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### A Hybrid Seismic Design Method for Steel Irregular Space Moment Resisting Frames

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# A Hybrid Seismic Design Method for Steel Irregular Space Moment Resisting Frames

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## ABSTRACT

A hybrid force/displacement seismic design method for space steel moment resisting frames irregular in plan view and in elevation is developed. Irregularity in elevation is either due to non-uniform distribution of mass or due to the presence of setbacks along the height of the frame. More specifically, 30 different frames irregular in plan view for the first case (plan-irregularities), 40 frames with setbacks (vertical stiffness irregularities) for the second case, and 18 frames with mass discontinuities (vertical mass irregularities) at the first, intermediate and top storey for the third case are considered. All these frames are designed according to Eurocodes 3 and 8 and subjected to 42 pairs of ordinary ground motions. Through nonlinear seismic analyses, seismic response databanks for these three types of irregular frames are generated corresponding to four performance levels. These databanks are then utilized for the development of simple expressions that determine the behavior (or strength reduction) factors of the frames. These are functions of frames geometrical/dynamic characteristics including measures of their irregularities as well as the target maximum interstorey drift ratio and member local ductility. The proposed design method, even though it is mainly a force-based design method, controls deformation and therefore damage through the proposed deformation-controlled behavior factors. Design examples are presented to validate the effectiveness of the method to account for the irregularity effects on the preliminary design stage while time-history analysis results demonstrate its advantages to control better the inelastic response of the frames over the conventional force-based seismic design method of Eurocode 8.

**Keywords:** Steel space frames, Hybrid force/displacement design, Space moment resisting frames, Plan view irregular frames, Frames with setbacks, Mass irregular heightwise frames, Behavior factors, Deformation control

## 1. INTRODUCTION

Irregular building structures may suffer significantly more damages compared to regular structures [1], indicating that their inelastic seismic response cannot be always estimated with safety by utilizing the methods of current seismic design codes [2,3]. The various structural irregularities over the floor plan or along the height of structures lead to a combined non-uniform distribution of stiffness, strength and mass which in turn negatively affects the seismic performance of structures.

Common types of geometrical irregularities in building structures are mainly related to floor plan irregularity, e.g., L, II, or T-shaped buildings in plan view or to the presence of setbacks along the height of the building, i.e., the presence of abrupt reductions of the floor area. In urban areas, for instance, plan-irregular or setback buildings are often used since they increase ventilation and sunlight and use effectively the often irregular available lot area. It is also a common trend, nowadays, for buildings to accommodate different functions at specific levels of the elevation, such as floors with heavy mechanical or electrical equipment, floors used for commercial purposes (shopping centers, entertainment and leisure facilities) or car parking floors. This could result in a significant mass irregularity along the height that cannot be ignored in the design. As a result, stronger beams tend to be installed at floors that sustain the heavier masses.

Many researchers in the past have examined the seismic response of structures with irregularities. A review of research on the seismic behavior of irregular building structures can be found in [1,4]. A detailed description of the inelastic behavior of plan-irregular structures was made by De la Llera and Chopra [5], where the torsional effects by non-uniform distribution of strength and stiffness were considered. Many studies thereafter investigated the influence of strength deterioration on the seismic response of plan-irregular structures under

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57 biaxial seismic excitations adopting more sophisticated modelling approaches [6]. Recent works on multi-  
 58 degree-of-freedom asymmetric reinforced concrete and steel braced frame structures [7] demonstrate the  
 59 necessity of considering a more uniform distribution of ductility demands among adjacent members in the  
 60 seismic design of asymmetric structures.

61 A larger inelastic deformation demand (i.e., member ductility or story drift) was also observed in buildings  
 62 with setbacks either in members near setbacks or in the tower (i.e., the part with the smallest number of bays)  
 63 [8]. Duan and Chandler [9] pointed out that the current design methodologies cannot prevent the members near  
 64 the setback from a severe damage concentration and as a result a stronger design for the tower should be  
 65 considered. Chen et al. [10] observed that the tower exhibits a local vibration mode enough to result in higher  
 66 mode effects indicating the necessity for controlling its response, while Karavasilis et al. [11] concluded that the  
 67 extensive local damages of the tower members could reduce the ductility classification of the building. Very few  
 68 studies have also examined the influence of biaxial seismic excitations and torsional effect on setback space  
 69 buildings [12-14].

70 The seismic response of planar frames with vertical mass irregularities has also been a subject of research  
 71 over the last years. Valmundsson and Nau [15] observed that mass discontinuity at the higher floors affects  
 72 primarily the elastic response of the frames and that at the lower floors affects mostly their inelastic response,  
 73 while Das and Nau [16] found that mass irregularity at the lower or upper floors lead to the worst behavior with  
 74 respect to energy absorption. However, Magliulo et al. [17] concluded that elastic and inelastic responses are  
 75 slightly affected by mass discontinuities which is in accordance with the findings in the study of Tremblay and  
 76 Poncet [18], for steel buildings with diagonal braces. An estimation methodology of the seismic damage of  
 77 planar steel moment-resisting frames with vertical mass irregularities is presented in [19].

78 The above research studies (mostly on two-dimensional (2-D) frames) highlight the importance of treating  
 79 irregular structures as a special type of structures and the need of developing effective design procedures or  
 80 upgrading existing ones to account for the new drift and ductility demands. The three-dimensional (3D) frame  
 81 modelling appears to be a sufficient solution for the study of irregular building structures for which torsional  
 82 motion may not be treated adequately by traditional, simplified plane models [7,14].

83 A new seismic design method, namely the hybrid force/displacement (HFD) design method, has been  
 84 proposed by the present authors and co-workers and its effectiveness has been confirmed in several types of  
 85 regular and irregular planar steel frames [20-22], regular space steel frames [23] and regular planar composite  
 86 steel/concrete frames [24]. The HFD seismic design method combines the advantages of both the force-based  
 87 design (FBD) method [2,3] and the direct displacement-based design (DBD) method [25] in a hybrid  
 88 force/displacement design scheme and works within the framework of the performance-based seismic design  
 89 (PBSD) [26]. The main advantages of HFD is the fact that directly controls both structural and non-structural  
 90 damage and requires fewer design iterations than the conventional FBD method as well as it does not use  
 91 substitute SDOF structures and highly damped displacement response spectra as the DBD method. A  
 92 deformation-controlled behavior factor  $q$  (or strength reduction factor  $R$ ) is determined to limit the global  
 93 ductility and seismic design forces are calculated by utilizing the familiar to engineers response spectrum  
 94 analysis.

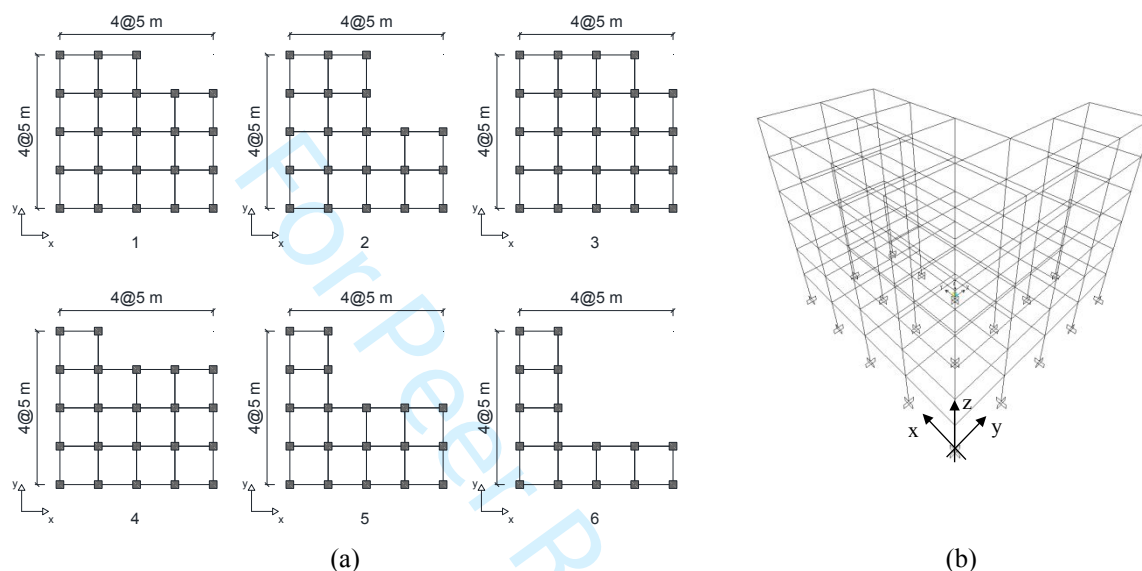
95 In this study, the HFD is developed for irregular in elevation and floor plan space steel moment resisting  
 96 frames (MRF) as well as for frames with vertical mass irregularities. A large seismic response databank is  
 97 generated on the basis of 88 space irregular MRFs databank according to Eurocodes 3 and 8 [27,3], four  
 98 different performance levels with respect the  $IDR$  and  $\mu_0$ , and non-linear dynamic analysis under 42 pairs of  
 99 ordinary ground motions. This response databank is utilized to develop the necessary empirical equations  
 100 employed by the HFD. Realistic performance-based design examples of three irregular MRFs are presented and  
 101 evaluated with nonlinear time-history analyses for three performance levels. Comparisons with the design  
 102 results of the FBD method of EC8 [3] demonstrate the advantage of the proposed design method to account in a  
 103 direct manner for the irregularity effects and control the inelastic response of these irregular frames.

## 104 2. SEISMIC DESIGN OF STEEL IRREGULAR SPACE FRAMES

### 105 2.1. Space frames irregular in plan view

106 In this section, 30 steel space MRFs regular along their height and irregular in plan view (L-shaped plan) are  
 107 designed. The frames have a storey height equal to 3.00 m and a bay span 5.00 m. Figure 1a shows in plan view  
 108 the 6 types of the frames considered. Each type has 3, 6, 9, 12 and 15 storeys, hence there are  $6 \times 5 = 30$  such  
 109 MRFs. Figure 1b depicts in perspective form a 6-storey space frame of type 5 in Figure 1a. The present space  
 110 frames constitute frame Group A. A torsional response is expected in the frames of Group A due to their  
 111  
 112

1  
2  
3 113 stiffness and mass irregularity caused by their L-shaped plan view, and therefore, accidental eccentricity is not  
4 114 considered as a part of the design and assessment of their structural response. This design approach may be  
5 115 considered to be against the clause 4.3.2 of EC8 [3], but it is used to investigate if the torsional response of the  
6 116 frames of Group A is higher compared to the torsional response of the corresponding regular frames with 5%  
7 117 accidental eccentricity (see Section 4.1). It should be noted that one of the EC8 [3] criteria to characterize a  
8 118 building as irregular in plan, is when the slenderness ratio  $\lambda = L_{max}/L_{min}$  of the building in plan is higher than 4,  
9 119 where  $L_{max}$  and  $L_{min}$  are respectively its larger and smaller dimension. In the current design cases of the buildings  
10 120 of Group A, the range of  $\lambda$  ratio is between 1.33 and 4 and thus the level of the building irregularities can be  
11 121 considered low to moderate.  
12 122



31 123 Figure 1. Group A of space steel frames: (a) frames plan view (Type 1-6); (b) perspective view of the six-  
32 124 storeys four-bays space MRF (Type 5 in plan view)  
33 125

34 126 The gravity loads combination was  $1.35G + 1.5Q$ . The dead and live design loads were  $G = 6.5 \text{ kN/m}^2$  and  $Q$   
35 127  $= 2.0 \text{ kN/m}^2$ , respectively, while structural self-weight was considered in the structural analysis process. The  
36 128 earthquake load was determined by the Type 1 elastic design spectrum of EC8 [3] for soil class B. The peak  
37 129 ground acceleration (PGA) was taken equal to  $0.24g$  ( $g = 9.81 \text{ m/s}^2$ ), while a behavior factor  $q = 6.5$  was used to  
38 130 size the frames for both the x and y directions of the building. It should be noted that in accordance with EC8 [3],  
39 131 for buildings which are not regular, the  $q$  factor should be reduced by 20%. This reduction of  $q$  has been  
40 132 considered in the design of all frames of this work. The seismic design combinations were  $G + 0.3Q \pm E_x \pm$   
41 133  $0.3E_y$ ,  $G + 0.3Q \pm E_y \pm 0.3E_x$ , where  $E_x$  and  $E_y$  are the seismic loads in x and y direction, respectively.

42 134 Every frame was designed according to Eurocodes 3 and 8 [27,3] by using the commercial software SAP  
43 135 2000 [28] and conducting spectrum analysis. For the beams, compact IPE structural sections of grade S235 were  
44 136 selected while for the columns compact square-hollow-sections (SHS) of grade S355 as a practical way to  
45 137 satisfy efficiently the capacity design of joints. In dissipative elements, all the sections are classified as Class 1.  
46 138 SHS columns are part of the lateral resisting system in both x and y directions, and thus are subjected to  
47 139 bidirectional bending and axial load due to the gravity and seismic design situation. The use of SHS in the  
48 140 frames of this work is preferable since the strength and stiffness is the same in both local axes compared to other  
49 141 H commercial sections. Same design approach applies also for the buildings of Group B and C. The beam-to-  
50 142 column joints were designed to be rigid. Second order effects ( $P-\Delta$ ) were considered through the interstorey  
51 143 drift sensitivity coefficient  $\theta$  [3]. As additional design quantities to quantify the mechanical characteristics of the  
52 144 designed frames, the column-to-beam flexural strength ratio  $\alpha$  and the mid-height beam-to-column stiffness ratio  
53 145  $\rho$  were adopted in the present study as defined in [21,29].

54 146 For all frame cases, the coefficient  $\theta$  [3] governs the seismic design resulting in a cross-section enlargement  
55 147 to satisfy the drift requirements. This is a known issue of EC8 [3] for steel MRFs, in particular when high  $q$   
56 148 factors are used in low to moderate seismicity designs. However, the initial choice of a high  $q$  factor plays a  
57 149 direct role in the design solution [30] and lighter solutions can very likely be adopted if a lower  $q$  factor was  
58 150 initially adopted instead, especially in the case of tall buildings. The cross-sections of the 6-stories and 12 stories  
59 151 frames are given in Table 1. Cross-sections for the 3-stories, 9-storieys and 15-storieys frames can be found in  
60 152 [22]. In Table 1, B denotes beam and C denotes column. The subscripts x and y stand for the respective

directions while  $e$  and  $i$  mean “exterior” and “interior”, respectively. Section dimensions are given in mm. Minimum and maximum values of  $\rho$  and  $\alpha$  are also provided for which  $\rho$  decreases as  $\alpha$  increases and vice versa. In addition, the three first natural periods are provided in Table 2.

**Table 1.** Sections of space steel frames of Group A.

*Six storey space MRF				*Twelve storey space MRF			
Floor	Group A			Floor	Group A		
	IPE		SHS		IPE		SHS
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$
1	330	360	350x16	1	360	450	400x20
2	330	360	300x16	2	400	450	400x20
3	330	360	300x16	3	400	450	400x20
4	300	330	300x12	4	360	450	400x20
5	300	330	300x12	5	360	400	400x20
6	300	330	300x12	6	360	400	400x16
* $0.24 < \rho < 0.43$ and $1.60 < \alpha < 2.27$				7	330	400	400x16
				8	330	360	350x16
				9	300	360	300x16
				10	300	360	300x16
				11	270	330	300x12
				12	270	330	300x12
				* $0.19 < \rho < 0.30$ and $2.60 < \alpha < 3.63$			

**Table 2.** Three first vibration periods of the 6-storeys and 12-storeys space steel frames of Group A.

Frames of Group A					
Number of storeys	Frame type	Period (sec)			
		$T_1$	$T_2$	$T_3$	
6	1	1.21	1.21	1.09	
6	2	1.19	1.19	1.09	
6	3	1.22	1.22	1.09	
6	4	1.20	1.20	1.08	
6	5	1.17	1.17	1.07	
6	6	1.12	1.12	1.05	
12	1	1.92	1.92	1.76	
12	2	1.91	1.90	1.76	
12	3	1.93	1.92	1.77	
12	4	1.92	1.91	1.76	
12	5	1.89	1.89	1.75	
12	6	1.87	1.87	1.73	

## 2.2 Space frames with setbacks along the height

In this section, 40 steel space MRFs regular in the plan view and irregular along the height (with setbacks) are designed following the same assumptions and design methodologies adopted for the frames of Group A. The number of the bays in x direction reduces with the number of storeys along the height, as shown in Figure 2a, thereby creating a geometrical discontinuity along that height. Figure 2b shows in 3D a representative 6-storeys space frame with 3 bays in both directions for the first, second and third storey, and one bay in x direction for the rest three storeys. Figure 2c illustrates all the frames under consideration. The current space frames constitute frame Group B and have 3, 6 and 9 storeys. Similarly, with the frames of Group A, a torsional response is expected in the frames of Group B due to their stiffness and mass irregularity along their height caused by the setbacks, and therefore, accidental eccentricity is not considered. The cross-sections of the frames of Group B had dimensions similar to those of Group A. Sections of the 6-storey and 9-storey frames are shown in Table 3 as the most representative, while the rest can be found in Tzimas [22]. The three first natural periods of Group B frames are provided in Table 4.



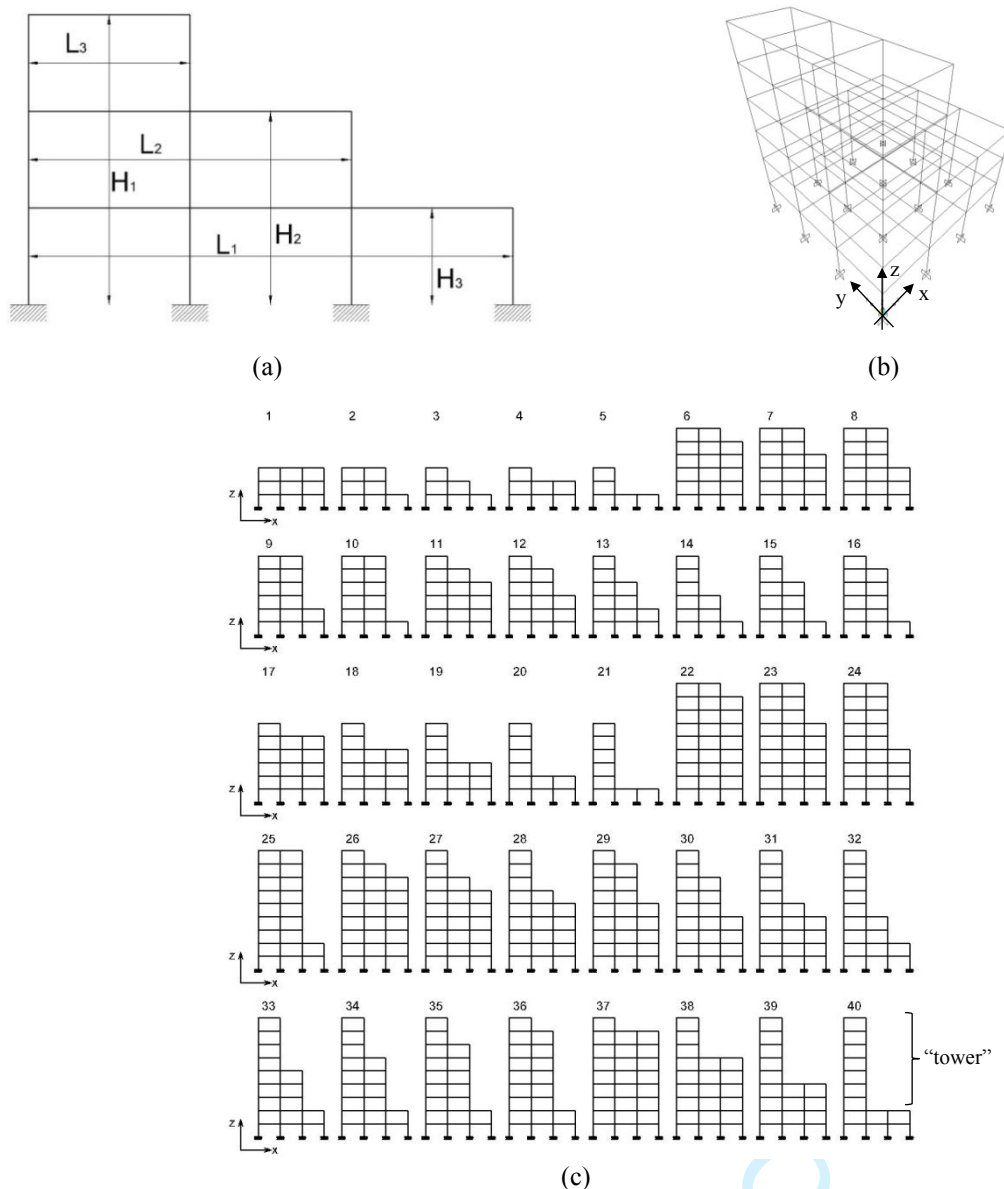


Figure 2. (a) Geometry of frames with setbacks; (b) perspective view of a six-storey with setbacks along the height; (c) all the frames with setbacks considered (Frame type 1 – Frame type 40)

Table 3 Section of the six-storey and nine-storey space steel frames of Group B.

