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Wang, Changxiang; Lucas, Robert; Milward, Michael; Cooper, Paul

DOI:

[10.1016/j.biotri.2021.100196](https://doi.org/10.1016/j.biotri.2021.100196)

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Document Version

Peer reviewed version

Citation for published version (Harvard):

Wang, C, Lucas, R, Milward, M & Cooper, P 2021, 'Particle size effects on abrasion, surface polishing and stain removal efficacy in a tooth model system', *Biotribology*, vol. 28, 100196.
<https://doi.org/10.1016/j.biotri.2021.100196>

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Particle Size Effects on Abrasion, Surface Polishing and Stain Removal Efficacy in a Tooth Model System

Short title: Toothpaste Abrasive Particle Size Effects

Changxiang Wang ^{a,*}, Robert Lucas ^b, Michael Milward ^a, Paul R. Cooper ^c

^a Oral Biology, School of Dentistry, University of Birmingham, 5 Mill Pool Way, Edgbaston, Birmingham, B5 7EG, UK.

^b GlaxoSmithKline Consumer Healthcare, St. George's Avenue, Weybridge, Surrey, KT13 ODE, UK.

^c Department of Oral Biology, Sir John Walsh Research Institute, Faculty of Dentistry, University of Otago, Dunedin, New Zealand

* Corresponding author: Dr Changxiang Wang, Oral Biology, School of Dentistry, University of Birmingham, 5 Mill Pool Way, Edgbaston, Birmingham B5 7EG, UK; Tel.: +44 121 466 5528; fax: +44 121 466 5491; E-mail address: c.wang@bham.ac.uk

36 Highlights

- 37 • The existence of a critical particle size (CPS) for toothpaste abrasive has been
38 demonstrated for the first time in a tooth model system.
- 39 • A linear relationship was observed between cleaning power and enamel abrasion.
- 40 • A linear association was shown between enamel abrasion and the number of
41 toothbrush strokes.

42

43 Abstract

44 Four calcined alumina abrasive particles [ultrafine (0.05 μm), 3 μm , 9 μm and 20 μm] with
45 defined sizes were investigated for their effects on toothbrush abrasion, surface polishing and
46 stain removal *in vitro*. The existence of a critical particle size (CPS) was shown for the first
47 time in a tooth model system and in the present study a CPS of ~ 2.3 μm for d10, 4.3 μm for
48 d50 or 7.8 μm for d90 for the calcined alumina abrasives was apparent. The d10, d50 and d90
49 values indicate that 10%, 50% and 90% of the particles measured were less than or equal to
50 the size stated. This dimension enabled maximum abrasive action on the tested specimens
51 resulting in the largest wear depth, greatest surface polishing and best stain removal. The
52 enamel wear depth decreased when brushed with abrasives above the critical particle size and
53 became almost independent of further particle size increases, which is useful for minimising
54 wear effects in the development of dentifrice. The findings provide new information on
55 abrasive particle size for modification and control of toothpaste abrasivity and cleaning.

56

57 Keywords

58 Bovine enamel; Toothbrush abrasion; Surface polishing; Stain removal; Critical particle size

59

60

61 1. Introduction

62 Oral health is essential to general health and quality of life. Toothbrushing with toothpaste
63 aims to remove the dental plaque biofilm, food debris and dental stain on accessible tooth
64 surfaces [1]. Indeed, there is an increasing demand for cleaning products which improve
65 dental aesthetics [2]. The potential adverse effect, however, of toothbrushing with toothpaste
66 is tooth abrasion [3,4] and this can lead to dentine hypersensitivity, dental pain, and poor
67 aesthetics [5,6]. Ideally, a toothbrushing regime should show excellent cleaning efficiency for
68 dental plaque and stain removal, as well as for polishing ability, while exerting minimal tooth
69 wear [7-9]. It is therefore important that we develop new toothpaste formulations and
70 toothbrush designs which optimally “clean” whilst minimising dental hard tissue damage.

71

72 Dentifrices or toothpastes are formulated with dental abrasive particles [10] which are the key
73 components that physically clean and polish the tooth surfaces [11,12]. Historically, the
74 commonly used dental abrasives included silica, alumina, dicalcium phosphate, calcium
75 carbonate, sodium carbonate, hydroxyapatite, perlite and diamond [8,13-17]. A significant
76 number of studies have investigated the factors contributing to good oral hygiene, including
77 stain removal and tooth wear. Data indicate that properties of the abrasive particles, including
78 their hardness, shape, size, size distribution and concentration are key to cleaning
79 performance [7,9,10,12,16-18].

80

81 The amount of toothpaste abrasives added in the toothpaste formulation varies with the
82 abrasive properties used. The most commonly used abrasives are hydrated silica and calcium
83 carbonate, and they are included in the range of 8 w/w% to 20 w/w%; alumina and perlite,
84 however, are included at lower concentrations of 1 w/w% to 2 w/w%, due to their higher

85 enamel abrasivity [16]. Furthermore, particle sizes and their size distributions are important
86 factors in determining abrasivity and stain removal [12]. Generally the use of abrasives with
87 relatively broad particle size ranges in dentifrices has previously precluded an understanding
88 of the influence of particle size. However, the size effect is a well-known phenomenon in
89 abrasion and it is understood that there is a minimum abrasive particle size or critical particle
90 size (CPS) which allows maximum abrasive action [16,19,20]. Reportedly, wear or wear rate
91 increases as abrasive particle size also increases up to a threshold or a CPS, after which the
92 wear rate becomes almost independent of further particle size increases. This CPS is
93 frequently reported as being approximately 100 μm [20], however this value is variable
94 dependent upon the different substrates and abrasives used, e.g. 45 μm for copper on copper,
95 and 90 μm for nylon on nylon [19]. Indeed, a particle size of $\sim 40 \mu\text{m}$ is reported as optimal
96 when metals, such as Silver Ag, Cadmium Cd and Molybdenum Mo or dental tissues
97 (including enamel and dentine) abraded against SiC grits with a diameter range of 13-125 μm
98 [1].

