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1	Evolution of a biochemical model of steady-state photosynthesis
2	
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11	Running title: Evolution of a biochemical model of photosynthesis
12	Abstract
13	On the occasion of the 40 th anniversary of the publication of the landmark model by Farquhar,
14	von Caemmerer & Berry on steady-state C3 photosynthesis (known as the "FvCB model"), we
15	review three major further developments of the model. These include: (1) limitation by triose
16	phosphate utilisation, (2) alternative electron transport pathways, and (3) photorespiration-
17	associated nitrogen and C1 metabolisms. We discussed the relation of the third extension with
18	the two other extensions, and some equivalent extensions to model C4 photosynthesis. In
19	addition, the FvCB model has been coupled with CO ₂ -diffusion models. We review how these
20	extensions and integration have broadened the use of the FvCB model in understanding
21	photosynthesis, especially with regard to bioenergetic stoichiometries associated with
22	photosynthetic quantum yields. Based on the new insights, we present caveats in applying the
23	FvCB model. Further research needs are highlighted.
24	

Keywords: (alternative) electron transport, mesophyll conductance, NADPH-ATP balance,
 nitrogen assimilation, photorespiration, quantum yield, re-assimilation, stoichiometry, triose
 phosphate utilisation.

4

5 Introduction

The year of writing this paper marks the 40th anniversary of the widely used biochemical 6 model of Farquhar, von Caemmerer & Berry (1980) on C₃ photosynthesis, known as the 7 "FvCB model" (see Table 1 for all acronyms). The model is a mathematical representation of 8 the biochemical processes in the chloroplast related to photosynthetic CO₂ uptake of plants. 9 The application of this model has gone far beyond the developers' expectations even 20 years 10 ago (see the reflections by Farquhar et al. 2001) and continues to rapidly rise today. It has 11 become one of the most widely used models in plant science and beyond. For understanding 12 leaf physiology, the model has been used to analyse gas exchange (sometimes combined with 13 chlorophyll fluorescence) data (e.g. von Caemmerer & Farquhar 1981; Long & Bernacchi 14 2003; Sharkey et al. 2007; Yin et al. 2009), to understand photosynthetic control of electron 15 transport (e.g. Foyer et al. 2012), and to quantify photosynthetic limitations (e.g. Busch & 16 Sage 2017; Deans et al. 2019). When coupled to models of stomatal control it contributes to 17 understanding how water is traded for CO₂ (Farquhar & Wong, 1984; Leuning, 1990) and 18 how photosynthetic gas-exchange and water-relation traits are coordinated (Deans et al. 19 2020). The FvCB model forms the basis of our understanding of photosynthetic isotope 20 discrimination (Farquhar et al. 1982; Farquhar 1983; Ubierna et al. 2019; Busch et al. 2020). 21 It has also been used to scale photosynthetic processes from the chloroplast and leaf level to 22 higher levels (Yin & Struik 2008; Bagley et al. 2015; Wu et al. 2019), for assessing the 23 impact of genetic engineering for identified photosynthetic targets on canopy productivity 24 (e.g. Zhu et al. 2004) and crop yield (Yin & Struik 2017a). The model is even used to inform 25

climate models (Pitman 2003) and describe plant carbon uptake on the global level as a
component of Earth System Models (Sellers et al. 1996; Rogers et al. 2014). Here we take a
historical view of the original FvCB model and subsequently go into details of how this
model has been extended since then.

The FvCB model represents a simplified view of the then available knowledge of major 5 mechanisms, especially on the finding that O₂ is an alternative substrate of Rubisco, leading 6 to photorespiration. The model describes the net rate of CO₂-assimilation (A; see Table 2 for 7 definitions of all model symbols) as the difference between carboxylation rate (V_c) and loss 8 through photorespiration (a consequence of the oxygenation rate; V_0) and respiratory activities 9 other than photorespiration, called "day respiration" (R_d). Assuming the photorespiratory 10 pathway is a closed cycle, 0.5 mol CO₂ is released when Rubisco catalyses the reaction with 11 one mol O_2 (see discussion later) such that A is expressed as: 12

$$A = V_{\rm c} - 0.5V_{\rm o} - R_{\rm d} = (1 - 0.5\phi)V_{\rm c} - R_{\rm d}$$
(1)

where ϕ is the oxygenation to carboxylation ratio. R_d has also been called "mitochondrial respiration in the light", but the term "day respiration" is preferred. This is to remain nonspecific about where the respired CO₂ comes from, as CO₂ released is not necessarily mitochondrial in origin (Tcherkez et al. 2017). The model ignores any possible consumption of chloroplastic NADPH or ATP if R_d does not originate in mitochondria.

The photosynthetic carbon-reduction cycle, the Calvin-Benson cycle, starts with the carboxylation of the CO₂ acceptor ribulose 1,5-bisphosphate (RuBP), a five-carbon molecule. The reaction is catalysed by Rubisco, yielding two mol of the three-carbon molecule 3phosphoglycerate (3-PGA) for every mol RuBP carboxylated. When CO₂ supply is limiting (or when RuBP is saturating), V_c is limited by RuBP-saturated Rubisco kinetics and can be described as W_c by the Michaelis-Menten equation appropriate for the case where two substrates (CO₂ and O₂) compete for active sites of RuBP-bound Rubisco:

$$W_{\rm c} = W_{\rm c} = \frac{C_{\rm c} V_{\rm cmax}}{C_{\rm c} + K_{\rm mC} (1 + O_{\rm c} / K_{\rm mO})}$$
 (2a)

2 Likewise, V_{0} can be expressed as:

3

$$V_{\rm o} = \frac{O_{\rm c}V_{\rm omax}}{O_{\rm c} + K_{\rm mO}(1 + C_{\rm c}/K_{\rm mC})}$$
(2b)

4 where C_c and O_c are the level of CO₂ and O₂ at the active sites of Rubisco, respectively; V_{cmax}

5 and V_{omax} are the maximum rate of carboxylation and oxygenation of Rubisco, respectively;

6 and $K_{\rm mC}$ and $K_{\rm mO}$ are the Michaelis-Menten constants of Rubisco for CO₂ and O₂,

7 respectively. One can derive the expression for the V_c : V_o ratio from combining eqn 2a and

8 eqn 2b as: $[V_{cmax}K_{mO}/(V_{omax}K_{mC})]C_c/O_c$, where the whole term within the [] has been defined

9 as the relative CO₂/O₂ specificity of Rubisco, $S_{c/o}$ (Laing et al. 1974). If we use Γ_* to denote

10 the CO_2 level at which the rate of CO_2 uptake by carboxylation is balanced by the rate of

11 photorespiratory CO₂ release (i.e., $V_c = 0.5V_o$), also called the CO₂-compensation point in the

absence of day respiration, Γ_* can be expressed as $0.5O_c/S_{c/o}$ (Farquhar et al. 1980).

13 Furthermore, the V_0 : V_c ratio, or ϕ can be expressed thereof as $2\Gamma / C_c$ (Farquhar et al. 1980).

14 Therefore, eqn 1 can be written as: $A = (1 - \Gamma_* / C_c) V_c - R_d$.

Photosynthesis can also depend on the rate at which RuBP is regenerated. This usually occurs at high CO₂ concentration and/or low light. The model assumes RuBP regenerationlimited photosynthesis is controlled by electron transport (Farquhar et al. 1980).

Photosynthetic linear electron transport (LET) produces both NADPH and ATP; so, RuBP regeneration-limited or electron transport-limited carboxylation rate, W_j , can be formulated in

- 20 terms of either NADPH supply or ATP supply from LET:
- 21 NADPH supply: $W_j = \frac{(1/2)J}{2+2\phi} = \frac{C_c J}{4C_c + 8\Gamma_*}$ (3a)

22

$$W_{\rm j} = \frac{(2/3)J}{3+3.5\phi} = \frac{C_{\rm c}J}{4.5C_{\rm c}+10.5\Gamma_{*}}$$
(3b)

23 where J is the rate of potential LET.

ATP supply:

Eqn 3a is based on the stoichiometry of NADPH or electron requirement by the Calvin-1 Benson cycle and the photorespiratory cycle. First, carboxylation of one mol RuBP results in 2 two mol 3-PGA, reduction of each 3-PGA to triose phosphate (TP) requires one mol NADPH 3 (Fig. 1a), and production of one mol NADPH requires two mol electrons; so, four electrons 4 are required per carboxylation. The whole term in the numerator, (1/2)J, represents the rate of 5 NADPH production from LET. Secondly, although oxygenation of one mol RuBP initially 6 results in only one mol 3-PGA, it also results in one mol of the two-carbon molecule, 2-7 phosphoglycolate, which is dephosphorylated to glycolate in the chloroplast (Fig. 1a,b). The 8 glycolate is transported from the chloroplast into the peroxisome, where it is converted to 9 glyoxylate and further to glycine (two carbons). The glycine is exported to the mitochondrion, 10 11 where 0.5 mol glycine and tetrahydrofolate (THF) are converted by glycine decarboxylase (GDC) to 5,10-methylene-tetrahydrofolate (CH₂-THF), releasing 0.5 mol ammonia and 0.5 12 mol CO₂ in the process. CH₂-THF reacts with the remaining 0.5 mol glycine to form 0.5 mol 13 serine (three carbons). Serine moves to the peroxisome and is transformed to glycerate. The 14 glycerate flows to the chloroplast and is converted to 0.5 mol 3-PGA. Its reduction before 15 incorporation into the Calvin-Benson cycle consumes 0.5 mol NADPH. The 0.5 mol 16 ammonia released by GDC is re-assimilated into glutamate requiring one mol reduced 17 ferredoxin (equivalent to 0.5 mol NADPH). In sum, the photorespiratory cycle involving 18 three organelles (chloroplast, peroxisome, and mitochondrion, Fig. 1b) requires four electrons 19 per oxygenation. 20

In eqn 3b, the coefficient 2/3 stems from understandings of that time (in 1980) about the stoichiometry that each mol electron in LET translocates two mol protons (H⁺) across the thylakoid membrane into the lumen, and synthesis of one mol ATP requires three mol H⁺; so, the whole term in the numerator, (2/3)*J*, represents the rate of ATP production from LET. The coefficient 3 in the denominator refers to the requirement of three mol ATP per mol RuBP

1	carboxylated by the Calvin-Benson cycle, consisting of two mol ATP for the phosphorylation
2	of two mol 3-PGA to two mol 1,3-bisphosphoglycerate (before the reduction step consuming
3	NADPH) and one mol ATP for the subsequent phosphorylation of one mol ribulose 5-
4	phosphate to one mol RuBP (Fig. 1a). The coefficient 3.5 refers to the ATP requirement per
5	oxygenation by the photorespiratory cycle. This consists of: (1) one mol ATP for the
6	phosphorylation of one mol 3-PGA to one mol 1,3-bisphosphoglycerate before its reduction,
7	(2) one mol ATP for the phosphorylation of ribulose 5-phosphate to RuBP, (3) 0.5 mol ATP
8	for the phosphorylation of glycerate to 3-PGA plus 0.5 mol ATP for the subsequent
9	phosphorylation of this 0.5 mol 3-PGA, and (4) 0.5 mol ATP for the re-assimilation of 0.5
10	mol ammonia (Fig. 1a).
11	There are several equation forms describing J in eqn 3a and eqn 3b as a function of
12	absorbed irradiance (I_{abs}) , but a non-rectangular hyperbolic function as the smaller root to the
13	quadratic equation of Farquhar & Wong (1984) is mostly used now:
14	$J = \frac{0.5(1-f)I_{abs} + J_{max} - \sqrt{[0.5(1-f)I_{abs} + J_{max}]^2 - 4\theta[0.5(1-f)]I_{abs}J_{max}}}{2\theta} $ (4)
15	where J_{max} is the maximum rate of LET under the saturating irradiance, f is the fraction of I_{abs}
16	unavailable for Calvin-Benson and photorespiratory cycles, 0.5 refers to the partitioning
17	factor of the light energy between the two photosystems, and θ is the curvature factor.
18	The carboxylation rate can be limited either by RuBP-saturated rate W_c or by RuBP-
19	regeneration determined rate W_j ; so, eqn 1 becomes:
19 20	regeneration determined rate W_j ; so, eqn 1 becomes: $A = (1 - 0.5\phi)\min(W_c, W_j) - R_d = \left(1 - \frac{\Gamma_*}{c_c}\right)\min(W_c, W_j) - R_d $ (5)

FvCB model. We shall call it the canonical FvCB model.

Since its first publication, the model has been developed further several times for C₃
photosynthesis (Sharkey 1985a,b; Harley & Sharkey 1991; Yin et al. 2004; Busch et al. 2018;
Busch 2020) and extended for C₄ photosynthesis (von Caemmerer & Furbank 1999). Also,

this model has been integrated with models for mesophyll CO₂-diffusion for various
applications. In this paper, we outline the major extensions and review how these extensions
and integration have broadened the use of the model in exploring the underlying physiology
of photosynthesis.

5

6 Extension 1: Introducing the third limitation set by triose phosphate utilisation

7

8 Accommodating photosynthetic insensitivity to CO_2 and O_2

The canonical FvCB model predicts that A will always increase with increasing CO₂ level, 9 despite a lower increase in the W_i -limited range than in the W_c -limited range. However, many 10 11 (e.g. Sharkey 1985a) showed that A can be insensitive to changes in the CO₂ partial pressure within the high CO₂ range, in particular in combination with high irradiance, or low O₂ partial 12 pressure, or at low temperature. Sharkey (1985b) hypothesised that this insensitivity was due 13 to the limitation set by the rate at which TP are utilised in the synthesis of sucrose or starch. 14 As the use of TP is stoichiometrically exchanged with the release of phosphate (P_i) during 15 sucrose or starch synthesis, a limitation in TP utilisation (TPU) could result in a limitation to 16 photophosphorylation, and, thus, to RuBP regeneration. So, in addition to what has been 17 assumed about the control of RuBP regeneration by electron transport in the canonical FvCB 18 model, RuBP regeneration can be limited further by other components as in the Calvin-19 Benson cycle and beyond. If TPU limits, the equation for A, equivalent to eqn 5, in the FvCB 20 21 model, should be extended as:

$$A = (1 - 0.5\phi)\min(W_{\rm c}, W_{\rm j}, W_{\rm p}) - R_{\rm d} = \left(1 - \frac{r_{\rm s}}{c_{\rm c}}\right)\min(W_{\rm c}, W_{\rm j}, W_{\rm p}) - R_{\rm d}$$
(6a)

23 where W_p is the rate of carboxylation set by TPU limitation.

The carboxylation of one mol RuBP results in two mol TP but the Calvin-Benson cycle stoichiometry suggests that only one-sixth of the TP is used for sucrose or starch synthesis whereas the remaining five-sixths of the TP are drawn back into the cycle to contribute to the regeneration of RuBP (Taiz & Zeiger 2002). Thus, the P_i consumption by sucrose or starch synthesis is $2V_c/6 = V_c/3$. Considering the carbon loss in the photorespiratory cycle, the net P_i consumption would be $(1-0.5\phi)V_c/3$, and this must be equal to the release of P_i via TPU if P_i is limiting. Let T_p be the rate of TPU, then one can write:

$$V_{\rm c} = W_{\rm p} = \frac{T_{\rm p}}{(1 - 0.5\phi)/3} = \frac{C_{\rm c}(3T_{\rm p})}{C_{\rm c} - \Gamma_*}$$
(6b)

7 Substituting eqn 6b into eqn 6a gives the net CO_2 -assimilation rate limited by TPU, A_p , as:

6

$$A_{\rm p} = 3T_{\rm p} - R_{\rm d} \tag{6c}$$

9 This is the simple equation given first by Sharkey (1985b), which suggests that if TPU is 10 limiting, A is no longer sensitive to changes in CO₂ or O₂ partial pressure, or in irradiance. It 11 sets an upper limit to net assimilation rate.