*Six storey space MRF				*Nine storey space MRF			
Group B				Group B			
Floor	IPE		SHS	Floor	IPE		SHS
	$F_{xe}, F_{ye}$	$F_{xi}, F_{yi}$			$F_{xe}, F_{ye}$	$F_{xi}, F_{yi}$	
1	330	360	350x16	1	300	360	400x16
2	330	360	300x16	2	330	400	400x16
3	330	360	300x16	3	330	400	400x16
4	300	330	300x12	4	330	400	400x16
5	300	330	300x12	5	330	400	400x16
6	300	330	300x12	6	300	360	350x16
				7	270	330	350x16
				8	270	300	300x12
				9	270	300	350x12

\* $0.24 < \rho < 0.43$  and  $1.60 < \alpha < 2.27$

\* $0.18 < \rho < 0.32$  and  $2.19 < \alpha < 2.93$

In the adopted frame design with setbacks, the storey area is reduced approximately by 33% and 66% in x direction because of the setbacks. The criterion of the EC8 [3] to characterize a building with setbacks as irregular in elevation, is when the individual setbacks are greater than 10 % of the previous plan dimension, thus the level of the building irregularities can be considered high. The geometrical irregularity introduced by setbacks is quantified through the  $\Phi_s$  and  $\Phi_b$  indices which according to Figure 2a can be taken from [11,31]

$$\Phi_s = \frac{1}{n_s - 1} \cdot \sum_{i=1}^{i=n_s-1} \frac{L_i}{L_{i+1}} \quad \Phi_b = \frac{1}{n_b - 1} \cdot \sum_{i=1}^{i=n_b-1} \frac{H_i}{H_{i+1}} \quad (1)$$

where  $n_s$  is the number of storeys and  $n_b$  the bays number of the first storey. Figure 2a defines  $H_i$  and  $L_i$ .

**Table 4.** Three first vibration periods of the 6-storeys space steel frames of Group B.

Frame case (See Figure 2c)	Frames of Group B				
	$\Phi_s$	$\Phi_d$	Period (sec)		
			$T_1$	$T_2$	$T_3$
6	1.10	1.10	1.14	1.12	0.96
7	1.10	1.25	1.11	1.07	0.87
8	1.10	1.50	1.10	1.06	0.85
9	1.10	2.00	1.11	1.09	0.90
10	1.10	3.50	1.18	1.17	1.03
11	1.30	1.23	1.04	0.99	0.81
12	1.30	1.43	1.03	0.97	0.75
13	1.30	1.75	0.98	0.92	0.69
14	1.30	2.50	0.97	0.94	0.74
15	1.30	2.75	0.99	0.95	0.75
16	1.30	3.10	1.05	1.03	0.85
17	1.40	1.10	1.07	1.05	0.91
18	1.40	1.25	0.99	0.93	0.76
19	1.40	1.50	0.96	0.90	0.69
20	1.40	2.00	0.97	0.96	0.78
21	1.40	3.50	1.06	1.01	0.90
22	1.06	1.06	1.53	1.52	1.36
23	1.06	1.25	1.48	1.42	1.19
24	1.06	1.40	1.48	1.42	1.16
25	1.06	2.75	1.51	1.49	1.27
26	1.19	1.13	1.44	1.39	1.22
27	1.19	1.23	1.37	1.28	1.08
28	1.19	1.35	1.32	1.21	0.96
29	1.19	1.36	1.41	1.32	1.07
30	1.19	1.52	1.36	1.25	0.97
31	1.19	1.53	1.30	1.19	0.91
32	1.19	2.13	1.32	1.26	0.99
33	1.19	2.15	1.32	1.24	0.95
34	1.19	2.25	1.34	1.25	0.98
35	1.19	2.39	1.38	1.30	1.05
36	1.19	2.56	1.43	1.39	1.16
37	1.25	1.06	1.47	1.45	1.32
38	1.25	1.25	1.33	1.24	1.04
39	1.25	1.625	1.31	1.22	0.94
40	1.25	2.75	1.38	1.38	1.14

### 2.3. Space frames with mass discontinuity along their height

In this section, 18 steel space MRFs regular in plan view and with a non-uniform distribution of mass along the height are designed. These space frames constitute frame Group C. The mass discontinuity is located either at the bottom storey (Frame B) or at the middle storey (Frame M) or at the top storey (Frame T), as shown in Figure 3. The mass discontinuity is quantified by the mass ratio,  $m_r$ . The quantity  $m_r$  is defined as the ratio of the mass of the storey that sustains the large weight (critical storey) to the smaller mass of the masses of the adjacent storeys. This means that the critical storey of each frame carries higher gravity loads compared to the adjacent storeys and this has been considered in frames design. Based on the recommendation of ASCE 7-10 [32], a building structure is considered irregular in terms of vertical mass discontinuity, when the quantity  $m_r$  takes a value higher than 1.5. EC8 [3] does not provide any corresponding  $m_r$  limit as a vertical irregularity criterion. In the examined space frames,  $m_r$  equals 2 and 3. The frames have 3, 6 and 9 storeys with 4 bays. An accidental eccentricity of 5% in directions x and y, separately, is taken into account in the design. Table 5 shows the final cross-sections of the 6-storeys and 9-storeys frames, while the three natural periods of these frames are provided in Table 6. Based on Table 6, it is observed that the period of frames increases when the mass discontinuity is located at the upper storeys. Cross-sections for the 3-storeys frames can be found in [22].



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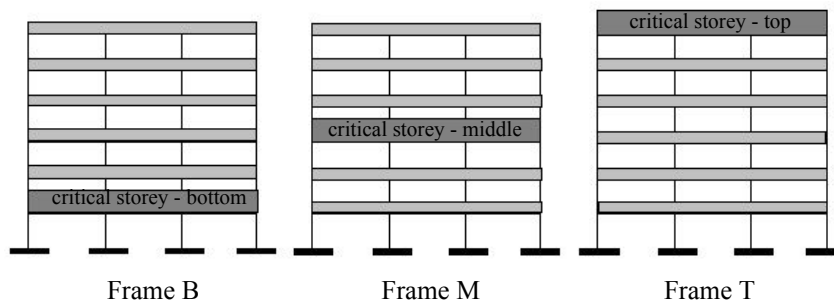


Figure 3. The location of mass discontinuity considered in this study

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Table 5. Sections of the 6-storeys and 9-storeys space steel frames of Group C.

*Six storey space MRF								
$m_r = 2$				$m_r = 3$				
Frame B				Frame B				
Floor	IPE		SHS	Floor	IPE		SHS	
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$	
1	330	360	350x16	1	330	500	350x16	
2	330	360	300x16	2	330	360	350x16	
3	330	360	300x16	3	330	360	350x16	
4	300	330	300x12	4	300	330	300x16	
5	300	330	300x12	5	300	330	300x16	
6	300	330	300x12	6	300	330	300x16	
Frame M				Frame M				
1	330	360	350x16	1	330	360	350x16	
2	330	360	300x16	2	330	360	350x16	
3	330	360	300x16	3	330	500	350x16	
4	300	330	300x12	4	300	330	300x16	
5	300	330	300x12	5	300	330	300x16	
6	300	330	300x12	6	300	330	300x16	
Frame T				Frame T				
1	330	360	350x16	1	330	360	400x16	
2	330	360	300x16	2	330	360	400x16	
3	330	360	300x16	3	330	360	400x16	
4	300	330	300x12	4	300	330	350x12	
5	300	330	300x12	5	300	330	350x12	
6	300	330	300x12	6	300	450	350x12	

\* $0.19 < \rho < 0.30$  and  $4.26 < \alpha < 5.79$

*Nine storey space MRF								
$m_r = 2$				$m_r = 3$				
Frame B				Frame B				
Floor	IPE		SHS	Floor	IPE		SHS	
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$	
1	300	360	400x16	1	300	500	400x16	
2	330	400	400x16	2	330	400	400x16	
3	330	400	400x16	3	330	400	400x16	
4	330	400	400x16	4	330	400	400x16	
5	330	400	400x16	5	330	400	400x16	
6	300	360	350x16	6	300	360	350x16	
7	270	330	300x12	7	270	330	350x16	
8	270	300	300x12	8	270	300	300x12	
9	270	300	300x12	9	270	300	300x12	
Frame M				Frame M				
1	300	360	400x16	1	300	400	400x20	
2	330	400	400x16	2	330	450	400x20	
3	330	400	400x16	3	330	450	400x20	
4	330	400	400x16	4	330	450	400x20	
5	330	400	400x16	5	330	500	400x16	
6	300	360	350x16	6	300	360	400x16	
7	270	330	350x16	7	270	330	350x16	
8	270	300	300x12	8	270	300	300x16	
9	270	300	300x12	9	270	300	300x16	

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Frame T				Frame T			
1	300	360	400x16	1	330	400	400x20
2	330	400	400x16	2	360	450	400x20
3	330	400	400x16	3	360	450	400x20
4	330	400	400x16	4	360	450	400x20
5	330	400	400x16	5	330	400	400x16
6	300	360	350x16	6	330	360	400x16
7	270	330	350x16	7	300	330	350x16
8	270	330	300x12	8	300	330	300x16
9	270	330	300x12	9	300	450	300x16

\*0.15 <  $\rho$  < 0.27 and 5.04 <  $\alpha$  < 6.28

**Table 6.** Three first vibration periods of the 6-storeys and 9-storeys space steel frames of Group C.

Frames of Group C					
Frame case (See Figure 3)	Number of storeys	$m_r$	Period (sec)		
			$T_1$	$T_1$	$T_1$
B	6	2	1.23	1.23	1.10
B	6	3	1.14	1.14	1.05
B	9	2	1.63	1.63	1.53
B	9	3	1.58	1.58	1.52
M	6	2	1.28	1.28	1.15
M	6	3	1.23	1.23	1.14
M	9	2	1.69	1.69	1.60
M	9	3	1.58	1.58	1.56
T	6	2	1.43	1.43	1.28
T	6	3	1.47	1.47	1.33
T	9	2	1.81	1.81	1.71
T	9	3	1.80	1.80	1.68

### 3. FRAME MODELING AND GROUND MOTIONS FOR INELASTIC ANALYSIS

#### 3.1 Frame modelling

Nonlinear dynamic analyses were performed with the aid of Ruaumoko 3D software (Carr [33]) by taking into account the effect of large displacements. The frame modeling considers simple center-line representation for frame members with a rigid diaphragmatic action at every floor along the frame height. Rayleigh type damping corresponding to 3% of critical damping in the first and  $n^{\text{th}}$  mode ( $n$  is the number of the frame storeys), in conjunction with the tangent stiffness matrix was assumed. The abovementioned damping modeling assumptions are based on the recommendations of [33] to avoid potential unrealistic damping forces that result in underestimation of peak displacement demands and overestimation of peak strength demands. It is noted that for all models, the first and second periods were translational, while the third one was torsional. The use of the first and  $n^{\text{th}}$  mode in Rayleigh damping definition considers that the effective mass for the first  $n$  modes of vibration is at least 90% of the total mass of the structure.

Bilinear elastoplastic hinges were considered at beam and column ends with a strain hardening equal to 3% [33]. This modeling is considered adequate for time-history analysis under design level ground motions [3, 34]. Based on Skalomenos et al. [35], at high seismic intensities, the use of more refined models that capture stiffness and strength deterioration phenomena in beams, columns and panel zone connections is recommended in determining the ductility demands of plane composite frames and smaller behavior factors can be observed than those determined by simplified model assumptions [36]. The influence of the modelling sophistication to the frames under consideration is a subject of continuing study. The M-N interaction effect in three dimensions was taken into account. Considering plastic hinge formation in columns, the effect of the axial force on the plastic moment strength is taken into account through the interaction formulae [27]

$$\frac{N}{N_{pl,Rd}} + \frac{M_y}{M_{pl,y,Rd}} + \frac{M_z}{M_{pl,z,Rd}} = 1.0 \quad (2)$$

where  $N$ ,  $M_y$  and  $M_z$  are the axial force and the bending moments in the cross-section of the column,  $N_{pl,Rd}$  is the axial plastic resistance, and  $M_{pl,y,Rd}$  and  $M_{pl,z,Rd}$  are the plastic moments of resistance. It should be noted that local buckling limits the ability of SHS to form stable plastic hinges and the behavior is highly dependent on the width-thickness ( $b/t$ ) and the depth-thickness ratio ( $h/t$ ). Based on the testing results of [37], SHS members subjected to bending due to cyclic loading start deteriorating behavior at 0.04 rad rotation level. This rotational

level is expected to occur at seismic levels beyond the design earthquake. A sufficient rotational capacity and strength of the columns may be achieved through connection detailing, e.g. by using stiffeners, which can lead to stable hysteretic behavior of the columns for the  $IDR$  values considered in this work, i.e. up to 4%.

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### 3.2 Ground motions

The space steel frames were subjected to 42 pairs of far-fault seismic excitations [38], where a full list of all these ground motions with their characteristics can be found in [22]. Figure 4 depicts the elastic spectra of the ground motion components. The selection of ground motions was based on the comparison between the spectral ordinates of each ground motion against the spectral ordinates of the design basis earthquake at the fundamental period of each frame. In that way the scaling factor of each ground motion can be controlled in order not to take excessive values at higher performance levels. It should be noted that in the literature there are also different procedures for selecting and scaling acceleration histories such as the one described in FEMA P58 [39].

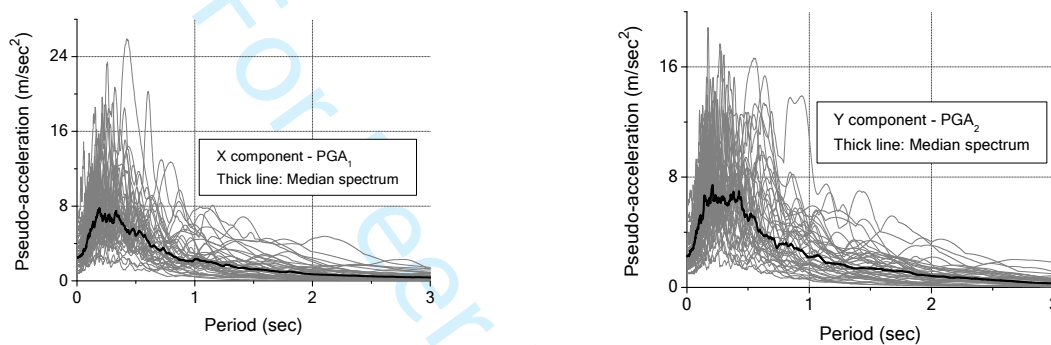


Figure 4. Response spectra for the x and y components of the ground motions.

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### 3.3 Seismic response databank

The seismic response databank of the 88 space irregular MRFs was created by approximately 30000 dynamic nonlinear time histories in the framework of incremental dynamic analysis (IDA). Each IDA analysis subjects the structure to a single ground motion with repeatedly scaling its amplitude. The IDA curve that correlates the peak structural response quantity with the seismic intensity was made. The scaling factors ( $SF$ ) of the ground motion that drives the structure to the four performance levels proposed by SEAOC [40] were identified and recorded for every structure and ground motion pair. Those four performance levels were: (a) formation of first plastic hinge in the frame; (b) peak value for the target interstory drift ratio along the frame height ( $IDR_{max}$ ) equal to 1.8%; (c)  $IDR_{max}$  equal to 3.2 and (d)  $IDR_{max}$  equal to 4%. The two components of the 42 ground motions were alternated along the x and y axis of the buildings as those axes were defined in the schematic views of Section 2. The bisection method [21,22,24] was programmed in MATLAB [41] which operated the time history analyses and determined accurately the appropriate scale factor for each performance level ( $SF_{PL}$ ).

Once the  $SF_{PL}$  was known, the response quantities of interest were obtained for each performance level. These were: (1) the roof displacement at the onset of first plastic hinge,  $u_{r,y}$ , (2) the peak roof displacement,  $u_{r,max}$ , (3) the  $IDR_{max}$ , (4) the maximum local rotational ductility of beams and columns,  $\mu_\theta$ , (5) the maximum roof displacement ductility,  $\mu_r$ , (6) the behavior factor  $q$ , (7) irregularity metrics quantified through indices, e.g.  $\Phi_s$  and  $\Phi_b$ ; and (8) the fundamental periods of vibration. The  $\mu_\theta$  is defined as  $1 + \theta_p / \theta_y$ , where  $\theta_y$  and  $\theta_p$  are the yield chord rotation and the plastic rotation the member's ends, respectively. The  $\mu_r$  is defined as  $u_{r,max} / u_{r,y}$  for each performance level. The corresponding behavior factor  $q$  is calculated as the ratio of the  $SF_{PL}$  over the  $SF_y$  (scale factor that drives the structure to the first yielding) [35,39]. The proposed  $q$  factor, unlike the traditional constant  $q$  factor used in EC8 [3], is determined for each performance level and depends on the deformation demands in terms of  $IDR$  and  $\mu_\theta$ . It should be noted that peak floor acceleration (PFA) can be used as an additional performance metric for the non-structural elements, e.g. acceleration sensitive equipment. The inclusion of acceleration measures in HFD method can be considered as an important future development

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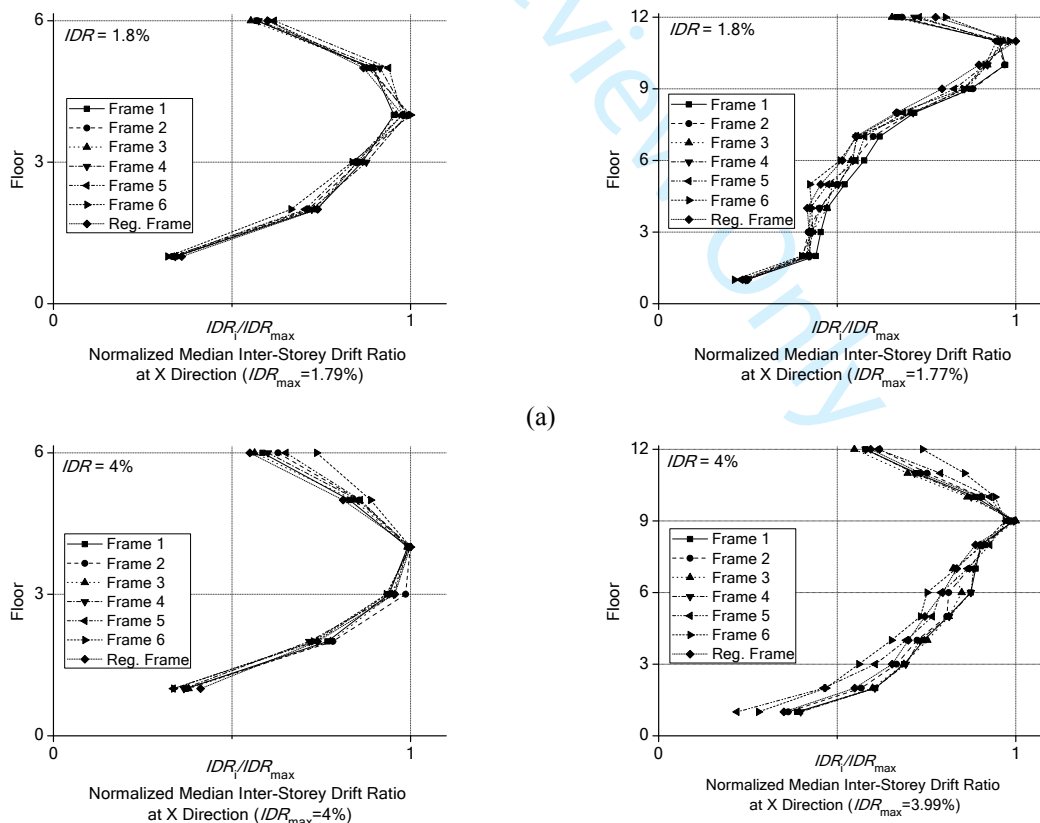
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## 4. TIME HISTORY ANALYSIS RESULTS

On the basis of the developments and assumptions presented in this work, the current section introduces the seismic behavior of the steel space irregular MRFs under consideration. A discussion on seismic responses for each type of structural irregularity is presented for representative frames. The results highlight the importance of considering the irregularity design parameters and are assessed accordingly to develop the proposed design method. A future goal is to further extend the current design method to other types of building structures with, e.g., strength and stiffness degradation, semi-rigid joints, I sections for columns, or combination of irregularities.

