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# Towards a general model for predicting minimal metal concentrations co-selecting for antibiotic resistance plasmids

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# Abstract

Many antibiotic resistance genes co-occur with resistance genes for transition metals,	
such as copper, zinc, or mercury. In some environments, a positive correlation between	:
high metal concentration and high abundance of antibiotic resistance genes has been	
observed, suggesting co-selection due to metal presence. Of particular concern is the use	!
of copper and zinc in animal husbandry, leading to potential co-selection for antibiotic	
resistance in animal gut microbiomes, slurry, manure, or amended soils. For antibiotics,	
predicted no effect concentrations have been derived from laboratory measured	:
minimum inhibitory concentrations and some minimal selective concentrations have	
been investigated in environmental settings. However, minimal co-selection	10
concentrations for metals are difficult to identify. Here, we use mathematical modelling	1
to provide a general mechanistic framework to predict minimal co-selective	13
concentrations for metals, given knowledge of their toxicity at different concentrations.	1
We apply the method to copper (Cu), zinc (Zn), mercury (Hg), lead (Pb) and silver	1
(Ag), predicting their minimum co-selective concentrations in mg/L (Cu: 5.5, Zn: 1.6,	1
Hg: 0.0156, Pb: 21.5, Ag: 0.152). To exemplify use of these thresholds, we consider	10
metal concentrations from slurry and slurry-amended soil from a UK dairy farm that	1
uses copper and zinc as additives for feed and antimicrobial footbath: the slurry is	1
predicted to be co-selective, but not the slurry-amended soil. This modelling framework	19
could be used as the basis for defining standards to mitigate risks of antimicrobial	20
resistance applicable to a wide range of environments, including manure, slurry and	2
other waste streams.	2:

# Capsule

We provide a general framework to predict minimal co-selective concentrations for 24 metals as environmental co-selective agents for antibiotic resistance, using mechanistic 25 differential equations, and apply the method to copper, zinc, mercury, lead and silver. 26

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## Introduction

The persistence and spread of antimicrobial resistance (AMR) is a major global threat, with at least 700,000 deaths per year attributed to bacterial infections by drug-resistant strains world-wide [1]. Reduction of antibiotic use, or cessation of use of some 30 veterinary antibiotics, is seen as critically important to mitigate the threat of AMR. A 31 classic example of this strategy has been the banning of avoparcin in poultry 32 production, e.g. Germany and Denmark in 1995, other EU countries by 1997 and 33 Taiwan in 2000. The success of this ban can be exemplified with Norwegian poultry farms showing high abundance (99%) of vancomycin-resistant enterococci (VRE) in 35 farms exposed to avoparcin prior to the ban and lower abundance (11%) in samples from unexposed farms [2], while in Taiwan there was a decrease from 25% farms having 37 vancomycin-resistant enterococci (VRE) in 2000 to 8.8% farms in 2003 [3].

However, the continued presence of resistant strains suggests that there may be 30 other factors that promote persistence of antibiotic resistance genes (ARGs). One of 40 these factors is co-selection: selective pressure exerted by a toxicant that maintains 41 other ARGs. This can occur in different ways: (i) co-resistance, i.e., multiple genes 42 encoding resistance against different antibiotics and metals that are genetically linked, 43 often on a mobile genetic element, such as a plasmid; (ii) cross-resistance, i.e., the same 44 mechanism (e.g., efflux pumps) providing resistance against multiple toxicants; (iii) 45 co-regulation, which is the coordinated response to the presence of either antibiotic or metal, this activates mechanisms necessary for the resistance against the other or 47 both [4]. Transition metals can provide co-selective pressure for antibiotic resistance or 48 multi-drug resistant plasmids, even at sublethal concentrations [5]. Lee et al. (2005) showed that the *mdt* operon, which encodes for a multidrug resistance efflux pump in E. 50 coli was up-regulated in response to excess zinc [6]. Resistance to antibiotics is also 51 enriched in response to metal shock loading [7,8], or due to long-term exposure to 52 metal [9,10]. Song et al. (2017) [11] showed that adding copper and zinc to soil 53 microcosms can increase bacterial tetracycline resistance. Moreover, there are many studies providing correlative evidence of co-selection for antibiotic resistance due to 55 metal presence, by evaluating co-occurrence of metal and antibiotic resistance, in many environments, including oral and intestinal [12], sludge bioreactors [13], marine [14], 57

#### soil [15, 16] and sediments [17].

Selective pressure can be caused at concentrations lower than the minimal inhibitory 59 concentration (MIC). The FAO and WHO support the concept of minimum selective 60 concentration (MSC) for antibiotics, i.e., a threshold concentration above which the resistance genes are selected. MSCs are available for antibiotics, based on standard 62 MICs, through both empirical and modelling approaches [18–21], although environmental studies show the issue to be much more complex [22]. However, 64 co-selection pressure due to transition metals might mean that in some environments. ARGs could be selected for and maintained in a bacterial community even with antibiotic concentrations below MSC. Metal and antibiotic resistance genes co-occur in 67 environments where the metal contamination [23, 24] is sufficiently high to provide co-selection pressure for persistence and proliferation of antibiotic resistance [12, 25-27]. 69 The notion of Minimal Co-Selective Concentrations (MCSCs) for transition metals was 70 introduced by Seiler and Berendonk [17], who identified possible thresholds based upon 71 observations of metal concentrations in a range of environments. However, the lack of 72 appropriate MCSCs has been highlighted by the FAO and WHO [28]; indeed a rigorous 73 and consistent approach to defining MCSCs could be used, alongside toxicity, to inform 74 suitable standards for metal concentrations in agriculture or environmental contexts. 75