99

100 In a tooth model system, limited knowledge exists with regard to the influence of the abrasive
101 particle size and size distributions on tooth wear and stain removal [16]. Data indicate,
102 however, that toothpastes with larger particle sizes produce more wear than those with
103 smaller particles [21-23, 24], although results in one recent report suggested no effect of the
104 particle size on enamel erosion and abrasion [25]. Similarly there was a lack of association
105 between average particle size and enamel wear when three sizes of commercially produced
106 silicon carbide grit with a diameter range of 14-73 μm were used as abrasive particles [26].
107 Interestingly, a linear relationship has been found between abrasive particle size and enamel
108 abrasion when enamel was brushed with bioactive glass containing toothpastes [23]. Larger
109 particles also showed higher dentine abrasion effects when brushing was performed using

110 calcium carbonate (two particle sizes with an average particle diameter of 7 μm and 15 μm ,
111 respectively) and aluminium hydroxide (two particle sizes with an average particle diameter
112 of 8 μm and 13 μm , respectively). No impact of particle size on enamel erosion/abrasion was
113 found when eroded enamel was brushed with commercial toothpastes consisted of abrasive
114 particles above 40 μm [25].

115

116 To our knowledge there have been no studies which have explored the existence of a CPS in
117 a tooth model system. Consequently, we hypothesised that there would exist a CPS in a tooth
118 model system. Therefore, the aim of this study was to investigate the effect of calcined
119 alumina abrasives with defined particle size and size distribution on toothbrush abrasion,
120 polishing and stain removal.

121

122 2. Materials and methods

123 2.1. Characterisation of calcined alumina abrasives

124 Four calcined alumina abrasives (Almatis GmbH, Ludwigshafen, Germany) [designated as
125 ultrafine (0.05 μm), 3 μm , 9 μm and 20 μm] with defined particle size were used in the
126 present study. Particle size analysis was performed on a Malvern Mastersizer 2000 laser
127 diffraction particle size analyser (Malvern Instruments Ltd, United Kingdom). Calcined
128 alumina abrasives were added in distilled water to an agitated flask attached to the diffraction
129 machine and the particle size distribution of the abrasives was determined. Particle
130 distribution graphs are shown as average values of three measurements, error bars were
131 negligible and were omitted.

132

133 The morphology of the calcined alumina abrasive particles was observed under Scanning
134 Electron Microscopy (SEM, EVO MA10, Zeiss). The alumina abrasive particles were

135 adhered to carbon tape on an aluminium stub (Agar Scientific Ltd., UK). Ultra-thin sputtered
136 conductive coating (gold) (EMITECH K550X, Emitech, United Kingdom) was applied to the
137 particles prior to SEM observations to prevent charging of the specimens. Representative
138 images were captured under a range of magnifications.

139

140 2.2 Preparation of bovine enamel samples

141 Bovine permanent incisor teeth were collected and stored in 0.1% (w/w) thymol (Sigma-
142 Aldrich, UK) solution at 4 °C prior to use. Tooth crowns were obtained from the dissection of
143 bovine teeth using a custom-built diamond-edged saw with water cooling. Bovine enamel
144 specimens (approximate 12mm × 18mm) were prepared following embedding tooth crowns
145 in Ø25 mm blocks of epoxy resins (Buehler, UK). A Phoenix Beta Grinder/Polisher (Buehler,
146 UK) was used with SiC abrasive discs (Buehler, UK) for sample preparation. Eight bovine
147 enamel specimens (approximate 10mm × 10mm of the enamel surface was exposed) per
148 treatment group were prepared to either: a) Polished surface group with 600-grit Silicon
149 Carbide grinding paper (SiC) ground following 3 µm diamond finish for enamel abrasion, b)
150 Partially roughened surface group with 400-grit SiC ground finish for surface polishing , or c)
151 Roughened surface group with 280-grit SiC ground finish for *in vitro* stain removal. 5
152 minutes ultrasonication in tap water was applied following each treatment to remove any
153 residual grinding/polishing materials.

154

155 2.3. Tooth staining

156 The tooth staining assay previously reported was used [27]. Freshly combined solutions 0.1%
157 (w/w) tannic acid (ACS reagent, Sigma-Aldrich) and (0.1% (w/w) of diammonium iron (II)
158 sulphate 6-hydrate (Sigma-Aldrich) form a dark colloidal iron (III) tannic acid complex
159 (“ferric-tannate”) on contact with air, which mimics a dietary tannin staining. The fresh

160 mixture was applied as successive layers on the enamel specimens for tooth staining, with the
161 initial layer, a 40 µl aliquot of the mixture was pipetted onto each specimen and dispersed
162 evenly over the specimen surface before air drying. For the subsequent 9 layers, 10 µl
163 aliquots of the solutions were applied as described above. Each layer was dried at 40 °C in an
164 oven (D-63450 Hanau, Kendro Laboratory Products Ltd, Germany) for 10 mins before
165 application of the subsequent layer.

166

167 *2.4. Toothbrushing protocol*

168 The toothbrushing protocol used has previously been reported [27-29]. Eight bovine enamel
169 specimens per treatment group were mounted in two brushing channels of an *in vitro*
170 brushing simulator and the experimental setup has been previously reported [29]. Oral B P35
171 medium toothbrushes were used for the brushing. A test band of the enamel specimen was
172 exposed when the un-brushed reference area was covered by ADA/ISO standard tape.
173 Slurries were generated with the addition of 1% (w/w) calcined alumina abrasives in 10%
174 (w/w) Glycerol (VWR International BVBA, Belgium) plus 0.5% (w/w) Hercules 7 MF
175 Carboxymethyl Cellulose (Hercules Incorporated, USA). A brushing load of 150 g was
176 applied and 150 g slurry was used in each channel [29]. Specimens were “brushed” at a
177 brushing speed of 120 rpm for up to 10,000 strokes and a temperature of 20°C was
178 maintained throughout the brushing procedure.

179

180 *2.5. Surface profiles of enamel specimens*

181 Surface profiles were obtained before and after brushing using a Talysurf Series 2 inductive
182 gauge profilometer (Taylor-Hobson, UK). Linear profiles (2D) were obtained on the surfaces
183 with a point spacing of 0.25 µm and at a measurement speed of 0.5 mm/s. The wear depth
184 and arithmetic mean surface roughness (Ra) values were calculated (µlra version 5.1.14,

185 Taylor-Hobson, UK). The inductive gauge profilometer uses a conical probe with 2 µm
186 diamond tip to accurately measure surfaces at the sub-micron level, has a resolution of 16 nm
187 and a 1 mm range in the z-axis.

188

189 *2.6. Gloss measurements*

190 A Novo-Curve small area glossmeter (Rhopoint Instruments Limited, UK) was used and
191 gloss measurements were determined before and after brushing at intervals of 90 degrees
192 rotation about the centre point of each specimen.