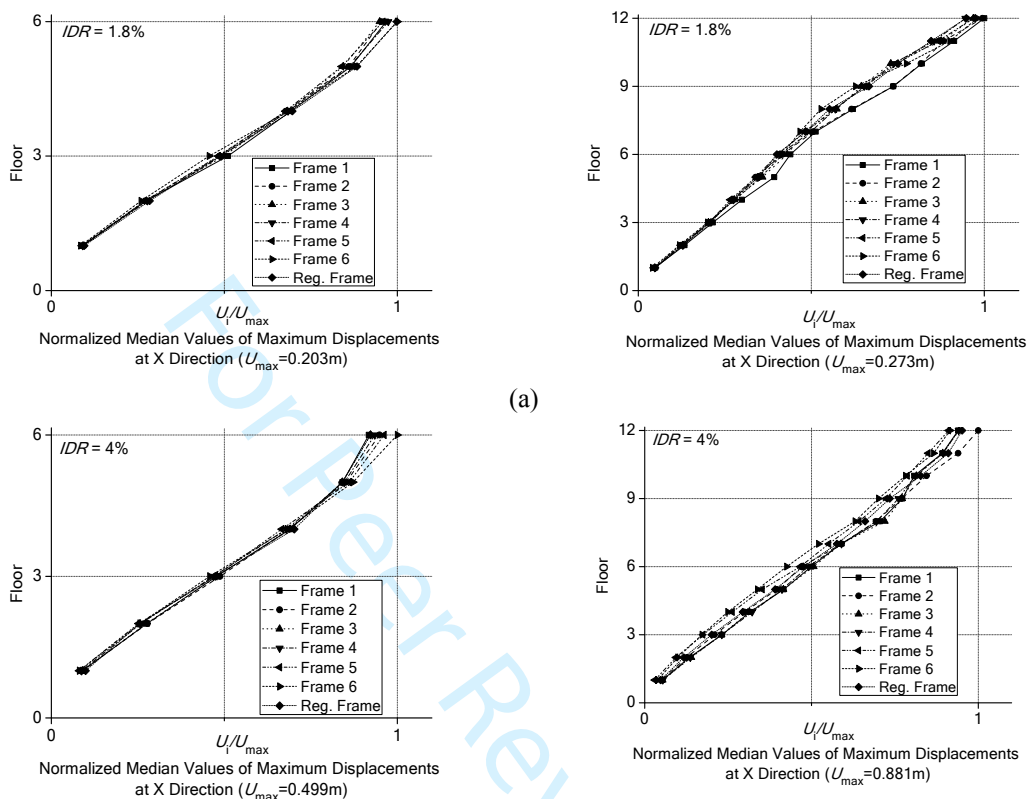
#### 4.1. Space frames irregular in plan view

Figure 5 shows the normalized median of the  $IDR$  along the height of the 6 and 12 storey frames of Group A. Accordingly, Figure 6 shows the normalized median of the peak values of lateral storey displacements along the frame elevation. Results are shown only for the performance levels of  $IDR_{max}=1.8\%$  and  $IDR_{max}=4.0\%$ . For the performance levels at the first plastic hinge and  $IDR_{max}=3.2\%$  one can look at [22]. It was found that the seismic response is similar along the x or y direction, and therefore, only the results of the x direction are presented. For comparison reasons, the responses of the corresponding regular-in-plan-view frames (with square plan view) are also plotted in these figures (labeled as Reg. Frame). It is noted that an accidental eccentricity of 5% was considered in the design of these regular-in-plan-view frames. An observation of Figures 5 and 6 and the remaining ones in [22], reveals that the response of the frames is similar with a dispersion that increases slightly with the number of storeys. In particular, the response of Frames type 1 – 5 is very similar with the response of the Reg. Frame, indicating that the plan irregularity considered in this study may not play an important role in the seismic response of the frames. However, the response of Frame 6 appears to be the one with the higher difference compared to the rest of frames and requires further investigation. In addition, it is observed from the  $IDR$  profiles that peak values in tall buildings are recorded in higher storeys. To make the  $IDR$  distribution more uniform along the frame elevation, a solution could be to increase the beam and/or the column dimensions at the upper floors. However, this may increase the weight of the building disproportionately. Finally, Figure 7 illustrates the normalized median inter-storey twist by the storey height at x and y direction of the 6 storey frames for the performance level with  $IDR = 4.0\%$ . The torsional response is smaller in all Frames 1 – 6 of Figure 1a compared to the torsional response of the Regular Frame, as shown in Figure 7. This result can also demonstrate that the EC8 [3] slenderness  $\lambda$  criterion for regularity in plan is valid, considering that all the other regularity in plan criteria of clause 4.2.3.2 of EC8 [3] are met.

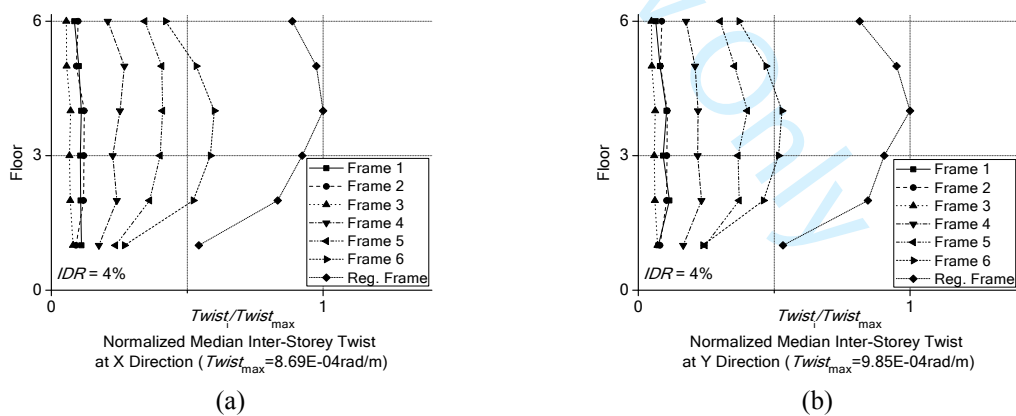


(a)

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5 323 Figure 5. *IDR* profile of the 6 and 12 storey frames of Group A: (a) first plastic hinge; (b) *IDR* = 1.8%; (c) *IDR*  
6 324 = 3.2%; (d) *IDR* = 4%.  
7 325



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33 326  
34 327 Figure 6. Floor displacements profile of the 6 and 12 storey frames of Group A: (a) *IDR* = 1.8%; and (b) *IDR* =  
35 328 4%.  
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51 330 Figure 7. Normalized median inter-storey twist of the 6-storey frames of Group A: *IDR* = 4.0% at: (a) x  
52 331 direction and (b) y direction.  
53 332  
54 333

55 334 5.2 Space frames with setbacks along the height  
56 335

57 336 Figure 8 depicts with the same manner as Figures 5 and 6 the lateral storey displacements and *IDR* profiles  
58 337 of the Group B frames, for the first three performance levels. The 6-storey frames (See Figure 2, Frames 6 to 21)  
59 338 are shown in those figures. The figures illustrate the frame responses along the x direction. The displacement  
60 339 and *IDR* profiles were created by using the maximum values obtained from the frame nodes at the perimeter of



each storey. In Figure 8 the responses of Frame 10, 19 and 21 are highlighted with dark marked lines as more representative ones among the 16 six-storey frames, while the remaining frame responses are depicted by light gray lines. For comparison reasons, the responses of the corresponding regular frames (no setbacks and an accidental eccentricity of 5%) are also plotted in those figures (labeled as Reg. Frame).

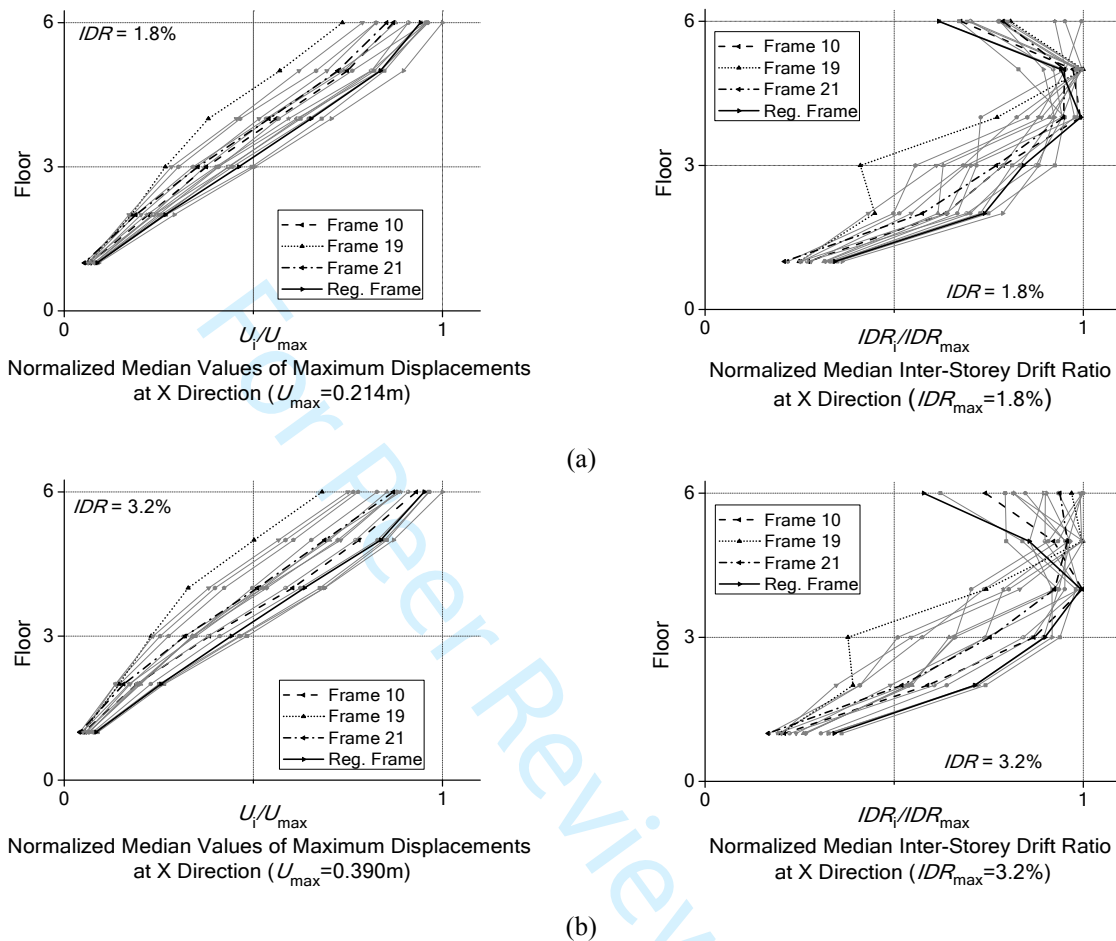


Figure 8. Peak floor displacements and  $IDR$  profiles of the 6-storey frames of Group B: (a)  $IDR = 1.8\%$ ; and (b)  $IDR = 3.2\%$ .

**Table 7.** Frames exhibiting the minimum and maximum floor displacement along the x and y direction among all the frames of Group B (See Figure 2), including the corresponding regular frame.

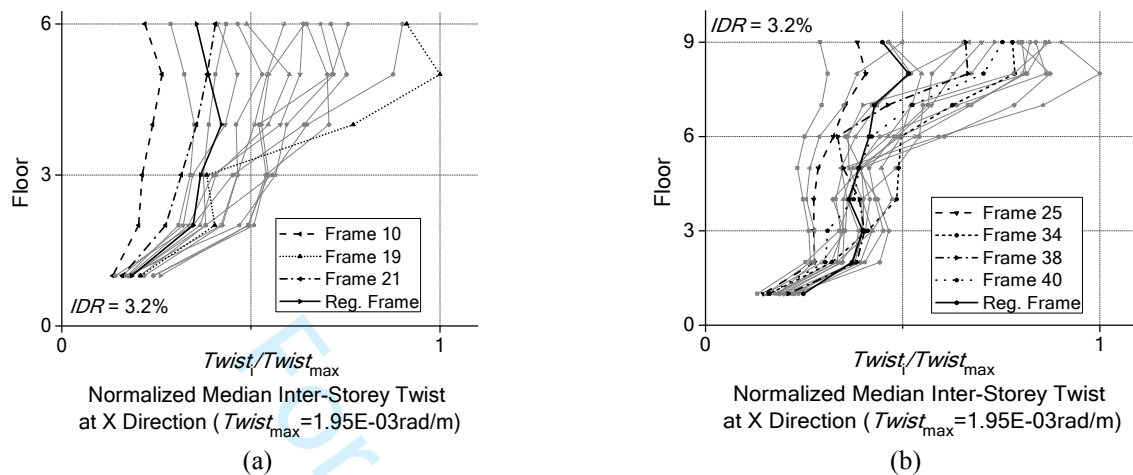
3-storey				6-storey				9-storey			
x direction		y direction		x direction		y direction		x direction		y direction	
min	max	min	max	min	max	min	max	min	max	min	max
Frame 5	Regular Frame	Frame 5	Regular Frame	Frame 19	Frame 11	Frame 14	Frame 18	Frame 40	Regular Frame	Frame 39	Frame 26

The indices  $\Phi_s$  and  $\Phi_b$  considered here, appear to be adequate to quantify the influence of the setbacks on the dynamic behavior of frames. For all the performance levels, those frames with values of  $\Phi_s$  and  $\Phi_b$  close to unity exhibit larger floor displacements than those frames which form a “tower” along their height. This is also observed in Table 7, where the frames that exhibit the minimum and maximum displacement along the x and y direction among all the frames of Group B (including the corresponding regular frame) are summarized. It should be noted that the frame responses along the x direction show a larger dispersion compared with the ones along the y direction because of the flexibility of the “tower” along the x direction.

Figure 8 shows that  $IDR$  profiles among all the frames have the same shape for the low performance level. In high-damage performance levels, the shape of  $IDR$  profiles is becoming less uniform due to the inelastic deformations. The dispersion with respect to the amplitudes increases between the lower and higher floors. The



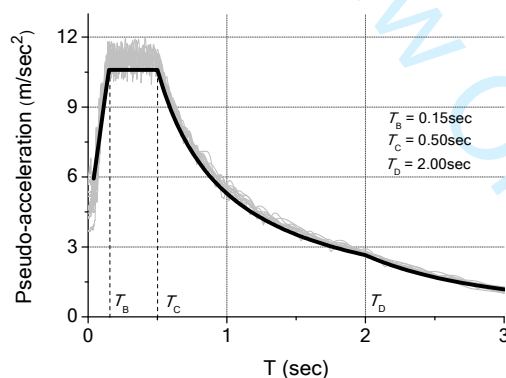
363 torsional behavior was also found to be larger in all frames of Group B compared to the torsional behavior of the  
 364 Reg. Frame. Figure 9 illustrates the normalized median inter-storey twist by the storey height for the 6-storey  
 365 and 9-storey frames associated with  $IDR = 3.2\%$  along the x direction.  
 366



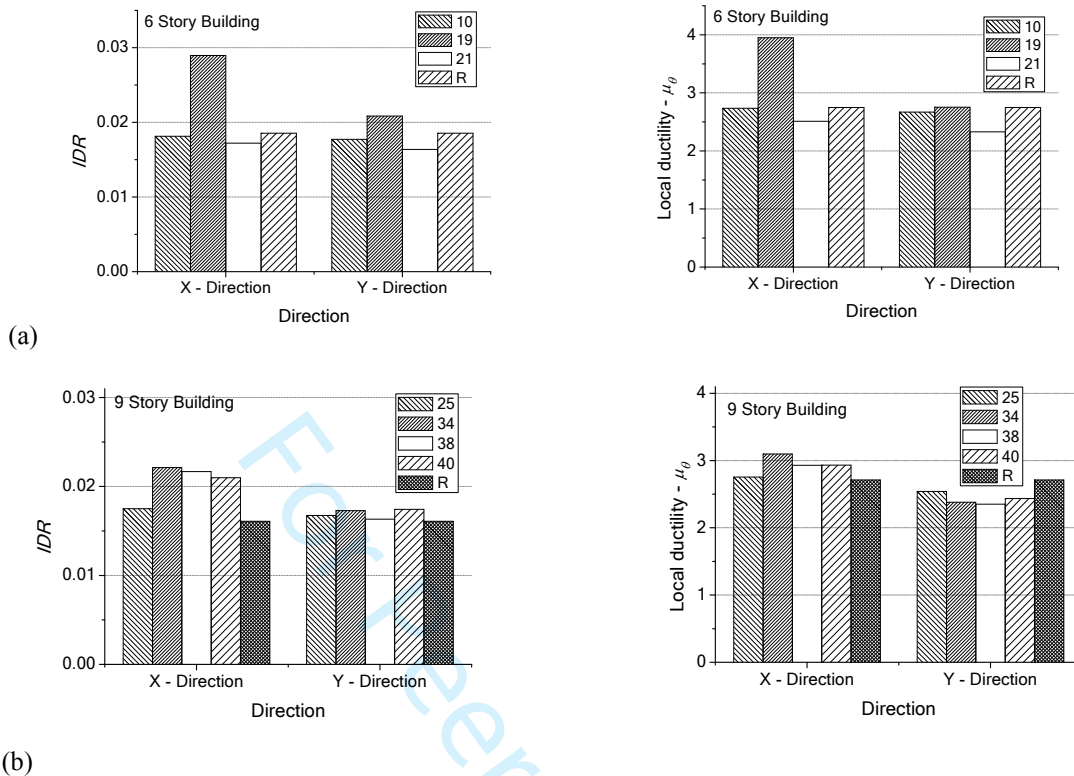
367 Figure 9. (a) Normalized median inter-storey twist of the 6-storey; and (b) 9-storey frames of Group B  
 368 associated with  $IDR = 3.2\%$  along the x direction.