We address this research gap using a mathematical modelling approach. Models can 76 help to understand and predict the impact of co-selection under different scenarios, and 77 have already helped in understanding factors associated with AMR emergence and spread such as mutation rates [29], antibiotic consumption [30], water troughs on 79 farms [31] as well as quantifying the importance of factors such as conjugation [32]. 80 Models have also accurately predicted MIC values of  $\beta$ -lactams against MRSA [33]. One 81 of the few mathematical models for co-selection studied the concentration of resistant 82 bacteria in the Poudre River in Colorado and determined that external input and 83 selection pressure solely due to tetracycline was insufficient to explain the observed 01 levels of resistant bacteria. A co-selection model, on the other hand, which considered 85 both tetracycline and metal concentrations reproduced the observed data [34].

In this study, we developed a general model that we use to quantify the effect of transition metal concentrations on persistence of resistance in a bacterial population. The model encapsulates a causal mechanism for metal co-selection for antibiotic

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resistance, through genetically linked resistance genes for antibiotic and metal resistance, 90 on the same mobile element. In fact, the approach could be used for any toxic 91 co-selective agent, including transition metals, metalloids or other chemical biocides, so 92 long as there is genetic linkage of the resistance genes, and toxicity data are available for model calibration. We compare the results from deterministic (applicable to large 94 well-mixed populations) and stochastic versions (applicable to small populations where random events may be significant) of the same model. We then analyse the effects of metal toxicity and plasmid fitness cost on the persistence of resistance in each version of the model. The model allows us to identify MCSCs for transition metals and how they depend on the toxicity of the metal and the fitness cost of carrying resistance. We show 99 that both deterministic and stochastic versions of the model provide similar results, 100 with resistance lost only at high fitness costs and sufficiently dilute metal 101 concentrations, i.e. low toxicity. However, the stochastic model does suggest a higher 102 chance of persistence for several months without antibiotic selection. Finally, we 103 demonstrate the use of the MSCSs by applying them to measurements of copper and 104 zinc concentrations in dairy slurry and slurry-amended soil on the same farm. 105

# Materials and methods

## Model description

The purpose of this analysis is to understand how the persistence of resistance genes is dependent on the fitness cost of carrying the resistance genes (for example on a plasmid) and the selective pressure from metals being present in the environment. We also investigate how deterministic and stochastic modelling paradigms impact upon the results. The models describe a generalised process of conjugation transferring the resistance genes, how bacterial growth is affected by the fitness cost of plasmid carriage (if present), and how death is affected by the concentration of metal in the environment.

We model a small (micro-scale) volume element representative of a larger system. <sup>115</sup> The complete macro-scale ecosystem can be considered to be made up of replicates of <sup>116</sup> the modelled domain [35, 36]. The starting bacterial population is of primarily resistant <sup>117</sup> bacteria (99.32%), without antibiotic present, because we are interested in the <sup>118</sup>

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persistence of resistance rather than the spread of resistance. The deterministic version 119 of the model is described by ordinary differential equations (ODEs) Eq (1)-(2). As in 120 Baker et al. [32], bacterial growth is defined by a logistic growth terms, affected by the 121 fitness cost ( $\alpha$ ) of the plasmid for the resistant cells. Conjugation uses a classic 122 Sensitive-Infected-Resistant/Recovered (SIR) model formulation for plasmid transfer, 123 with "infection" of sensitive cells (S) by resistant cells (R) with rate constant  $\beta$ . The 124 resistances to metal and antibiotics are modelled as genetically linked, so that cells are 125 either sensitive to both or resistant to both, and either agent will be co-selective for 126 both resistances. The differences from the Baker et al. model [32] are the inclusion of 127 death of sensitive  $(\delta_S)$  and resistant bacteria  $(\delta_R)$  - at different rates due to the 128 resistance to metal - and plasmid loss  $(\epsilon)$  due to segregation upon cell division. 129

$$\frac{dS}{dt} = r(1 - \frac{N}{N_{max}})S - \delta_S S - \frac{\beta SR}{N} + r(1 - \frac{N}{N_{max}})(1 - \alpha)\epsilon R \tag{1}$$

$$\frac{dR}{dt} = r(1 - \frac{N}{N_{max}})(1 - \alpha)(1 - \epsilon)R - \delta_R R + \frac{\beta SR}{N}$$
(2)

where N = S + R. The same model structure can be described by a set of discrete <sup>130</sup> events which define the stochastic simulation algorithm (SSA). Table 1 provides the <sup>131</sup> details of this SSA. Each event has a reaction rate which is the same as the rates <sup>132</sup> defined in ODEs Eq (1)-(2). <sup>133</sup>