12

13 Accommodating the reversed sensitivity to CO_2 and O_2

14 It has been frequently observed that A even declines with increasing CO₂ partial pressure

15 within the high CO₂ range, particularly under low O₂ conditions (e.g. von Caemmerer &

16 Farquhar 1981). Similarly, increasing O₂ has been observed to stimulate CO₂ assimilation

under high CO₂ conditions (Harley & Sharkey (1991). These reversed sensitivities to CO₂ and

18 O_2 cannot be explained by the simple model, eqn 6c.

Sharkey & Vassey (1989) proposed that the reverse sensitivity was caused by inhibition of starch synthesis capacity, and in turn caused reduced stromal phosphoglucoisomerase activity resulting from metabolites interfering with its activity. An alternative explanation was proposed by Harley & Sharkey (1991) that a fraction of the glycolate carbon, which leaves the chloroplast and is recycled to glycerate in the photorespiratory cycle, does not return to the chloroplast, but after converting to glycine, is diverted from the photorespiratory cycle and used elsewhere for amino acid synthesis. Thus, the P_i normally used in converting glycerate to 1 3-PGA is made available for phosphorylation instead, thereby, stimulating RuBP

2 regeneration. Based on this hypothesis, Harley & Sharkey (1991) used three values for the

- 3 fraction (0.0, 0.5, and 1.0) to fit data and showed how the curvature of photosynthetic CO₂-
- 4 response curves (A- C_i curves) had varying extents of the reversed CO₂ and O₂ sensitivity.
- 5 Based on the analysis by Harley & Sharkey (1991), von Caemmerer (2000) formalised
- 6 the model by using α as the fraction of the glycolate carbon that is not returned to the
- 7 chloroplast. As one oxygenation produces 0.5 glycerate, which consumes one P_i , the rate of P_i
- 8 consumption, which usually is $(1-0.5\phi)V_c/3$, should be decreased by $\alpha V_o/2$, or $\alpha \phi V_c/2$. Thus,
- 9 the net P_i consumption in this case would be $[(1-0.5\phi)/3-\alpha\phi/2]V_c$. In analogy to eqn 6b, W_p
- 10 as the rate of carboxylation set by TPU limitation becomes:

11
$$W_{\rm p} = \frac{T_{\rm p}}{(1 - 0.5\phi)/3 - \alpha\phi/2} = \frac{3T_{\rm p}}{1 - 0.5\phi(1 + 3\alpha)} = \frac{C_{\rm c}(3T_{\rm p})}{C_{\rm c} - (1 + 3\alpha)\Gamma_*}$$
(7a)

12 The model for the net CO_2 -assimilation rate, A_p , becomes:

13
$$A_{\rm p} = \frac{(C_{\rm c} - \Gamma_{*})(3T_{\rm p})}{C_{\rm c} - (1 + 3\alpha)\Gamma_{*}} - R_{\rm d}$$
(7b)

where $0 \le \alpha \le 1$. If $\alpha = 0$, eqn 7b becomes eqn 6c, representing the case that glycolate carbon 14 maximally returns to the chloroplast (i.e. ³/₄ of the glycolate carbon is recycled as glycerate; 15 the other ¹/₄ is lost as CO₂ as the result of glycine decarboxylation). Harley & Sharkey (1991) 16 showed that for the same value of α , TPU starts to limit A at a lowering CO₂ level with 17 increasing irradiance, with decreasing O₂ level, and with decreasing temperature. The reverse 18 sensitivity that can occur based on eqn 7b is frequently observed but occasionally the reverse 19 20 sensitivity is greater than what can be accounted for by eqn 7b. It is likely that both the incomplete photorespiratory cycle explanation and the starch inhibition explanation (Sharkey 21 & Vassey 1989) can be valid, although in our experience the incomplete photorespiratory 22 23 cycle phenomenon is more common.

1 Implications of TPU limitation in modelling leaf photosynthesis

2 Ellsworth et al. (2015) showed that TPU limitations to photosynthetic capacity are common in woody species grown in the field. However, TPU might not be the most important limitation 3 under current climatic growth conditions, as evidenced by Kumarathunge et al. (2019), who 4 reported that only ca 30% of A- C_i curves showed an obvious TPU limitation in a global data 5 representing 141 species. Irrespective of its uncertain importance under field conditions, the 6 inclusion of TPU limitation in models is important for elucidating the basic principles of 7 photosynthetic mechanisms. In cases where TPU is actually limiting, the canonical, two-8 limitation FvCB model would underestimate J_{max} (when fitting to A-C_i curves) and V_{cmax} or 9 J_{max} (when fitting to light response curves) because the maximum photosynthetic rate would 10 11 be wrongly attributed to being limited by electron transport or by Rubisco activity.

12 It is important to note that TPU limitation is a form of very short-term sink : source disequilibrium (McClain & Sharkey (2019). It concerns the ability to remove TP quickly from 13 the Calvin-Benson cycle. The half-life time of the cycle intermediates can be shorter than 1 s, 14 while some larger pools still have a half-life time of < 1 min. This means that TPU limitation 15 can build up and disappear quickly. As discussed by Sharkey (2019), when plants are put into 16 TPU limited conditions for hours or days, the TPU limitation is observable at first; but then 17 other components like electron transport are regulated to a level that TPU is no longer 18 "apparently" limiting (e.g. Pammenter et al. 1993). Furthermore, over a longer time, a larger 19 20 sink can remove short-term TPU limitation. Kaschuk et al. (2012) showed that nodulated soybean plants had 14-31% higher rates of photosynthesis and accumulated less starch in the 21 leaves than nitrogen-fertilized plants, supporting that rhizobial symbiosis could stimulate 22 photosynthesis due to the removal of carbon sink limitation by nodule activities. 23 Conversely, a small sink, especially when combined with a large source, can cause TPU 24

limitation. Fabre et al. (2019) reported the occurrence of TPU limitation in panicle-pruned

1	rice plants, especially those grown under 800 µmol mol ⁻¹ CO ₂ . This reduction was associated
2	with sucrose accumulation in the flag leaf resulting from the sink limitation. The
3	photosynthetic stimulation by the elevated-CO ₂ was lower in pruned plants compared with
4	control plants, and this response to CO ₂ in relation to sink size was also found when
5	comparing various rice genotypes having contrasting leaf : panicle size ratios or source : sink
6	ratios (Fabre et al. 2020). A recent review by Dingkuhn et al. (2020) even found the evidence
7	from broader ranges of genotypes that stronger elevated-CO2 responsiveness in wild relatives
8	and old cultivars of crops is related to sink strength as a result of adaptive plasticity involving
9	branching. Perhaps the most important result in recent work of Fabre et al. is that TPU, thus
10	net CO ₂ assimilation rate, declines increasingly with time after the midday in a diurnal cycle.
11	These findings suggest that not only TPU limitation in regulating photosynthesis should be
12	considered, but also a shorter time-step would be needed to account for diurnal variations in
13	sink feedback limitation to photosynthesis, in dynamic crop models for projecting the CO ₂ -
14	fertilisation effect on crop production.
15	
16	Extension 2: Introducing alternative electron transport pathways
17	
18	Accommodating a balanced ATP:NADPH ratio
19	In the canonical FvCB model, there are two different equations, eqn 3a and eqn 3b, for the
20	same electron transport-limited carboxylation rate, W_j . By comparison of the two equations,
21	one can immediately recognise that the value of W_j determined by the ATP supply is more
22	limiting than that determined by the NADPH supply. The two equations were used largely in

- a random manner in the literature before 2000. To eliminate the "random" application of the
- FvCB model, Yin et al. (2004) developed a generalised model that covers, among others, the
- two forms of the FvCB model for the electron transport-limited rate.

It is apparent that, according to the stoichiometric coefficients accepted in 1980, the LET 1 produces an ATP:NADPH ratio of 1.333 [resulting from (2/3):(1/2), see eqn 3a vs 3b], well 2 below 1.5 as required by the Calvin-Benson cycle, with ATP in deficit relative to NADPH. 3 Chloroplasts engage several mechanisms that could remove the disparity in terms of 4 requirement for the correct ATP:NADPH ratio (Farquhar & von Caemmerer 1982; Allen 5 2003; Baker et al. 2007). First, instead of going to the end electron acceptor NADP⁺, a 6 fraction of electrons passing PSI may follow a cyclic electron pathway (f_{cyc}) (Fig. 2). The 7 cyclic electron transport (CET) does not produce NADPH, but passes through the "coupling" 8 sites of ATP synthase (Allen 2003), thereby being able to increase the ATP:NADPH ratio. 9 Second, part of the noncyclic electrons may be used to support processes like the Mehler 10 11 ascorbate peroxidase reaction or nitrate reduction, where O₂ directly or nitrate indirectly act as the electron acceptors, respectively (Fig. 2). Every one mol O₂ uptake in the Mehler ascorbate 12 peroxidase reaction is accompanied by one mol O₂ production from the splitting of water at 13 PSII; so this reaction consumes four electrons per O₂ but requires no ATP (Asada 1999). The 14 first step of the reduction of nitrate into nitrite takes place in the cytosol but may use reducing 15 power generated in the chloroplast (e.g. via the malate shuttle) and the subsequent steps in 16 converting nitrite to ammonia and to glutamate take place in the chloroplast stroma, using the 17 reduced ferredoxin (Noctor & Foyer 1998). One mol nitrate reduction requires 10 mol 18 electrons and only one mol ATP (Noctor & Foyer 1998). Thus, both the Mehler ascorbate 19 peroxidase reaction and nitrate reduction can help to adjust the ATP:NADPH ratio as required 20 by the Calvin-Benson and the photorespiratory cycles. There are some other minor processes 21 like sulphur assimilation and fatty acid biosynthesis that might use chloroplastic electrons but 22 these are quantitatively less significant. For the convenience of modelling, the noncyclic 23 electron transport in support of the Mehler ascorbate peroxidase reaction, nitrate reduction 24 and any other minor processes is collectively named as the pseudocyclic category, and this 25

1 fraction is denoted as f_{pseudo} (Yin et al. 2004). Therefore, the fraction for LET (i.e. the fraction 2 of the total electron flux passing PSI that is to support the Calvin-Benson and the 3 photorespiratory cycles) is $(1-f_{cyc} - f_{pseudo})$ (Fig. 2). Yin et al. (2004) derive a relationship for 4 fractions of various electron transport pathways that must be met in order to ensure that the 5 produced ATP:NADPH ratio is compatible with the required ratio by the Calvin-Benson and 6 the photorespiratory cycles:

$$-f_{\rm cyc} - f_{\rm pseudo} = \frac{(4C_{\rm c} + 8\Gamma_{*})(2 + f_{\rm Q} - f_{\rm cyc})}{h(3C_{\rm c} + 7\Gamma_{*})}$$
(8)

8 where *h* is the number of H⁺ required per ATP synthesis and f_Q is the fraction of electrons at 9 the plastoquinone that follows the Q cycle (Fig. 2).

In the presence of CET, the PSI electron flux (J_1) is higher than the PSII electron flux $(J_2): J_1 = J_2/(1-f_{cyc})$ (Yin et al. 2004). The LET in support of the Calvin-Benson and the photorespiratory cycle is $(1-f_{cyc} - f_{pseudo})J_1$ (Fig. 2). Combining these equations with eqn 3a of the FvCB model gives:

14 NADPH supply:
$$W_j = \left(1 - f_{cyc} - f_{pseudo}\right) \frac{C_c J_1}{4C_c + 8\Gamma_*} = \left(1 - \frac{f_{pseudo}}{1 - f_{cyc}}\right) \frac{C_c J_2}{4C_c + 8\Gamma_*}$$
 (9a)

15 Substituting eqn 8 to eqn 9a gives:

1

16 ATP supply:
$$W_{j} = \frac{(2+f_Q - f_{cyc})C_c J_2}{h(1 - f_{cyc})(3C_c + 7\Gamma_*)}$$
 (9b)

The two forms of electron transport-limited part of the canonical FvCB model are special cases of this extended model. If $f_{pseudo} = 0$, eqn 9a becomes eqn 3a; in such a case, the whole PSII electron flux equals the LET ($J_2 = J$). If $f_Q = 0$, h = 3, and $f_{cyc} = 0$, eqn 9b becomes eqn 3b. So, the canonical FvCB model implies no operation of the Q cycle and a requirement of three H⁺ per ATP synthesis (the H⁺:ATP ratio h = 3).

However, the contemporary belief is that the Q cycle may operate obligatorily ($f_Q = 1$; e.g. Sacksteder et al. 2000), and this cycle will effectively double the stoichiometry of the H⁺ translocation through the cytochrome $b_6 f$ complex from one H⁺ to two H⁺ per electron passed 1 therein (Fig. 2). So, plus one H⁺ pumped from splitting the water molecule through the PSII complex, a total of three H⁺ (instead of two) produced per electron are transferred along the 2 whole-chain if the Q cycle operates (von Caemmerer 2000; also see Fig. 2). Also, the H⁺:ATP 3 ratio is probably either 4 based on thermodynamic experiments (Steigmiller et al. 2008; 4 Peterson et al. 2012) or 4.67 (=14/3) from the structural data for the c14 rotor ring of the H⁺ 5 translocating chloroplast ATP synthase (Seelert et al. 2000; Hahn et al. 2018), instead of 3 6 used in the FvCB model. If $f_Q = 1$, h = 4, and $f_{cyc} = 0$, then the produced ATP:NADPH ratio 7 from the noncyclic electron pathway is 1.5, exactly matching the ratio required by the Calvin-8 Benson cycle and eqn 9b becomes eqn 2.22 of von Caemmerer (2000) for this scenario, i.e., 9

$$W_{\rm j} = \frac{C_{\rm c}J}{4C_{\rm c} + 9.33\Gamma_*} \tag{9c}$$

11 If $f_Q = 1$, h = 4.67, and $f_{cyc} = 0$, the ATP:NADPH ratio from the noncyclic electron pathway is 12 1.286 (even lower than 1.333 assumed in the canonical FvCB model), and the value has often 13 been cited in the recent literature to stress the surplus of the reducing power which might be 14 exported to cytosol (e.g. Lim et al. 2020). For such a case, eqn 9b becomes:

15
$$W_{j} = \frac{C_{cJ}}{4.67C_{c} + 10.89\Gamma_{*}}$$
(9d)

Clearly, the model of Extension 2 represents the generalised algorithm for various scenarios
with regard to the H⁺:electron and the H⁺:ATP ratios. Eqn 9b actually contains the ATP
production factor (*z*) per electron transferred through PSII when CET occurs simultaneously
(also see eqn B8b in their Appendix B of Yin et al. 2004):

$$z = \frac{2+f_Q - f_{cyc}}{h(1 - f_{cyc})}$$
(9e)

This ATP:electron ratio factor *z* is 2/3 in eqn 3b of the canonical FvCB model, 3/4 in the case of eqn 9c, and 9/14 in the case of eqn 9d. The *z* factor also predicts that for a given set of f_Q and *h*, the ATP:electron ratio increases expectedly with increasing f_{cyc} . Given that the Q cycle may not necessarily switch absolutely on ($f_Q = 1$) and off ($f_Q = 0$) but run partially (Cornic et al. 2000), the model allows such scenarios with $0 \le f_Q \le 1$. As noted by Yin et al. (2004), the model assumes that the Q cycle, either obligatorily or partially operated, is impartial to cyclic and noncyclic electrons (Allen 2003).