193

194 *2.7. Colour evaluation*

195 Changes in colour were determined as previously described [27]. All surfaces were
196 consistently dried prior to colour measurements. A calibrated spectrophotometer (Minolta
197 CM-2600d, Konica Minolta Sensing Americas, Inc, USA) was used to measure colour values
198 (L*, a*, b*) for each tooth specimen before staining (=Initial), after 10 layers of stain
199 application (=Stained) and after the brushing treatments (=Brushed). The a* and b* values
200 represent two colour axes, with a* the red-green axis and b* the yellow-blue axis. The L*
201 value represents the value of ‘brightness/darkness’ of a colour, such that a perfect reflecting
202 diffuser has an L* values of 100 and the perfect black body has an L* value of zero. The stain
203 removal was assessed using the following formula:

$$204 \quad \% \text{ Removal} = \frac{L^* (\text{Brushed}) - L^* (\text{Stained})}{L^* (\text{Initial}) - L^* (\text{Stained})} \times 100$$

205 Where L* (Initial), L* (Stained) and L* (Brushed) is the brightness before staining, after 10
206 cycles of stain application and after toothbrushing for the requisite number of strokes with
207 alumina abrasive slurry, respectively.

208

209 Images of the enamel surfaces before staining, after 10 layers of stain and post-stain removal
210 with 1,000 brush strokes were digitally captured (Nikon D7000 camera, Nikon Corporation)
211 to visually demonstrate the effects of stain removal.

212

213 *2.8. Statistical analyses of the data*

214 Single factor ANOVA was used for the data analyses with a significance level of $p \leq 0.05$
215 applied. Pearson correlation coefficient was used to determine the linear relationship between
216 two variables, and is denoted by r . It has a value between -1 and +1 inclusive, where -1 is
217 perfect negative linear correlation, 0 is no linear correlation, and +1 is perfect positive linear
218 correlation.

219

220 **3. Results**

221 *3.1. Characterisation of calcined alumina abrasives*

222 The particle size distributions of the alumina abrasives are shown in Figure 1 and Table 1.

223 The volume distribution is defined as the distribution per volume of the particle sizes, shown
224 as Volume %, a differential of total volume of all counts.

225 Representative SEM micrographs of the test calcined alumina abrasives are shown in Figure

226 1. Differences in particle size and range of morphologies for the four calcined alumina

227 abrasives can be clearly observed. All the abrasive particles were irregular shape and

228 consisted of a mixture of relatively small and large particles. SEM micrographs clearly

229 demonstrated that ultrafine is the finest, then followed by 3 μm and 9 μm , and 20 μm is the

230 largest in terms of particle sizes. For the ultrafine abrasive, agglomerates were observed due

231 to its very fine particle size and individual particles were smaller than 1 μm .

232

233 *3.2. Abrasivity of calcined alumina abrasives on polished enamel*

234 Table 2 provides wear depth and surface finishing of the polished enamel specimens after
235 brushing up to 10,000 strokes with the calcined alumina abrasives. Wear occurred for all the
236 tested enamel specimens, and the wear depth increased as the number of toothbrushing
237 strokes increased. A linear relationship ($r^2=0.99$) was identified between brushing strokes and
238 wear depth (enamel loss) for the tested alumina abrasives (Figure 2). Statistically significant
239 differences ($p<0.05$) were detected in wear depth between the four calcined alumina
240 abrasives. The ultrafine caused the least wear (least abrasive), followed by the 9 μm and 20
241 μm abrasives (medium abrasive), while the 3 μm abrasive produced the most wear (most
242 abrasive).

243

244 There was a decrease in gloss for all of the polished enamel surfaces after toothbrushing with
245 all four calcined alumina abrasives. Statistically significant differences were detected for the
246 decreases in gloss when the polished enamel specimens were brushed with the four alumina
247 abrasives. There was a trend for the decrease in gloss to be greater when the polished enamel
248 specimens were brushed with the larger size abrasive particles.

249

250 The polished enamel surfaces became roughened with the toothbrushing and there was an
251 increase in the surface roughness for all of the polished enamel surfaces. The increase in
252 surface roughness of the polished enamel specimens was lowest for the ultrafine abrasive,
253 followed by the 3 μm and 20 μm particles and the 9 μm particles generated the greatest
254 increase in surface roughness. The loaded abrasive particles acted by generating grooves in
255 the polished enamel surfaces which resulted in a roughening of the polished enamel surfaces,
256 hence, resulting in the surface roughness increase. Abrasive particles with different particle
257 size and distribution impacted differently on the surface roughness increase, and no

258 statistically significant differences in roughness increase was detected between the 9 μm and
259 20 μm alumina abrasives.

260

261 Changes in gloss and roughness were not linearly related with regards to the number of brush
262 strokes although greater changes in gloss and roughness were found with an increase in
263 brushing strokes used.

264

265 *3.3. Polishing effect of calcined alumina abrasives on partially roughened enamel*

266 Polishing effect results for the alumina abrasives on the partially roughened enamel
267 specimens after brushing up to 10,000 strokes are shown in Table 3. There were no
268 appreciable differences in wear depth during the first 3000 brushstrokes, especially for the
269 least abrasive ultrafine particles. The ultrafine calcined alumina caused the least wear (least
270 abrasive), with a ranking of 9 μm < 20 μm < 3 μm particles for the amount of wear produced.

271

272 There was an increase in gloss for all of the roughened enamel surfaces due to the wear to the
273 partially roughened enamel specimens, and these specimens also showed a decrease in
274 surface roughness. The wear depth, gloss increase, and roughness decrease were not linear in
275 relation to the number of brush strokes and the greatest changes were seen during the initial
276 1000 brushstrokes applied. The relationships between these parameters and the number of
277 brushstrokes showed differences amongst the different alumina abrasives. The most abrasive
278 particles increased the gloss and decreased the surface roughness at a greater rate than the
279 least abrasive particles.

280

281 Notably, there was a continual increase in gloss and decrease in surface roughness for
282 roughened enamel surfaces brushed with the ultrafine particles throughout the entire brushing

283 protocol, up to 10,000 strokes. In contrast, for the 3 μm abrasive particles there was only a
284 marginal decrease in gloss and increase in surface roughness at 10,000 brush strokes
285 compared with the use of 5000 brush strokes.

286

287 Compared with the 20 μm abrasive, the other three abrasives (ultrafine, 3 μm and 9 μm
288 particles) showed an enhanced performance on the finish of roughened enamel surfaces in
289 terms of gloss increase and surface roughness decrease.