Event	Description	Rate
$S \rightarrow 2S$	Growth of sensitive bacteria	$r(1-\frac{N}{N_{max}})S$
$S \rightarrow$	Death of sensitive bacteria	$\delta_S S$
$R \rightarrow 2R$	Growth of resistant bacteria	$r(1-\frac{N}{N_{max}})(1-\alpha)R$
$R \rightarrow$	Death of resistant bacteria	$\delta_R R$
$S \to R$	Conjugation	$\frac{\beta SR}{N}$
$R \to S + R$	Plasmid loss due to segregation	$r(1-\frac{N}{N})(1-\alpha)\epsilon R$

Table 1. Stochastic Simulation Algorithm for Eq (1) - (2)

Events describing the lifecycle of the bacteria as well as the processes of conjugation and plasmid loss. The rates for the different events are the same as in the ODE version of the model.

#### Estimation of metal toxicity parameter values

We estimated the parameters for bacterial death rate for both resistant and sensitive <sup>135</sup> bacteria under different metal concentrations using the metal toxicity values for *E. coli* <sup>136</sup> provided by Ivask *et al.* [37] and Equation (3). We used the SCO (Social Cognitive <sup>137</sup> Optimization) evolutionary solver in LibreOffice Calc to estimate the unknown <sup>138</sup> parameters  $E_{max}$  (maximum death rate due to metal), MIC (Minimum Inhibitory <sup>139</sup> Concentration) and H (Hill coefficient) for all metals; fits to metal toxicity data are <sup>140</sup> shown in Figure S5, demonstrating successful model calibration. <sup>141</sup>

$$\delta_S = \delta_R + \frac{E_{max} M^H}{M I C^H + M^H} \tag{3}$$

where, M is the metal concentration. Once the parameters were estimated (Table 2), <sup>142</sup> the concentrations of copper and zinc measured using the ICP-MS techniques, for both <sup>143</sup> slurry and slurry treated soil, were used to calculate the ratio of death rate of sensitive <sup>144</sup> to resistant bacteria ( $\zeta$ ). <sup>145</sup>

#### Numerical solutions of the model

For the deterministic model, differential equations were simulated using the R [38] 147 deSolve package [39] LSODA algorithm and sensitivity analysis was performed using the 148 rootSolve [40, 41], doParallel [42] and foreach [43] R packages. For the parameter 149 sensitivity analysis using the stochastic model, we used COPASI [44], and created shell 150 scripts to run each parameter combination one thousand times. The output of each run 151 was then imported into R to produce parameter sensitivity graphs with the gpplot2 [45] 152 package, with 5-dimensional data expressed as two spatial dimensions and three colour 153 dimensions, using an RGB combination for each point associated with each parameter 154 combination, red for persistence of resistance, blue for loss of resistance, and green for 155 total cell death. For example, if out of 1000 runs of the stochastic version of the model, 156 300 runs predicted persistence of resistance and 700 runs loss of resistance, then a 157 colour 30% red and 70% blue would be plotted. 158

For example environments, we took the measured values of copper and zinc

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concentrations in slurry and slurry amended soil and calculated the death rate ratio of sensitive to resistant populations based on the metal toxicity values of *E. coli* [37], to check resistance fixation conditions in each environment, as described in the Model Overview section.

#### Multi-elemental analysis by ICP-MS

#### Slurry

Slurry samples (2 mL) were acid digested on a hot plate using 6 mL Primar Plus grade 166  $HNO_3$  (68%) and 2 mL  $H_2O_2$  (Thermo Fisher Scientific, Loughborough, UK). Samples 167 were diluted with Milli-Q water (18.2 M $\Omega$  cm) to 50 mL and syringe filtered to <0.2  $\mu$ m 168 (Merck-Millipore, Burlington, USA) prior to analysis by inductively coupled plasma 169 mass spectrometry (icapQ model; Thermo Fisher Scientific, Bremen, Germany). 170 Samples were introduced (flow rate 1.2 mL min<sup>-1</sup>) from an autosampler (Cetac 171 ASX-520) incorporating an ASX press rapid uptake module through a perfluoroalkoxy 172 (PFA) Microflow PFA-ST nebuliser (Thermo Fisher Scientific, Bremen, Germany). 173 Sample processing was undertaken using Qtegra software (Thermo-Fisher Scientific) 174 utilizing external cross-calibration between pulse-counting and analogue detector modes 175 when required. The ICP-MS was run employing two operational modes with in-sample 176 switching between a collision cell (i) charged with He gas with kinetic energy 177 discrimination (KED) to remove polyatomic interferences and (ii) using  $H_2$  gas as the 178 cell gas. The latter was used only for Se determination. Peak dwell times were 100 ms 179 with 150 scans per sample. 180

Internal standards, used to correct for instrumental drift, were introduced to the sample stream on a separate line (equal flow rate) via the ASXpress unit and included Sc (10 $\mu$ g/L), Ge (10 $\mu$ g/L), Rh (5 $\mu$ g/L) and Ir (5 $\mu$ g/L). The matrix used for internal standards, calibration standards and sample dilution was 2% Primar Plus grade HNO<sub>3</sub> with 4% methanol (to enhance ionization of some elements such as Se).