369

370 Frame structures with setbacks along their height are expected to exhibit a larger level of damage compared  
 371 to the corresponding regular structures. To further justify this discussion on the frame considered, the inelastic  
 372 behavior of the frames of Group B was evaluated for a common level of seismic intensity by conducting time-  
 373 history nonlinear analyses with five pairs of semi-artificial accelerograms. The accelerograms were generated  
 374 to be compatible to the elastic design spectrum of EC8 [3] ( $PGA = 0.36g$  and soil class B) in order to evaluate the  
 375 response of the frames under a seismic intensity beyond the design-based level. The two components of the  
 376 artificial motions shown in Figure 10 were alternated in x and y direction, respectively. The analyses were  
 377 performed for 10 of the 40 frames shown in Figure 2c (Frame 3, 4, 5, 10, 19, 21, 25, 34, 40) and their  
 378 corresponding regular frames (labeled as R). Figure 11 shows bar charts of the results in terms of  $IDR_{max}$  and  $\mu_0$   
 379 for the 6-storeys and 9-storeys frames along the x and y direction.



380 Figure 10. Response spectra of the semi-artificial ground motions.  
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 382  
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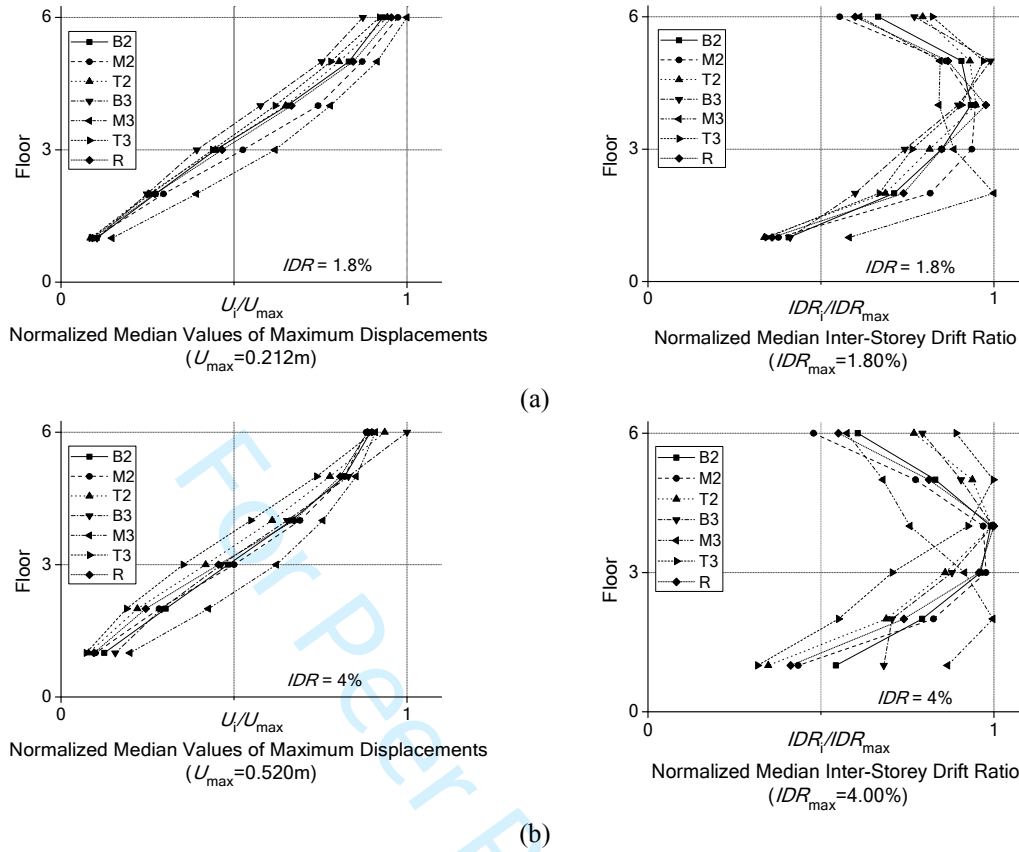
384 Figure 11.  $IDR_{max}$  and  $\mu_{\theta}$  along the x and y direction for the: (a) 6-storey [Frames 10, 19, 21]; and (c) 9-storey  
 385 frames [Frames 25, 34, 38, 40] of Group B.

386  
 387 It is observed from Figure 11 that frames with setbacks experienced a larger damage along the x direction,  
 388 while along the y direction regular frames often exhibited a larger level of damage than the irregular frames. In  
 389 addition, regarding the x direction, increasing the height of the frames leads to an increase of drift and ductility  
 390 demands for all irregular frames as compared with the regular ones.

### 391 5.3. Space frames with mass irregularity along their height

392  
 393 Figure 12 shows peak lateral storey displacements and  $IDR$  profiles in elevation of the 6-storey frames of Group  
 394 C, for the two performance levels. The remaining performance levels can be found in [22]. For comparison  
 395 reasons, the responses of the corresponding regular frames (no mass discontinuity and an accidental eccentricity  
 396 of 5%) are also plotted in these figures (labeled as R). It is observed that the regular frames exhibited a larger  
 397 displacement and  $IDR$  profile at the onset of the first plastic hinge compared with the irregular ones. Only the  
 398 case of Frame T2 (mass discontinuity is at the top storey and mass ratio  $m_r = 2.0$ ) provides with slightly higher  
 399 values at the top storeys. The same trend with the results of the 6-storey frames is observed for the 3-storey and  
 400 9-storey frames.

401  
 402  
 403 The 6-storey and 9-storey frames behaved in a similar way at higher performance levels. In general, smaller  
 404 displacements exhibited in frames with the mass discontinuity at the top storey (T) of the frame, followed by the  
 405 frames with the mass discontinuity at the bottom storey (B) of the frame, and then by those with the mass  
 406 discontinuity at the middle storey (M) of the frame. On the contrary, in case of 3-storey frames, the trend  
 407 appears to be different. Frames with the mass discontinuity at the top storey (T) exhibited larger displacements.  
 408



409 Figure 12. Peak floor displacements and  $IDR$  profiles of the 6-storey frames of Group C: (a)  $IDR = 1.8\%$ ; (b)  
 410  $IDR = 4.0\%$   
 411

## 5. HFD DESIGN METHOD

412 The HFD method [21-24] determines the roof displacement  $u_{r,max(d)}$  at design as the minimum of the peak roof  
 413 displacements  $u_{r,max(IDR)}$  and  $u_{r,max(\mu)}$  which correspond to non-structural and structural deformation respectively,  
 414

$$415 \quad u_{r,max(d)} = \min(u_{r,max(IDR)}, u_{r,max(\mu)}) \quad (3)$$

416 The roof ductility  $\mu_{r,d}$  as the design quantity is then determined as

$$417 \quad \mu_{r,d} = \frac{u_{r,max(d)}}{u_{r,y}} \quad (4)$$

418 The designer can obtain  $u_{r,y}$  by conducting an elastic strength-based design ( $q=1$ ) for frequent earthquake. The  
 419 behavior factor  $q$  is given as a function of  $\mu_{r,d}$ .

420 In this section, empirical formulae for  $u_{r,max(IDR)}$ ,  $u_{r,max(\mu)}$  and  $q$  as functions of basic geometrical/dynamical  
 421 characteristics of the frames are developed by a nonlinear regression analysis in MATLAB [41]. By analyzing  
 422 the created response databank of frames with irregular plan view (Group A),  $u_{r,max(IDR)}$  is expressed as

$$423 \quad u_{r,max(IDR)} = b_1 \cdot H \cdot IDR^{b_2} \quad (5)$$

424 where  $IDR$  is the targeted interstory drift ration and  $H$  is the height of the frame (in m). The parameters  $b_1$  and  $b_2$   
 425 are provided in Table 8. By analyzing the response databank of frames with setbacks along the height (Group B),  
 426 the ratio  $\beta = u_{r,max(IDR)} / (H \cdot IDR)$  was found to be the most representative parameter to quantify the design  
 427 demand. The ratio  $\beta$  is calculated by

$$428 \quad \beta = 1 - b_1 \cdot (n_s - 1)^{b_2} \cdot \Phi_s^{b_3} \cdot \Phi_\theta^{b_4} \quad (6)$$

429 where constants  $b_1$ ,  $b_2$ ,  $b_3$ , and  $b_4$  are given in Table 9 for motions along the x and y directions. Equation (6) is  
 430 simple and satisfies the natural condition  $\beta = 1$  for  $n_s = 1$ . By analyzing the response databank of frames with

mass discontinuities along the height (Group C), the  $u_{r,\max(IDR)}$  can be expressed as in Eq. (5). The parameters  $b_1$  and  $b_2$  are given in Table 10 with respect to the storeys number and the location of mass discontinuity (bottom, middle, or top).

With  $IDR$  to be known, the ratio  $u_{r,\max,app}/u_{r,\max,exact}$  is taken for all irregular frames. The “appx” subscript stands for the word “approximate” and refers to the value obtained by the empirical Eqs (5) and (6), while the “exact” subscript refers to the seismic response databanks. The mean, median and standard deviation (Stdev) values are also provided. For the frames of Group A, Eq. (5) provides a mean value equal to 1.04, median value equal to 0.99 and dispersion value equal to 0.30 for the ratio  $u_{r,\max,app}/u_{r,\max,exact}$ . The same equation for the frames of Group C provides a mean value equal to 1.01, median value equal to 0.98 and dispersion value equal to 0.26 for the ratio  $u_{r,\max,app}/u_{r,\max,exact}$ . Similar results can be obtained from the use of Eq. (6) for the frames of Group B.

**Table 8.** Values of parameters  $b_1$  and  $b_2$  of Eq. (5) for steel space frames with irregular plan view

Number of storeys	$IDR_y - IDR_{1.8\%}$		$IDR_{1.8\%} - IDR_{3.2\%}$		$IDR > IDR_{3.2\%}$	
	$b_1$	$b_2$	$b_1$	$b_2$	$b_1$	$b_2$
3	0.84	1.01	1.03	1.06	0.99	1.05
6	0.37	0.88	0.93	1.11	1.51	1.25
9	0.29	0.88	2.07	1.37	2.38	1.41
12	0.28	0.91	1.46	1.32	5.58	1.71
15	0.22	0.89	5.04	1.67	6.88	1.76

**Table 9.** Values of parameters  $b_1 - b_4$  of Eq. (6) for steel space frames with setbacks

Direction	$b_1$	$b_2$	$b_3$	$b_4$
x	0.18	0.36	0.85	0.24
y	0.18	0.36	0.17	0.10

**Table 10.** Values of parameters  $b_1$  and  $b_2$  of Eq. (5) for steel space frames with mass discontinuities

Number of storeys	Location of mass discontinuity	$b_1$	$b_2$
3	B	0.83	1.01
	M	0.83	1.01
	T	1.26	1.11
6	B	1.16	1.17
	M	0.72	1.03
	T	0.82	1.07
9	B	1.95	1.34
	M	1.47	1.24
	T	1.38	1.27

The  $u_{r,\max(\mu)}$  can be estimated from

$$u_{r,\max(\mu)} = \mu_{r,\theta} \cdot u_{r,y} \quad (7)$$

where  $\mu_{r,\theta}$  is the maximum ductility of the top storey with respect the  $\mu_\theta$  and can be calculated for the frames with irregular plan view (Group A) as

$$\mu_{r,\theta} = 1 + 0.81 \cdot (\mu_\theta - 1) \quad \text{for } \mu_\theta \leq 4.68 \quad (8)$$

$$\mu_{r,\theta} = 2.58 + 0.38 \cdot (\mu_\theta - 1) \quad \text{for } \mu_\theta > 4.68$$

and for the frames with setbacks (Group B) and vertical mass discontinuities (Group C) as

$$\mu_{r,\theta} = 1 + b_1 \cdot (\mu_\theta - 1)^{b_2} \quad (9)$$

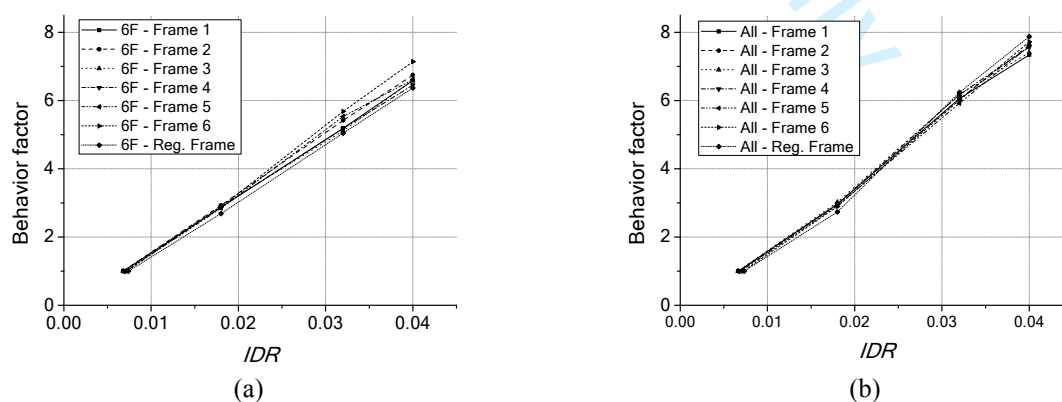
Table 11a and b provides the constants  $b_1$  and  $b_2$  respectively. Eq. (8) provides a mean value equal to 0.94, median value equal to 0.94 and dispersion value equal to 0.24 for the ratio  $\mu_{r,\theta,app}/\mu_{r,\theta,exact}$ . For the Group B frames, Eq. (9) provides a mean value equal to 1.02, median value equal to 0.97 and dispersion value equal to 0.28 for the ratio  $\mu_{r,\theta,app}/\mu_{r,\theta,exact}$ , while for the Group C frames and the same ratio, Eq. (9) provides a mean value equal to 1.04, median value equal to 1.02 and dispersion value equal to 0.24.

462 **Table 11.** Values of constants  $b_1$  and  $b_2$  of Eq. (9) for:

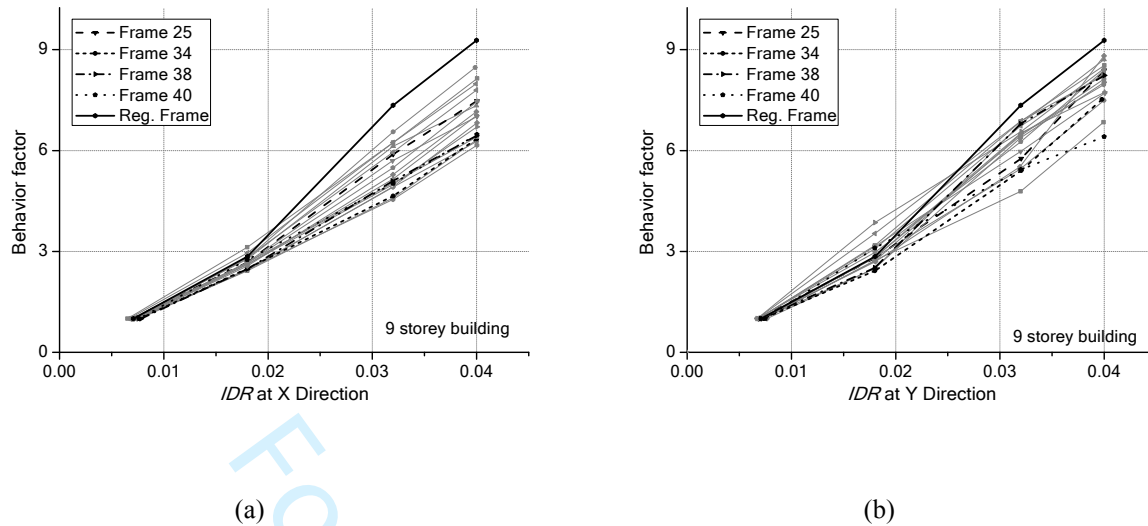
(a) Steel space frames with setbacks		
Direction	$b_1$	$b_2$
x and y	0.79	1.00
(b) Steel space frames with mass discontinuities		
Location of mass discontinuity	$b_1$	$b_2$
B	1.22	0.60
M	1.17	0.59
T	1.26	0.61

463  
464  
465 As it was mentioned in the Section 3.3, the behavior factor  $q$  was defined as  $q = SF_{IDR} / SF_y$ . Figure 13a  
466 illustrates schematically the relation of behavior factor  $q$  versus the targeted  $IDR$  for the 6-storey space frames  
467 of Group A, while Figure 13b plots the median values of all frames for each type of irregular plan-view  
468 introduced in Figure 1a. Number of storeys had no influence on  $q$ . The  $q$ - $IDR$  relation did not significantly differ  
469 from the corresponding one for regular frames for the case studies examined in the present work. Moreover, the  
470 period of vibration and frequency of the ground motion was found to not have a significant effect on the  $q$ - $IDR$   
471 relation. A similar finding is also valid for the Groups B and C frames.

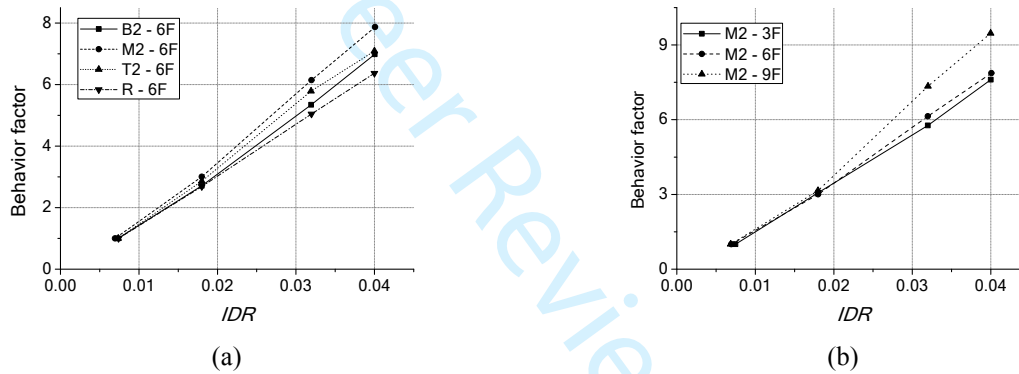
472 For the Group B frames there is an influence of the torsional response on the  $q$ - $IDR$  relation as it is shown in  
473 Figure 14. Figures 14a and 14b show the  $q$ - $IDR$  relation along the x and y direction, respectively, for some  
474 representative Group B frames and the corresponding regular frames. Figure 14 shows the median values for the  
475 four performance levels considered here. Having as reference the trend of the regular frame, a large dispersion  
476 of the  $q$ - $IDR$  relation for the frames with setbacks is observed. Figure 15 illustrates the relation of behavior  
477 factor  $q$  with the  $IDR$  for mass ratio  $m_r = 2$ . Figure 15a shows the  $q$ - $IDR$  relation of the 6-storey frames of Group  
478 C for the three cases of mass discontinuity considered against the corresponding regular frame, while Figure 15b  
479 the  $q$ - $IDR$  relation of the 3-storey, 6-storey and 9-storey frames where the mass discontinuity is located at the  
480 middle storey (M) of the frames. The trend of the  $q$ - $IDR$  relation was found to be similar for mass ratio  $m_r = 3$ .  
481 For frames with a mass discontinuity along their height, a larger behavior factor  $q$  than the corresponding one  
482 for regular frames can be used to satisfy the targeted  $IDR$ , as shown in Figure 15a. Accordingly, the  $q$  factor  
483 increases also with the number of storeys for a given level of performance, as shown in Figure 15b. Table 12  
484 summarizes the median values of scale factors (SF) used in time-history analyses to drive the frames of Group C  
485 to reach the target performance level. Only results for the  $IDR = 1.8\%$  and  $IDR = 4.0\%$  performance levels are  
486 provided. Results for the remaining performance levels can be found in [22]. It is concluded that frames with a  
487 mass discontinuity along their height satisfy the various performance levels for a lower seismic intensity than  
488 the corresponding regular frames, since the SF is smaller for the former case study. It should be noted here that  
489 the design of the frames affects the analysis results and could lead into a different conclusion. More frame cases  
490 should be examined in the future with additional mass discontinuities to generalize the abovementioned findings.



