is not advisable to op-473 erate a train over a vulnerable interspersed track, especially when there is no appropriate 474 monitoring and control measures. A temporary solution to mitigate this issue has been 475 proposed. When heavy rainfalls or extreme weather conditions (e.g. storm, hurricane, 476 or typhoon) are anticipated, engineers and maintainers should develop a solution to 477 reinforce the support condition, for example, by using ballast bonding agents.

478 ACKNOWLEDGMENT

479 The authors are sincerely grateful for the financial sponsorships to Japan Society for 480 the Promotion of Science (JSPS) via Grant No. JSPS-L15701, BRIDGE Grant (Collab-481 oration between University of Birmingham and University of Illinois at Urbana Cham-482 paign), and European Commission for H2020-MSCA-RISE Project No. 691135 483 "RISEN: Rail Infrastructure Systems Engineering Network", which enables a global 484 research network that tackles the grand challenge in railway infrastructure resilience 485 and advanced sensing in extreme conditions (www.risen2rail.eu). The valuable discus-486 sions and comments from ISO/BSI standard committee for railway sleepers (TC269 487 WG7 chaired by Dr Makoto Ishida and BSI WG by Neil Gofton) are gratefully 488 acknowledged.

References

491	1.	Remennikov A.M., S. Kaewunruen, A review on loading conditions for railway track struc-
492		tures due to wheel and rail vertical interactions. Structural Control and Health Monitoring,
493		15(2) (2008) 207-234
494	2.	Remennikov A.M., S. Kaewunruen, Determination of dynamic properties of rail pads using
495		instrumented hammer impact technique, Acoustics Australia, 33(2) (2005) 63-67
496	3.	Kaewunruen S., A.M. Remennikov, Influence of ballast conditions on flexural responses of
497		railway concrete sleepers, Concrete in Australia: Journal of Concrete Institute of Australia
498		35(4) (2009) 57-62
499	4.	Kaewunruen S., A.M. Remennikov, Dynamic properties of railway track and its compo-
500		nents: Recent finding and future research directions. Insight - Non-Destructive Testing and
501		Condition Monitoring, 52(1) (2010) 20-22
502	5.	Ngamkhanong, C., S. Kaewunruen, and B.J.A.J.I. Costa, State-of-the-art review of railway
503		track resilience monitoring. 2018. 3 (1): p. 3.
504	6.	Kaewunruen S., A.M. Remennikov, A. Akira, S. Hirotaka, Free vibrations of interspersed
505		railway track systems in three-dimensional space. Acoustics Australia, 42(1) (2014) 20-26
506	7.	Cai Z., Modelling of rail track dynamics and wheel/rail interaction, Ph.D. Thesis, Depart-
507		ment of Civil Engineering, Queen's University, Ontario, Canada, (1992).
508	8.	Kaewunruen S., A.M. Remennikov, Effect of improper ballast packing/tamping on dynamic
509		behaviours of on-track railway concrete sleeper, International Journal of Structural Stability
510		and Dynamics 7(1) (2007) 167-177
511	9.	Kaewunruen S., A.M. Remennikov, Nonlinear transient analysis of railway concrete sleep-
512		ers in track systems. International Journal of Structural Stability and Dynamics, 8(3) (2008)
513		505-520.
514	10.	Kohoutek R., Dynamic and static performance of interspersed railway track, Proceedings of
515		Conference on Railway Engineering, Vol 1, pp.153-159 (1991).
516	11.	Lake M., L. Ferreira, M.H. Murray, Using simulation to evaluate rail sleeper replacement
517		alternatives, <i>Transportation Research Record</i> , 1785 (2002) 58-63
518	12.	Kaewunruen S., A.M. Remennikov, Sensitivity analysis of free vibration characteristics of
519		an in-situ railway concrete sleeper to variations of rail pad parameters, Journal of Sound and
520		<i>Vibration</i> 298(1-2) (2006) 453-461
521	13.	Kaewunruen S., A.M. Remennikov, Investigation of free vibrations of voided concrete
522		sleepers in railway track system, Proceedings of the Institution of Mechanical Engineers
523		Part F Journal of Rail and Rapid Transit, 221(4) (2007) 495-508
524	14.	Binti Sa'adin, S.L., S. Kaewunruen, and D. Jaroszweski. Operational readiness for climate
525		change of Malaysia high-speed rail. in Proceedings of the Institution of Civil Engineers-
526		<i>Transport.</i> 2016. Thomas Telford Ltd.
527	15.	Binti Sa'adin, S.L., S. Kaewunruen, and D.J.C. Jaroszweski, Risks of Climate Change with
528		Respect to the Singapore-Malaysia High Speed Rail System. 2016. 4 (4): p. 65.
529	16.	Kaewunruen, S., et al., Vulnerability of structural concrete to extreme climate variances.
530		2018. 6 (2): p. 40.
531	17.	Binti Sa'adin, S.L., S. Kaewunruen, and D. Jaroszweski, Heavy rainfall and flood
532		vulnerability of Singapore-Malaysia high speed rail system. Australian Journal of Civil
533		Engineering, 2016. 14 (2): p. 123-131.
534	18.	Dindar, S., et al., <i>Bayesian Network-based probability analysis of train derailments caused</i>
535	10.	by various extreme weather patterns on railway turnouts. Safety Science, 2018. 110 : p. 20-
536		30.
537	19	Kaewunruen, S., J.M. Sussman, and A. Matsumoto, <i>Grand Challenges in Transportation</i>
538	17.	and Transit Systems. 2016. 2(4).
539	20	Kaewunruen, S., J.M. Sussman, and H.H. Einstein, <i>Strategic framework to achieve carbon-</i>
540	20.	<i>efficient construction and maintenance of railway infrastructure systems.</i> 2015. 3 (6).
541	21	Kaewunruen S, T Lewandrowski, K Chamniprasart, 2018, Dynamic responses of
542	21.	interspersed railway tracks to moving train loads, International Journal of Structural Stability
542 543		and Dynamics 18 (01), 1850011
545 544	$\gamma\gamma$	Kaewunruen S, C Ngamkhanong, J Ng, 2019, Influence of time-dependent material
544 545	<i>LL</i> .	degradation on life cycle serviceability of interspersed railway tracks due to moving train
545 546		loads, Engineering Structures 199, 109625.
540		toaus, Engineering Suuciales 199, 109023.

547 23. Ngamkhanong C, CM Wey, S Kaewunruen, 2020, Buckling Analysis of Interspersed
548 Railway Tracks, Applied Sciences 10 (9), 3091.

- 549 24. Kaewunruen S, 2014, Impact damage mechanism and mitigation by ballast bonding at
- 550 railway bridge ends, International Journal of Railway Technology 3 (4), 1-22.

551 552