290

291 *3.4. Stain removal on partially roughened enamel*

292 The *in vitro* stain removal efficacy results are presented in Figure 3a. Data indicate that the 3
293 μm abrasive demonstrated the greatest cleaning power, followed by the 9 μm and 20 μm , and
294 ultrafine abrasive which removed least stain. The images of enamel surfaces before staining
295 and post-stain removal following application of 1000 brush strokes are shown in Figure 3b,
296 relatively minimal stain was removed from the enamel surfaces after brushing when using the
297 ultrafine abrasive. Increased stain was removed when brushing with the 20 μm and 9 μm
298 abrasives, and the 3 μm abrasive removed the most stain from the stained surfaces.

299

300 4. Discussion

301 Both the individual particle sizes and the size distributions of the abrasive particles used in
302 toothpastes are important factors in determining abrasivity and stain removal [12]. In the
303 present study, the effect of calcined alumina abrasives with defined particle size and size
304 distribution were investigated on toothbrush abrasion, polishing and *in vitro* stain removal.
305 Results under these experimental conditions demonstrated that there exists a CPS for the
306 tested calcined alumina abrasive particles. This is the first time that this has been

307 demonstrated with a tooth model system and data support the hypothesis underpinning this
308 study.

309

310 4.1. Critical particle size of the tested calcined alumina abrasives

311 *4.1.1. Critical particle size for abrasivity of polished enamel*

312 Size effect is a well-known phenomenon in abrasion with two-body wear a result of direct
313 contact and three-body wear due to surfaces being abraded by an “intervening slurry of
314 abrasive particles” [30]. Furthermore, there is reportedly a minimum abrasive particle size or
315 CPS which allows maximum abrasive action [16,19,20]. The relationship between enamel
316 abrasivity and particle size after 10,000 brush strokes are shown in Figure 4a. It is apparent
317 that there is a CPS of $\sim 2.3 \mu\text{m}$ for d10, $4.3 \mu\text{m}$ for d50 and $7.8 \mu\text{m}$ for d90 for the calcined
318 alumina abrasives. Notably, no CPS has previously been studied or reported in the literature.
319 A linear relationship was however found between particle size and enamel abrasion when
320 enamel was brushed with bioactive glass-based toothpastes (particle size range of $5\text{-}65 \mu\text{m}$)
321 [23], calcium carbonate (median particle size range of $1\text{-}13 \mu\text{m}$) and dicalcium phosphate
322 (median particle size range of $1\text{-}16 \mu\text{m}$) [21]. Larger particles were shown to have a higher
323 dentine abrasion when brushed with calcium carbonate and aluminium hydroxide. Similar
324 results were reported with smaller particles and narrow distributions of the particle size
325 reducing the magnitude of enamel wear when silica abrasives with a range of $4\text{-}12 \mu\text{m}$
326 particle size were used. No impact of particle size on enamel erosion/abrasion was reported
327 when eroded enamel was brushed with commercial toothpastes which contained abrasive
328 particles above $40 \mu\text{m}$ in size [25]. Notably, no clear association between average particle
329 size and average striation width (enamel loss) was shown when enamel was brushed with
330 commercial silicon carbide grit with a particle size range of $14\text{-}73 \mu\text{m}$ [26].

331

332 4.1.2. *Critical particle size effects on polishing and in vitro stain removal for partially*
333 *roughened enamel*

334 Unlike abrasivity and stain removal, a cleaning property of toothpaste that has received little
335 attention is its polishing ability [7]. High enamel lustre is important as more highly polished
336 enamel surfaces appear whiter than duller enamel surfaces and they do not accumulate
337 extrinsic stain. These properties are also important for the aesthetics of the dentition.
338 Furthermore and more importantly, smoothed and polished tooth surfaces are less receptive
339 to the build-up and retention of dental plaque. Indeed, the effects of enamel surface finish on
340 *in vitro* stain removal have confirmed that it requires fewer brush strokes to remove stain
341 from polished compared with roughened enamel surfaces [27].

342

343 Dulled enamel specimens have also been used to study polishing effects [7,8] and previous
344 work has used tooth specimens etched with acid prior to toothbrushing. In our study,
345 partially roughened enamel specimens were used to analyse the polishing effects and results
346 have now confirmed that a CPS also exists for the polishing effects of toothpaste abrasives
347 using this approach (see Figure 4b). Indeed, a CPS of $\sim 2.3 \mu\text{m}$ for d10, $4.3 \mu\text{m}$ for d50 and
348 $7.8 \mu\text{m}$ for d90 for the calcined alumina abrasives is apparent.

349

350 Only one study has thus far investigated the potential for correlation between stain removal
351 and particle size and no significant impact of particle size on tooth cleaning when brushing
352 with perlite abrasive particles was detected [8]. However, we have now demonstrated CPS
353 for *in vitro* stain removal of $\sim 2.3 \mu\text{m}$ for d10, $4.3 \mu\text{m}$ for d50 and $7.8 \mu\text{m}$ for d90 for the
354 calcined alumina abrasives (see Figure 4c).

355

356 4.2. *Interrelationship between stain removal and tooth wear*

357 Both the individual particle sizes and size distributions of the abrasive particles are important
358 factors in determining abrasivity and stain removal. It is therefore not surprising that
359 abrasivity and stain removal are correlated [12]. Normally more stain is removed when
360 brushed with more abrasive particles. In the present study the 3 μm particles are the most
361 abrasive, therefore it has greatest cleaning power and the maximum stain removal is at 3 μm .
362 A linear relationship was detected under the present experimental conditions between enamel
363 abrasivity (at 10,000 brush strokes) and *in vitro* stain removal efficacy (1000 brush strokes)
364 (data not shown). Similar results have been reported elsewhere indicating the relationship
365 between dentine abrasivity and stain removal [31,32]. Interestingly however exceptions to
366 this have also been reported, and some data show that for a toothpaste with improved
367 cleaning, increased dentine abrasivity is not a pre-requisite. This can potentially be explained
368 due to the different influence on dentine and stains by factors such as abrasive type, particle
369 surface and size, as well as the chemical influence of other toothpaste components [33].

370

371 *4.3. Finishing and polishing mechanism*

372 Results for the roughened enamel surfaces showed that brushing with alumina abrasives
373 produced wear, increased gloss and decreased surface roughness. Gloss increased and surface
374 roughness decreased with the increasing number of brushstrokes. Notably, there was a peak
375 at which the increase in gloss and decrease in surface roughness was optimal. After that peak,
376 further brushing resulted in the surface becoming rougher and exhibited a lower gloss finish.
377 Interestingly, the number of brushstrokes required to reach the optimal peak of surface finish
378 was different for the various abrasives used. When the partially roughened enamel surfaces
379 were brushed with abrasive particles, there was removal of asperities through wear (abrasion)
380 which reduced the size of the peaks and troughs and therefore smoothed the partially
381 roughened surfaces thereby decreasing surface roughness. Different abrasive treatments

382 impact the removal of asperities at different rates, therefore requiring different brushstrokes
383 to reach the optimal peak of surface finish. Having more abrasive particles results in a
384 requirement for a fewer number of brushstrokes.