492 Figure 13. Behavior factor  $q$  versus  $IDR$  for the frames of Group A: (a) 6-storey frames; and (b) all frames  
493 (median values)



495 Figure 14. Behavior factor  $q$  versus  $IDR$  for the 9-storey frames of Group B at: (a) along x direction; and (b)  
 496 along y direction  
 497



498 Figure 15. Behavior factor  $q$  versus  $IDR$  for mass ratio  $m_r = 2$ : (a) 6-storey frames of Group C for the three cases  
 499 of mass discontinuity considered against the corresponding regular frame; (b) 3-storey, 6-storey and 9-storey  
 500 frames where the mass discontinuity is located at the middle storey (M) of the frames.  
 501  
 502

503 **Table 12.** Median values of scale factors (SF) that correspond to performance levels  $IDR = 1.8\%$  and  $IDR = 4.0\%$   
 504 for the Group C frames.  
 505

Performance level	Design case	Scale Factor (SF)		
		3 storey	6 storey	9 storey
<b>IDR=1.8%</b>	B2	1.74	1.97	1.85
	M2	2.02	2.02	2.19
	T2	1.97	2.04	2.10
	B3	1.91	1.91	1.70
	M3	2.03	2.27	1.87
	T3	2.14	2.04	1.70
	<b>R</b>	<b>1.76</b>	<b>1.87</b>	<b>2.00</b>
<b>IDR=4%</b>	B2	3.49	3.71	4.03
	M2	3.14	3.81	3.89
	T2	3.07	3.61	3.53
	B3	2.87	3.56	3.49
	M3	3.47	3.80	4.32
	T3	3.24	3.75	3.29
	<b>R</b>	<b>3.34</b>	<b>4.01</b>	<b>4.32</b>

506  
 507

508 **Table 13.** Values of parameters  $b_1$  and  $b_2$  for: Eqs (11) and (12).



<b>(a) Steel space frames with setbacks – Eq. (11)</b>			
Direction	$b_1$	$b_2$	$b_3$
x	1.39	1.05	-0.06
y	1.39	1.05	-0.34
<b>(b) Steel space frames with mass discontinuities – Eq. (12)</b>			
Location of mass discontinuity	$b_1$	$b_2$	
B	1.38	1.00	
M	1.50	1.00	
T	1.43	1.00	

509

510 The expression providing  $q$  was found to be of the form

$$q = 1 + 1.35 \cdot (\mu_r - 1) \quad \text{for the frames of Group A} \quad (10)$$

$$q = 1 + b_1 \cdot (\mu_r - 1)^{b_2} \cdot \Phi_s^{b_3} \quad \text{for the frames of Group B} \quad (11)$$

$$q = 1 + b_1 \cdot (\mu_r - 1)^{b_2} \quad \text{for the frames of Group C} \quad (12)$$

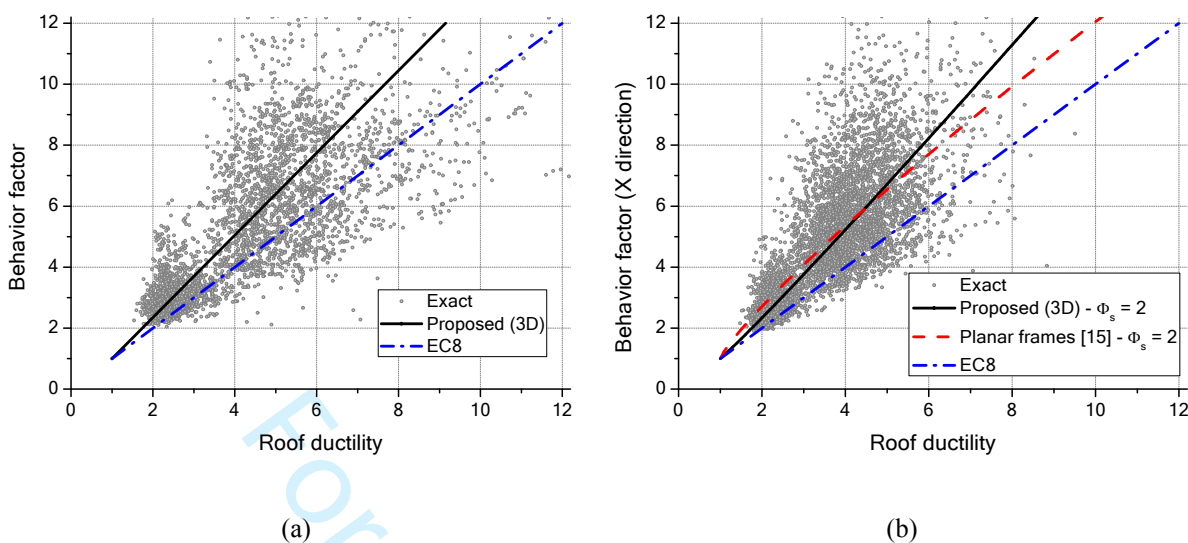
511 where the parameters  $b_1$  and  $b_2$  of Eqs (11) and (12) are obtained from Table 13 and  $\mu_r$  is the  $\mu_{r,d}$  of Eq. (4).  
 512 Equations (10), (11) and (12) fulfill the condition  $q=1$  for  $\mu_r=1$ . These equations show that the equal-  
 513 displacement rule of EC8 [3] overestimates the peak floor displacements.

514 The proposed equations can be applied to frames with stiffness parameter  $\rho$  and strength parameter  $\alpha$  within  
 515 their minimum and maximum values in Section 2. The created database can be further increased by considering  
 516 buildings which will be designed using different values of behavior factor  $q$ . This will also contribute to the  
 517 inclusion of the  $\rho$  and  $\alpha$  parameters in the proposed equations and further development of the regression  
 518 coefficients considered in this work. It should be also noticed that based on the analysis results no effect of the  
 519 period of vibration and frequency content of the ground motion on the relationship between  $q$  and  $\mu_r$ ,  $u_{r,max}$  and  
 520  $IDR_{max}$ ,  $\mu_r$  and  $\mu_\theta$  was identified and for this reason their effect has not been included in all proposed equations.  
 521 In addition, the inclusion of peak floor acceleration as an additional performance metric of the HFD method can  
 522 further control non-structural damage as well as residual drifts in the design equations and provide useful  
 523 information for estimating potential losses and repair costs at different performance levels.

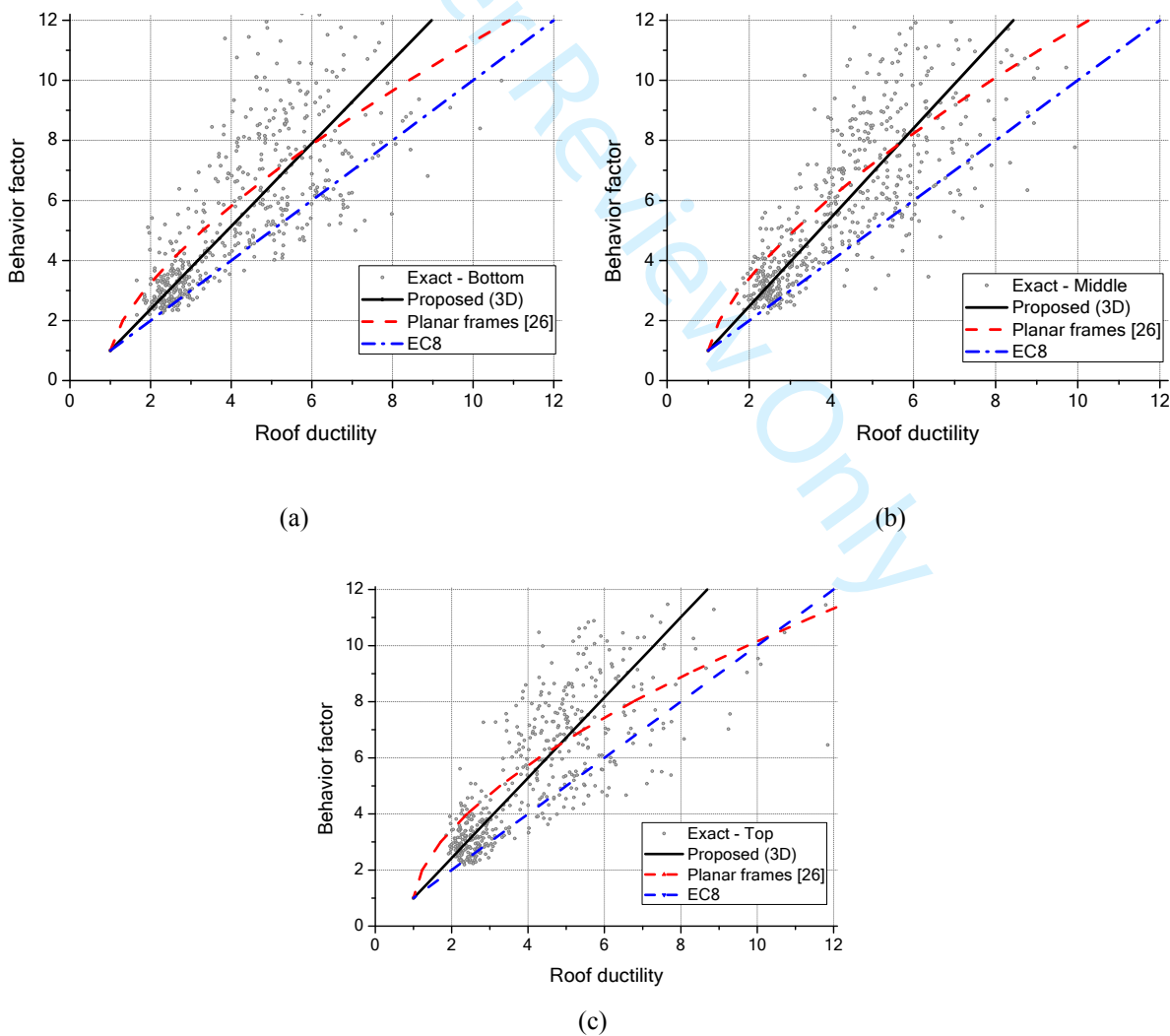
524 Figure 16 shows graphically Eqs (10) and (11) for the Group A and B frames together with the  
 525 corresponding databank results and the equal displacement rule, while in Figure 18b the  $q-\mu_r$  relation introduced  
 526 in [11] for planar frames with setbacks is also plotted here for comparison reasons assuming  $\Phi_s = 2$ .  
 527 Accordingly, Figure 17 plots Eq. (12) for the Group C frames together with the corresponding databank results,  
 528 the equal displacement rule and the relations introduced in [19] for planar frames with vertical mass  
 529 discontinuities. Figures 16 and 17 show higher dispersion in the  $q$  value at higher roof ductility levels which can  
 530 be related to the variability induced from each seismic record. The present results appear to be more accurate  
 531 than those of EC8 [3], which overestimates ductility demands in all cases.

532 Furthermore, for a realistic range of  $q$  (less than 8), the comparison in Figures 16 and 17 of the proposed  $q-$   
 533  $\mu_r$  relations for space irregular frames to the corresponding relations for planar irregular frames, reveal a  
 534 difference in their seismic response. For a targeted level of seismic performance, space frames require higher  
 535 ductility demands and lower behavior factors than the corresponding planar ones. This finding is in accordance  
 536 with the current design codes [3], where a proper reduction on the behavior factor is recommended for space  
 537 irregular structures for which torsional response plays an important role. A larger lateral resistance seems to be  
 538 required in order to control the seismic response of these type of structures.

539



540 Figure 16. Graphical depiction of the response databank results and approximation by the proposed relation for  
 541 space MRFs. Comparison with the proposed in [11] relation for planar MRFs and the equal-displacement rule  
 542 (EC8 [3]), for the case of frames with: (a) irregular plan view (Group A); (b) setbacks (Group B) with  $\Phi_s = 2$ .  
 543



544  
 545

Figure 17. Graphical depiction of the response databank results and approximation by the proposed relation for space MRFs. Comparison with the proposed in [19] relation for planar MRFs and the equal-displacement rule (EC8 [3]) for the frames with vertical mass discontinuities (Group C) on: (a) bottom floor; (b) middle floor; and (c) top floor.

## 6. SEISMIC DESIGN EXAMPLES

This section utilizes the developed relationships within the framework of the HFD seismic design method [21-24] for designing the three space steel irregular frames shown in Figure 18. The frames were first designed according to EC8 [3] and EC3 [27] provisions assuming the same grade of steel and load combinations as those introduced in Section 2. All buildings have 3m storey height and 6m bay width in both directions (Figure 18). The performance levels for seismic design are: (a) IO (Immediate Occupancy) under the FOE (Frequently Occurring Earthquake), (b) LS (Life Safety) under the DBE (Design Basis Earthquake), (c) CP (Collapse Prevention) under the MCE (Maximum Considered Earthquake). Figure 19 shows the Type 1 (soil class B) elastic design spectra of EC8 [3] for the FOE, DBE and MCE levels. For the DBE level, the peak ground acceleration ( $PGA_{DBE}$ ) was taken equal to 0.36g. The PGAs under the FOE and the MCE were taken equal to  $0.25 \times PGA_{DBE}$  and  $1.5 \times PGA_{DBE}$ , respectively. Based on ASCE 41-13 [42], the  $IDR$  and  $\mu_{\theta}$  should be lower than 0.7% and 1.0 under the FOE, lower than 2.5% and 9.0 under the DBE and lower than 5.0% and 11.0 under the MCE, respectively. Table 14 summarizes all the relevant information of the design.

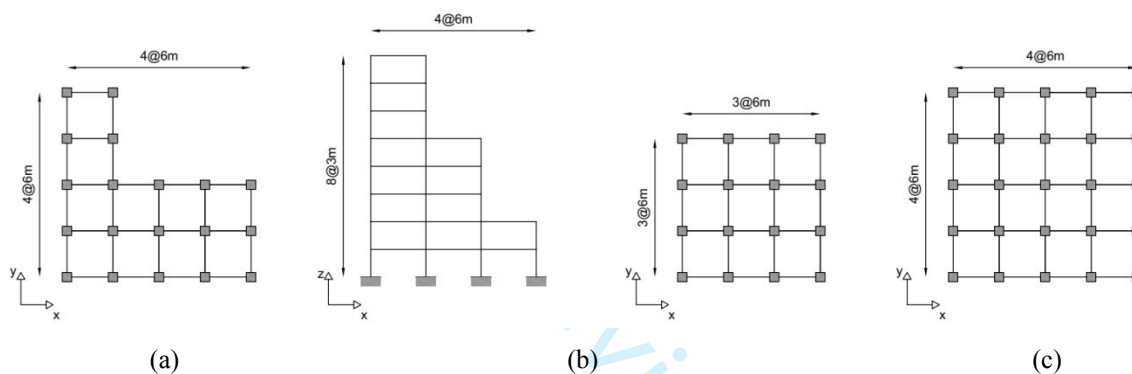


Figure 18. Building structures considered here for the design examples: (a) 6-storey space frame with L-shaped plan view; (b) 8-storey setback space frame; and (c) 9-storey space frame with a vertical mass irregularity at 5<sup>th</sup> floor.

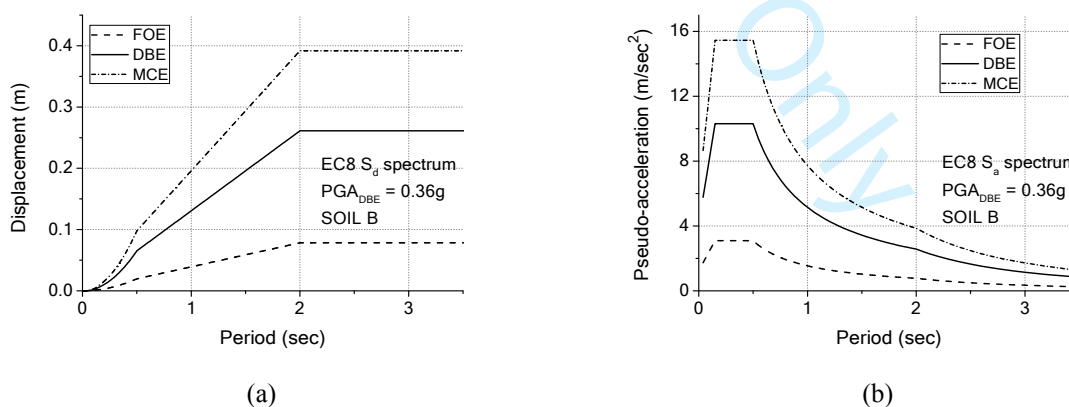


Figure 19. Design spectra of EC8 [3] for soil class B and  $PGA_{DBE} = 0.36g$ : (a) displacement design spectra; (b) pseudo-acceleration design spectra.

**Table 14.** Types and steel grade for designed frames (3\* means that the 5<sup>th</sup> floor has a mass three times larger the one of the adjacent floors)

Design Example	Storeys Number	Lateral-resistant system	$PGA_{DBE}$	Metrics of Irregularity			Grade of steel Beam - Column
				$\Phi_s$	$\Phi_b$	Mass	
1	6	MRF with L shaped plan	0.36g	-	-	-	S235 – S355

2	8	MRF Setback	0.36g	1.21	2.05	-	S235 – S355
3	9	MRF Mass Irregular	0.36g	-	-	3*	S235 – S355

### 573 6.1. Six storey space MRF with L shaped floor plan.

574 Figure 18a illustrates a six storey space MRF with L shaped floor plan. The story height is 3.0 m. Table 15  
575 provides the sections under a first elastic design for the FOE and the natural periods. The fundamental period is  
576 translational and equal to 1.24 sec. Considering that the initial FOE design is to determine the yielding roof  
577 displacement,  $u_{r,y}$  equals to 0.076 m and  $IDR_y = 0.58\%$ . This value of  $IDR$  fulfils the limit states of IO  
578 performance level as defined in ASCE 41-13 [42]. The designed structure is further assessed for the LS and the  
579 CP performance levels. For estimating the frame response under the DBE, the behavior factor  $q$  in DBE level  
580 can be taken from  $PGA_{DBE}/PGA_{FOE} = 4.00$ . By using Eq. (10) and Eq. (4),  $\mu_{r,d} = (4-1)/1.35+1 = 3.22$  and  $u_{r,max} =$   
581  $0.076 \times 3.22 = 0.245$  m, respectively. By employing Eq. (8) and Eq. (5),  $\mu_0 = (3.22-1)/0.81+1 = 3.74$  and  $IDR_{max}$   
582  $= (0.245/(3 \times 6 \times 0.93))^{1/1.11} = 2.22\%$ , respectively. For estimating the frame response under the MCE, the factor  $q$   
583 in MCE level can be taken from  $(PGA_{MCE}/PGA_{DBE}) \times q_{DBE} = 6$ . Accordingly, by using Eq. (10) and Eq. (4),  $\mu_{r,d} =$   
584  $(6-1)/1.35+1 = 4.7$  and  $u_{r,max} = 0.076 \times 4.7 = 0.357$  m, respectively. By employing Eq. (8) and Eq. (5),  $\mu_0 = (4.7-$   
585  $2.58)/0.38+1 = 6.58$  and  $IDR_{max} = (0.357/(3 \times 6 \times 0.93))^{1/1.11} = 3.12\%$ , respectively. In the view of the above  
586 results and considering the limit values of ASCE 41-13 [42], one can observe that the frame design which fulfils  
587 the IO performance level fulfils the LS and CP requirements as well.