Calibration standards included (i) a multi-element solution with Ag, Al, As, Ba, Be, <sup>186</sup> Cd, Ca, Co, Cr, Cs, Cu, Fe, K, Li, Mg, Mn, Mo, Na, Ni, P, Pb, Rb, S, Se, Sr, Ti, Tl, U, <sup>187</sup> V and Zn, in the range 0 to 100  $\mu$ g/L (0, 20, 40, 100  $\mu$ g/L) (Claritas-PPT grade <sup>188</sup> CLMS-2 from SPEX Certiprep Inc., Metuchen, NJ, USA); (ii) a bespoke external <sup>189</sup>

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multi-element calibration solution (PlasmaCAL, SCP Science, France) with Ca, Mg, Na and K in the range 0-30 mg/L and (iii) a mixed phosphorus, boron and sulphur standard made in-house from salt solutions (KH<sub>2</sub>PO<sub>4</sub>, K<sub>2</sub>SO<sub>4</sub> and H<sub>3</sub>BO<sub>3</sub>).

#### Soil

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For extractable macro- and micro-elemental analysis, 1 g of soil was suspended in 9 mL  $^{194}$  of 1 M NH<sub>4</sub>NO<sub>3</sub> and mixed thoroughly by agitation using a rotary shaker for 1 hour.  $^{195}$  Subsequently samples were centrifuged and 1 mL of the resulting supernatant was  $^{196}$  diluted in 9 mL of 2% nitric acid. Finally, samples were passed through a 0.22  $\mu$ m filter  $^{197}$  before being loaded for inductively coupled plasma mass spectrometry (ICP-MS;  $^{198}$  Thermo-Fisher Scientific iCAP-Q; Thermo Fisher Scientific, Bremen, Germany)  $^{194}$ 

#### Parameters used in the models

The parameters were taken to match the model parameters of Baker *et al.* [32], where possible; other parameter values were taken from references in Table 2. The estimated death rates for metals from the metal toxicity model are also listed. For sensitivity analysis, the ratio of death rates ( $\zeta$ ) and the fitness cost of carrying the plasmid with resistance genes are varied over a range. We also increased and decreased transfer frequency and probability of segregational loss, to see the effects of these two parameters on the output.

## Results

In these simulations, we consider the persistence of the resistant strains following 209 withdrawal of antibiotic, but in the continued presence of metal. Therefore the 210 population starts at 99.3% resistant cells with only a small concentration of sensitive 211 cells. For the stochastic models, we consider a microcosm of this population. We vary 212 the ratio of death rates (sensitive/resistant) between 1.0, corresponding to an absence of 213 toxic metal, so sensitive and resistant cells die at the same rate, and thus there is no 214 selection pressure; and 10.0, corresponding to strong selective pressure, with sensitive 215 cells dying ten times faster than resistant cells due to high concentrations of toxic metal. 216 We vary the fitness cost between 0 (no fitness cost) and 1 (hosts carrying plasmid 217

Parameter	Description	Value (Range)	Source			
r	Specific growth rate	$0.5 \ h^{-1}$	[46-48]			
$N_{max}$	Carrying capacity of liquid slurry	$6.71 \times 10^7 \text{ CFU/L}$	[49]			
$\delta_R$	Death rate of resistant bacteria (base	$0.025 \ h^{-1}$	[50]			
	rate)					
$\delta_S$	Death rate of sensitive bacteria	$\zeta \cdot \delta_R$	varied			
ζ	Ratio of $\delta_S$ to $\delta_B$ affected by metal	<b>1.0</b> (1.0-10.0)	varied			
5	concentration					
$\alpha$	Fitness cost for carrying resistance	<b>0.1</b> (0-0.99)	[47, 51, 52]			
	as a fraction of $r$					
β	Conjugation rate	$0.001 \ h^{-1}$	[52, 53]			
$\epsilon$	Plasmid loss probability	0.000144	[54]			
Volume	Volume of microcosm	$2.5 \times 10^{-6}$ L	Assumed			
1000000000000000000000000000000000000						
MIC	Minimum inhibitory concentration	<b>212.79</b> mg/L	Estimated			
$E_{max}$	Maximum death rate due to metal	$1.74 h^{-1}$	Estimated			
H	Hill coefficient	1.54	Estimated			
	Zinc	$(ZnSO_4)$				
MIC	Minimum inhibitory concentration	<b>2760.31</b> mg/L	Estimated			
$E_{max}$	Maximum death rate due to metal	$1.37 \text{ h}^{-1}$	Estimated			
H	Hill coefficient	0.72	Estimated			
Mercury (HgCl <sub>o</sub> )						
MIC	Minimum inhibitory concentration	1.85 mg/L	Estimated			
Eman	Maximum death rate due to metal	<b>5.89</b> h <sup>-1</sup>	Estimated			
H	Hill coefficient	1 44	Estimated			
Lead (Pb(NO <sub>2</sub> ) <sub>2</sub> )						
MIC	Minimum inhibitory concentration	<b>1728.7</b> mg/L	Estimated			
Eman	Maximum death rate due to metal	$18.74 \text{ h}^{-1}$	Estimated			
H	Hill coefficient	1.82	Estimated			
$\frac{11}{\text{Silver} (A \sigma NO_2)}$						
MIC	Minimum inhibitory concentration	<b>0.48</b> mg/L	Estimated			
Eman	Maximum death rate due to metal	$2.42 h^{-1}$	Estimated			
	Hill coefficient	5 19	Estimated			

Table 2. All parameters used in equations (1)-(3).