385

386 This phenomenon may be explained by the stages described below.

387 Stage 1: There is a partially roughened enamel surface prior to the commencement of
388 brushing.

389 Stage 2: The partially roughened surface would subsequently become smoother due to the
390 increasing number of brushstrokes allowing for some of the peaks and troughs previously
391 present on the roughened surface to be removed due to abrasion. Consequently, the gloss
392 would increase and the surface roughness would decrease.

393 Stage 3: The finish and polish would reach a maximum effect with further brushing, and
394 surface peaks and troughs would be removed resulting in a smoother surface. The gloss
395 would therefore achieve the highest level and surface roughness would be at its lowest level.

396 Stage 4: The smooth surface would become gradually roughened due to further brushing.
397 Consequently, compared with stage 3, the gloss would decrease, surface roughness would
398 increase, as would wear depth.

399

400 Our data indicate that there are differences in the number of brushstrokes to reach each of the
401 stages described above for the different abrasives used. Generally, the most abrasive particles
402 would require fewer brushstrokes compared with the least abrasive particles, to reach each
403 stage. Indeed, it was notable that there was a continual increase in gloss and decrease in
404 surface roughness for partially roughened enamel surfaces brushed with the ultrafine calcined
405 alumina particles throughout the whole brushing procedure up to 10,000 strokes. In contrast,

406 for the 3 μm abrasive particles there was a marginal decrease in gloss and increase in surface
407 roughness at 10,000 brushstrokes compared with data from brushing at 5,000 strokes.

408

409 5. Conclusions

410 Results from the present study have confirmed the existence of a critical particle size (CPS)
411 for the first time in a tooth model system and a CPS of $\sim 2.3 \mu\text{m}$ for d10, $4.3 \mu\text{m}$ for d50 or
412 $7.8 \mu\text{m}$ for d90 for the calcined alumina abrasives was apparent. Abrasive particle size
413 affected the wear of the polished enamel surfaces, the finish of the partially roughened
414 enamel surfaces and the *in vitro* stain removal on the roughened enamel surfaces. This
415 dimension identified enabled maximum abrasive action on the tested specimens resulting in
416 the largest wear depth, greatest surface polishing and best stain removal. The novel findings
417 provide new information on abrasive particle size for modification and control of toothpaste
418 abrasivity and cleaning, supporting the development of new toothpaste formulations which
419 can harness optimal abrasive particle size and size distributions for tooth cleaning.

420

421 6. Acknowledgements

422 The authors gratefully acknowledge financial support from GSK Consumer Healthcare for
423 this research. Support from University of Birmingham for CW is also acknowledged. We
424 gratefully acknowledge the valuable contributions from Prof AJ Smith, Birmingham, UK to
425 the design, execution, analysis and interpretation of this study.

426

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428

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431 7. References

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Table 1 Particle size characteristics of the tested calcined alumina abrasives*

Abrasive	d10 [μm]	d50 [μm]	d90 [μm]
Calcined Alumina ultrafine	1.1	2.2	5.2
Calcined Alumina 3 μm	2.3	4.3	7.8
Calcined Alumina 9 μm	4.4	7.4	11.9
Calcined Alumina 20 μm	8.2	14.0	23.2

* Data are average values of three measurements. The d10, d50 and d90 values indicate that 10%, 50% and 90% of the particles measured were less than or equal to the size stated.

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Table 2 Surface finish and wear depth of polished bovine enamel (n=8, mean ± standard deviation)

Brush strokes	Parameters	ultrafine	3 μm	9 μm	20 μm
Before	Gloss, GU	107.3±1.1	108.1±1.0	108.4±1.1	108.7±0.7
	Roughness, μm	0.036±0.009	0.037±0.004	0.031±0.005	0.034±0.005
1000	Gloss, GU	101.2±3.2	89.7±3.2	81.0±6.8	73.5±5.0
	Gloss change, GU	-6.1±2.8	-18.4±2.8	-27.4±5.9	-35.3±4.8
	Roughness, μm	0.042±0.013	0.048±0.009	0.060±0.007	0.055±0.006
	Roughness change, μm	0.006±0.015	0.012±0.008	0.029±0.008	0.021±0.010
	Wear depth, μm	0.058±0.013	0.182±0.109	0.107±0.034	0.156±0.075
3000	Gloss, GU	100.9±4.1	85.6±4.2	74.3±8.3	65.7±4.2
	Gloss change, GU	-6.4±4.1	-22.5±3.6	-34.1±7.4	-43.0±4.1
	Roughness, μm	0.051±0.016	0.057±0.007	0.075±0.018	0.072±0.014
	Roughness change, μm	0.015±0.019	0.020±0.008	0.044±0.020	0.039±0.013
	Wear depth, μm	0.094±0.025	0.393±0.142	0.262±0.100	0.276±0.094
5000	Gloss, GU	101.0±4.4	83.4±3.6	73.5±7.7	64.5±6.3
	Gloss change, GU	-6.3±4.1	-24.7±3.3	-34.9±6.9	-44.2±6.0
	Roughness, μm	0.043±0.006	0.065±0.008	0.085±0.018	0.082±0.011
	Roughness change, μm	0.007±0.012	0.028±0.007	0.053±0.018	0.049±0.012
	Wear depth, μm	0.110±0.030	0.675±0.226	0.471±0.202	0.421±0.109
10000	Gloss, GU	102.5±4.9	78.3±7.1	69.2±9.6	60.2±6.6
	Gloss change, GU	-4.8±4.4	-29.8±6.5	-39.2±8.8	-48.6±6.4
	Roughness, μm	0.054±0.011	0.099±0.023	0.110±0.033	0.105±0.020
	Roughness change, μm	0.017±0.015	0.063±0.023	0.079±0.032	0.071±0.022
	Wear depth, μm	0.204±0.070	1.376±0.439	0.833±0.372	0.778±0.200

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Table 3 Surface finish and wear depth of partially roughened bovine enamel (n=8, mean ± standard deviation)