4

16

Quantum efficiency of electron transport when cyclic and noncyclic pathways co-occur 5 6 When CET and noncyclic (including linear and pseudocyclic) electron transport run simultaneously, a higher electron flux is expected in PSI than in PSII. This means that the 7 fraction of light energy partitioned to PSI and PSII may not be 0.5 each as set by eqn 4 in the 8 canonical FvCB model, but higher than 0.5 for PSI. On the other hand, the partitioning factor 9 must also depend on the photochemical efficiency of the two photosystems, with partitioning 10 in favour of the less efficient PSII in the absence of CET, given that the photochemical 11 efficiency of PSII (Φ_2) is lower than that of PSI (Φ_1) (e.g. Hogewoning et al. 2012). Yin et al. 12 (2004) developed an analytical equation for describing the parameter $\alpha_{2(LL)}$, the quantum 13 14 efficiency of PSII electron transport (under limiting light, LL) on the basis of absorbed photons by both photosystems: 15

$$\alpha_{2(LL)} = \frac{\phi_{2(LL)}(1 - f_{cyc})}{\phi_{2(LL)}/\phi_{1(LL)} + (1 - f_{cyc})}$$
(10a)

17 The fraction of absorbed light partitioned to PSII, ρ_2 , can be formulated as:

18
$$\rho_2 = \frac{\alpha_{2(LL)}}{\phi_{2(LL)}} = \frac{1 - f_{cyc}}{\phi_{2(LL)} / \phi_{1(LL)} + (1 - f_{cyc})}$$
(10b)

Eqn 10a and eqn 10b both suit for limiting light conditions, as well as for nonlimiting light conditions (if the subscript $_{(LL)}$ is removed) as long as *A* is limited by electron transport. The model for describing J_2 as a function of the full range of absorbed irradiance (I_{abs}) can be formulated in analogy to eqn 4 as:

23
$$J_2 = \frac{\alpha_{2LL}I_{abs} + J_{2max} - \sqrt{(\alpha_{2LL}I_{abs} + J_{2max})^2 - 4\theta \alpha_{2LL}I_{abs}J_{2max}}}{2\theta}$$
(11)

- 1 where $J_{2\text{max}}$ is the maximum value of the potential J_2 under saturating irradiance, to
- 2 differentiate it from J_{max} in eqn 4 that stands for the maximum rate of the potential LET.
- 3

12

4 *Quantum yield of CO*² *uptake and of O*² *evolution*

5 It is convenient to derive the expression for quantum yield of CO₂ uptake ($\Phi_{CO2(LL)}$), from eqn 5, eqn 9a, eqn 10a and eqn 11 in terms of NADPH supply:

7
$$\Phi_{\text{CO2(LL)}} = \frac{\rho_2 \Phi_{2(\text{LL})} \left(1 - \frac{f_{\text{pseudo}}}{1 - f_{\text{cyc}}} \right) (C_{\text{c}} - \Gamma_*)}{(4C_{\text{c}} + 8\Gamma_*)} = \frac{\Phi_{2(\text{LL})} (1 - f_{\text{cyc}} - f_{\text{pseudo}}) (C_{\text{c}} - \Gamma_*)}{[\Phi_{2(\text{LL})} / \Phi_{1(\text{LL})} + (1 - f_{\text{cyc}})] (4C_{\text{c}} + 8\Gamma_*)}$$
(12a)

8 Likewise, $\Phi_{CO2(LL)}$ can also be expressed in terms of ATP supply:

9
$$\Phi_{\text{CO2(LL)}} = \frac{\rho_2 \Phi_{2(\text{LL})}(2 + f_Q - f_{\text{cyc}})(\mathcal{C}_{\text{c}} - \Gamma_*)}{h(1 - f_{\text{cyc}})(3\mathcal{C}_{\text{c}} + 7\Gamma_*)} = \frac{\Phi_{2(\text{LL})}(2 + f_Q - f_{\text{cyc}})(\mathcal{C}_{\text{c}} - \Gamma_*)}{[\Phi_{2(\text{LL})}/\Phi_{1(\text{LL})} + (1 - f_{\text{cyc}})]h(3\mathcal{C}_{\text{c}} + 7\Gamma_*)}$$
(12b)

10 Equivalent equations based on the canonical FvCB model are:

11
$$\Phi_{\rm CO2(LL)} = \frac{0.5(1-f)(C_c - \Gamma_*)}{4C + 8\Gamma_*}$$
(12c)

$$\Phi_{\rm CO2(LL)} = \frac{0.5(1-f)(C_c - \Gamma_*)}{4.5C_c + 10.5\Gamma_*}$$
(12d)

The FvCB model assumes that $\rho_2 = 0.5$. Comparison of eqn 12a and eqn 12c immediately 13 identifies that the f factor in the FvCB model, representing the fraction of I_{abs} unavailable for 14 Calvin-Benson and photorespiratory cycles, can be expressed as: $f = 1 - \Phi_{2LL} [1 - f_{pseudo}/(1 - f_{pseu$ 15 f_{cyc}]. In other words, the factor f actually lumps multiple components, including the non-16 photochemical loss of PSII (Φ_{2LL} known not to be higher than 0.85, Björkman & Demmig 17 1987), cyclic electron transport (f_{cyc}), and pseudocyclic electron components (f_{pseudo}) that 18 support alternative metabolic processes. Much literature after Farquhar et al. (1980) often 19 only refers to f to correct for spectral quality of the light (e.g. von Caemmerer 2000). While 20 this definition of f reflects the often-reported wavelength dependent photosystems' 21 photochemical efficiencies and absorption by carotenoids and nonphotosynthetic pigments 22

(e.g. Evans 1987; Hogewoning et al. 2012), it is more difficult to reconcile well with the
 insights from the extended model.

3 Photosynthetic quantum yield can also be expressed in terms of O₂ evolution ($\Phi_{O2(LL)}$). The electron requirement in support of both Calvin-Benson and photorespiratory cycles leads 4 to O₂ evolution at PSII from the splitting of H₂O; so, the total O₂ evolved can be expressed as 5 $(1+2\Gamma_*/C_c)V_c$. The O₂ uptake by photorespiration consists of (i) one mol O₂ consumed per mol 6 RuBP oxygenation, and (ii) a further one mol O₂ consumed in the conversion of one mol 7 glycolate to one mol glyoxylate by glycolate oxidase in the peroxisome, producing one mol 8 hydrogen peroxide (H₂O₂) which is immediately destroyed by the action of catalase into one 9 mol H₂O and 0.5 mol O₂ (Fig. 1b). So the total O₂ uptake associated with the photorespiratory 10 pathway is 1.5 mol O₂ per mol RuBP oxygenated, which can be expressed as $1.5V_0 =$ 11 $(3\Gamma_*/C_c)V_c$. Taking these together, the Rubisco-linked net O₂ evolution is $(1-\Gamma_*/C_c)V_c$, which 12

13 is the same as for CO₂ uptake (von Caemmerer 2000).

The Mehler ascorbate peroxidase reaction consumes noncyclic electrons, but its 14 stoichiometry is that for every mol O₂ directly reduced in this reaction, 0.5 mol O₂ is released 15 by superoxide dismutase and 0.5 mol O₂ is evolved through the splitting of H₂O at PSII such 16 that the reaction results in no net O₂ exchange (Asada 1999). In contrast, processes like nitrate 17 reduction, also consuming noncyclic electrons, do result in O₂ evolution. Thus, if 18 photosynthetic quantum yield is expressed in terms of O_2 evolution ($\Phi_{O2(LL)}$), we can break 19 down f_{pseudo} in eqn 12a into two components: one for the Mehler ascorbate peroxidase reaction 20 and one for other basal components, and the latter is no longer needed in the equation for 21 $\Phi_{O2(LL)}$. As the Mehler ascorbate peroxidase reaction acts as a photoprotection mechanism 22 when absorbed light energy exceeds the enzymatic capacity of downstream metabolism (Ort 23 & Baker 2002), this reaction may be negligible under strictly limiting light conditions. Thus, 24 quantum yield of O₂ evolution for the limiting light conditions becomes: 25

$$\Phi_{02LL} = \frac{\Phi_{2LL}(1 - f_{cyc})(C_c - \Gamma_*)}{[\Phi_{2LL}/\Phi_{1LL} + (1 - f_{cyc})](4C_c + 8\Gamma_*)}$$
(12e)

1

2

12

3 Using the quantum yield model to infer hard-to-measure parameters

The unique feature of eqn 12e based on the extended model for W_1 is that f_{cyc} is in the model 4 for describing NADPH-dependent quantum yield, in contrast to the conventional belief that 5 CET can generate additional ATP and must appear only in equations for the ATP-dependent 6 quantum yield. Parameter f_{cyc} does appear in eqn 12b for the ATP-dependent quantum yield, 7 but eqn 12b includes uncertain parameters f_Q and h in addition to f_{cyc} . Relying on this unique 8 9 feature and the generally conserved PSII:PSI efficiency ratio, Yin et al. (2006) showed that a hard-to-measure parameter f_{cyc} can be calculated from eqn 12e, based on measurable 10 parameters Φ_{O2LL} and Φ_{2LL} under nonphotorespiratory conditions: 11

$$f_{\rm cyc} = \frac{\phi_{2\rm LL} - 4\phi_{0\rm 2LL}(1 + \phi_{2\rm LL}/\phi_{1\rm LL})}{\phi_{2\rm LL} - 4\phi_{0\rm 2LL}}$$
(13a)

A typical Φ_{2LL} based on chlorophyll fluorescence measurements is 0.8 and a typical Φ_{1LL} 13 based on P700 absorption measurements is close to 1.0 or slightly lower (Genty & Harbinson 14 1996); so, the Φ_{2LL} : Φ_{1LL} ratio is *ca* 0.85. Φ_{O2LL} of C₃ photosynthesis in the absence of 15 photorespiration is ca 0.105 (Björkman & Demmig 1987). The solved f_{cyc} from eqn 13a is 16 then ca 0.06. This cannot be considered as an absolute estimate, but suggests that very little 17 18 CET is needed for C₃ photosynthesis, in line with previous reports (e.g. Avenson et al. 2005). Once f_{cyc} is known, one can calculate another hard-to-measure light-partitioning 19 parameter ρ_2 from eqn 10b. The obtained ρ_2 is *ca* 0.53, close to the assumed value 0.5 in the 20 canonical FvCB model. This indicates that the requirement for a higher partitioning to the less 21 efficient PSII is to some extent balanced by the requirement for a higher partitioning to PSI to 22 run CET. Eqn 10b suggests that ρ_2 equals exactly 0.5 only if the fraction for the noncyclic 23 electron flow, 1– f_{cyc} , is equal to the Φ_{2LL} : Φ_{1LL} ratio. 24

By dividing eqn 12a by eqn 12e that assumes no Mehler ascorbate peroxidase reaction for the limiting light condition, one can solve for basal f_{pseudo} from the Φ_{CO2LL} : Φ_{O2LL} ratio:

$$f_{\text{pseudo}} = \left(1 - \frac{\phi_{\text{CO2LL}}}{\phi_{\text{O2LL}}}\right) \left(1 - f_{\text{cyc}}\right)$$
(13b)

4 Unlike eqn 13a, eqn 13b applies to both nonphotorespiratory and photorespiratory conditions. A typical value of Φ_{CO2LL} of C₃ photosynthesis under limiting light in the absence of 5 photorespiration is *ca* 0.093 (Long et al. 1993). This gives an estimate of f_{pseudo} being *ca* 0.10. 6 The Φ_{CO2LL} : Φ_{O2LL} ratio is also known as the assimilatory quotient, and the value of its 7 complement, (1 - the ratio), indicates the extent to which electrons are used in support of the 8 processes like nitrogen assimilation (Bloom et al. 1989; Skillman 2008). 9 Once f_{cyc} and f_{pseudo} are known, likely combinations of f_Q and h can be solved from eqn 10 (8) for C₃ photosynthesis. Using the above estimates of f_{cyc} and f_{pseudo} for the 11 nonphotorespiratory conditions, the solved h is ca 3.1 if $f_Q = 0$ and is ca 4.67 if $f_Q = 1$. The 12 latter combination is very close to the contemporary belief that the operation of the Q cycle is 13 obligatory (Sacksteder et al. 2000) and the structural data that chloroplast ATP synthase 14 requires 4.67 c subunits or protons to produce one ATP (Seelert et al. 2000; Hahn et al. 2018). 15 However, like the canonical FvCB model, eqn 8 does not account for small amounts of ATP 16 required for starch synthesis and nitrogen assimilation. As ATP for these processes most 17 likely come from chloroplasts (Noctor & Foyer 1998), then the calculated h would approach 18 4. Energy requirements for nitrogen assimilation will further be discussed next. 19 20

21 Extension 3: Introducing photorespiration-associated nitrogen and C₁ metabolisms

22 Nitrogen (N) assimilation can be intrinsically linked to the photorespiratory pathway (Bloom

23 2015). While the electron and ATP requirement associated with re-cycling of the ammonia

- released by photorespiration is already accounted for (see Introduction), the energy
- requirement for reduction and assimilation of new nitrogen that enters the leaf is not

1	accounted for in the canonical FvCB model. <i>De novo</i> assimilation of nitrogen in leaves of C ₃
2	plants can arise via the photorespiratory pathway because, as discussed earlier, the
3	photorespiratory intermediate glycine can be diverted from the photorespiratory pathway and
4	used elsewhere for amino acid synthesis, which explains the reversed photosynthetic
5	sensitivity to CO ₂ and O ₂ (Harley & Sharkey 1991). In addition, serine, a product of glycine
6	decarboxylation in the photorespiratory pathway, can act as a precursor of several other amino
7	acids (Ros et al. 2014). The nitrogen molecules of both glycine and serine, if exported from
8	the photorespiratory pathway for other uses or accumulated temporarily, have to be
9	replenished by <i>de novo</i> assimilation of nitrogen; otherwise the pathway cannot be continued.
10	Busch et al. (2018) extended both W_p - and W_j -limited rates of the FvCB model, by following
11	the stoichiometry of energy requirement by both carbon and nitrogen assimilation as well as
12	the stoichiometry for the amino-group balance. More recently, Busch (2020) further extended
13	the model to account for the additional export of glycolate carbon as the photorespiratory
14	pathway is also the main supply of the activated one-carbon units to the so-called C ₁
15	metabolism. This is because, as stated in Introduction, the glycine decarboxylation step can
16	catalyse the conversion of the cofactor tetrahydrofolate (THF) to CH ₂ -THF that acts as the
17	leaf's currency for activated C1 units. Here, we collectively describe the extension involving
18	both <i>de novo</i> nitrogen assimilation and C_1 metabolisms (Fig. 3).