Parameter values used for the model. The majority of the core parameter values are obtained from the literature. No fixed parameter value is used for the death rate of sensitive cells ( $\delta_S$ ); rather this is allowed to vary over a 10-fold range in order to explore model behaviour and identify MCSCs. The parameter values associated with individual metals are estimated from data as described in the Methods.

cannot grow). This full range of fitness costs is included for analytical completeness so that model behaviour can be fully understood; the typical biologically realistic range is from 0.1 to 0.3 [47, 51, 52].

### To persist or not to persist and the role of chance

To demonstrate model behaviour, we show model simulations for the four bounding values of fitness cost and death rate ratio used in the sensitivity analysis below. Thus

all model behaviours in the sensitivity analysis lie in between these extremes. When the 224 fitness cost is 0, the resistant bacteria persist in the population, irrespective of the 225 death rate ratio (Fig 1(a) and (c)). When stochasticity is introduced into the model, 226 then in the absence of selection (i.e. death rate ratio of 1) then a small proportion of 227 simulations saw a loss of plasmid due to drift (2.6% of cases). Under strong selective 228 conditions (death rate ratio of 10), the plasmid is fully maintained in both the 229 deterministic and stochastic simulations. For extreme values of fitness cost (1), the 230 proportion of resistance cells decreases over time. The rate of decrease depends upon 231 the level of selective pressure. In the absence of selective pressure (death rate ratio of 1), 232 resistance persists for approximately 40 days, before decreasing sharply (Fig 1(b)). 233 Under strong selection pressure (death rate ratio of 10), resistance persists for longer, 234 declining after about 80 days (Fig 1(d)). In the stochastic model under these conditions, 235 both sensitive and resistant cells die, and no meaningful results can be shown. From 236 these graphs, it can be inferred that under intermediate values of fitness cost and death 237 rate ratio, resistance will persist for different periods of time. This is explored fully next. 238

#### Effect of toxicity and fitness cost on persistence of resistance

In order to evaluate persistence of resistance due to co-selection, we carried out a 240 sensitivity analysis for two parameters, metal toxicity and fitness cost for plasmid 241 carriage, first using the deterministic version of the model. We measured the time for 242 the resistant population to drop from 99.32% to 50% (Fig 2). The dominant outcome 243 for higher levels of metal toxicity (i.e. higher concentrations) and lower levels of fitness 244 cost is persistence of resistance (grey zone in Fig 2). The coloured zone represents those 245 simulations where resistance is lost, ranging from blue (rapid loss) to red (slower loss). 246 A key feature to note is the steep rise in the boundary between the two zones: as the 247 level of toxicity increases, the minimum fitness cost required for loss of resistance also 248 increases sharply. This suggests that co-selection can occur even at low metal 249 concentrations. 250

Compared to the deterministic model with a single outcome for a set of parameter <sup>251</sup> values, a stochastic model may result in different outcomes on repeated runs with same <sup>252</sup> parameter values. Thus, in order to assess the impact of stochasticity, the probability of <sup>253</sup>



Ratio of resistant bacteria over time

(c) fitness cost = 0; death rate ratio = 10 (d) fitness cost = 1; death rate ratio = 10 Fig 1. Time series of proportion of resistant bacteria for the bounding values of fitness costand death rate ratio. Time series curves up to 100 days for select values of fitness cost and death rate ratio of sensitive to resistant bacteria, both for deterministic (black) and stochastic (red and blue dashed) versions of the model. The four figures correspond to the bounding values for the ranges fitness cost and death rate ratio used in later simulations. With no fitness cost ((a) and (c)) deterministic version results in persistence of resistance, but there might be some loss (2.6% cases) in the stochastic model in the absence of selection due to drift ((a)). With high fitness cost ((b) and (d)), there is loss of resistance, with the time for loss dependant on death rate ratio. The stochastic version in this scenario, however, leads to loss of both sensitive and resistant bacteria.

different outcomes was coded as different colours on the RGB scale, with red denoting  $^{254}$  resistant bacteria at more than 50%, blue denoting resistant bacteria less than 50% and  $^{255}$  green denoting loss of all bacteria (Fig 3). Thus, a mix of these colours at a point  $^{256}$  signifies that the same parameter combination resulted in different outcomes. As can be  $^{257}$  seen in Fig 3(a), after 100 days there is a greater chance of persistence (red) rather than  $^{258}$  loss (blue), whereas after a 1000 days (i.e.  $^{3}$  years) or  $10^{5}$  days (chosen as a very long  $^{259}$  period to allow the model to equilibriate), there was a clear pattern of resistance loss or  $^{260}$ 