Brush strokes	Parameters	ultrafine	3 μm	9 μm	20 μm
Before	Gloss, GU	17.6±5.8	22.0±6.8	17.3±6.0	18.0±4.9
	Roughness, μm	0.151±0.023	0.124±0.036	0.146±0.016	0.146±0.027
1000	Gloss, GU	46.2±6.9	76.8±10.7	62.3±6.7	47.9±6.5
	Gloss change, GU	28.6±3.6	54.7±6.1	45.1±6.0	30.0±3.1
	Roughness, μm	0.109±0.015	0.078±0.020	0.094±0.014	0.116±0.018
	Roughness change, μm	-0.043±0.011	-0.046±0.024	-0.052±0.016	-0.031±0.013
	Wear depth, μm	0.216±0.026	0.216±0.062	0.195±0.021	0.317±0.088
3000	Gloss, GU	55.6±2.4	84.6±7.8	73.6±5.0	57.2±5.2
	Gloss change, GU	38.0±5.5	62.6±6.7	56.4±4.8	39.2±4.1
	Roughness, μm	0.0928±0.0110	0.0697±0.0167	0.0828±0.0121	0.1111±0.0210
	Roughness change, μm	-0.0582±0.0155	-0.0539±0.0270	-0.0629±0.0107	-0.0351±0.0263
	Wear depth, μm	0.121±0.0222	0.3694±0.1684	0.2405±0.0566	0.4256±0.1380
5000	Gloss, GU	65.8±4.0	84.9±6.3	76.1±3.3	60.4±4.9
	Gloss change, GU	48.2±8.2	62.9±7.9	58.8±4.6	42.4±5.5
	Roughness, μm	0.083±0.008	0.071±0.015	0.082±0.008	0.104±0.012
	Roughness change, μm	-0.068±0.019	-0.053±0.031	-0.064±0.017	-0.043±0.022
	Wear depth, μm	0.213±0.038	0.619±0.257	0.380±0.147	0.492±0.186
10000	Gloss, GU	90.2±6.3	79.9±8.3	73.4±4.1	60.9±6.0
	Gloss change, GU	72.6±9.2	57.9±8.2	56.1±7.7	42.9±6.5
	Roughness, μm	0.065±0.005	0.102±0.027	0.098±0.008	0.108±0.019
	Roughness change, μm	-0.086±0.023	-0.021±0.040	-0.048±0.019	-0.038±0.023
	Wear depth, μm	0.223±0.050	1.226±0.584	0.707±0.401	0.696±0.312

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473 ***Figure legends***

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Figure 1 Characteristics of the tested calcined alumina abrasives.

Particle size distribution: Particle size distributions of the calcined alumina abrasives determined by using Malvern Mastersizer 2000 laser diffraction particle size analyser. Data are average values from three measurements. The volume distribution is defined as the distribution per volume of the particle sizes, shown as Volume %, a differential of total volume of all counts.

SEM observation: Representative SEM micrograph of the tested calcined alumina abrasives particles. a) ultrafine; b) 3 μm ; c) 9 μm ; and d) 20 μm . Differences in particle size and range of morphologies for the four calcined alumina abrasives can be clearly observed. For the ultrafine abrasive, agglomerates were observed due to its very fine particle size and individual particles were smaller than 1 μm . All the abrasives consisted of a mixture of relatively small and large particles

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Figure 2 Relationship between brush strokes and enamel loss (wear depth) obtained from polished bovine enamel up to 10,000 brush strokes for the abrasive particles studied. A linear relationship ($r^2=0.99$) was found between brushing strokes and wear depth (enamel loss, shown as mean and standard deviation) for the tested alumina abrasives.

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Figure 3 a) Stain removal efficacy for roughened bovine enamel specimens after 1000 strokes when brushed with calcined alumina abrasives; b) Representative images of enamel surfaces before staining, after staining and post-stain removal with 1000 brush strokes. (left to right): before stain; after staining; stain brushing with ultrafine abrasive; stain brushing with 3 μm abrasive; stain brushing with 9 μm abrasive; stain brushing with 20 μm abrasive.

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Figure 4 The relationship between enamel abrasivity, polishing capability (gloss change), and *in vitro* stain removal efficacy against abrasive particle size (d10, d50 and d90). The d10, d50 and d90 values indicate that 10%, 50% and 90% of the particles measured were less than or equal to the size stated.

- a) Enamel wear depth of polished specimens after 10,000 brush strokes plotted against calcined alumina particle size (d10, d50 and d90);
- b) Gloss change of partially roughened enamel specimen surfaces after 3,000 brush strokes plotted against calcined alumina particle size (d10, d50 and d90);
- c) Stain removal efficacy of roughened enamel specimens after 1,000 brush strokes plotted against calcined alumina particle size (d10, d50 and d90).