19

20 The general model of Extension 3 integrating nitrogen and C_1 metabolisms

Busch et al. (2018) used α_{G} and α_{S} to denote the fractions of glycolate carbon taken out from the photorespiratory pathway as glycine and serine, respectively. Likewise, Busch (2020) used α_{T} to denote the fraction of glycolate carbon taken out from the photorespiratory pathway as CH₂-THF. As shown in Fig. 3, the glycolate carbon exported in the form of the three-carbon molecule serine has to be \leq the remaining carbon after the glycine export,

glycine decarboxylation, and CH₂-THF export: $\frac{2}{3}\alpha_{\rm S} \le \frac{1}{2}(1-\alpha_{\rm G})-\alpha_{\rm T}$, where $\frac{2}{3}$ refers to the 1 glycolate : serine carbon ratio (Fig. 1b), and $\frac{1}{2}$ refers to half of glycine carbon lost during its 2 decarboxylation. This relation can be converted into $\alpha_G + 2\alpha_T + \frac{4}{3}\alpha_S \le 1$, thereby reflecting 3 that the total proportion of glycolate carbon exports cannot exceed 1. Of course, none of $\alpha_{\rm G}$, 4 $\alpha_{\rm T}$ and $\alpha_{\rm S}$ can be lower than 0. In analogy to the derivation of eqn 7a by Harley and Sharkey 5 (1991), the rate of P_i consumption, which usually is $(1-0.5\phi)V_c/3$, should be decreased by 6 $(\alpha_{\rm G}+2\alpha_{\rm T}+\frac{4}{3}\alpha_{\rm S})\phi V_{\rm c}/2$, and the net P_i consumption would be $[(1-0.5\phi)/3-(\alpha_{\rm G}+2\alpha_{\rm T}+\frac{4}{3}\alpha_{\rm S})\phi/2]V_{\rm c}$. 7 Thus, W_p as the rate of carboxylation set by TPU limitation in this case becomes: 8

9
$$W_{\rm p} = \frac{T_{\rm p}}{(1 - 0.5\phi)/3 - (\alpha_{\rm G} + 2\alpha_{\rm T} + \frac{4}{3}\alpha_{\rm S})\phi/2} = \frac{3T_{\rm p}}{1 - 0.5\phi(1 + 3\alpha_{\rm G} + 6\alpha_{\rm T} + 4\alpha_{\rm S})}$$
(14)

10 Eqn 14 becomes eqn 7a if $\alpha_s = 0$ and $\alpha_T = 0$.

20

While W_c remains unchanged as eqn 2a, the rate of carboxylation as determined by 11 electron transport, W_j , will be affected as the potential electron transport rate J now has to 12 support both carbon and nitrogen assimilation. Photorespiratory carbon entering the C1 13 metabolism, in contrast, causes a net release of electrons, as the reaction catalysed by GDC 14 releases electrons and the exit of carbon from the photorespiratory pathway saves electrons 15 downstream that would otherwise be consumed for converting serine to glycerate in the 16 17 peroxisome and for reducing this glycerate-derived 3-PGA in the chloroplast (Fig. 1b; Fig. 3). These together bring the equation for electron transport-determined carboxylation rate in 18 terms of NADPH supply to: 19

$$W_{\rm j} = \frac{J}{4 + (4 + 8\alpha_{\rm G} - 4\alpha_{\rm T} + 4\alpha_{\rm S})\phi} \tag{15a}$$

The denominator can be obtained by summing up all the electron requirements for individual
steps, deducted by electron equivalents of the NADH release as a result of glycine
decarboxylation, indicated in Fig. 3. Likewise, photorespiratory carbon export via the C₁

metabolism saves ATP that would otherwsie be used for the phosphorylation of glycerate to
3-PGA and for the subsequent phosphorylation of this 3-PGA (Fig. 1b; Fig. 3); thus, one can
formulate the equation for W_i in terms of ATP supply:

$$W_{\rm j} = \frac{J_{\rm atp}}{3 + (3.5 - 0.5\alpha_{\rm G} - \alpha_{\rm T} - \frac{2}{3}\alpha_{\rm S})\phi}$$
(15b)

where J_{atp} in the numerator is the total ATP production rate from chloroplastic electron
transport (which is not expressed in *J* like eqn 15a, given the uncertainties discussed earlier in
Extension 2). The denominator in eqn 15b can also be obtained by summing up all the ATP
requirements indicated in Fig. 3.

9 Traditionally, the proportion of glycolate carbon that does not return to chloroplasts (α) is 10 relevant only for the TPU-limited carboxylation rate W_p (see eqns 7a,b). Eqns 15a,b suggest 11 that the proportion parameters (α_G , α_T and α_S) affect not only W_p but also W_j . The export of 12 carbon as CH₂-THF always increases W_j . Glycine and serine export associated with *de novo* N 13 assimilation decreases W_j in terms of NADPH requirement whereas it increases W_j in terms of 14 ATP requirement. This suggests that photorespiration-associated N assimilation can help 15 alleviate the deficit of ATP relative to NADPH (see earlier discussions).

In the case of glycine being diverted from the photorespiratory pathway, the amount of CO₂ released per oxygenation should be decreased by α_G (Busch et al. 2018). In contrast, as shown in Fig. 3, every carbon exported as CH₂-THF from the pathway results in one carbon lost from glycine decarboxylation (Busch 2020). Therefore, it is necessary to revise eqn 1 to:

$$A = V_{\rm c} - [0.5(1 - \alpha_{\rm G}) + \alpha_{\rm T}]V_{\rm o} - R_{\rm d}$$
(16a)

21 And eqn 6a becomes:

22
$$A = \left(1 - \frac{\Gamma_{*GT}}{c_{c}}\right) \min(W_{c}, W_{j}, W_{p}) - R_{d}$$
(16b)

23 where $\Gamma_{*GT} = [0.5(1-\alpha_G)+\alpha_T]O/S_{c/o}$, or $\Gamma_{*GT} = (1-\alpha_G+2\alpha_T)\Gamma_*$. It follows that the CO₂

compensation point in the absence of day respiration is no longer constant at given

temperature and O₂ partial pressure, but decreases with increasing the fraction of glycine and
increases with increasing the fraction of CH₂-THF diverted from the photorespiratory
pathway. Therefore, equations for the net CO₂-assimilation rate corresponding to the three
limitations become:

5
$$A_{\rm c} = \frac{\{1 - [0.5(1 - \alpha_{\rm G}) + \alpha_{\rm T}]\phi\}(C_{\rm c}V_{\rm cmax})}{C_{\rm c} + K_{\rm mC}(1 + 0/K_{\rm mO})} - R_{\rm d} = \frac{[C_{\rm c} - \Gamma_*(1 - \alpha_{\rm G} + 2\alpha_{\rm T})]V_{\rm cmax}}{C_{\rm c} + K_{\rm mC}(1 + 0/K_{\rm mO})} - R_{\rm d}$$
(16c)

6
$$A_{j} = \frac{\{1 - [0.5(1 - \alpha_{G}) + \alpha_{T}]\phi\}J}{4 + (4 + 8\alpha_{G} - 4\alpha_{T} + 4\alpha_{S})\phi} - R_{d} = \frac{[C_{c} - \Gamma_{*}(1 - \alpha_{G} + 2\alpha_{T})](J/4)}{C_{c} + (1 + 2\alpha_{G} - \alpha_{T} + \alpha_{S})(2\Gamma_{*})} - R_{d}$$
(16d)

7
$$A_{\rm p} = \frac{\{1 - [0.5(1 - \alpha_{\rm G}) + \alpha_{\rm T}]\phi\}(3T_{\rm p})}{1 - 0.5\phi(1 + 3\alpha_{\rm G} + 6\alpha_{\rm T} + 4\alpha_{\rm S})} - R_{\rm d} = \frac{[C_{\rm c} - \Gamma_*(1 - \alpha_{\rm G} + 2\alpha_{\rm T})](3T_{\rm p})}{C_{\rm c} - (1 + 3\alpha_{\rm G} + 6\alpha_{\rm T} + 4\alpha_{\rm S})\Gamma_*} - R_{\rm d}$$
(16e)

Applying quantitative isotopic techniques to sunflower leaves, Abadie et al. (2016) 8 showed that the stoichiometric ratio of O₂ fixation by Rubisco to CO₂ production by GDC 9 increased from 2.0 (the theoretical value used in the canonical FvCB model) at very low-10 photorespiration gas mixtures, to 2.05 for the normal ambient condition, and to 2.09 to high-11 photorespiration gas mixtures. As the export of carbon in the form of CH₂-THF would make 12 this ratio lower than 2.0, the observed ratio being \geq 2.0 suggests that the export of carbon from 13 the photorespiratory pathway via this form may be less important than the export via glycine. 14 If the value of > 2.0 is due to glycine export alone, then $\alpha_{\rm G}$ can be estimated to be 0.0 for the 15 conditions with little photorespiration, 0.024 for the ambient condition, and a maximum value 16 17 of 0.043 for the conditions of high-photorespiration gas mixture. Using modelling to fit eqns 16c-e to A-C_i curves, Busch et al. (2018) estimated $\alpha_{\rm G}$ of the ambient condition to be 0.026 18 for plants fed with NH₄⁺-N, 0.103 for plants fed with NO₃⁻-N, and 0.077 for control plants. 19 These all indicate that $\alpha_{\rm G}$ is not zero as implicitly assumed in the canonical FvCB model. This 20 means that even under Rubisco limitation where W_c is not changed by any amino acid export, 21 A could still be increased due to a slight decrease in the CO₂-compensation point if glycine is 22 removed from the photorespiratory pathway (see eqn 16c). Under the TPU limitation where 23 carbon uptake is limited by the rate at which carbohydrates can be metabolised, A could be 24

further increased by short-circuiting carbon flux to glycine, serine, and CH₂-THF via the photorespiratory pathway. Only the NADPH-dependent electron transport-limited rate is decreased due to the electron consumption by the *de novo* nitrogen assimilation (if the potential electron transport rate *J* remains the same; but see later discussion). In addition to exploring the ratio of O₂ fixation by Rubisco to CO₂ production by GDC to estimate α_G , Busch et al. (2018) showed that α_G and α_S could be roughly estimated from

7 model fitting to A- C_i curves. There is currently no information available about the possible

8 value for the fraction of glycolate carbon diverted via the C_1 metabolism (Busch 2020).

9 Therefore, hereafter we mainly discuss the relations with regard to the amino-acid exports.

10

11 *Relationships with the previous two extensions*

It is clear, based on the model of Busch et al. (2018), that the parameter α in the model of 12 Harley & Sharkey (1991) deals with the carbon side of the amino acid export but not the 13 electron requirement for NO_3^- assimilation. In addition, the energy associated with the 14 changed RuBP regeneration and NH4⁺-recycling as a result of amino acid export was not 15 considered in Harley & Sharkey's model. Also, the decrease of CO₂-compensation point in 16 the absence of R_d as a result of the glycine exit is not explicitly included in the model 17 18 although this was discussed by Harley & Sharkey (1991). Busch et al. (2018) treated amino acid exit from the photorespiratory pathway differently, depending on whether it is glycine or 19 20 serine that is exited, whereas Harley & Sharkey (1991) only assumed the glycine exit. It is clear from eqn 16e that if it is only glycine that exits, the model under a TPU-limitation is: 21

22
$$A_{\rm p} = \frac{[C_{\rm c} - \Gamma_*(1 - \alpha_{\rm G})](3T_{\rm p})}{C_{\rm c} - (1 + 3\alpha_{\rm G})\Gamma_*} - R_{\rm d}$$
(17a)

If the CO₂-compensation point is to be maintained as in the canonical FvCB model, it would
be internally consistent to assume that it is only serine, instead of glycine, being exported.
Then, based on eqn 16e, the model for *A* under a TPU-limitation should become:

$$A_{\rm p} = \frac{(C_{\rm c} - \Gamma_{*})(3T_{\rm p})}{C_{\rm c} - (1 + 4\alpha_{\rm S})\Gamma_{*}} - R_{\rm d}$$
(17b)

2 with the bound that $0 \le \alpha_{\rm S} \le 0.75$. This model is supported by the above calculation that $\alpha_{\rm G}$ was maximally only 0.043 based on isotopic measurements of Abadie et al. (2016) as well as 3 by the modelling result of Busch et al. (2018) and measurements of Abadie et al. (2018) that 4 5 $\alpha_{\rm S}$ was often much higher than $\alpha_{\rm G}$, reflecting high demands for serine due to its important role in the one-carbon metabolism and as precursor for several other amino acids and 6 phospholipids (Ros et al. 2014). Previous parameterisation of eqn 7b from fitting to $A-C_{i}$ 7 curves with a moderate reverse sensitivity to C_i increases showed that the estimated α was as 8 high as 0.77 (Busch et al. 2018), partly being the artefact of ignoring the decrease of CO₂-9 compensation point by eqn 7b, thereby exaggerating the actual fraction of glycolate carbon 10 not returned to the chloroplast. This fraction would decrease by 25% if eqn 17b is used. In 11 fact, using the same total fraction of glycolate carbon not returned to the chloroplast, eqn 17a 12 and 17b generates nearly identical curves as the full TPU-limitation model eqn 16e without 13 the α_T terms (Fig. 4), whereas eqn 7b generates much lower values. As Fig. 4 demonstrates, 14 there is little signal to differentiate $\alpha_{\rm G}$ and $\alpha_{\rm S}$ by conventional gas exchange (McClain & 15 Sharkey 2019), but only the sum of the two can be reliably estimated. Therefore, if $\alpha_{\rm G}$ and $\alpha_{\rm S}$ 16 are to be estimated one cannot rely on eqn 16e alone, but needs to consider at the very 17 minimum the full range of A- C_i response fitted with eqn 16b and include measurements of the 18 compensation point, which is affected by $\alpha_{\rm G}$ but not by $\alpha_{\rm S}$. 19 It is also possible to connect the model of Busch et al. (2018) with the model of Yin et al. 20

21 (2004). As stated earlier, parameter f_{pseudo} in the model of Yin et al. (2004) can largely reflect 22 the proportion of electrons for supporting nitrogen assimilation, especially under electron 23 transport-limited conditions. Thus, one can equate eqn 16d without the α_{T} terms to A_{j} 24 formulated from eqn 9a:

$$\frac{[1-0.5\phi(1-\alpha_{\rm G})]J}{4+(4+8\alpha_{\rm G}+4\alpha_{\rm S})\phi} = \left(1 - \frac{f_{\rm pseudo}}{1-f_{\rm cyc}}\right)\frac{(1-0.5\phi)J_2}{4+4\phi}$$
(18a)

Note that J on the left side of the equation must be equal to J_2 on the right side, as they both represent the rate of whole-chain electron transport in support of the Calvin-Benson cycle, the photorespiratory pathway and nitrogen assimilation (in this context, J in the model of Busch et al. 2018 actually differs from J in the canonical FvCB model). Solving for f_{pseudo} gives:

1

2

3

4

5

$$f_{\text{pseudo}} = \left\{ \frac{(8\alpha_{\text{G}} + 4\alpha_{\text{S}})\phi(1 - 0.5\phi) - 0.5\phi\alpha_{\text{G}}(4 + 4\phi)}{[4 + (4 + 8\alpha_{\text{G}} + 4\alpha_{\text{S}})\phi](1 - 0.5\phi)} \right\} (1 - f_{\text{cyc}})$$
(18b)