Fig 2. Sensitivity analysis of the deterministic model. The figure shows the number of days for the resistant population to drop to 50% total population from the same starting point of 99.32% resistant population, in the absence of antibiotic. The x-axis represents metal toxicity in terms of ratio of sensitive against resistant bacterial death rate. As can be seen, co-selection pressure causes persistence of resistance at low fitness cost and high metal toxicity. Loss of resistance is seen only with high fitness cost or with no metal - ratio of death rates equal to 1. The vertical lines represent the death rate ratio for copper (green) and zinc (black) concentrations in the example environments of slurry (solid lines) and slurry amended soil (dashed lines at almost identical x- coordinates) as measured by the method mentioned before. For both metals, the observed concentrations lead to similar death rate ratios, with higher chance of persistence in slurry than slurry amended soil.

persistence, similar to the pattern for the deterministic model. Thus, the outcomes in <sup>261</sup> Fig. 1 with loss of resistance in less than 100 days, have a low probability, as inferred <sup>262</sup> from Fig 3(a). Even then, the fitness cost required for such loss, is higher than typical <sup>263</sup> costs of stable plasmids [47, 51, 52]. <sup>264</sup>

Our previous work highlighted the importance of gene transfer rate on spread of resistance [32]. Therefore, to assess the robustness of the outcomes shown in Fig 2 to changes in the conjugation rate parameter, we conducted similar sensitivity analyses

with conjugation frequency 10 times higher (Fig. S1) and lower (Fig. S2). The result 268 with lower conjugation frequency is almost identical, probably because conjugation is 269 not an important factor when resistance is already high. On the other hand, with 270 increased conjugation frequency, there is increased persistence of resistance. However, 271 the default conjugation frequency  $(0.001 \text{ h}^{-1})$  is already at the upper end of observed 272 values, so higher values are unlikely to be realistic. Therefore, we are confident that the 273 results shown are robust to variations in transfer rate. We also varied the value of 274 probability of plasmid loss due to segregation, showing that a higher loss probability 275 speeds up loss of resistance (Fig. S3), whereas a lower loss probability has similar 276 outcome (Fig. S4). Again, higher rates of plasmid loss are not likely to be especially 277 relevant because of plasmid addiction systems, and so we are confident that our results 278 are robust to variations in this parameter too. Changing growth rate had no effect on 279 the output (data not shown). 280

#### Estimating minimum co-selective concentrations (MCSCs)

We presented model outcomes in terms of the ratio of death rates at different metal 282 concentrations (Fig 2); these can be used to predict minimal co-selection concentrations 283 for specific metal, bacterial and plasmid combinations, using a metal toxicity model (Eq. 284 (3)), and knowledge of the fitness cost of carriage. For these calculations, we used a 285 fitness cost of 0.25, which is within the reasonable range of expected values [47, 51, 52], 286 although this could be reduced to produce more stringent MCSCs. Thus, the death rate 287 ratio selected was 1.25. Using the estimated parameter values, we calculated the MCSC 288 value based on this ratio. The data is presented in Table 3 for copper, zinc, mercury, 289 lead and silver using E. coli as an exemplar. 290

In reference to our example environments, measured zinc concentrations are 32.16 mg/L (slurry) and 0.3 mg/L (soil), and copper concentrations are 22.32 mg/L (slurry) and 0.17 mg/L (soil). Therefore the metal concentration in slurry is above the MCSC for both metals, hence this will be classified as a co-selective environment; however, the metal concentration is below the MCSC for both metals in slurry-amended soil, so that would not be a co-selective environment. Similarly, the measured concentrations of lead and silver in slurry are both below the MCSC threshold and so these metals are not 297

Metal	MCSC	Farm Soil	Dairy Slurry	ALS Environmental
	(mg/L)	(mg/kg)	(mg/L)	soil guidelines (mg/kg)
Coppor (Cu)	5.5	0.068	<u> </u>	80 (5.0 < pH < 5.4)
Copper (Cu)	0.0	0.008	22.02	$200 \text{ (pH}{\geq}7.1)$
7inc (7n)	1.6	0.16	29.16	200 (5.0 < pH < 5.4)
			52.10	$450 \ (pH \ge 7.1)$
Mercury (Hg)	0.0156	NA	NA	1 (pH>5.0)
Lead (Pb)	21.5	NA	0.047	300 (pH>5.0)
Silver (Ag)	0.152	NA	0.00026	NA

Table 3. Metal MCSC and measured concentrations

The MCSC threshold is calculated by assuming death rate ratio of 1.25, which corresponds to fitness cost of 0.25 for predicted loss of resistance in Fig. 2. A metal concentration above this threshold is predicted to provide co-selective pressure and lead to persistence of resistance. With our farm example data, concentrations of copper and zinc are predicted to be coselective in the slurry, but not slurry amended soil. Measured concentrations of lead and silver in the slurry are predicted not to be coselective.

predicted to be co-selective.