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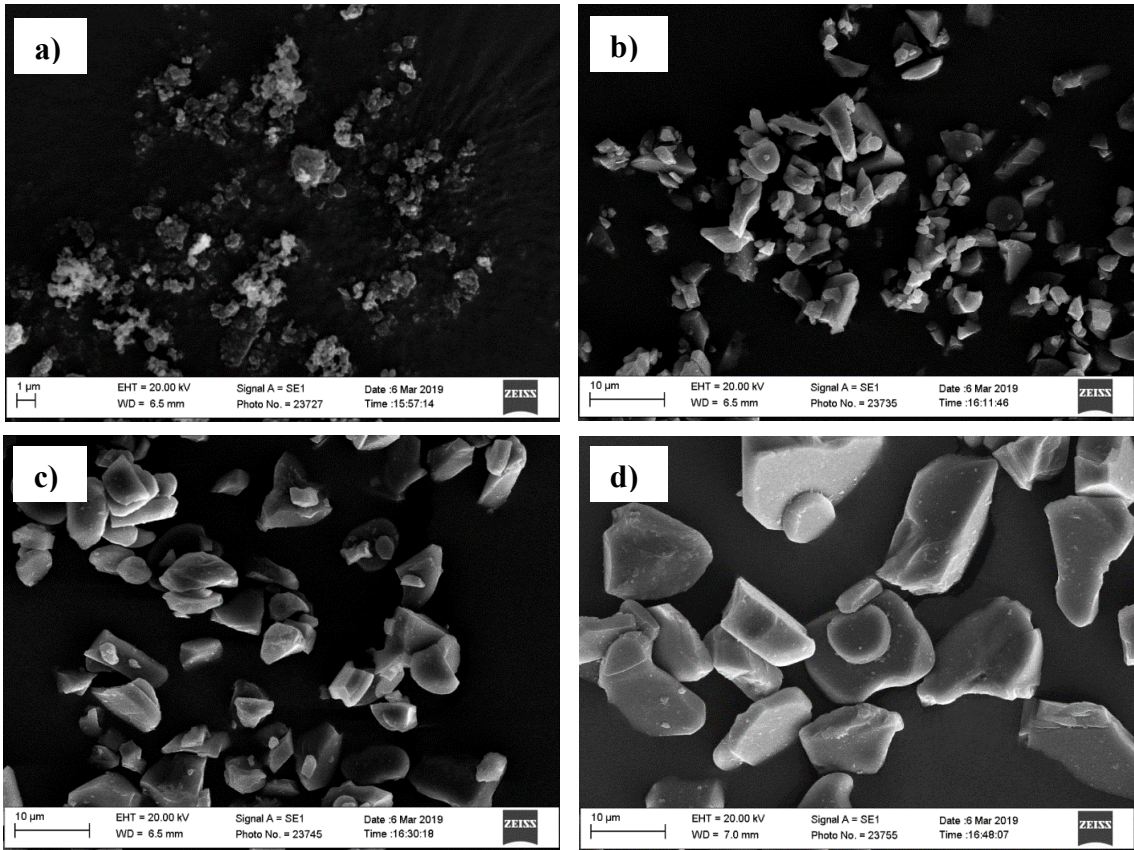
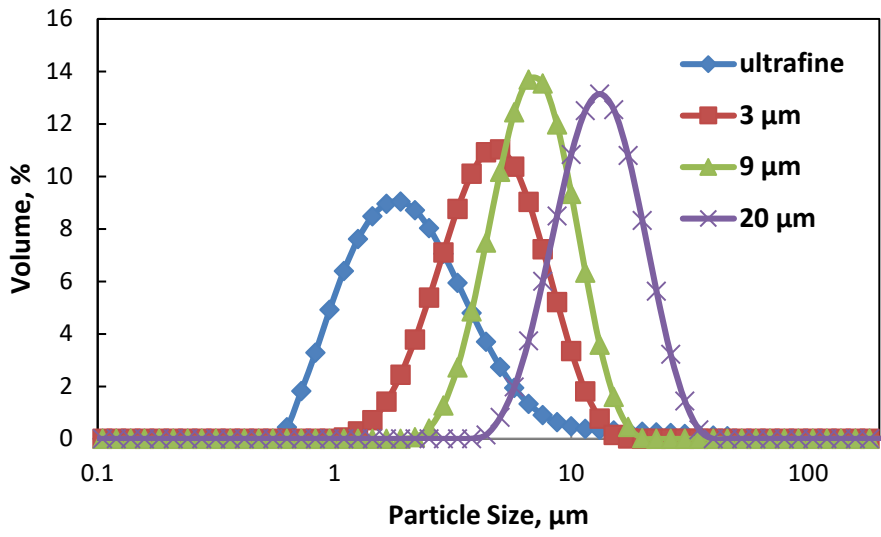