As stated earlier, f_{cyc} for C₃ photosynthesis is negligible (set to nil here). The modelling by 7 Busch et al. (2018) showed that for the ambient-air condition, $\alpha_{\rm G}$ was ca 0.10 and $\alpha_{\rm S}$ was ca 8 0.15 for plants fed with NO₃⁻-N. Assuming $\phi = 0.3$ for the ambient condition, then 0.058 for 9 f_{pseudo} can be calculated from eqn 18b. This value would become even lower if there are small 10 amounts of CET. For nonphotorespiratory conditions ($\phi = 0$), eqn 18b gives that $f_{\text{pseudo}} = 0$. 11 Eqn 18b also reveals that surprisingly f_{pseudo} does not increase monotonically with 12 increasing ϕ if ϕ goes to a very high value (Fig. 5a). The decline of f_{pseudo} beyond a threshold 13 ϕ occurs only in the presence of $\alpha_{\rm G}$; and the higher is $\alpha_{\rm G}$, the lower is the threshold ϕ . 14 However, f_{pseudo} always increases monotonically with increasing ϕ in the absence of α_{G} , 15 regardless of values of $\alpha_{\rm S}$. All these responses are because $\alpha_{\rm G}$, not $\alpha_{\rm S}$, causes a decrease in 16 CO₂-compensation point, and this positive impact on A becomes increasingly important under 17 high photorespiratory states (high ϕ values) that mathematically requires a low f_{pseudo} to enable 18 the left and right sides of eqn 18a in balance. For the same reason, although f_{pseudo} generally 19 increases with increasing α_G or α_S , its response to α_G is stronger than to α_S at a low ϕ (Fig. 20 5b), is comparable at an intermediate ϕ corresponding to ambient-air conditions (Fig. 5c), and 21 is weaker than to $\alpha_{\rm S}$ at a high ϕ (Fig. 5d). 22

It is noteworthy that f_{pseudo} calculated from eqn 18b refers to the electron fraction responsible for supporting N assimilation only as result of amino acid export from the

1 photorespiratory pathway. Therefore, the calculated f_{pseudo} depends on the amount of photorespiration as shown in Fig. 5. In contrast, f_{pseudo} as one parameter in the model of Yin et 2 al (2004) for electron-transport-limited conditions lumps electron requirements for: (i) N 3 assimilation of both via the photorespiratory pathway and not via this pathway and (ii) 4 metabolic processes other than N assimilation that utilise chloroplastic electrons. As stated 5 earlier, f_{pseudo} of ca 0.10 was estimated from the assimilatory quotient for nonphotorespiratory 6 conditions. The higher f_{pseudo} estimated from the assimilatory quotient suggests that either not 7 all nitrogen is assimilated via the photorespiratory pathway or/and processes other than N 8 assimilation consumes chloroplastic electrons. Furthermore, the model of Busch et al. (2018) 9 only applies to the case where it is NO₃⁻-N that enters the leaf. However, it cannot be ruled 10 out that nitrogen enters the leaf in the form of NH₄⁺-N (Eichelmann et al. 2011), and for such 11 a case the stoichiometric coefficients of eqn 15a has to be re-formulated whereas the model of 12 Yin et al. (2004) remains the same but with a lower value of f_{pseudo} . 13

14

15 The FvCB model coupled with the mesophyll CO₂-diffusion model

While C_i (intercellular CO₂ partial pressure) was used in the FvCB model at the time when this model was initially published, it is increasingly recognised that C_c should be used because the resistance of CO₂ diffusion from intercellular-air spaces (IAS) to the chloroplast stroma of mesophyll cells cannot be ignored. This resistance is called mesophyll resistance (r_m) , while its inverse is called mesophyll conductance (g_m) , and has long been defined as such that the C_i -to- C_c gradient can be expressed (von Caemmerer & Evans 1991):

22
$$C_{\rm c} = C_{\rm i} - Ar_{\rm m} = C_{\rm i} - A/g_{\rm m}$$
 (19a)

Because *A* is the difference between carboxylation rate (V_c) and the rate of CO₂ release from photorespiration ($F = 0.5V_o$ or $[0.5(1-\alpha_G) + \alpha_T]V_o$) and respiration (R_d), eqn 19a implicitly assumes that the CO₂ coming from IAS and the CO₂ released from 1 (photo)respiration experience the same resistance $r_{\rm m}$. To diffuse to Rubisco, the CO₂ coming 2 from IAS has to experience the resistance across mesophyll cell wall and plasma membrane 3 ($r_{\rm wp}$) as well as the resistance across the chloroplast envelope and inside the chloroplast 4 stroma ($r_{\rm ch}$). In contrast, the (photo)respiratory CO₂ first enters the cytosol after being 5 released by the mitochondria and therefore, if to be re-fixed by Rubisco, may experience $r_{\rm ch}$ 6 only. For this reason, Tholen et al. (2012) presented a resistance model that explicitly 7 differentiates the resistances faced by the two different sources of CO₂:

$$C_{\rm c} = C_{\rm i} - Ar_{\rm m} - (F + R_{\rm d})r_{\rm ch}$$
 (19b)

9 where $r_{\rm m} = r_{\rm wp} + r_{\rm ch}$. If the chloroplast resistance is negligible $(r_{\rm ch} \rightarrow 0)$, then eqn 19b becomes 10 eqn 19a. Clearly, the earlier model, eqn 19a, also assumes that the chloroplast resistance is 11 negligible so that only $r_{\rm wp}$ forms the mesophyll resistance as if RuBP carboxylation and 12 (photo)respiratory CO₂ production occur in the same compartment.

Equations (19a) and (19b) have been considered as two basic scenarios for CO₂ diffusion path in C₃ leaves (von Caemmerer 2013). However, the delivery of CO₂ to Rubisco depends not only on simple physical resistance components but also on the intracellular arrangement of organelles that consume and produce CO₂. Yin & Struik (2017b) considered six scenarios of the arrangement of mitochondria and chloroplasts, and came up with a generic model:

19

$$C_{\rm c} = C_{\rm i} - Ar_{\rm m} - (1 - k\lambda)(F + R_{\rm d})r_{\rm ch}$$
^(19c)

where λ is the fraction of mitochondria that locate closely behind chloroplasts in the inner
cytosol (i.e. the area between chloroplasts and vacuole; then 1-λ is the fraction of
mitochondria that locate in the outer cytosol, the area between the plasma membrane and
chloroplasts), and k is a factor allowing the fraction of (photo)respiratory CO₂ in the inner
cytosol dependent not only on λ but also on chloroplast gaps and the cytosol resistance. So,
the term kλ can be regarded as the fraction of (photo)respiratory CO₂ in the inner cytosol. If

 $k\lambda = 1$, eqn 19c becomes eqn 19a, meaning that eqn 19a also implicitly assumes that 1 mitochondria exclusively lie behind chloroplasts that form a continuum without a gap as 2 observed for rice (Sage & Sage 2009). If $k\lambda = 0$, eqn 19c becomes eqn 19b, meaning that eqn 3 19b applies to the case where mitochondria exclusively lie in the outer cytosol ($\lambda = 0$) with 4 chloroplasts that form a continuum without a gap (k = 1) or to the case where there are 5 chloroplast gaps but little cytosol resistance (k = 0), and thus photorespiratory CO₂ anywhere 6 in the cytosol is completely mixed, independent of where the mitochondria are located. Eqn 7 8 19a and eqn 19b represent two extremes, and the reality should be somewhere in-between (0 $< k\lambda < 1$). Eqn 19c can be further simplified to: 9

$$C_{\rm c} = C_{\rm i} - [A + m(F + R_{\rm d})]r_{\rm m}$$
 (19d)

11 where parameter *m* lumps several parameters: $m = (1 - \lambda k)r_{ch}/r_m$ and $0 \le m \le 1$ (also see 12 Ubierna et al. 2019).

Combining the above forms of equations for r_m or g_m with the (extended) FvCB model and solving for *A* can lead to an expression that models *A* as a function of C_i (von Caemmerer et al. 1994; Ethier & Livingston 2004; von Caemmerer 2013; Yin & Struik 2017b). Here, based on the model of Yin et al. (2020), we present a form that covers all possibilities:

17
$$A = \frac{-b' \pm \sqrt{b'^2 - 4a'c'}}{2a'}$$
(20)

18 where $a' = x_2 + \Gamma_{*GT}(1-m) + \delta(C_i + x_2)$

19
$$b' = m(R_d x_2 + \Gamma_{*GT} x_1) - [x_2 + \Gamma_{*GT} (1 - m)](x_1 - R_d) -$$

20
$$(C_i + x_2)[g_{mo}(x_2 + \Gamma_{*GT}) + \delta(x_1 - R_d)] - \delta[x_1(C_i - \Gamma_{*GT}) - R_d(C_i + x_2)]$$

21
$$c' = -m(R_d x_2 + \Gamma_{*GT} x_1)(x_1 - R_d) +$$

 $[g_{\rm mo}(x_2 + \Gamma_{*\rm GT}) + \delta(x_1 - R_{\rm d})][x_1(C_{\rm i} - \Gamma_{*\rm GT}) - R_{\rm d}(C_{\rm i} + x_2)]$

23 and $x_1 = \begin{cases} V_{cmax} & \text{for } W_c\text{-limited} \\ J/4 & \text{for } W_j\text{-limited}, \\ 3T_p & \text{for } W_p\text{-limited} \end{cases}$

1
$$x_{2} = \begin{cases} K_{\rm mC}(1+O/K_{\rm m0}) & \text{for } W_{\rm c}\text{-limited} \\ (1+2\alpha_{\rm G}-\alpha_{\rm T}+\alpha_{\rm S})(2\Gamma_{*}) & \text{for } W_{\rm j}\text{-limited} \\ -(1+3\alpha_{\rm G}+6\alpha_{\rm T}+4\alpha_{\rm S})\Gamma_{*} & \text{for } W_{\rm p}\text{-limited} \end{cases}$$

2 Whether or not g_m is variable is still under debate (Evans 2021); in particular, Gu & Sun (2014) showed that the variable g_m pattern could be an artefactual response to uncertainties in 3 measurements or in estimating parameters of the FvCB model. But eqn 20 suits for either a 4 constant or a variable g_m mode. Setting $\delta = 0$ would make eqn 20 appropriate the constant g_m 5 mode (= g_{mo} of eqn 20). Setting $g_{mo} = 0$, then a positive value of δ , which defines the 6 carboxylation resistance : mesophyll resistance ratio (Yin et al. 2020), allows the possibility 7 that g_m is variable, responding to C_i , irradiance, temperature, and O_2 as reported by, e.g. 8 Bernacchi et al. (2002), Flexas et al. (2007) and Yin et al. (2020). In eqn 20, Γ_{*GT} is used in 9 10 several places, instead of the usual Γ_* , to account for the earlier discussed possible change in CO₂ compensation point due to the carbon exit via glycine and CH₂-THF from the 11 photorespiratory pathway. It is worthy to note that while the complete form of the equations 12 for x_2 in case of the W_i -limitation is given, usually only $x_2 = 2\Gamma_*$ is applied, especially if the 13 model is used to estimate $g_{\rm m}$. 14 The solution to eqn 20 in case of W_c or W_j limitations is straightforward (the 15 $\sqrt{b'^2 - 4a'c'}$ term always taking the – sign). Gu et al. (2010) highlighted the mathematical 16 complication arisen from a negative x_2 in the case that W_p limits if the fraction of glycolate 17 carbon not returned to chloroplasts is > 0 and suggested a solution to that. 18 The coupled g_m -FvCB model offers a method to estimate g_m (and other parameters) by 19 fitting to gas exchange data only from exploring the curvature of A- C_i curves (Ethier & 20 Livingston 2004). When the coupled model is fitted to combined gas exchange and 21 22 chlorophyll fluorescence data (Yin & Struik 2009), it can improve the reliability of the estimates compared with the value of g_m calculated from the conventional variable J method 23

30

of Harley et al. (1992). An alternative is using the stable ¹³C-isotope discrimination method

(Farquhar et al. 1982), which was applied by Evans et al. (1986; 1994) to estimate g_m (see 1 review by Pons et al. 2009, and the most current model by Busch et al. 2020). But the 2 chlorophyll fluorescence-based methods are more widely used because of the wider 3 availability of the required device, despite the limitations (Evans 2021). To minimise the 4 influence of these limitations and of basal alternative transport pathways on estimating $g_{\rm m}$, 5 van der Putten et al. (2018) demonstrated the importance of calibration using the 6 measurements under nonphotorespiratory conditions. Any calibration method assumes that 7 the fractions for alternative electron pathways are constant between photorespiratory and 8 nonphotorespiratory conditions. However, recent reports by Abadie et al. (2016, 2018, 2019) 9 and Tcherkez & Limami (2019) suggest that the values of α_G and α_S , as well as the 10 percentage of phosphoenolpyruvate (PEP) carboxylation and malate production (if any), and 11 N-assimilation relative to CO₂-assimilation may not be constant across various CO₂/O₂ gas 12 mixtures. Chlorophyll-fluorescence-based methods to estimate gm require data that include the 13 measurements under photorespiratory conditions such as at ambient CO₂/O₂ levels (Yin et al. 14 2020) whereas the ¹³C isotopic method has no such a requirement. On the other hand, 15 estimates of g_m by the ¹³C isotopic method are affected by assumptions made regarding the 16 values of the fractionation factors (Pons et al. 2009; Gu & Sun 2014; Busch et al. 2020). 17 Thus, chlorophyll-fluorescence and ¹³C isotopic methods should be compared, whenever 18 19 possible, for estimating $g_{\rm m}$.