588 A second design is made for the LS performance level. The target values of the  $IDR_{max}$  and  $\mu_0$  are equal to 2.5%  
589 and 9, respectively (ASCE 41-13 [42]). Eq. (5) estimates the target roof displacement  $u_{r,max(IDR)}$  as  
590  $3 \times 6 \times 0.93 \times 0.025^{1.11} = 0.279$  m. Then, the target roof displacement ductility  $\mu_{r,IDR}$  is estimated by employing Eq.  
591 (4) as  $0.279/0.076 = 3.67$ . By using Eq. (8), the target local rotational ductility  $\mu_{r,0}$  is calculated as  $2.58+0.38 \times (9-$   
592  $1) = 5.62$ . The design roof ductility  $\mu_{r,d}$  is determined by taking the minimum values of  $\mu_{r,IDR}$  and  $\mu_{r,0}$  and is equal  
593 to the min (3.67, 5.62) = 3.67. It is found that drift controls the LS performance level. For a SDOF system with  
594 the same period as the designed frame ( $T_1 = 1.24$  sec), the peak displacement can be determined from the DBE  
595 displacement design spectrum shown in Figure 19a and is equal to 0.165 m. Then, this displacement is increased  
596 to account for the frame response. The calculations give  $0.165 \times 1.4 = 0.231$  m, where the multiplier 1.4 is  
597 proposed by ASCE 41-13 [42] for six storey buildings. The estimated roof displacement appears to be smaller  
598 than the  $u_{r,max(IDR)} = 0.279$  m, and the target values of the  $IDR_{max}$  and  $\mu_0$  for DBE performance level require  
599 revision according to [25,27]. By revising the target displacement to 0.231 m, the target values of  $IDR_{max}$  and  $\mu_0$   
600 for this design example should be around 2.11% and 3.52, respectively, based on the Eqs. (4), (5) and (8). Based  
601 on Eq. (10) and implementing the new values of  $IDR_{max}$  and  $\mu_0$ , the required behavior factor  $q$  is calculated equal  
602 to 3.75. The designer performs a response spectrum analysis using the DBE design spectrum (Figure 19b)  
603 reduced by the obtained  $q$  factor. The resulted cross-sections are the same as the ones determined in IO level  
604 (Table 15).

605 A third design is prepared for the CP performance level. The target values of the  $IDR_{max}$  and  $\mu_0$  are equal to 5%  
606 and 11, respectively (ASCE 41-13 [42]). Eq. (5) estimates  $u_{r,max(IDR)} = 3 \times 6 \times 1.51 \times 0.025^{1.25} = 0.643$  m. Then, by  
607 using Eq. (4),  $\mu_{r,IDR} = 0.643/0.076 = 8.46$ . Based on Eq. (8),  $\mu_{r,0} = 2.58+0.38 \times (11-1) = 6.38$ . Thus, the  $\mu_{r,d}$   
608 is equal to 6.38. It is found here that local ductility controls the CP performance level and  $u_{r,max(d)} = u_{r,max(\mu)} =$   
609  $6.38 \times 0.076 = 0.485$  m. Based on the MCE displacement design spectrum shown in Figure 19a and the  
610 assumption of the SDOF system introduced before, the maximum displacement is found to be  $0.248 \times 1.4 =$   
611  $0.347$  m. The estimated roof displacement appears to be smaller than the  $u_{r,max(IDR)} = 0.485$  m, and the target  
612 values of the  $IDR_{max}$  and  $\mu_0$  for the MCE performance level require revision. By revising the target displacement  
613 to 0.347 m, the target values of  $IDR_{max}$  and  $\mu_0$  for this design example should be around 3.04% and 6.24,  
614 respectively, based on the Eqs. (4), (5) and (8). The required behavior factor  $q = 5.82$  based on Eq. (10). The  
615 designer performs a response spectrum analysis using the MCE design spectrum (Figure 19b) reduced by the  
616 obtained  $q$  factor. The resulted cross-sections are the same as the ones determined in IO level (Table 15). In the  
617 view of the above results, one can observe that IO performance level determines the frame dimensions in the  
618 current example.

619 The conventional FBD method [3, 27] using a behavior factor  $q = 6.5 \times 0.8 = 5.2$  is also applied to design the  
620 current space irregular MRF. The obtained cross-section and first three natural periods are given in Table 15. It  
621 is observed that the LS performance level determines the frame dimension in the FBD (EC8 [3]). The frame  
622 behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.073$  m and  $IDR_{max} = 0.55\%$ . Accordingly,  
623 under the DBE the  $u_{r,max}$  can be computed as  $0.073 \times PGA_{DBE}/PGA_{FOE} = 0.292$  m and the  $IDR_{max}$  as  
624  $0.55\% \times PGA_{DBE}/PGA_{FOE} = 2.20\%$ . Under the MCE the  $u_{r,max}$  can be computed as  $0.292 \times PGA_{MCE}/PGA_{DBE} =$   
625  $0.438$  m and the  $IDR_{max}$  as  $2.20\% \times PGA_{MCE}/PGA_{DBE} = 3.30\%$ .



## 6.2. Eight storey setback steel space MRF

Figure 18b illustrates a steel space MRF with setbacks consisting of eight storeys of height 3.0m each. According to Eq. (1), the values of the indexes  $\Phi_s$  and  $\Phi_b$  of the MRF are equal to 1.21 and 2.05, respectively. Eq. (6) determines a value equal to 0.49 for the parameter  $\beta$ . As was mentioned in Section 4.2, based on the analyses results of this work, in most cases the direction with setback determines the performance level and thus only the equations of that direction are considered in this example. Table 16 provides the sections under FOE and the natural periods. The fundamental period is translational. The  $u_{r,y}$  equals 0.079 m, while  $IDR_y$  equals 0.58% satisfying the IO level [42]. The  $q$  factor of the frame in DBE is 4.00. By using Eq. (11) and Eq. (4),  $\mu_{r,d} = ((4-1)/(1.39 \times 1.21^{-0.06}))^{1/1.05} + 1 = 3.10$  and  $u_{r,max} = 3.10 \times 0.079 = 0.245$  m, respectively. By employing Eq. (9) and Eq. (6),  $\mu_\theta = (3.10-1)/0.79+1 = 3.66$  and  $IDR_{max} = u_{r,max} / (H \times \beta) = 2.07\%$ , respectively. For estimating the frame response under the MCE, the  $q$  factor for the space MRF is 6. Accordingly, by using Eq. (11) and Eq. (4),  $\mu_{r,d} = ((6-1)/(1.39 \times 1.21^{-0.06}))^{1/1.05} + 1 = 4.42$  and  $u_{r,max} = 4.42 \times 0.079 = 0.350$  m, respectively. By employing Eq. (9) and Eq. (6),  $\mu_\theta = (4.42-1)/0.79+1 = 5.33$  and  $IDR_{max} = u_{r,max} / (H \times \beta) = 2.96\%$ , respectively. Based on the limit values of ASCE 41-13 [42], designing the frame under IO, both the LS and CP performance levels are satisfied.

If the design starts from the LS performance level, the  $u_{r,max(IDR)} = H \times \beta \times IDR_{max} = 0.294$  m and therefore, the  $\mu_{r,IDR}$  is equal to  $0.294/0.079 = 3.72$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+0.79 \times (9-1) = 7.32$  and therefore, the  $\mu_{r,d} = \min(3.72, 7.32) = 3.72$ . It is found that drift controls the LS design. For a SDOF system with  $T = 1.47$  sec, the maximum displacement is found  $0.197 \times 1.4 = 0.276$  m under DBE (Figure 19a). The multiplier 1.4 is for eight storey buildings [42]. The displacement is smaller than the  $u_{r,max(IDR)} = 0.294$  m and the revised values of  $IDR_{max}$  and  $\mu_\theta$  based on Eqs. (4) and (9) are 2.23% and 4.16, respectively. These values fulfil the limit states of ASCE 41-13 [42]. Based on Eq. (11) the required behavior factor  $q = 4.90$ . The resulted cross-sections are the same as the ones of IO level (Table 16).

If the design starts from the CP performance level, the  $u_{r,max(IDR)} = H \times \beta \times IDR_{max} = 0.588$  m and therefore, the  $\mu_{r,IDR}$  equals  $0.588/0.079 = 7.44$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+0.79 \times (11-1) = 8.90$  and thus  $\mu_{r,d} = 7.44$ . It is found that drift controls the CP design in current example as well. The maximum displacement expected under MCE would not be larger than  $0.300 \times 1.4 = 0.420$  m (Figure 19a) which is smaller than the  $u_{r,max(IDR)} = 0.588$  m. The revised values of  $IDR_{max}$  and  $\mu_\theta$  are 3.57% and 6.46, respectively. These values fulfil the limit states of ASCE 41-13 [42]. The use of  $IDR_{max} = 3.57\%$  lead to similar structure with the IO level.

The FBD method [3, 27] is also applied here using factor  $q = 6.5 \times 0.8 = 5.2$ . The LS performance level determines the frame dimension. The obtained cross-section and first three natural periods are given in Table 16. The frame behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.079$  m and  $IDR_{max} = 0.58\%$ . Accordingly, under the DBE the  $u_{r,max} = 0.316$  m and the  $IDR_{max} = 2.32\%$ . Under the MCE the  $u_{r,max} = 0.474$  m and the  $IDR_{max} = 3.48\%$ .

## 6.3. Nine storey steel space MRF with mass discontinuity along the height

Figure 18c illustrate the floor plan of the nine storey steel space MRF with mass discontinuity along the height. The frame has an accidental eccentricity of 5% while the 5<sup>th</sup> floor has three times the mass of the adjacent floors. Table 17 provides the sections under FOE and the natural periods. The  $u_{r,y} = 0.117$  m and  $IDR_y = 0.62\%$  which fulfils the IO limit state values [42]. The  $q$  factor for DBE is 4.00. By using Eq. (12),  $\mu_{r,d} = 1+(4.00-1)/1.48 = 3.03$ , and Eq. (4),  $u_{r,max} = 3.03 \times 0.117 = 0.354$  m. Eq. (9) determines  $\mu_\theta = 1+((3.03-1)/1.17)^{1/0.59} = 3.54$  and Eq. (5)  $IDR_{max} = (0.354/(1.47 \times 3 \times 9))^{1/1.24} = 2.22\%$ . For estimating the frame response under the MCE, the  $q$  factor for the space MRF is 6. Accordingly, by using Eq. (12) and Eq. (4),  $\mu_{r,d} = 1+(6-1)/1.48 = 4.38$  and  $u_{r,max} = 4.38 \times 0.117 = 0.512$  m, respectively. By employing Eq. (9) and Eq. (5),  $\mu_\theta = 1+((4.38-1)/1.17)^{1/0.59} = 7.03$  and  $IDR_{max} = (0.512/(1.47 \times 3 \times 9))^{1/1.24} = 3.00\%$ , respectively. Based on the limit values of ASCE 41-13 [42], designing the frame under IO, both the LS and CP performance levels are satisfied.

Under LS performance level design, Eq. (5) estimates  $u_{r,max(IDR)}$  as  $9 \times 3 \times 1.47 \times 0.025^{1.24} = 0.409$  and therefore, the  $\mu_{r,IDR}$  is  $0.409/0.117 = 3.50$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+1.17 \times (9-1)^{0.59} = 4.99$  and thus  $\mu_{r,d} = 3.50$ . For a SDOF system with  $T = 1.95$  sec, the maximum displacement is found to be  $0.26 \times 1.48 = 0.384$  m under the DBE displacement design spectrum (Figure 19a). The multiplier 1.48 is for nine storey buildings [42]. The is smaller than 0.409 m and the revised values of the  $IDR_{max}$  and  $\mu_\theta$  are 2.24% and 4.10, respectively. These values satisfy the limit values of ASCE 41-13 [42]. Based on Eq. (12) the required behavior factor  $q = 4.38$ . The resulted cross-sections are the same as the ones of IO level (Table 17).

677 Under CP performance level design,  $u_{r,max(IDR)} = 9 \times 3 \times 1.47 \times 0.05^{1.24} = 0.967$  m and therefore,  $\mu_{r,IDR} = 0.967/0.117$   
 678  $= 8.26$ . The  $\mu_{r,\theta}$  is calculated as  $1 + 1.17 \times (11-1)^{0.59} = 5.55$  and thus  $\mu_{r,d} = 5.55$ . As a result, local ductility controls  
 679 the CP design in the current example and placing the attention, the  $u_{r,max(d)} = u_{r,max(\mu)} = 5.55 \times 0.117 = 0.65$  m.  
 680 Under the MCE displacement design spectrum, the maximum roof displacement of the frame is expected to be  
 681  $0.39 \times 1.48 = 0.577$  m (Figure 19a). The value is smaller than 0.65 m and revised values of  $IDR_{max}$  and  $\mu_{\theta}$  are  
 682 3.30% and 7.80, respectively. These values satisfy the limit value of ASCE 41-13 [42]. The use of  $IDR_{max} =$   
 683 3.30% lead to similar structure with the IO level.

684 The FBD method [3,27] is also applied here using factor  $q = 6.5 \times 0.8 = 5.2$ . The LS performance level  
 685 determines the frame dimension. The obtained cross-section and first three natural periods are given in Table 17.  
 686 The frame behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.087$  m and  $IDR_{max} = 0.51\%$ .  
 687 Accordingly, under the DBE the  $u_{r,max} = 0.348$  m and the  $IDR_{max} = 2.04\%$ . Under the MCE the  $u_{r,max} = 0.522$  m  
 688 and the  $IDR_{max} = 3.06\%$ .

689 **Table 15.** Columns and beams sections and first three natural periods of the 6-storey steel space MRF with  
 690 irregular floor plan (L-shaped)

691

Design Example 1: 6-storey steel space MRF with L shaped floor plan						
Floor	Hybrid method			EC8 method		
	IPE	SHS		IPE	SHS	
	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$
1	330	450	340x20	400	450	350x16
2	330	500	340x20	400	500	350x16
3	330	450	320x20	400	500	350x16
4	300	400	320x20	360	450	300x16
5	300	360	300x20	330	360	300x16
6	300	360	300x20	330	360	300x16
			$T_1=1.24\text{sec} - T_2=1.23\text{sec} - T_3=1.19\text{sec}$	$T_1=1.11\text{sec} - T_2=1.10\text{sec} - T_3=1.04\text{sec}$		

692 **Table 16.** Columns and beams sections and first three natural periods of the 8-storey steel space MRF with  
 693 setbacks

694

Design Example 2: Eight storey steel space MRF with setbacks						
Floor	Hybrid and EC8 method					
	IPE	SHS		IPE	SHS	
	$F_{xe}$	$F_{ye}$	$C_e$	$F_{xi}$	$F_{yi}$	$C_i$
1	330	300	400x16	360	330	400x16
2	330	300	400x16	400	330	400x16
3	330	300	400x16	400	330	400x16
4	330	300	350x16	400	330	350x16
5	330	300	350x16	360	330	350x16
6	330	300	350x16	360	-	-
7	300	300	300x16	330	-	-
8	300	300	300x16	330	-	-
			$T_1=1.47\text{sec} - T_2=1.37\text{sec} - T_3=1.13\text{sec}$			

695 **Table 17.** Columns and beams sections and first three natural periods of the 9-storey steel space MRF with  
 696 vertical mass discontinuities

697

Design Example 3: Nine storey steel space MRF with vertical mass discontinuity						
Floor	Hybrid method			EC8 method		
	IPE	SHS		IPE	SHS	
	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$
1	300	400	400x20	450	550	400x20
2	330	450	400x20	450	550	400x20
3	330	450	400x16	450	550	400x20
4	330	450	400x16	400	550	400x16
5	400	500	400x16	400	500	400x16
6	330	400	350x16	360	450	350x16
7	300	330	350x16	360	400	350x16



8	300	330	300x16	330	360	300x16
9	300	330	300x16	330	360	300x16
$T_1=1.95\text{sec} - T_2=1.95\text{sec} - T_3=1.91\text{sec}$			$T_1=1.56\text{ sec} - T_2=1.56\text{ sec} - T_3=1.49\text{ sec}$			

698

699 **6.4 Comparison and seismic assessment using semi-artificial accelerograms**

700 The three design frames introduced in previous sections were subjected to the five pairs of semi-artificial  
 701 accelerograms shown in Figure 10. The time history analysis results were then used to evaluate the frames  
 702 performance as well as to both design methods.

703 The dynamic nonlinear analysis results are summarized in Table 18. Compared to the FBD method, one can  
 704 observe that the hybrid design method provides a better control for the structural damage in terms of drift and  
 705 ductility. This is because the hybrid design method utilizes a deformation and damage control  $q$  factor which  
 706 can obtain several values based on the targeted performance level, while the FBD method employs a  $q$  factor  
 707 that takes a general and constant value uncoupled from specific damage objectives. Moreover,  $IDR_{\max}$  and  $u_{r,\max}$   
 708 obtained from the dynamic nonlinear analysis are constantly overestimated by FBD method, while those values  
 709 are well predicted by the proposed method. However, a better prediction is observed for the six storey MRF by  
 710 the hybrid method whereas an underestimation is observed for the nine storey MRF. Both design methods  
 711 provided structures with similar weights. HFD and FBD designs of Example 1 have weight equal to 1211 kN  
 712 and 1198 kN, respectively, while in Example 2 both methods determined a weight equal to 916. For the design  
 713 Example 3, the hybrid design method provided a 15% lighter structure. The total weight of the steel  
 714 (transformed in kN) was measured equal to 2536 kN. The FBD method provided a heavier structure with weight  
 715 equal to 2946 kN. Table 19 provides the total mass of the structures and the performance level that controls the  
 716 design in each case.

717 It should be noted that in all MRFs designed by the FBD, the interstorey drift sensitivity coefficient  $\theta$  of EC8 [3]  
 718 governs the design under the DBE thus determining a smaller actual behavior factor  $q$  than the one chosen  
 719 initially. However, the initial choice of the  $q$  factor plays a direct role in the design solution by FBD and lighter  
 720 solutions can very likely be adopted if a lower  $q$  factor was adopted instead. HFD appears to be more rational in  
 721 estimating a behavior factor which satisfies both the targeted performance levels and drift requirements without  
 722 implying later any indirect revision. As a result, a lighter structure is very likely to be adopted.

723

724 **Table 18.** Dynamic analyses results and comparison with both design methods for the 3 examples considered  
 725 here

Six storey steel space MRF with L shaped floor plan - $PGA_{DBE} = 0.36g$												
Example 1	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.58	0.58	2.06	2.22	2.78	3.12	0.55	0.55	1.75	2.20	2.40	3.30
$u_{r,\max}$ (m)	0.078	0.076	0.245	0.245	0.346	0.357	0.074	0.073	0.225	0.292	0.332	0.438
$\mu_0$	1.00	1.00	3.17	3.74	4.22	6.58	1.00	1.00	2.93	-	4.80	-
Eight storey steel space MRF with setbacks - $PGA_{DBE} = 0.36g$												
Example 2	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.60	0.58	2.01	2.07	3.02	2.96	0.60	0.58	2.01	2.32	3.02	3.48
$u_{r,\max}$ (m)	0.086	0.079	0.278	0.246	0.418	0.350	0.086	0.079	0.278	0.316	0.418	0.474
$\mu_0$	1.00	1.00	3.08	3.66	4.39	5.33	1.00	1.00	3.08	-	4.39	-
Nine storey steel space MRF with vertical mass irregularity - $PGA_{DBE} = 0.36g$												
Example 3	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.63	0.62	1.93	2.22	2.57	3.00	0.51	0.51	1.74	2.04	2.39	3.06
$u_{r,\max}$ (m)	0.125	0.117	0.333	0.354	0.450	0.512	0.090	0.087	0.283	0.348	0.411	0.522
$\mu_0$	1.00	1.00	3.37	3.54	4.45	7.03	1.00	1.00	3.42	-	4.64	-

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\*TH: time history analysis; \*EST: estimations of hybrid design method;

<sup>b</sup>EST (EC8): estimations using the equal displacement rule of EC8

**Table 19.** Mass and performance level (PL) that controls the design for the frames

Design Example	Hybrid method		Force based desing (EC8)	
	Mass (tons)	Control PL	Mass (tons)	Control PL
1	123.45	IO	122.12	LS
2	93.37	IO	93.37	LS
3	258.51	IO	300.31	LS

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732

## 7. CONCLUSIONS

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The main findings of the present study can be summarized as follows:

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(1) Empirical expressions for a hybrid seismic design method (HFD) are developed for space steel moment resisting frames irregular in plan view and in elevation. Irregularity in elevation is either due to non-uniform distribution of mass or due to the presence of setbacks along the height of the frame. The proposed damage-control expressions apply to frames with low values of the mid-height stiffness parameter  $\rho$  and high values of the column-to-beam flexural strength parameter  $\alpha$ .