# Discussion

Several studies have shown that there is a correlation between presence of metals and 300 abundance of antibiotic resistance genes (ARGs) in soil, including in Scotland [55], 301 Western Autralia [56] and urban soils from Belfast area [15]. These correlations are 302 indicative of co-selection due to metal presence, although they do not prove a causal 303 link. The model described in this study provides a mechanistic insight into the different 304 factors which drive co-selection. Both deterministic and stochastic versions were defined 305 with similar assumptions and parameter values, because the inherent assumptions about 306 the biological processes in each methodology are different and hence might lead to 307 different results. The deterministic version shows that loss of resistance genes or 308 resistant bacteria is only possible in low toxicity (lower death rate ratio) environment, 309 or, in the rare case of cost prohibitive plasmids (high fitness cost). Most AMR 310 phenomena are observed in large scale environments such as guts, tanks, fields, farms, 311 hospitals [57], and the deterministic model can provide a reasonable approximation for 312 prediction of the behaviour of large scale populations if they are, or can be considered 313 to be, well-mixed. However, most environments are comprised of smaller, diverse, 314 microscopic bacterial communities, and so deterministic models may be less realistic; 315 thus stochasticity can play an important role [36]. The stochastic model in this work 316 leads to a similar general conclusion of the effects of metal toxicity and fitness cost, but 317

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stochasticity leads to a greater chance of persistence over a shorter (reasonable) time-scale of antibiotic absence.

Importantly, the models we developed can be used to predict minimal co-selective 320 concentrations (MCSCs) for transition metals. These predictions can help inform metal 321 concentration thresholds for environments in which antibiotic resistant bacteria are 322 likely to be present — provided other organisms don't have toxicity values much lower 323 than predicted MCSCs. The model has been developed using microbial parameter values 324 from dirty water (slurry) and toxicity values from liquid-phase experiments. While the 325 application of the model is best to dirty water (slurry, waste water, rivers etc), we make 326 comparisons also with soil guidelines, albeit with some caveats discussed below. Animal 327 agricultural waste is a prime example due to both metal and antibiotic use. The MCSC 328 determined here is low compared to the permissible concentrations set by established 329 guidelines. For example, the ALS Environmental guideline for soil concentrations has 330 the maximum permissible value for copper and zinc set at 80 mg/kg and 200 mg/kg. 331 respectively, for pH between 5.0-5.4 and 200 mg/kg and 450 mg/kg respectively at pH 332 of 7.1 or higher [58]. These concentrations are comparable with the findings of Song et333 al., in which copper and zinc induced increased resistance to tetracycline at 333 mg/kg 334 and 500 mg/kg respectively [11]. The ALS report gives the concentrations for mercury 335 and lead at 1 mg/kg and 300 mg/kg, respectively, for pH 5.0 or higher. Similarly, Seiler 336 and Berendonk [17] suggest an MCSC for soil of 117 mg/kg fresh weight for copper. 337 Our study could indicate that current guidelines provided for soil metal concentrations 338 are not sufficiently stringent and might provide a co-selective environment. 339

On the other hand, guidelines for maximum permissible concentration (MPC) for 340 water - either freshwater, saltwater or groundwater (but not dirty water) - suggest 341 extremely low metal concentrations. Taking the example of the report by National 342 Institute of Public Health and the Environment Bilthoven, The Netherlands, we see 343 that MPCs provided for copper are 1.5, 1.4 and 2.4  $\mu g/L$  [59], which is 1000 fold lower 344 than the MCSC value estimated by the model. This might be due to more sensitive 345 toxicity levels of other organisms found in these environments or the use of these 346 sources for drinkable water. Similar values are reported for other metals as well. These 347 figures are very similar to the MCMCs suggested by Seiler and Berendonk [17] for 348 copper and zinc (1.5  $\mu$ g/L and 19.61  $\mu$ g/L respectively), but these concentrations may 349

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be difficult to apply to dirty water (e.g. slurry).

These predicted MCSCs are open to empirical validation and refinement. There are 351 many possible approaches, with aqueous in vitro experiments, microfluidic models of 352 pore networks characteristic of soil, or soil microcosms. Any approaches would require 353 either the isolation or engineering of bacterial strains with genetically linked and 354 phenotypically active metal and antibiotic resistant genes, as well as robust methods for 355 determining metal resistant phenotype. This MCSC framework could also be expanded 356 to include other non-antibiotic biocides, such as disinfectants or detergents, also known 357 to co-select for antibiotic resistance [60]; such inclusion would also require calibration 358 against toxicity data, as we have done for transition metals here. 359

The current model does not explicitly account for changes in bioavailability of metal, 360 which may cause change in toxicity values. For example,  $Cr^{3+}$  ions are less toxic than 361 chromate and which form they occur in is dependent on environmental conditions [61]. 362 Thus, this speciation (physiochemical form of metal) can affect the toxicity of the 363 metals. Also, determination of element bio-availability remains unpredictable and 364 contingent on adsorption dynamics, absorption within soil particles, flocculation, ion 365 exchange, precipitation and complexation reactions. While classical geochemical 366 Pourbaix relationships can provide insight about possible interactions based on pH and 367 Eh (redox), a lot remains dependent on surface character and affinities, especially soil 368 organic matter, water, salinity and temperature. Elevations in pH tend to reduce 369 solubility, and the presence of carbon dioxide tends to promote carbonate precipitation; 370 Eh reductions enhance sulfide precipitation; whereas salinity (or presence of multiple 371 ions) tends to mobilize the metals. It could be possible to couple this model with 372 models for metal partitioning in soil [62], which could lead to improved MCSCs for soil. 373