As the chlorophyll-fluorescence-based method relies on the coupled g_m -FvCB model and the re-assimilation of photorespired CO₂ to estimate g_m , this coupled model should account for the amount of (photo)respired CO₂ that are re-assimilated by Rubisco. For example, let us assume two hypothetical leaves where all parameters are the same except R_d which is nil for one leaf vs 3 µmol m⁻² s⁻¹ for the other. One would expect from the C_c -based model, e.g. eqns 16c,d,e, that A also differs by 3 µmol m⁻² s⁻¹ between the two leaves. However, the calculation 1 using the coupled model shows that the difference in A was smaller than the difference in R_d of 3 µmol m⁻² s⁻¹ (Fig. 6a) because part of CO₂ released by day respiration in the second leaf 2 is re-assimilated by Rubisco, demonstrating that the refixation is implicitly accounted for by 3 the coupled model. The lower is g_m , the harder it is for the (photo)respired CO₂ to escape, and 4 the higher is the proportion of refixation (Fig. 6a). The calculated refixation proportion varies 5 little with the assumed R_d values of the two leaves. In fact, the fraction of (photo)respired CO₂ 6 being refixed (f_{refix}) can be calculated directly using the resistance components (Tholen et al. 7 2012). They proposed an equation for the scenario which eqn 19b represents. Yin & Struik 8 (2017b) extended the approach to a general equation: 9

10
$$f_{\text{refix}} = \frac{\frac{\lambda k}{r_{\text{cx}}} + \frac{1 - \lambda k}{r_{\text{ch}} + r_{\text{cx}}}}{\frac{\lambda k}{r_{\text{ch}} + r_{\text{cx}}} + \frac{\lambda k}{r_{\text{wp}} + r_{\text{ch}} + r_{\text{sc}}} + \frac{1 - \lambda k}{r_{\text{wp}} + r_{\text{sc}}}}$$
(21a)

where r_{sc} is the stomatal resistance to CO₂ diffusion, and r_{cx} is the resistance from the carboxylation reaction itself, which can be defined as: $(C_c+x_2)/x_1$ (von Caemmerer 2000; 2013) and was similarly as high as r_m (= $r_{wp}+r_{ch}$) in rice leaves and *ca* 40% higher than r_m in tomato leaves (Yin et al. 2020). If $\lambda k = 1$, eqn 21a is simplified to:

15
$$f_{\text{refix}} = \frac{r_{\text{sc}} + r_{\text{wp}} + r_{\text{ch}}}{r_{\text{sc}} + r_{\text{wp}} + r_{\text{ch}} + r_{\text{cx}}}$$
(21b)

16 If $\lambda k = 0$, eqn 21a becomes eqn 14 of Tholen et al. (2012):

17
$$f_{\text{refix}} = \frac{r_{\text{sc}} + r_{\text{wp}}}{r_{\text{sc}} + r_{\text{wp}} + r_{\text{ch}} + r_{\text{cx}}}$$
(21c)

18 It becomes obvious from eqns 21b,c that leaves having the anatomical structure close to what 19 eqn 19a describes have a higher f_{refix} than leaves having the structure that eqn 19b describes,

- and this difference in f_{refix} leads to different CO₂ compensation points (von Caemmerer 2013;
- 21 Yin & Struik 2017b). As r_{sc} and r_{cx} vary in response to CO₂, irradiance and other
- environmental conditions, it follows that the proportion of (photo)respired CO₂ being refixed
- varies with these variables. For example, with an increase of CO₂, $r_{cx} = (C_c + x_2)/x_1$ will
- increase, and eqns 21b,c will predict a decrease of f_{refix} , in line with the expectation that

refixation contributes decreasingly to total assimilation with increasing CO₂ (Busch et al.
 2013). This appears to agree with the result in Fig. 6a that with increasing C_i, calculated
 differences in *A* approach to the preset difference in R_d.

Refixation can occur both within the mesophyll cell (*f*_{refix,cell}) and via the IAS (*f*_{refix,ias}), 4 which together constitute the total refixation ($f_{refix} = f_{refix,cell} + f_{refix,ias}$) (Busch et al. 2013). In 5 fact, the refixation of R_d illustrated in the above example using the coupled model with C_i as 6 input (Fig. 6a) actually refers to frefix, cell. frefix, cell and frefix, ias can also be directly calculated from 7 resistance components and Yin et al. (2020) showed that if the term r_{sc} is removed, eqns 21a-c 8 become equivalent equations to calculate $f_{refix,cell}$. They showed that $f_{refix,cell}$ generally 9 dominates and leaves having the anatomical structure that eqn 19a describes have a higher 10 $f_{\text{refix,cell}}$ and thus a higher f_{refix} than leaves having the structure that eqn 19b describes despite 11 the latter leaves having a higher $f_{refix,ias}$. They quantitatively showed that for rice leaves where 12 $\lambda k = 1$, the estimated f_{refix} was often high (≥ 0.5). These ideas of refixation have been 13 exploited by synthetic biology approaches that engineer photorespiratory bypasses to relocate 14 the photorespiratory CO₂ release from mitochondria to chloroplasts (Kebeish et al. 2007; 15 Shen et al. 2019; South et al. 2019; Fig. 1a). The bypasses may be effective in increasing CO₂ 16 assimilation for leaves described by eqn 19b under low CO₂ conditions. However, values 17 calculated based on resistance components represent the gross refixation of (photo)respired 18 CO₂, which is higher than the refixation reflected by results of the coupled model (Fig. 6b). 19 This suggests (photo)respired CO₂ or bypassed CO₂ decrease the chance of CO₂ coming from 20 IAS being assimilated; so, the net benefit of refixation must be smaller than what eqns 21a-c 21 predict. But the bypass-associated saving of electrons and ATP that otherwise are consumed 22 by the ammonia recycling (Fig. 1a) provides more advantages (von Caemmerer 2013). 23

24

25 The C₄ form of the FvCB model

1	CO ₂ diffusion is also important for C ₄ photosynthesis because its CO ₂ -concentrating
2	mechanism (CCM) relies on the effective coordination of a series of diffusional processes and
3	biochemical reactions. In the vast majority of terrestrial C4 species, this mechanism is
4	achieved through the coordinated functioning via the Kranz structure involving mesophyll
5	(M) and bundle-sheath (BS) cells (Hatch 1987). CO ₂ initially diffuses to the M cytosol and is
6	converted to HCO ₃ ⁻ , which is fixed by PEP carboxylase (PEPc) into C ₄ acids. The C ₄ acids
7	travel to the BS cells, where they are decarboxylated and the released CO ₂ is re-fixed by
8	Rubisco exclusively localised in BS chloroplasts. The K_m of PEPc is lower, and its maximum
9	carboxylation rate is generally higher, than that of Rubisco. This will elevate the CO ₂ partial
10	pressure in the BS compartment, despite some leakage of CO2 from BS back to M cells,
11	which effectively suppresses photorespiration. Because Rubisco is operated in high-CO ₂
12	compartments, kinetic constants of C ₄ Rubisco differ from those of C ₃ Rubisco (Cousins et al.
13	2010; Boyd et al. 2015; Sharwood et al. 2016), which together with the CCM per se, underlies
14	the high photosynthetic nitrogen use efficiency of C_4 plants (Ghannoum et al. 2005). C_4
15	species are traditionally classified into three subtypes according to the decarboxylation
16	enzymes, thus also decarboxylation sites: NADP-malic enzyme (ME) in chloroplasts, NAD-
17	ME in mitochondria, and PEP-carboxykinase (CK) in the cytosol (Hatch 1987). However,
18	more recent opinions (e.g. Furbank 2011; Wang et al. 2014; Yin & Struik 2018) suggest that
19	C ₄ species often have a mixed decarboxylation pathway, where one enzyme acts as the main
20	decarboxylating enzyme alongside the others.

21

22 The standard model for C_4 photosynthesis

Berry & Farquhar (1978) presented a first model for C₄ photosynthesis, which covered the CCM and the basis of high nitrogen use efficiency. The leakiness (ϕ_L) as the ratio of the CO₂ retro-leakage (*L*) to the rate of PEP carboxylation (V_p), was introduced in a model that included carbon isotope discrimination (Farquhar 1983). Based on these earlier models, von
Caemmerer & Furbank (1999) described a model, which is now considered as the standard C₄
model that predicts net CO₂-assimilation rate (*A*) as a function of mesophyll cytosol CO₂

4 partial pressure (C_m). Several equations relevant to the C₄ photosynthesis are:

5 - the flux balance in the M cell: $A = V_p - L - R_m$ (22a)

6 - the rate of CO₂ leakage:
$$L = g_{bs}(C_c - C_m)$$
 (22b)

7 - the level of O₂ in the BS cell: $O_{\rm c} = \alpha_{\rm bs} A / (u_{\rm oc} g_{\rm bs}) + O_{\rm m}$ (22c)

8 - the rate of PEP carboxylation:
$$V_{\rm p} = \frac{C_{\rm m}V_{\rm pmax}}{C_{\rm m}+K_{\rm p}}$$
 or $= \frac{xJ_{\rm atp}}{\varphi}$ (22d)

where $R_{\rm m}$ is the respiration in the M cell (usually assumed to be $0.5R_{\rm d}$), $g_{\rm bs}$ is bundle-sheath 9 conductance, C_c and O_c are the partial pressure of CO₂ and O₂ at the active sites of Rubisco, 10 respectively, α_{bs} is the fraction of O₂ evolution (or of PSII) in the BS cells, u_{oc} is the 11 coefficient that lumps diffusivities of O₂ and CO₂ in water and their respective Henry 12 constants, $O_{\rm m}$ is the partial pressure of O₂ at the mesophyll cytosol, $K_{\rm p}$ and $V_{\rm pmax}$ are the 13 Michaelis-Menten constant and the maximum carboxylation rate of PEPc, respectively, x is 14 the fraction of ATP consumed by the CCM cycle, φ is the mol chloroplastic ATP required for 15 the CCM cycle, and J_{atp} is the rate of ATP production by chloroplastic electron transport. The 16 17 original model of von Caemmerer & Furbank (1999) did not use J_{atp} , but the rate of electron transport (J). Because it is ATP, not electrons, that are allocated between the CCM cycle and 18 the Calvin-Benson cycle, according to the predefined stoichiometric fraction x, it is more 19 20 appropriate to use J_{atp} in eqn 22d (Yin et al. 2011) and J_{atp} can be linked with electron transport rate via the ATP production factor z (see eqn 9e). Eqn 22d for V_p contains either the 21 PEPc activity-limited rate or the electron transport-limited rate, in analogy to the equations for 22 $V_{\rm c}$. The rate of CO₂-assimilation (A) based on $V_{\rm c}$ is the same for C₃ photosynthesis and can be 23 collectively expressed as: 24

$$A = \frac{(C_{\rm c} - \gamma_* O_{\rm c}) x_1}{C_{\rm c} + O_{\rm c} x_2 + x_3} - R_{\rm d}$$
(22e)

1

where $\gamma = 0.5/S_{c/o}$, and $x_1 = V_{cmax}$, $x_2 = K_{mC}/K_{mO}$, and $x_3 = K_{mC}$ for the Rubisco-limited rate. 2 For the RuBP regeneration-limited rate, $x_1 = (1-x)J_{atp}/3$, $x_2 = 7\gamma/3$, and $x_3 = 0$ if ATP supply 3 is limiting. von Caemmerer & Furbank (1999) provided a solution to the combined eqns 22a-e 4 that expresses A as a quadratic function of C_m . As C_m is unknown generally, one may add an 5 equation ($C_{\rm m} = C_{\rm i} - A/g_{\rm m}$) in order to express A as a function of $C_{\rm i}$. Yin et al. (2011) provided 6 the analytical solution to these, which became cubic if PEPc activity limits $V_{\rm p}$. 7 Unlike in C₃ leaves, the initial carbon fixation in C₄ leaves is catalysed by PEPc in the 8 cytosol and therefore g_m does not involve CO₂ diffusion from the cytosol to the chloroplast. 9 Accordingly, estimates of g_m in C₄ leaves are somewhat higher than those in C₃ leaves 10 (Barbour et al. 2016), meaning g_m appears to be less limiting to C₄ photosynthesis as it is to 11 C₃ photosynthesis. However, g_{bs}, which determines the amount of CO₂ leakage (see eqn 22b), 12 is fundamentally important for the CCM, and thus, for determining C₄ photosynthesis. So far 13 there is no method that can directly estimate g_{bs} . Its indirect estimate, mostly based on model 14 fitting to gas exchange data (He & Edwards 1996) and sometimes combined with chlorophyll 15 fluorescence or ¹³C discrimination measurements, suggests a value between 1.0 and 10.0 16 mmol m⁻² s⁻¹ bar⁻¹ (Yin et al. 2011), *ca* two- or three-order of magnitude smaller than g_m . Like 17 $g_{\rm m}$, $g_{\rm bs}$ varies with leaf age or N content (Yin et al. 2011), temperature (Kiirats et al. 2002; 18 Yin et al. 2016; Alonso-Cantabrana et al. 2018), and growth light conditions (Kromdijk et al. 19 2010; Ubierna et al. 2013; Bellasio & Griffins 2014). Danila et al. (2021) showed that 20 suberization of the BS lamellae is required for a low g_{bs} to minimise leakage. As g_{bs} is a 21 lumped model parameter, its value may depend on other anatomical characteristics (like BS 22 cell wall thickness, plasmodesmata density, bundle sheath surface area-to-leaf area ratio, 23 intervein spacing, sheath layers) as well as biochemical characteristics (like the location of 24 decarboxylation). Further research is needed to clarify how these characteristics influence gbs. 25

1

2 Energetic aspects of C_4 photosynthesis

Although energy production or consumption can be cell-type specific (Yin & Struik 2018, 3 2021), the model of von Caemmerer & Furbank (1999) for C4 photosynthesis assumed that 4 energy is shared between M and BS cells, and used x to allocate J_{atp} to the CCM cycle (see 5 eqn 22d) and thus, 1-x to the Calvin-Benson cycle (see eqn 22e). The default value for x is 6 0.4, arising from $\varphi/(\varphi+3)$, where φ and 3 are ATP required for the CCM cycle and the Calvin-7 Benson cycle, respectively. For most C₄ species, $\varphi = 2$; so x = 0.4 (von Caemmerer & 8 Furbank 1999). Thus, the RuBP regeneration-limited form of eqn 22e is expressed in terms of 9 ATP supply. As with the C₃ model, it is metabolically important to keep ATP and NADPH in 10 11 balance (Kramer & Evans 2011; Foyer et al. 2012); so, one may argue that ATP and NADPH co-determine the RuBP regeneration. For eqn 22e if NADPH supply is limiting, one can 12 write, according to eqn 9a, that $x_1 = [1 - f_{pseudo}/(1 - f_{cyc})]J_2/4$, $x_2 = 2\gamma$, and $x_3 = 0$. Based on this 13 NADPH-determined model, Yin & Struik (2012) stated that the photosynthetic quantum yield 14 models for C₄ photosynthesis are the same as for C₃ photosynthesis, i.e. eqn 12a or eqn 12e, 15 reflecting that there is no net NADPH requirement for the C₄ cycle (but see discussion later). 16 Similarly, eqn 13a, eqn 13b and eqn 10b for calculating f_{cyc} , f_{pseudo} and ρ_2 , respectively, also 17 suit for C₄ photosynthesis. 18

As discussed earlier for C₃ photosynthesis, one can rely on the unique feature of the NADPH-dependent equation for quantum yield to infer possible values of f_{cyc} from measurements on quantum yields. Φ_{O2LL} of C₄ photosynthesis (virtually without photorespiration) is *ca* 0.069 (Björkman & Demmig1987), considerably lower than its counterpart value of C₃ photosynthesis in the absence of photorespiration. Using eqn 13a, Yin & Struik (2012) solved f_{cyc} , which was *ca* 0.45, considerably higher than the f_{cyc} of C₃

1 photosynthesis. This suggests that CET is essential for C_4 photosynthesis, required for

generating ATP required for the operation of the CCM cycle. 2

3 Once f_{cyc} is known, ρ_2 can be calculated from eqn 10b. The obtained ρ_2 is ca 0.4 (Yin & Struik 2012). This differs from eqn 4, where the energy partitioning factor of 0.5 is also used 4 for C₄ photosynthesis (von Caemmerer & Furbank 1999; von Caemmerer 2000, 2003). When 5 f_{cyc} is known, f_{pseudo} can also be estimated from the assimilatory quotient (see eqn 13b) and is 6 ca 0.07 (Yin & Struik 2012). 7

The equation equivalent to eqn 8 for C₃ photosynthesis, for the fraction of LET that keeps 8 NADPH and ATP balance as required by C4 metabolism, can be formulated as (see Yin & 9 Struik 2012 for its derivation): 10