739

(2) A torsional response component is observed in the irregular in-plan frames of this study. However, the level of this response is considerably smaller compared to the torsional response of the corresponding regular frames with 5% accidental eccentricity. This conclusion is limited to frames with the same bay widths and number of bays in both directions, similar to the frames of this work. The torsional response component of irregular setback frames can be more than two times the one of the corresponding regular frames with 5% accidental eccentricity.

745

(3) Buildings with setbacks only in one direction have different response and global ductility demands along the x and y in-plan direction. Thus, relationships between peak roof displacement – interstorey drift ratio ( $u_r - IDR$ ) and behavior factor - maximum roof displacement ductility ( $q - \mu_r$ ) are different along the x and y direction. Based on the analyses results, in most cases, the setback direction determines the design controlling performance level.

750

(4) For a certain value of  $IDR$ , frames with mass discontinuity along their height have higher ductility demands compared to the corresponding regular frames. In addition, these frames satisfy the various performance levels for a lower seismic intensity than the corresponding regular frames.

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(5) For a realistic range of  $q$  values (less than 8), the comparison of the proposed  $q-\mu_r$  relationships versus the corresponding relationships proposed for planar irregular frames reveals a difference in the seismic response of space and planar irregular frames. For a targeted level of seismic performance, space frames require higher ductility demands and lower behavior factors than the corresponding planar ones. The above behavior seems to be related to the presence of the torsional response component in space irregular frames.

758

(6) Nonlinear time-history analyses revealed that HFD design method provides better estimations of critical response quantities, such as, the  $IDR$ , member ductility  $\mu_0$ , and roof displacement  $u_r$  under the three performance levels considered than the conventional FBD method.

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(7) In the three design examples designed by the FBD method, the interstorey drift sensitivity coefficient  $\theta$  of EC8 governs the design under the DBE level. As a result, a smaller behavior factor  $q$  than the one initially chosen is very likely to be determined by FBD. Following the HFD method, the immediate occupancy (IO) performance level controls the design in current examples without implying any indirect revision to the initial selection of  $q$  factor and a lighter structure could very likely be designed.

766

(8) In the three design examples, resulting total weights of the designed 6-storey irregular-in-plan-view and 8-storey with setbacks buildings by both design methods were found to be similar. In the design of the 9-storey building with vertical mass discontinuities the HFD method led to a 15% lighter structure.

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# A Hybrid Seismic Design Method for Steel Irregular Space Moment Resisting Frames

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## ABSTRACT

A hybrid force/displacement seismic design method for space steel moment resisting frames irregular in plan view and in elevation is developed. Irregularity in elevation is either due to non-uniform distribution of mass or due to the presence of setbacks along the height of the frame. More specifically, 30 different frames irregular in plan view for the first case (plan-irregularities), 40 frames with setbacks (vertical stiffness irregularities) for the second case, and 18 frames with mass discontinuities (vertical mass irregularities) at the first, intermediate and top storey for the third case are considered. All these frames are designed according to Eurocodes 3 and 8 and subjected to 42 pairs of ordinary ground motions. Through nonlinear seismic analyses, seismic response databanks for these three types of irregular frames are generated corresponding to four performance levels. These databanks are then utilized for the development of simple expressions that determine the behavior (or strength reduction) factors of the frames. These are functions of frames geometrical/dynamic characteristics including measures of their irregularities as well as the target maximum interstorey drift ratio and member local ductility. The proposed design method, even though it is mainly a force-based design method, controls deformation and therefore damage through the proposed deformation-controlled behavior factors. Design examples are presented to validate the effectiveness of the method to account for the irregularity effects on the preliminary design stage while time-history analysis results demonstrate its advantages to control better the inelastic response of the frames over the conventional force-based seismic design method of Eurocode 8.

**Keywords:** Steel space frames, Hybrid force/displacement design, Space moment resisting frames, Plan view irregular frames, Frames with setbacks, Mass irregular heightwise frames, Behavior factors, Deformation control

## 1. INTRODUCTION

Irregular building structures may suffer significantly more damages compared to regular structures [1], indicating that their inelastic seismic response cannot be always estimated with safety by utilizing the methods of current seismic design codes [2,3]. The various structural irregularities over the floor plan or along the height of structures lead to a combined non-uniform distribution of stiffness, strength and mass which in turn negatively affects the seismic performance of structures.

Common types of geometrical irregularities in building structures are mainly related to floor plan irregularity, e.g., L, II, or T-shaped buildings in plan view or to the presence of setbacks along the height of the building, i.e., the presence of abrupt reductions of the floor area. In urban areas, for instance, plan-irregular or setback buildings are often used since they increase ventilation and sunlight and use effectively the often irregular available lot area. It is also a common trend, nowadays, for buildings to accommodate different functions at specific levels of the elevation, such as floors with heavy mechanical or electrical equipment, floors used for commercial purposes (shopping centers, entertainment and leisure facilities) or car parking floors. This could result in a significant mass irregularity along the height that cannot be ignored in the design. As a result, stronger beams tend to be installed at floors that sustain the heavier masses.

Many researchers in the past have examined the seismic response of structures with irregularities. A review of research on the seismic behavior of irregular building structures can be found in [1,4]. A detailed description of the inelastic behavior of plan-irregular structures was made by De la Llera and Chopra [5], where the torsional effects by non-uniform distribution of strength and stiffness were considered. Many studies thereafter investigated the influence of strength deterioration on the seismic response of plan-irregular structures under

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biaxial seismic excitations adopting more sophisticated modelling approaches [6]. Recent works on multi-degree-of-freedom asymmetric reinforced concrete and steel braced frame structures [7] demonstrate the necessity of considering a more uniform distribution of ductility demands among adjacent members in the seismic design of asymmetric structures.

A larger inelastic deformation demand (i.e., member ductility or story drift) was also observed in buildings with setbacks either in members near setbacks or in the tower (i.e., the part with the smallest number of bays) [8]. Duan and Chandler [9] pointed out that the current design methodologies cannot prevent the members near the setback from a severe damage concentration and as a result a stronger design for the tower should be considered. Chen et al. [10] observed that the tower exhibits a local vibration mode enough to result in higher mode effects indicating the necessity for controlling its response, while Karavasilis et al. [11] concluded that the extensive local damages of the tower members could reduce the ductility classification of the building. Very few studies have also examined the influence of biaxial seismic excitations and torsional effect on setback space buildings [12-14].

The seismic response of planar frames with vertical mass irregularities has also been a subject of research over the last years. Valmundsson and Nau [15] observed that mass discontinuity at the higher floors affects primarily the elastic response of the frames and that at the lower floors affects mostly their inelastic response, while Das and Nau [16] found that mass irregularity at the lower or upper floors lead to the worst behavior with respect to energy absorption. However, Magliulo et al. [17] concluded that elastic and inelastic responses are slightly affected by mass discontinuities which is in accordance with the findings in the study of Tremblay and Poncet [18], for steel buildings with diagonal braces. An estimation methodology of the seismic damage of planar steel moment-resisting frames with vertical mass irregularities is presented in [19].

The above research studies (mostly on two-dimensional (2-D) frames) highlight the importance of treating irregular structures as a special type of structures and the need of developing effective design procedures or upgrading existing ones to account for the new drift and ductility demands. The three-dimensional (3D) frame modelling appears to be a sufficient solution for the study of irregular building structures for which torsional motion may not be treated adequately by traditional, simplified plane models [7,14].

A new seismic design method, namely the hybrid force/displacement (HFD) design method, has been proposed by the present authors and co-workers and its effectiveness has been confirmed in several types of regular and irregular planar steel frames [20-22], regular space steel frames [23] and regular planar composite steel/concrete frames [24]. The HFD seismic design method combines the advantages of both the force-based design (FBD) method [2,3] and the direct displacement-based design (DBD) method [25] in a hybrid force/displacement design scheme and works within the framework of the performance-based seismic design (PBSD) [26]. The main advantages of HFD is the fact that directly controls both structural and non-structural damage and requires fewer design iterations than the conventional FBD method as well as it does not use substitute SDOF structures and highly damped displacement response spectra as the DBD method. A deformation-controlled behavior factor  $q$  (or strength reduction factor  $R$ ) is determined to limit the global ductility and seismic design forces are calculated by utilizing the familiar to engineers response spectrum analysis.

In this study, the HFD is developed for irregular in elevation and floor plan space steel moment resisting frames (MRF) as well as for frames with vertical mass irregularities. A large seismic response databank is generated on the basis of 88 space irregular MRFs databank according to Eurocodes 3 and 8 [27,3], four different performance levels with respect the  $IDR$  and  $\mu_0$ , and non-linear dynamic analysis under 42 pairs of ordinary ground motions. This response databank is utilized to develop the necessary empirical equations employed by the HFD. Realistic performance-based design examples of three irregular MRFs are presented and evaluated with nonlinear time-history analyses for three performance levels. Comparisons with the design results of the FBD method of EC8 [3] demonstrate the advantage of the proposed design method to account in a direct manner for the irregularity effects and control the inelastic response of these irregular frames.

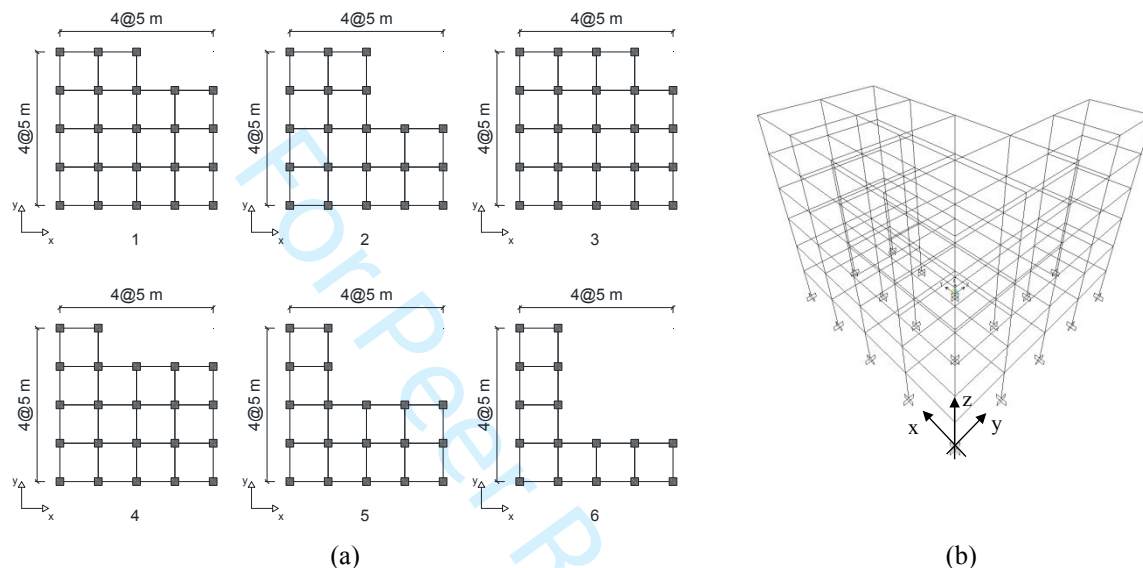
## 2. SEISMIC DESIGN OF STEEL IRREGULAR SPACE FRAMES

### 2.1. Space frames irregular in plan view

In this section, 30 steel space MRFs regular along their height and irregular in plan view (L-shaped plan) are designed. The frames have a storey height equal to 3.00 m and a bay span 5.00 m. Figure 1a shows in plan view the 6 types of the frames considered. Each type has 3, 6, 9, 12 and 15 storeys, hence there are  $6 \times 5 = 30$  such MRFs. Figure 1b depicts in perspective form a 6-storey space frame of type 5 in Figure 1a. The present space frames constitute frame Group A. A torsional response is expected in the frames of Group A due to their



1  
2  
3 113 stiffness and mass irregularity caused by their L-shaped plan view, and therefore, accidental eccentricity is not  
4 114 considered as a part of the design and assessment of their structural response. This design approach may be  
5 115 considered to be against the clause 4.3.2 of EC8 [3], but it is used to investigate if the torsional response of the  
6 116 frames of Group A is higher compared to the torsional response of the corresponding regular frames with 5%  
7 117 accidental eccentricity (see Section 4.1). It should be noted that one of the EC8 [3] criteria to characterize a  
8 118 building as irregular in plan, is when the slenderness ratio  $\lambda = L_{max}/L_{min}$  of the building in plan is higher than 4,  
9 119 where  $L_{max}$  and  $L_{min}$  are respectively its larger and smaller dimension. In the current design cases of the buildings  
10 120 of Group A, the range of  $\lambda$  ratio is between 1.33 and 4 and thus the level of the building irregularities can be  
11 121 considered low to moderate.  
12 122



31 123 Figure 1. Group A of space steel frames: (a) frames plan view (Type 1-6); (b) perspective view of the six-  
32 124 storeys four-bays space MRF (Type 5 in plan view)  
33 125

34 126 The gravity loads combination was  $1.35G + 1.5Q$ . The dead and live design loads were  $G = 6.5 \text{ kN/m}^2$  and  $Q$   
35 127  $= 2.0 \text{ kN/m}^2$ , respectively, while structural self-weight was considered in the structural analysis process. The  
36 128 earthquake load was determined by the Type 1 elastic design spectrum of EC8 [3] for soil class B. The peak  
37 129 ground acceleration (PGA) was taken equal to  $0.24g$  ( $g = 9.81 \text{ m/s}^2$ ), while a behavior factor  $q = 6.5$  was used to  
38 130 size the frames for both the x and y directions of the building. It should be noted that in accordance with EC8 [3],  
39 131 for buildings which are not regular, the  $q$  factor should be reduced by 20%. This reduction of  $q$  has been  
40 132 considered in the design of all frames of this work. The seismic design combinations were  $G + 0.3Q \pm E_x \pm$   
41 133  $0.3E_y$ ,  $G + 0.3Q \pm E_y \pm 0.3E_x$ , where  $E_x$  and  $E_y$  are the seismic loads in x and y direction, respectively.

42 134 Every frame was designed according to Eurocodes 3 and 8 [27,3] by using the commercial software SAP  
43 135 2000 [28] and conducting spectrum analysis. For the beams, compact IPE structural sections of grade S235 were  
44 136 selected while for the columns compact square-hollow-sections (SHS) of grade S355 as a practical way to  
45 137 satisfy efficiently the capacity design of joints. **In dissipative elements, all the sections are classified as Class 1.**  
46 138 SHS columns are part of the lateral resisting system in both x and y directions, and thus are subjected to  
47 139 bidirectional bending and axial load due to the gravity and seismic design situation. The use of SHS in the  
48 140 frames of this work is preferable since the strength and stiffness is the same in both local axes compared to other  
49 141 H commercial sections. Same design approach applies also for the buildings of Group B and C. The beam-to-  
50 142 column joints were designed to be rigid. Second order effects ( $P-\Delta$ ) were considered through the interstorey  
51 143 drift sensitivity coefficient  $\theta$  [3]. As additional design quantities to quantify the mechanical characteristics of the  
52 144 designed frames, the column-to-beam flexural strength ratio  $\alpha$  and the mid-height beam-to-column stiffness ratio  
53 145  $\rho$  were adopted in the present study as defined in [21,29].

54 146 For all frame cases, the coefficient  $\theta$  [3] governs the seismic design resulting in a cross-section enlargement  
55 147 to satisfy the drift requirements. This is a known issue of EC8 [3] for steel MRFs, in particular when high  $q$   
56 148 factors are used in low to moderate seismicity designs. However, the initial choice of a high  $q$  factor plays a  
57 149 direct role in the design solution [30] and lighter solutions can very likely be adopted if a lower  $q$  factor was  
58 150 initially adopted instead, especially in the case of tall buildings. The cross-sections of the 6-stories and 12 stories  
59 151 frames are given in Table 1. Cross-sections for the 3-stories, 9-storieys and 15-storieys frames can be found in  
60 152 [22]. In Table 1, B denotes beam and C denotes column. The subscripts x and y stand for the respective

directions while  $e$  and  $i$  mean “exterior” and “interior”, respectively. Section dimensions are given in mm. Minimum and maximum values of  $\rho$  and  $\alpha$  are also provided for which  $\rho$  decreases as  $\alpha$  increases and vice versa. In addition, the three first natural periods are provided in Table 2.

**Table 1.** Sections of space steel frames of Group A.

*Six storey space MRF				*Twelve storey space MRF			
Floor	Group A			Floor	Group A		
	IPE		SHS		IPE		SHS
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$
1	330	360	350x16	1	360	450	400x20
2	330	360	300x16	2	400	450	400x20
3	330	360	300x16	3	400	450	400x20
4	300	330	300x12	4	360	450	400x20
5	300	330	300x12	5	360	400	400x20
6	300	330	300x12	6	360	400	400x16
* $0.24 < \rho < 0.43$ and $1.60 < \alpha < 2.27$				7	330	400	400x16
				8	330	360	350x16
				9	300	360	300x16
				10	300	360	300x16
				11	270	330	300x12
				12	270	330	300x12
				* $0.19 < \rho < 0.30$ and $2.60 < \alpha < 3.63$			

**Table 2.** Three first vibration periods of the 6-storeys and 12-storeys space steel frames of Group A.

Frames of Group A					
Number of storeys	Frame type	Period (sec)			
		$T_1$	$T_2$	$T_3$	
6	1	1.21	1.21	1.09	
6	2	1.19	1.19	1.09	
6	3	1.22	1.22	1.09	
6	4	1.20	1.20	1.08	
6	5	1.17	1.17	1.07	
6	6	1.12	1.12	1.05	
12	1	1.92	1.92	1.76	
12	2	1.91	1.90	1.76	
12	3	1.93	1.92	1.77	
12	4	1.92	1.91	1.76	
12	5	1.89	1.89	1.75	
12	6	1.87	1.87	1.73	

## 2.2 Space frames with setbacks along the height

In this section, 40 steel space MRFs regular in the plan view and irregular along the height (with setbacks) are designed following the same assumptions and design methodologies adopted for the frames of Group A. The number of the bays in x direction reduces with the number of storeys along the height, as shown in Figure 2a, thereby creating a geometrical discontinuity along that height. Figure 2b shows in 3D a representative 6-storeys space frame with 3 bays in both directions for the first, second and third storey, and one bay in x direction for the rest three storeys. Figure 2c illustrates all the frames under consideration. The current space frames constitute frame Group B and have 3, 6 and 9 storeys. Similarly, with the frames of Group A, a torsional response is expected in the frames of Group B due to their stiffness and mass irregularity along their height caused by the setbacks, and therefore, accidental eccentricity is not considered. The cross-sections of the frames of Group B had dimensions similar to those of Group A. Sections of the 6-storey and 9-storey frames are shown in Table 3 as the most representative, while the rest can be found in Tzimas [22]. The three first natural periods of Group B frames are provided in Table 4.