Figure 1

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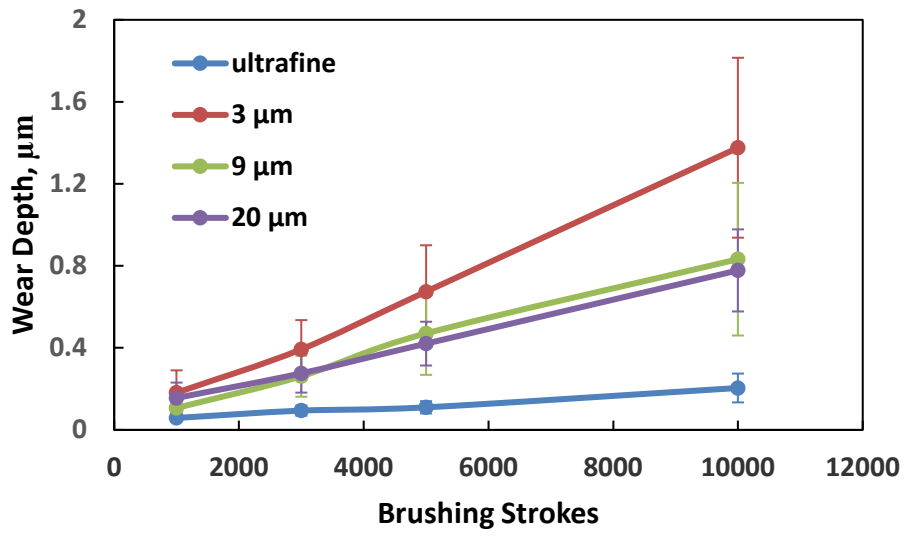
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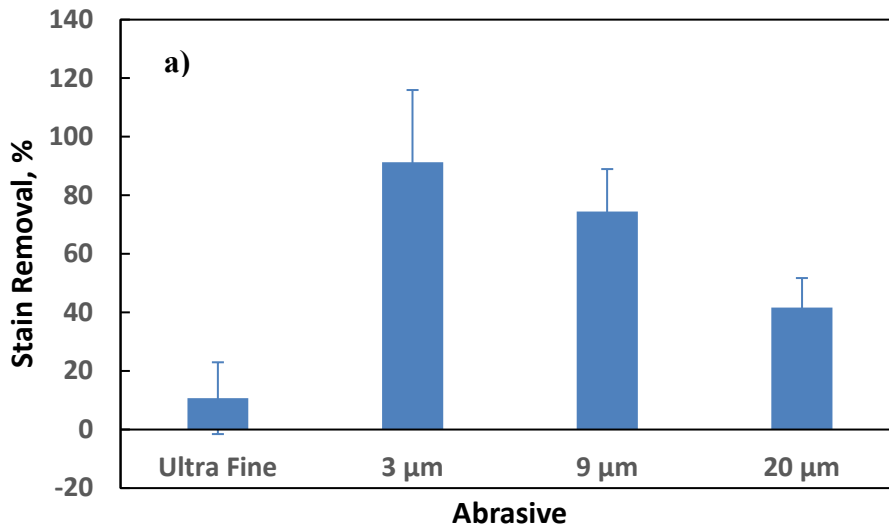
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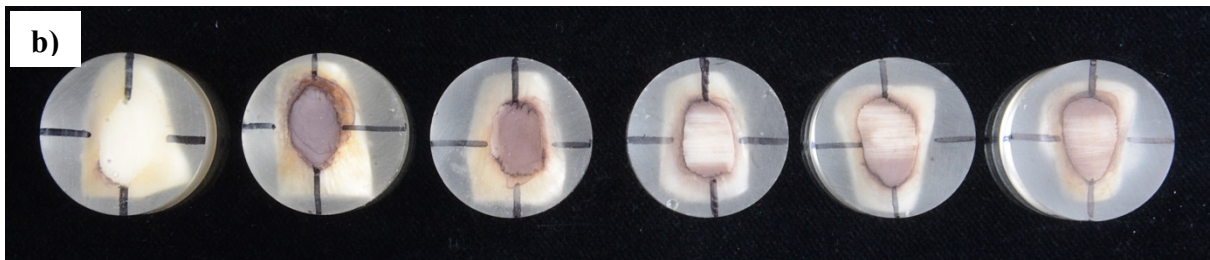
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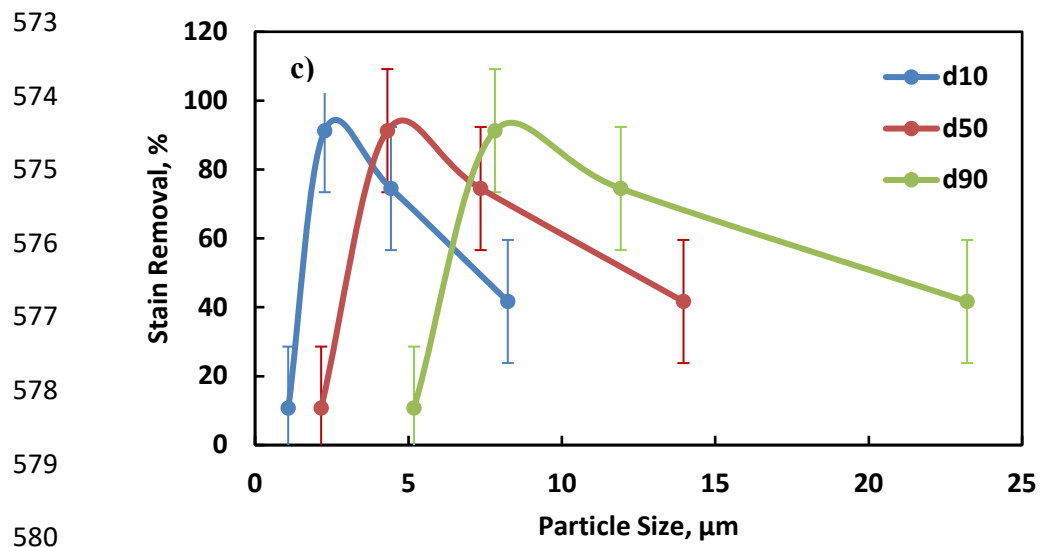
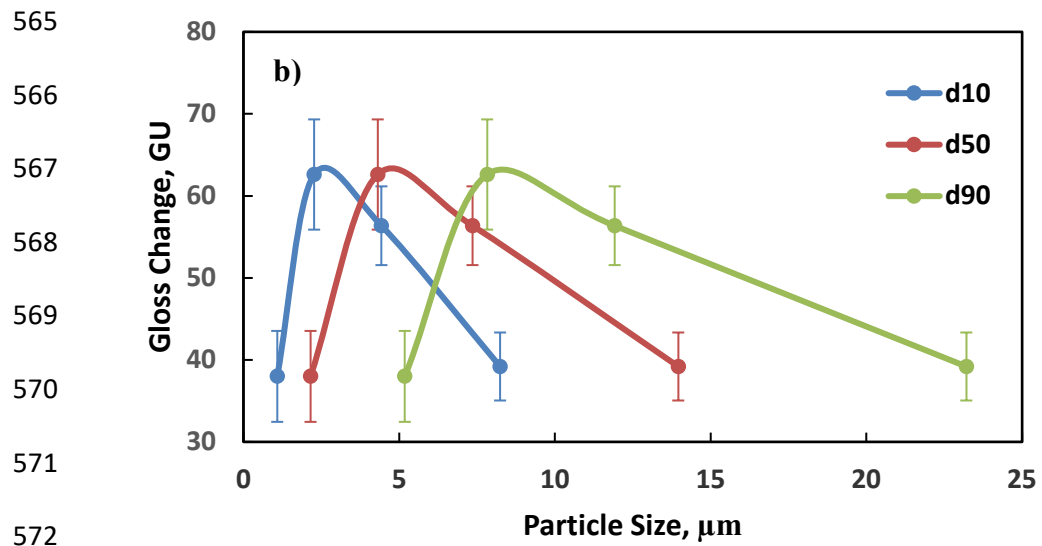
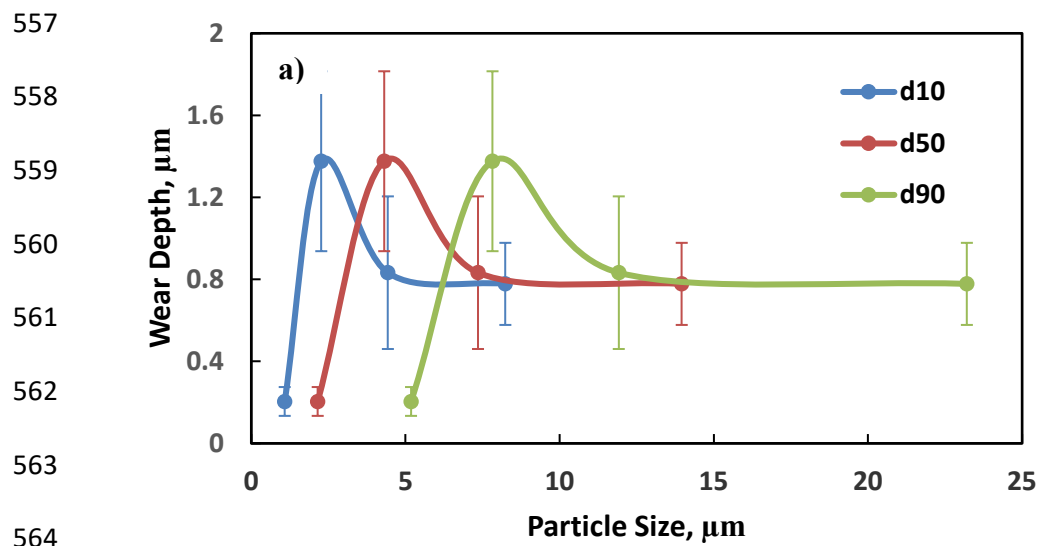
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