16

 $1 - f_{\rm cyc} - f_{\rm pseudo} = \frac{(4C_{\rm c} + 8\gamma_* O_{\rm c})(2 + f_{\rm Q} - f_{\rm cyc})(1 - x)}{h(3C_{\rm c} + 7\gamma_* O_{\rm c})(1 + x\phi_{\rm L})}$ (23a)

where ϕ_L is leakiness ($0 \le \phi_L \le 1$). Compared with eqn 8, eqn 23a has an extra facor 12 $(1-x)/(1+x\phi_L)$. This suggests that compared with C₃ photosynthesis, the LET of C₄ 13 photosynthesis is decreased at least by this factor to accommodate the required increase in 14 CET. One can solve eqn 23a for leakiness: 15

$$\phi_{\rm L} = \frac{(4C_{\rm c} + 8\gamma_* O_{\rm c})(2 + f_{\rm Q} - f_{\rm cyc})(1 - x)}{h(3C_{\rm c} + 7\gamma_* O_{\rm c})(1 - f_{\rm cyc} - f_{\rm pseudo})x} - \frac{1}{x}$$
(23b)

Given the above indicative values of f_{cyc} and f_{pseudo} based on quantum yield data, one can use 17 eqn 23b to explore likely values of uncertain parameters f_Q and h that can give a realistic 18 estimate of leakiness. Using either obligatory or no operation of the Q cycle ($f_Q = 1$ or 0) and 19 three likely values of h (3, 4 and 4.67, see earlier), Yin & Struik (2012) showed that only the 20 combination that $f_Q = 1$ and h = 4 can give a realistic value of ϕ_L (Fig. 7). The obligatory Q 21 cycle has long been recognised for C4 photosynthesis (Furbank et al. 1990). But whether the 22 H⁺:ATP ratio (h) is 3, 4 or 4.67 is uncertain. The model results shown in Fig. 7 support 23 thermodynamic experiments (Steigmiller et al. 2008; Peterson et al. 2012) showing that h is 4. 24

The model discussed so far, for both C₃ and C₄ photosynthesis, assumes that CET, when
combined with the Q cycle, generates two H⁺ per electron (Fig. 2). However, CET may follow
the NAD(P)H dehydrogenase (NDH)-dependent pathway (Yamori et al. 2011; Ishikawa et al.
2016; Strand et al. 2017). When this pathway is operating, CET generates four H⁺ per electron
and Karmer and Evans (2011) indicated that very likely this pathway is active in C₄ plants.
Let *f*_{NDH} be the fraction of CET that follows the NDH-dependent pathway. Then the ATP
production factor *z* as in eqn 9e for such a case is (Yin & Struik 2021):

8
$$z = \frac{2 + f_Q - f_{cyc}(1 - 2f_{NDH})}{h(1 - f_{cyc})}$$
(24a)

Eqn 24a becomes eqn 9e if $f_{NDH} = 0$. Again, the uncertainty with regard to the value of f_{NDH} 9 has no impact on the model for the NADPH-dependent quantum yield, so the above 10 estimation of f_{cyc} using the NADPH-dependent quantum yield model is still valid. Yin & 11 Struik (2012) showed that this highly efficient H⁺-translocating pathway of CET can't be 12 obligatory as this would result in unrealistic high estimates of leakiness. Here we try to assess 13 the extent to which CET should be this highly efficient pathway if h is 4.67 (=14/3, Seelert et 14 al. 2000; again recently, Hahn et al. 2018). This can be achieved by equating eqn 24a with h15 =14/3 to eqn 9e with h = 4, and then solving for f_{NDH} : 16

17
$$f_{\rm NDH} = \frac{2 + f_Q - f_{\rm cyc}}{12 f_{\rm cyc}}$$
 (24b)

This gives that f_{NDH} is *ca* 0.47 if $f_{\text{Q}} = 1$ and $f_{\text{cyc}} = 0.45$, meaning that about half of the total CET have to follow this highly efficient pathway in order to meet the high ATP requirement in C₄ photosynthesis, if the H⁺ requirement per ATP synthesis is as high as 4.67. This suggests a method to estimate f_{NDH} , as this parameter has been estimated only by trial and error (Bellasio & Farquhar 2019).

Combining h = 4 and $f_{NDH} = 0$ or h = 4.67 and $f_{NDH} = 0.47$ suggests that the ATP production factor per PSII electron transport (*z*) is *ca* 1.16. This differs from the standard C₄ model of von Caemmerer & Furbank (1999), in which J_{atp} is set to equal PSII electron

- 1 transport rate. The standard model assumes: (i) the absence of CET and (ii) and h = 3. Eqn 9e 2 suggests that these assumptions combined with an obligatory Q cycle make z = 1.
- 3

4 Accommodating the C_4 species mixed with PEP-CK

It is important to point out that the above results of energetics are valid only for NADP-ME or 5 NAD-ME subtypes of C₄ photosynthesis, although the standard model has been wrongly 6 applied in some reports to the PEP-CK subtype. As stated earlier, the value of 0.4 for x stems 7 from that the parameter φ in eqn 22d is 2, referring to two mol ATP required per CCM cycle 8 for regenerating PEP by pyruvate phosphate dikinase (PPDK) in the M cell (Hatch 1987; 9 Kanai & Edwards 1999). This high ATP requirement is reflected in measured quantum yields 10 11 in species of the malic-enzyme subtypes, from which the model derived f_{cyc} was high (ca 0.45). In the PEP-CK subtype, however, part of the oxaloacetate produced by the initial PEP 12 carboxylation step moves to and is decarboxylated in the BS cytosol by PEP-CK (Hatch 13 1987). This decarboxylation reaction also generates PEP (requiring only one molecule of ATP 14 per reaction), thereby partly bypassing the expensive step of PEP regeneration by PPDK. The 15 remaining oxaloacetates are reduced to malate in the M cells, which move to and are 16 decarboxylated in BS mitochondria. This decarboxylation also releases NADH, which drives 17 mitochondrial electron transport to provide ATP for fuelling PEP-CK possibly (Kanai & 18 Edwards 1999), thereby further decreasing the chloroplastic ATP requirement. Given that the 19 pure PEP-CK type hardly exists in nature and species having PEP-CK are often mixed with 20 other decarboxylation types (Furbank 2011; Wang et al. 2014), Yin & Struik (2021) presented 21 a model for the electron transport-limited rate in all C₄ subtypes including their mixed types. 22 In this model, eqns 22a-e still apply, but with: 23

24
$$x_{1} = \left(1 - \frac{f_{\text{pseudo}}}{1 - f_{\text{cyc}}}\right) \frac{J_{2}}{4 + 2a}$$
(25a)

and x_2 and x_3 are as defined earlier (i.e., $x_2 = 2\gamma^*$, and $x_3 = 0$). In eqn 25a, parameter *a* is the fraction of oxaloacetates that are reduced, using NADPH (equivalent to 2 electrons) from M chloroplasts, to malate moving to the BS mitochondria. To accommodate various C₄ types, two further adjustments are needed. Firstly, the chloroplastic ATP requirement for the CCM cycle (φ) should be changed from 2 for the malic-enzyme subtypes to:

$$\varphi = 2 - (n+1)a \tag{25b}$$

where *n* is the number of ATP produced per NADH oxidation from mitochondrial electron transport ($n = 2.5 \sim 3.0$; Taiz & Zeiger 2002), the coefficient 1 represents one molecule ATP fewer required for per PEP regenerated by PEP-CK than by PPDK, and so, the term (n + 1)arepresents ATP saved from engaging the PEP-CK mechanism, relative to the malic-enzyme mechanisms (Yin & Struik 2021). Secondly, for the types involving PEP-CK, *x* is changed to: $x = \frac{2-(n+1)a}{5-(n+1)a}$ (25c)

These equations have taken into account the required balance of NH₂-groups between M and 13 BS cells. The analysis of Yin & Struik (2021) suggested that $0 \le a \le 0.36 \sim 0.40$, and if a = 0, 14 the model returns to the equations discussed earlier for the malic-enzyme subtypes. The 15 16 model predicts that the additional cost with a mol NADPH requirement per mol CO₂ assimilated is overcompensated by the decreased chloroplastic ATP requirement for the CCM 17 18 cycle, thereby predicting a higher Φ_{CO2} in species involving the PEP-CK activity. However, the observed little advantage in Φ_{CO2} of the PEP-CK over the NADP-ME species (Ehleringer 19 & Pearcy 1983) suggests the need of more studies to understand whether the energetic 20 advantages are cancelled out by leakiness in the PEP-CK types. 21

22

23 Conclusions and remarks

The FvCB model has been proven successful in most cases in fitting response curves for
predicting photosynthetic rates (e.g. Kumarathunge et al. 2019). The model extensions

1 reviewed here are hardly meant to replace the canonical FvCB model for that, but more to provide tools for analysing uncertainties and better understanding underlying physiology of 2 photosynthesis. From our review in this context, we can make the following summary points: 3 (1) Relative to the ATP-determined form, the extended NADPH-determined form for 4 electron transport-limited rate has fewer uncertain parameters and is yet related to the fraction 5 for CET (f_{cyc}). This singular feature of the model allows f_{cyc} to be first estimated from easily 6 measured quantum yield for photosynthesis and quantum yield for photosystem electron 7 transport. The estimated f_{cyc} is negligible (ca 0.06) for C₃ photosynthesis vs ca 0.45-0.50 for 8 malic-enzyme subtypes of C4 photosynthesis. The NADPH-determined form also has an 9 advantage in modelling C4 photosynthesis involving decarboxylation by PEP-CK, which 10 requires additional NADPH, a lower ATP:NADPH ratio and probably a lower f_{cyc} , than the 11 12 malic-enzyme subtypes.

13 (2) Because of such a difference in f_{cyc} , the factor for excitation partitioning to PSII (ρ_2) 14 was *ca* 0.5 or slightly higher for C₃ photosynthesis, but *ca* 0.4 for malic-enzyme subtypes of 15 C₄ photosynthesis. This differs from the canonical FvCB model where 0.5 is always set for 16 both C₃ and C₄ photosynthesis models.

(3) If f_{cyc} is known, one can also estimate f_{pseuso} based on the assimilatory quotient (see 17 eqn 13b), and futher infer values for uncertain parameters f_Q and h in view of the 18 ATP:NADPH ratio as required by metabolism. The most likely values are: $f_Q = 1$ combined 19 with h = 4 for C₄ plants, and with h = 4.00 or 4.67 for C₃ plants. If h is 4.67 for C₄ plants, then 20 ca 50% of CET must follow the NDH-dependent pathway in the malic-enzyme subtypes of C4 21 plants. The stoichiometric coefficients ($f_Q = 0$ and h = 3) assumed in the ATP-limited form of 22 the canonical C₃ model (eqn 3b) and of the standard C₄ model are obsolete. 23 (4) The TPU limitation is commonly ignored in modelling C₄ photosynthesis probably 24

because it is hard to identify this limitation from its A- C_i curves. While the extension of the

canonical FvCB model to account for this limitation to C₃ photosynthesis in relation to the
glycine export from the photorespiratory pathway has long been made, it appears now that
assuming serine (rather than glycine) to exit from the pathway is more likely and internally
consistent with regard to the CO₂ compensation point. However, this notion may change as
we find out more about the nature of carbon export as CH₂-THF.

(5) Under TPU limited conditions plants can increase CO₂ uptake, by serine, glycine, or
CH₂-THF exit from the photorespiratory pathway and associated *de novo* nitrogen
assimilation or C₁ metabolism in leaves of C₃ plants. However, there exists nitrogen
assimilation not associated with the photorespiratory pathway, especially for lowphotorespiration situations as occurring in C₄ plants or in C₃ plants under high CO₂/low O₂

11 conditions.

(6) Loss as a result of photorespiration in C₃ plants is lower than the commonly suggested
value, owing to: (i) glycine, serine and CH₂-THF exports, and (ii) significant refixation of
(photo)respired CO₂ both within mesophyll cells and via IAS. On the other hand,
(photo)respired CO₂ release decreases the chance of CO₂ coming from IAS being assimilated.
It is this net refixation of the (photo)respired CO₂ that is taken into account by the coupled
CO₂-diffusion and FvCB model.

This review did not discuss the C₃-C₄ intermediate photosynthesis, for which von 18 Caemmerer (2000) outlined a modelling framework. We also hardly discussed modelling 19 photosynthetic temperature response (see Bernacchi et al. 2013), but focused on 20 photosynthetic CO₂- and light-responses. One may be surprised to notice that eqns 4 and 11 21 for modelling the light-response of electron transport are still empirical. However, Farquhar & 22 von Caemmerer (1981) presented some mechanistic basis for using these simple equations. 23 Harbinson & Yin (2017) reported a mechanistic but more complex equation for the irradiance 24 response of PSI electron transport rate. The essence of the FvCB model is its simplicity while 25

capturing the most important contributing mechanisms of photosynthesis (Farquhar et al.
2001). This feature is maintained in the extended models as all the equations we reviewed are
analytical, and users can easily implement them for thought experiments to explore changes
of photosynthetic pathways. The simplicity means that the models are for steady-state
photosynthesis. Excellent, more detailed models for photosynthesis under either steady-state
or fluctuating conditions and for the photosynthetic acclimation to growth environment are all
omitted in this review, despite their high relevance for photosynthesis in field environments.

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Acronym	Definition
BS	Bundle sheath
ССМ	CO ₂ -concentrating mechanism
CET	Cyclic electron transport around Photosystem I
CH ₂ -THF	5,10-methylene-tetrahydrofolate
FvCB model	The model of Farquhar, von Caemmerer & Berry (1980)
GDC	Glycine decarboxylase
H^+	Proton
IAS	Intercellular air spaces
LET	Linear electron transport (i.e. the noncyclic electron transport for
	supporting the Calvin-Benson cycle and the photorespiratory cycle)
М	Mesophyll
NAD-ME	Nicotinamide adenine dinucleotide-malic enzyme
NADP-ME	Nicotinamide adenine dinucleotide phosphate-malic enzyme
NDH	NAD(P)H dehydrogenase
PEP	Phospho <i>enol</i> pyruvate
PEPc	Phospho <i>enol</i> pyruvate carboxylase
PEP-CK	Phosphoenolpyruvate-carboxykinase
3-PGA	3-phosphoglycerate
Pi	Phosphate
PPDK	Pyruvate phosphate dikinase
PSI	Photosystem I
PSII	Photosystem II
RuBP	Ribulose 1,5-bisphosphate
THF	Tetrahydrofolate
TP	Triose phosphate
TPU	Triose phosphate utilisation