The BCR483 extraction  $(NH_4NO_3)$  provides a good representation of trace element <sup>374</sup> mobility [63], and represent the bio-availability from the sludge amended soils. The <sup>375</sup> acid/peroxide extractions represent oxidizable forms and probably over-estimate metal <sup>376</sup> lability, but does reflect the fraction associated with organic carbon, which can be <sup>377</sup> highly dynamic in terms of complexation and solubility. <sup>378</sup>

Copper availability tends to be highly dependent on organic matter content, to the  $_{379}$  extent that kd values for Cu<sup>2+</sup> tended to be independent of pH (when >5.5) and driven  $_{380}$  by organic carbon [64]. While sludge amendments can enhance organic matter content  $_{381}$ 

in soils, and Cu binding [65, 66], the presence of dissolved organic matter can mobilize 382 the copper [67]. Lead strongly binds to organic matter, especially humic at pH > 4. 383 Shifts between anoxia and oxic conditions may induce periods of solubility, but remain 384 reactive to sulfide precipitation. Solubility values tend to be associated with pH under 385 low carbon and sulfur environmental matrices; in case here, it is likely to be associated 386 with the nature of the organic matter. Zinc can become insoluble with sulfide at 387 reducing conditions and can form relatively insoluble carbonate precipitates at higher 388 pH. However, lability of zinc best correlates with total zinc concentrations [68], rather 389 than precipitation reactions. Dissociation reactions are similar whether applied as 390 sludge or as a salt [65]. Silver in the environment, while extremely toxic, is relatively 391 insoluble. It strongly binds to organic matter and oxides within the soils, to the point 392 that desorption is considered negligible. 393

The toxicity model defined in this study, uses the bioavailable metal concentration <sup>394</sup> values and the Hill equation to calculate the death rate. While this empirical approach <sup>395</sup> fits the data, more mechanistic approaches that take into account the details discussed <sup>396</sup> above could be appropriate. Moreover, the toxicity values that are reported by Ivask *et* <sup>397</sup> *al.* [37] are not based on the environment and do not take into account the interaction <sup>398</sup> between different metals or metal and other biocides. <sup>399</sup>

Despite these assumptions, the model can be applied to a large number of 400 environments, with relatively minor changes. However, in more complex environments, 401 spatial heterogeneity and stochasticity may become more important [36]. Another 402 complexity that is missing and might provide further insight into the role of metals 403 towards co-selection is the inclusion of multiple metals. Environmental contaminants 404 occurring in a mixture is an observed and quantified norm. Thus, the presence of 405 multiple metals might affect their bioavailability/toxicity. Data exists to show the 406 correlation between contaminant mixture and ARGs [69], but, this only proves that 407 there is co-occurrence of multiple toxicants and a higher abundance of ARGs. A 408 mechanistic understanding of co-selection due to multiple toxicants could provide 409 interesting further results. Similar data, if available for other co-selective agents like 410 biocides, QACS, etc. can be used to calculate the death rate and thus understand their 411 potential for antibiotic resistance co-selection. 412

In conclusion, our model shows that co-selective pressure can maintain antibiotic

resistance in microbial communities, even in the absence of antibiotic. It provides a general approach for setting appropriate standards for transition metal contamination, especially for environments where antibiotic resistance is likely to be important, e.g. in livestock farming, and monitoring those environments against those standards. It also implicates the importance of developing technologies for removing metals from such environments [70].

Supporting information



Fig. S1 Conjugation rate is 0.01, i.e., 10 times more than for Fig 2. We can see this leads to greater chances of persistence of resistance, even in situations with no selection pressure.



Fig. S2 Conjugation rate of 0.0001 (10 times lower). Shows very little difference compared to Fig 2.



Fig. S3 10 times higher probability of loss of resistance carrying plasmid due to segregation (0.00144). The effect is seen in the time required for loss of resistance. Most of the situation that lead to persistence are not affected, but where loss is likely the time required for loss of 50% of resistance from population is reduced by approximately a factor of 10, as the red colour corresponds to  $10^3$  days, when before it was  $10^4$  days.



Fig. S4 10 times lower probability of loss of plasmid due to segregation (0.0000144). Similar results to that seen in Fig 2





# Author Contributions

Sankalp Arya: investigation, formal analysis, software, writing - original draft;422Alexander Williams: investigation, writing - original draft; Saul Vazquez-Reina:423investigation, writing - original draft; Charles Knapp: writing - review & editing;424Jan-Ulrich Kreft: supervision, writing - review & editing; Jon Hobman:425conceptualization, supervision; Dov Stekel: conceptualization, methodology, supervision,426writing - review & editing.427

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**Fig 3. Sensitivity analysis of the stochastic model.** Percentage distribution of three outcomes occurring in the stochastic simulations, with each outcome is represented by a different colour (red: persistence of resistance; blue: loss of resistance; green: total extinction). The three panels show different time points in the simulations, 100 days, 1000 days (i.e. 3 years) and 100,000 days (to reach steady state). In the short term, there is a greater probability of persistence of resistance as after 100 days, cases with relatively high fitness cost show very little loss of resistance but after 1000 days, we see a similar pattern as seen for the ODE simulations (black line). The black line corresponds to the edge of persistence region of Fig 2.