Table 1 List of used acronyms

Symbol	Definition	Unit
a	Fraction of oxaloacetate that is reduced in mesophyll cells to malate	-
	moving to drive bundle sheath mitochondrial electron transport to	
	produce ATP	
A	Rate of CO ₂ assimilation	μ mol m ⁻² s ⁻¹
$A_{\rm c}$	Rate of CO ₂ assimilation limited by Rubisco activity	μ mol m ⁻² s ⁻¹
$A_{\rm j}$	Rate of CO ₂ assimilation limited by electron transport	µmol m ⁻² s ⁻¹
A_{p}	Rate of CO ₂ assimilation limited by triose phosphate utilisation	µmol m ⁻² s ⁻¹
C_{c}	CO ₂ partial pressure at the carboxylating sites of Rubisco	μbar
Ci	CO ₂ partial pressure at intercellular-air spaces	µbar
$C_{\rm m}$	CO ₂ partial pressure at mesophyll cytosol	µbar
f	Fraction of irradiance absorbed by photosynthetic pigments but	-
-	unavailable for Calvin-Benson and photorespiratory cycles	
F	Rate of photorespiratory CO ₂ release	μ mol m ⁻² s ⁻¹
$f_{ m cyc}$	Fraction of Photosystem I electrons that follow cyclic electron transport	-
$f_{\rm NDH}$	Fraction of cyclic electron transport that follow the NAD(P)H	-
	dehydrogenase-dependent pathway	
$f_{ m pseudo}$	Fraction of the Photosystem I electrons that follow the pseudocyclic	-
	electron transport	
frefix	Fraction of respired and photorespired CO ₂ that is refixed	-
$f_{\rm refix,cell}$	Fraction of respired and photorespired CO_2 that is refixed within	-
	mesophyll cells	
$f_{ m refix,ias}$	Fraction of respired and photorespired CO_2 that is refixed via the	-
ſ	Intercellular air spaces	
JQ	Praction of electrons at plastoquinone that follow the Q cycle	-
gbs	Mesonbull conductance (inverse of mesonbull resistance)	$mol m^{-2} c^{-1} bar^{-1}$
gm	Mesophyll conductance (inverse of mesophyll resistance)	$mol m^{-2} s^{-1} bar^{-1}$
gmo	conductance mode	
h	Protons required per ATP synthesis (i.e. the H ⁺ : ATP ratio)	mol mol ⁻¹
Iabs	Irradiance absorbed by photosynthetic pigments	μ mol m ⁻² s ⁻¹
J	Potential electron transport rate	$\mu mol m^2 s^{-1}$
J_1	Potential electron transport rate through Photosystem I	$\mu mol m^{-2} s^{-1}$
J_2	Potential electron transport rate through Photosystem II	$\mu mol m^{-2} s^{-1}$
Jatn	Potential rate of chloroplastic ATP production	$\mu mol m^{-2} s^{-1}$
Jmax	Light-saturated notential electron transport rate	μ mol m ⁻² s ⁻¹
Jamax	Light-saturated potential electron transport rate through Photosystem II	μ mol m ⁻² s ⁻¹
k	Factor allowing for the effect of chloroplast gaps and the cytosol	-
n	resistance such that the term $k\lambda$ defines as the fraction of	
	(photo)respiratory CO_2 in the inner cytosol ($0 \le k\lambda \le 1$)	
KmC	$(p_1, p_2, p_3, p_4, p_5, p_7, p_7, p_7, p_7, p_7, p_7, p_7, p_7$	ubar
Kmo	Michaelis-Menten constant of Rubisco for O_2	mbar
Kn	Michaelis-Menten constant of PEPc for CO ₂	ubar
L	Rate of CO ₂ leakage from bundle-sheath to mesonhvll cells	μ mol m ⁻² s ⁻¹
 m	Parameter lumping several mesonhvll properties $= (1 - \lambda k)r_{\rm st}/r_{\rm sc}$ with $0 < 1$	-
	m < 1	
n	ATP produced per NADH oxidation	mol mol ⁻¹
$O_{\rm c}$	O_2 partial pressure at the active sites of Rubisco	mbar
0 _m	O_2 partial pressure at mesophyll cytosol	mbar
r _{ch}	Chloroplast envelope and stroma resistance	$mol^{-1} m^2 s bar$

 Table 2 List of model symbols

r _{cx}	Carboxylation resistance	mol ⁻¹ m ² s bar
r _m	Mesophyll resistance	mol ⁻¹ m ² s bar
r _{sc}	Stomatal resistance to CO ₂ transfer	$mol^{-1} m^2 s bar$
$r_{\rm wp}$	Cell-wall and plasma-membrane resistance	$mol^{-1} m^2 s bar$
S _{c/o}	Relative CO ₂ /O ₂ specificity of Rubisco	mbar µbar ⁻¹
T _p	Rate of triose phosphate utilisation	μ mol m ⁻² s ⁻¹
$u_{ m oc}$	Coefficient that lumps diffusivities of O ₂ and CO ₂ in water and their	μmol μbar
	respective Henry constants, $= 0.047$ at 25°C	(µmol mbar) ⁻¹
$V_{\rm c}$	RuBP carboxylation rate	μ mol m ⁻² s ⁻¹
$V_{\rm cmax}$	CO ₂ -saturated maximum carboxylation rate of Rubisco	μ mol m ⁻² s ⁻¹
Vo	RuBP oxygenation rate	μ mol m ⁻² s ⁻¹
$V_{\rm p}$	PEP carboxylation rate	μ mol m ⁻² s ⁻¹
$V_{\rm pmax}$	Maximum carboxylation rate of PEPc	μ mol m ⁻² s ⁻¹
$R_{\rm d}$	Day respiration (CO_2 release in the light by processes other than	μ mol m ⁻² s ⁻¹
	photorespiration)	
R _m	Day respiration in the mesophyll cells	μ mol m ⁻² s ⁻¹
W _c	RuBP carboxylation rate limited by Rubisco activity	μ mol m ⁻² s ⁻¹
W _j	RuBP carboxylation rate limited by electron transport	μ mol m ⁻² s ⁻¹
Wp	RuBP carboxylation rate limited by triose phosphate utilisation	μ mol m ⁻² s ⁻¹
x	Fraction of the chloroplastic ATP that is used for the CO ₂ -Concentrating	-
	Mechanism cycle	
Z	electron transport runs simultaneously	moi moi
~	Erection of glycolate carbon not returned to chloroplast	
<i>u</i>	Quantum yield of Photosystem II electron transport (under limiting light)	- mol mol ⁻¹
$\alpha_{2(LL)}$	on the basis of light absorbed by both photosystems	
<i>Class</i>	Fraction of Photosystem II that is in the bundle-sheath cells	-
α _G	Fraction of glycolate carbon taken out from the photorespiratory pathway	-
	as glycine	
$\alpha_{\rm S}$	Fraction of glycolate carbon taken out from the photorespiratory pathway	-
	as serine	
α_{T}	Fraction of glycolate carbon taken out from the photorespiratory pathway	-
-	as CH ₂ -THF	
δ	Factor defining a variable mesophyll conductance mode	-
ϕ	RuBP oxygenation : RuBP carboxylation ratio, = V_0 : V_c	-
$\phi_{\rm L}$	Leakiness, $= L/V_p$	-
$\varphi_{l(LL)}$	Quantum yield of Photosystem I electron transport (under limiting light)	mol mol
$\Phi_{2(LL)}$	Quantum yield of Photosystem II electron transport (under limiting light)	mol mol
	Quantum yield of CO_2 uptake (under limiting light)	mol mol
$\mathcal{P}_{O2(LL)}$	Quantum yield of O_2 evolution (under limiting light)	mol mol
φ	Chioroplastic ATP required per C ₄ cycle, = 2 for the NADP-ME and NAD ME subtrace and = 2 $(n+1)g$ for the DED CK subtrace	$(mol A I P (mol CO_2)^{-1})$
24	Half the inverse of Rubisco specificity = $0.5/S$	uber mber ⁻¹
γ* Γ	$CO_{2}-compensation point in the absence of day respiration = 0.50/S_{10}$	ubar
<u>Г</u> .ет	Modified Γ as a result of glycolate carbon exit in the form of glycine and	ubar
1 *GT	CH ₂ -THF from the photorespiratory pathway = $(1 - \alpha_0 + 2\alpha_0)\Gamma_*$	μυαι
2	Fraction of mitochondria that locate closely behind chloroplasts in the	
1	inner cytosol	
θ	Curvature factor of light response of electron transport	-
ρ_2	Factor for excitation partitioning to Photosystem II, = $\alpha_{2(IL)}/\Phi_{2(IL)}$	-







Fig. 2 The scheme for pathways of linear, cyclic and pseudocyclic electron transport (blue arrows) as driven by light energy allocated to Photosystem II (PSII) and Photosystem I (PSI), in the light reactions (with light-blue background) of photosynthesis (revised from Yin et al. 2004). Thick-curved arrows show O₂ evolved, protons (H⁺) pumped or NADPH produced per electron transferred. H⁺ are required for ATP synthesis, and produced ATP and NADPH (or reductant equivalents) are used for various metabolic processes specified underneath in black phrases. The cyclic electron transport, the pseudocyclic electron transport, and the Q cycle introduced in Extension 2 are shown in thin double-lined arrows and their fluxes are all expressed in proportion to the total electron flux passing PSI (J_1) as $f_{cyc}J_1$, $f_{pseudo}J_1$ and f_QJ_1 , respectively. The linear electron transport (LET) as the only pathway defined in the canonical model is shown in thick single-lined arrows and expressed as $(1-f_{cyc}-f_{pseudo})J_1$. In the presence of the cyclic electron transport, the electron flux passing PSII (J_2) is smaller than that passing PSI: $J_2 = (1 - f_{cyc})J_1$, instead of $J_2 = J_1$ as implied in the canonical model. In the presence of pseudocyclic electron transport for supporting processes like nitrate reduction, CO₂ uptake is not in a 1:1 ratio to O₂ evolution, but is $\left[1-f_{\text{pseudo}}/(1-f_{\text{cyc}})\right]$ mol CO₂ per mol O₂ evolved (assuming no Mehler reaction), which is the basis for eqn 13b (see the text).



Fig. 3 Stoichiometries of electron (red) and ATP (orange) requirements for the Calvin-Benson-Bassham (CBB) cycle, and for the photorespiratory pathway where there are fractions of glycolate carbon that exits in the form of either glycine (α_G), or CH₂-THF (α_T), or serine (α_S). The RuBP oxygenation to RuBP carboxylation ratio is denoted as ϕ . All these fluxes, also including carbon (in black) and nitrogen (in blue), are scaled in relation to the rate of RuBP carboxylation. The difference between CO₂ taken up by carboxylation and CO₂ released from photorespiration, shown in light grey boxes, equals the sum of individual sinks for assimilated carbon indicated by double-bordered grey boxes (redrawn from Busch 2020). The amount of NO₃⁻ entering the leaf via *de novo* nitrogen assimilation equals the total flux of nitrogen leaving the pathway in the form of glycine and serine $(\alpha_{\rm G}+2/3\alpha_{\rm S})\phi$. The stoichiometric coefficients for nitrogen assimilation are formulated from the understanding that (i) one mol nitrogen assimilation from nitrate (NO₃⁻) into glutamate requires 10 mol electrons, including one mol NADH (equivalent to two electrons) for reducing NO_3^- to nitrite (NO_2^-), six electrons in the form of reduced ferredoxin for reducing NO_2^- to ammonia (NH_4^+), and two electrons again in the form of reduced ferredoxin for the glutamate synthesis from glutamine, and (ii) the formation step of one mol glutamine from NH_4^+ and glutamate also requires one mol ATP, which is the only ATP required for the whole process of NO₃⁻ reduction (Noctor & Foyer 1998). Note that NADH released from the glycine decarboxylation in the mitochondrion, NADH used for transforming hydroxypyruvate into glycerate in the peroxisome, and NADH used for reducing NO3⁻ to NO2⁻ in the cytosol are all shown in the electron equivalents. Abbreviations: 2-OG, 2-oxoglutarate; 3-PGA, 3-phosphoglycerate; CH₂-THF, 5,10-methylene-tetrahydrofolate; Gln, glutamine; Glu, glutamate; PGly, phosphoglycolate; RuBP, ribulose 1,5-bisphosphate; THF, tetrahydrofolate.



Fig. 4 *A*-*C*_c curves within the range of TPU limitation, generated by eqn 16e (with α_T assumed to be zero) assuming both glycine and serine exit with $\alpha_G = 0.1$ and $\alpha_S = 0.2$ (filled circles), by eqn 17a assuming only glycine exit with $\alpha_G = 0.3$ (open triangles), by eqn 17b assuming only serine exit with $\alpha_S = 0.3$ (open circles; but note that "open circles" are largely invisible because most of them overlap "filled circles"), and by eqn 7b with $\alpha = 0.3$ (open squares). Other parameter values for this illustration: $T_p = 10 \mu \text{mol m}^{-2} \text{ s}^{-1}$, $\Gamma_* = 40 \mu \text{bar}$, and $R_d = 0 \mu \text{mol m}^{-2} \text{ s}^{-1}$. Not shown is that if the model eqn 17a or eqn 17b is used to fit the curve of the filled circles, the obtained α_G or α_S was 0.305 or 0.298, respectively (both still *ca* 0.3) while maintaining T_p the same. If eqn 7b is used to fit the curve of the filled circles, the obtained α was 0.397 with the same T_p , suggesting eqn 7b over-estimates the fraction of glycolate carbon not returned to the chloroplast by a factor of 4/3, which is due to not accounting for that exported glycine does not contribute to the 1 in 4 carbons lost by photorespiration.



Fig. 5 The eqn 18b calculated fraction of the total PSI electron flux as pseudocyclic electron transport (f_{pseudo}) for supporting nitrogen assimilation associated with the photorespiratory pathway (assuming a negligible cyclic electron transport), (a) as a function of the oxygenation to carboxylation ratio ϕ when α_G (fraction of glycolate carbon leaving the pathway as glycine) = 0.1 and α_S (fraction of glycolate carbon leaving the pathway as glycine) = 0.1 and α_S (fraction of glycolate carbon leaving the pathway as glycine) = 0.1 and α_S (fraction of glycolate carbon leaving the pathway as glycine) = 0.1 and α_S (fraction of glycolate carbon leaving the pathway as serine) = 0.15, and (b, c, d) as a function of α_G when α_S is set to 0 (filled symbols) or of α_S when α_G is set to 0 (open symbols) when ϕ is fixed at 0.05, 0.30 and 0.60, respectively.



Fig. 6 (a) The calculated difference in net photosynthesis *A*, using the coupled g_m -FvCB model, eqn 20, for two hypothetical leaves whose day respiration (R_d) is preset as 0 µmol m⁻² s⁻¹ (R_{d1}) and 3 µmol m⁻² s⁻¹ (R_{d2}), respectively, - the difference in R_d as indicated by the horizontal line. The calculation used the algorithm assuming an electron transport limitation for the simplest situation of eqn 20, i.e. $\alpha_G = \alpha_S = \alpha_T = 0, m = 0, \delta = 0$ (for the constant g_m scenario). The values used for g_m were 0.25 (filled symbols) or 0.15 (open symbols) mol m⁻² s⁻¹ bar⁻¹. (b) The calculated fractions of refixation within the mesophyll cell ($f_{refix,cell}$) using eqn 21b without the term r_{sc} (open symbols) or using the formula that $f_{refix,cell} = 1 - [A_{(Rd1)} - A_{(Rd2)}]/(R_{d2} - R_{d1})$ (filled symbols). The calculation in (b) assumed that $g_m = 0.25$ mol m⁻² s⁻¹ bar⁻¹. Other parameter values used for both panels (a) and (b): J = 150 µmol m⁻² s⁻¹, and $\Gamma_* = 40$ µbar.



Fig. 7 The CO₂ leakiness ϕ_L calculated by eqn 23b as a function of oxygenation to carboxylation ratio $(V_o:V_c)$, using different values for the H⁺:ATP ratio (*h*) combined either with or without the Q cycle (f_Q) . The results without the Q cycle $(f_Q = 0)$ combined with h = 4 or 4.67 are not shown because these combinations gave very negative estimates of leakiness (redrawn from Yin & Struik 2012). The scenario for possible involvement of the NAD(P)H dehydrogenase-dependent pathway (f_{NDH}) in the cyclic electron transport is not given in this figure, but see the discussion in the text.