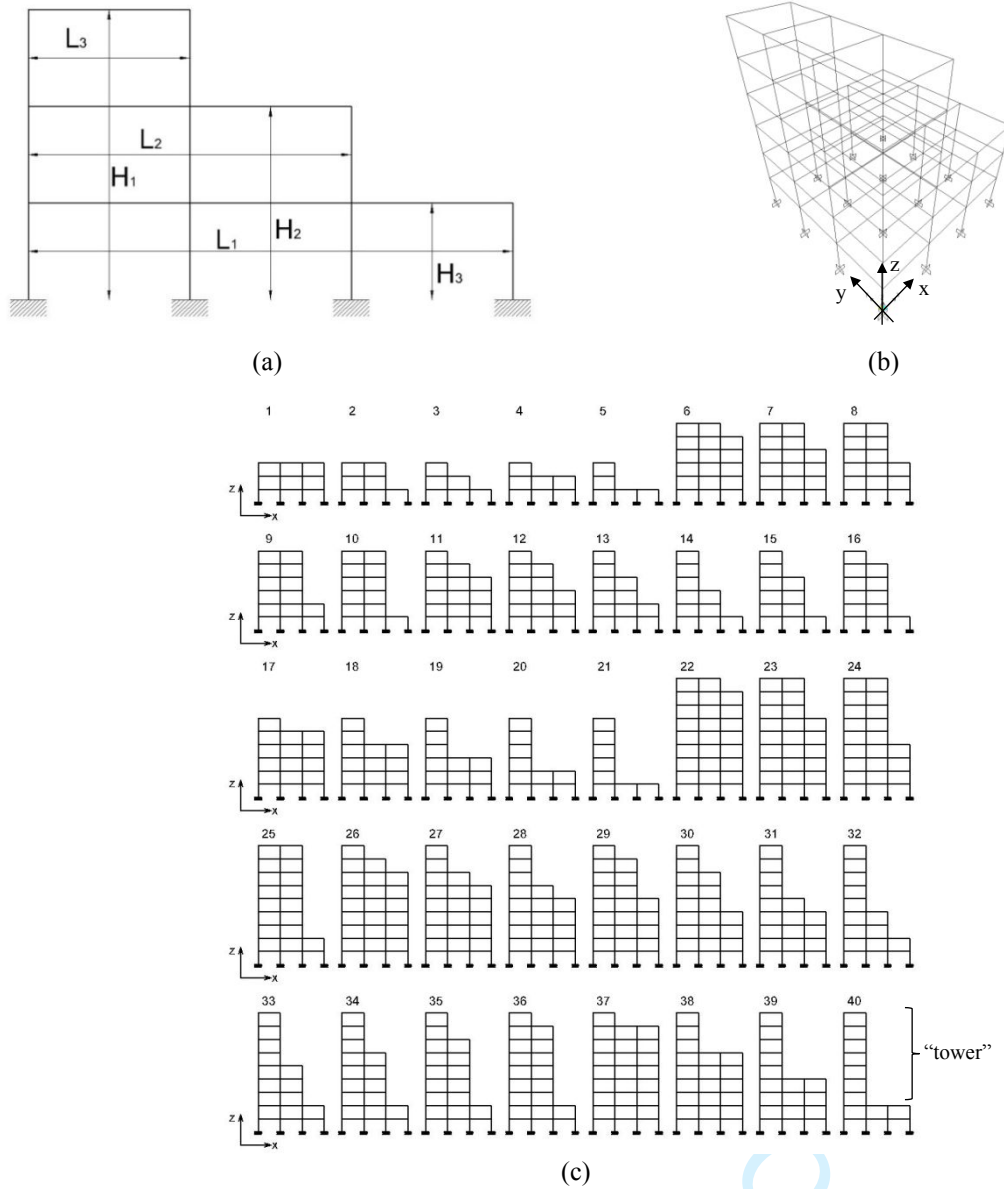


Figure 2. (a) Geometry of frames with setbacks; (b) perspective view of a six-storey with setbacks along the height; (c) all the frames with setbacks considered (Frame type 1 – Frame type 40)

Table 3 Section of the six-storey and nine-storey space steel frames of Group B.

*Six storey space MRF				*Nine storey space MRF			
Group B				Group B			
Floor	IPE		SHS	Floor	IPE		SHS
	$F_{xe}, F_{ye}$	$F_{xi}, F_{yi}$			$F_{xe}, F_{ye}$	$F_{xi}, F_{yi}$	
1	330	360	350x16	1	300	360	400x16
2	330	360	300x16	2	330	400	400x16
3	330	360	300x16	3	330	400	400x16
4	300	330	300x12	4	330	400	400x16
5	300	330	300x12	5	330	400	400x16
6	300	330	300x12	6	300	360	350x16
				7	270	330	350x16
				8	270	300	300x12
				9	270	300	350x12

\* $0.24 < \rho < 0.43$  and  $1.60 < \alpha < 2.27$

\* $0.18 < \rho < 0.32$  and  $2.19 < \alpha < 2.93$

In the adopted frame design with setbacks, the storey area is reduced approximately by 33% and 66% in x direction because of the setbacks. The criterion of the EC8 [3] to characterize a building with setbacks as irregular in elevation, is when the individual setbacks are greater than 10 % of the previous plan dimension, thus the level of the building irregularities can be considered high. The geometrical irregularity introduced by setbacks is quantified through the  $\Phi_s$  and  $\Phi_b$  indices which according to Figure 2a can be taken from [11,31]

$$\Phi_s = \frac{1}{n_s - 1} \cdot \sum_{i=1}^{i=n_s-1} \frac{L_i}{L_{i+1}} \quad \Phi_b = \frac{1}{n_b - 1} \cdot \sum_{i=1}^{i=n_b-1} \frac{H_i}{H_{i+1}} \quad (1)$$

where  $n_s$  is the number of storeys and  $n_b$  the bays number of the first storey. Figure 2a defines  $H_i$  and  $L_i$ .

**Table 4.** Three first vibration periods of the 6-storeys space steel frames of Group B.

Frame case (See Figure 2c)	Frames of Group B				
	$\Phi_s$	$\Phi_d$	Period (sec)		
			$T_1$	$T_2$	$T_3$
6	1.10	1.10	1.14	1.12	0.96
7	1.10	1.25	1.11	1.07	0.87
8	1.10	1.50	1.10	1.06	0.85
9	1.10	2.00	1.11	1.09	0.90
10	1.10	3.50	1.18	1.17	1.03
11	1.30	1.23	1.04	0.99	0.81
12	1.30	1.43	1.03	0.97	0.75
13	1.30	1.75	0.98	0.92	0.69
14	1.30	2.50	0.97	0.94	0.74
15	1.30	2.75	0.99	0.95	0.75
16	1.30	3.10	1.05	1.03	0.85
17	1.40	1.10	1.07	1.05	0.91
18	1.40	1.25	0.99	0.93	0.76
19	1.40	1.50	0.96	0.90	0.69
20	1.40	2.00	0.97	0.96	0.78
21	1.40	3.50	1.06	1.01	0.90
22	1.06	1.06	1.53	1.52	1.36
23	1.06	1.25	1.48	1.42	1.19
24	1.06	1.40	1.48	1.42	1.16
25	1.06	2.75	1.51	1.49	1.27
26	1.19	1.13	1.44	1.39	1.22
27	1.19	1.23	1.37	1.28	1.08
28	1.19	1.35	1.32	1.21	0.96
29	1.19	1.36	1.41	1.32	1.07
30	1.19	1.52	1.36	1.25	0.97
31	1.19	1.53	1.30	1.19	0.91
32	1.19	2.13	1.32	1.26	0.99
33	1.19	2.15	1.32	1.24	0.95
34	1.19	2.25	1.34	1.25	0.98
35	1.19	2.39	1.38	1.30	1.05
36	1.19	2.56	1.43	1.39	1.16
37	1.25	1.06	1.47	1.45	1.32
38	1.25	1.25	1.33	1.24	1.04
39	1.25	1.625	1.31	1.22	0.94
40	1.25	2.75	1.38	1.38	1.14

### 2.3. Space frames with mass discontinuity along their height

In this section, 18 steel space MRFs regular in plan view and with a non-uniform distribution of mass along the height are designed. These space frames constitute frame Group C. The mass discontinuity is located either at the bottom storey (Frame B) or at the middle storey (Frame M) or at the top storey (Frame T), as shown in Figure 3. The mass discontinuity is quantified by the mass ratio,  $m_r$ . The quantity  $m_r$  is defined as the ratio of the mass of the storey that sustains the large weight (critical storey) to the smaller mass of the masses of the adjacent storeys. This means that the critical storey of each frame carries higher gravity loads compared to the adjacent storeys and this has been considered in frames design. Based on the recommendation of ASCE 7-10 [32], a building structure is considered irregular in terms of vertical mass discontinuity, when the quantity  $m_r$  takes a value higher than 1.5. EC8 [3] does not provide any corresponding  $m_r$  limit as a vertical irregularity criterion. In the examined space frames,  $m_r$  equals 2 and 3. The frames have 3, 6 and 9 storeys with 4 bays. An accidental eccentricity of 5% in directions x and y, separately, is taken into account in the design. Table 5 shows the final cross-sections of the 6-storeys and 9-storeys frames, while the three natural periods of these frames are provided in Table 6. Based on Table 6, it is observed that the period of frames increases when the mass discontinuity is located at the upper storeys. Cross-sections for the 3-storeys frames can be found in [22].

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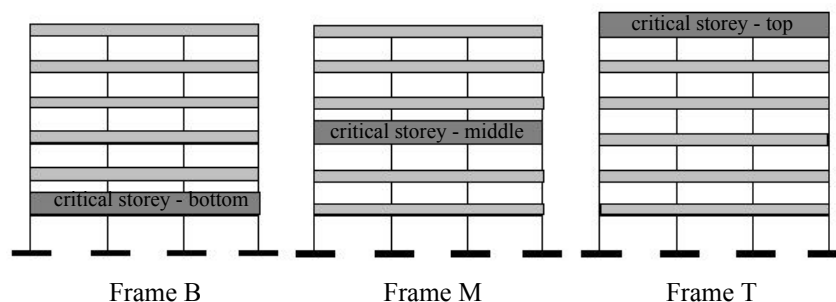


Figure 3. The location of mass discontinuity considered in this study

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**Table 5.** Sections of the 6-storeys and 9-storeys space steel frames of Group C.

*Six storey space MRF								
$m_r = 2$				$m_r = 3$				
Frame B				Frame B				
Floor	IPE		SHS	Floor	IPE		SHS	
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$	
1	330	360	350x16	1	330	500	350x16	
2	330	360	300x16	2	330	360	350x16	
3	330	360	300x16	3	330	360	350x16	
4	300	330	300x12	4	300	330	300x16	
5	300	330	300x12	5	300	330	300x16	
6	300	330	300x12	6	300	330	300x16	
Frame M				Frame M				
1	330	360	350x16	1	330	360	350x16	
2	330	360	300x16	2	330	360	350x16	
3	330	360	300x16	3	330	500	350x16	
4	300	330	300x12	4	300	330	300x16	
5	300	330	300x12	5	300	330	300x16	
6	300	330	300x12	6	300	330	300x16	
Frame T				Frame T				
1	330	360	350x16	1	330	360	400x16	
2	330	360	300x16	2	330	360	400x16	
3	330	360	300x16	3	330	360	400x16	
4	300	330	300x12	4	300	330	350x12	
5	300	330	300x12	5	300	330	350x12	
6	300	330	300x12	6	300	450	350x12	
* $0.19 < \rho < 0.30$ and $4.26 < \alpha < 5.79$								
*Nine storey space MRF								
$m_r = 2$				$m_r = 3$				
Frame B				Frame B				
Floor	IPE		SHS	Floor	IPE		SHS	
	$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$		$B_{xe}, B_{ye}$	$B_{xi}, B_{yi}$	$C_i, C_e$	
1	300	360	400x16	1	300	500	400x16	
2	330	400	400x16	2	330	400	400x16	
3	330	400	400x16	3	330	400	400x16	
4	330	400	400x16	4	330	400	400x16	
5	330	400	400x16	5	330	400	400x16	
6	300	360	350x16	6	300	360	350x16	
7	270	330	300x12	7	270	330	350x16	
8	270	300	300x12	8	270	300	300x12	
9	270	300	300x12	9	270	300	300x12	
Frame M				Frame M				
1	300	360	400x16	1	300	400	400x20	
2	330	400	400x16	2	330	450	400x20	
3	330	400	400x16	3	330	450	400x20	
4	330	400	400x16	4	330	450	400x20	
5	330	400	400x16	5	330	500	400x16	
6	300	360	350x16	6	300	360	400x16	
7	270	330	350x16	7	270	330	350x16	
8	270	300	300x12	8	270	300	300x16	
9	270	300	300x12	9	270	300	300x16	

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Frame T				Frame T			
1	300	360	400x16	1	330	400	400x20
2	330	400	400x16	2	360	450	400x20
3	330	400	400x16	3	360	450	400x20
4	330	400	400x16	4	360	450	400x20
5	330	400	400x16	5	330	400	400x16
6	300	360	350x16	6	330	360	400x16
7	270	330	350x16	7	300	330	350x16
8	270	330	300x12	8	300	330	300x16
9	270	330	300x12	9	300	450	300x16

\*0.15 <  $\rho$  < 0.27 and 5.04 <  $\alpha$  < 6.28

**Table 6.** Three first vibration periods of the 6-storeys and 9-storeys space steel frames of Group C.

Frames of Group C					
Frame case (See Figure 3)	Number of storeys	$m_r$	Period (sec)		
			$T_1$	$T_1$	$T_1$
B	6	2	1.23	1.23	1.10
B	6	3	1.14	1.14	1.05
B	9	2	1.63	1.63	1.53
B	9	3	1.58	1.58	1.52
M	6	2	1.28	1.28	1.15
M	6	3	1.23	1.23	1.14
M	9	2	1.69	1.69	1.60
M	9	3	1.58	1.58	1.56
T	6	2	1.43	1.43	1.28
T	6	3	1.47	1.47	1.33
T	9	2	1.81	1.81	1.71
T	9	3	1.80	1.80	1.68

### 3. FRAME MODELING AND GROUND MOTIONS FOR INELASTIC ANALYSIS

#### 3.1 Frame modelling

Nonlinear dynamic analyses were performed with the aid of Ruaumoko 3D software (Carr [33]) by taking into account the effect of large displacements. The frame modeling considers simple center-line representation for frame members with a rigid diaphragmatic action at every floor along the frame height. Rayleigh type damping corresponding to 3% of critical damping in the first and  $n^{\text{th}}$  mode ( $n$  is the number of the frame storeys), in conjunction with the tangent stiffness matrix was assumed. The abovementioned damping modeling assumptions are based on the recommendations of [33] to avoid potential unrealistic damping forces that result in underestimation of peak displacement demands and overestimation of peak strength demands. It is noted that for all models, the first and second periods were translational, while the third one was torsional. The use of the first and  $n^{\text{th}}$  mode in Rayleigh damping definition considers that the effective mass for the first  $n$  modes of vibration is at least 90% of the total mass of the structure.

Bilinear elastoplastic hinges were considered at beam and column ends with a strain hardening equal to 3% [33]. This modeling is considered adequate for time-history analysis under design level ground motions [3, 34]. Based on Skalomenos et al. [35], at high seismic intensities, the use of more refined models that capture stiffness and strength deterioration phenomena in beams, columns and panel zone connections is recommended in determining the ductility demands of plane composite frames and smaller behavior factors can be observed than those determined by simplified model assumptions [36]. The influence of the modelling sophistication to the frames under consideration is a subject of continuing study. The M-N interaction effect in three dimensions was taken into account. Considering plastic hinge formation in columns, the effect of the axial force on the plastic moment strength is taken into account through the interaction formulae [27]

$$\frac{N}{N_{pl,Rd}} + \frac{M_y}{M_{pl,y,Rd}} + \frac{M_z}{M_{pl,z,Rd}} = 1.0 \quad (2)$$

where  $N$ ,  $M_y$  and  $M_z$  are the axial force and the bending moments in the cross-section of the column,  $N_{pl,Rd}$  is the axial plastic resistance, and  $M_{pl,y,Rd}$  and  $M_{pl,z,Rd}$  are the plastic moments of resistance. It should be noted that local buckling limits the ability of SHS to form stable plastic hinges and the behavior is highly dependent on the width-thickness ( $b/t$ ) and the depth-thickness ratio ( $h/t$ ). Based on the testing results of [37], SHS members subjected to bending due to cyclic loading start deteriorating behavior at 0.04 rad rotation level. This rotational

level is expected to occur at seismic levels beyond the design earthquake. A sufficient rotational capacity and strength of the columns may be achieved through connection detailing, e.g. by using stiffeners, which can lead to stable hysteretic behavior of the columns for the  $IDR$  values considered in this work, i.e. up to 4%.

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### 3.2 Ground motions

The space steel frames were subjected to 42 pairs of far-fault seismic excitations [38], where a full list of all these ground motions with their characteristics can be found in [22]. Figure 4 depicts the elastic spectra of the ground motion components. The selection of ground motions was based on the comparison between the spectral ordinates of each ground motion against the spectral ordinates of the design basis earthquake at the fundamental period of each frame. In that way the scaling factor of each ground motion can be controlled in order not to take excessive values at higher performance levels. It should be noted that in the literature there are also different procedures for selecting and scaling acceleration histories such as the one described in FEMA P58 [39].

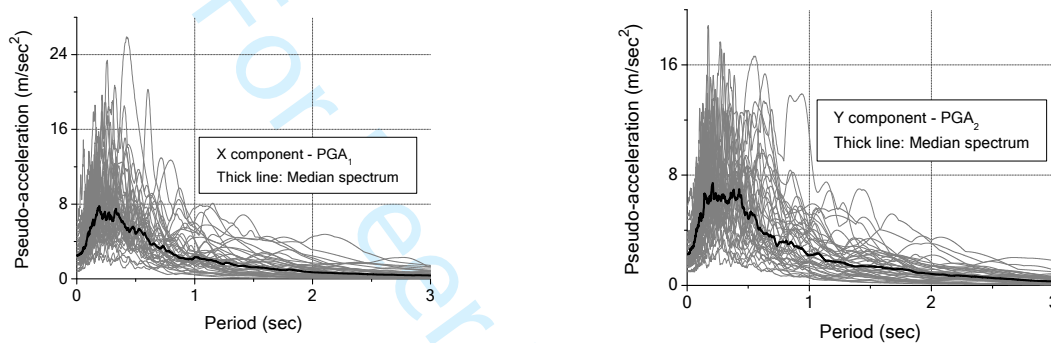


Figure 4. Response spectra for the x and y components of the ground motions.

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### 3.3 Seismic response databank

The seismic response databank of the 88 space irregular MRFs was created by approximately 30000 dynamic nonlinear time histories in the framework of incremental dynamic analysis (IDA). Each IDA analysis subjects the structure to a single ground motion with repeatedly scaling its amplitude. The IDA curve that correlates the peak structural response quantity with the seismic intensity was made. The scaling factors ( $SF$ ) of the ground motion that drives the structure to the four performance levels proposed by SEAOC [40] were identified and recorded for every structure and ground motion pair. Those four performance levels were: (a) formation of first plastic hinge in the frame; (b) peak value for the target interstory drift ratio along the frame height ( $IDR_{max}$ ) equal to 1.8%; (c)  $IDR_{max}$  equal to 3.2 and (d)  $IDR_{max}$  equal to 4%. The two components of the 42 ground motions were alternated along the x and y axis of the buildings as those axes were defined in the schematic views of Section 2. The bisection method [21,22,24] was programmed in MATLAB [41] which operated the time history analyses and determined accurately the appropriate scale factor for each performance level ( $SF_{PL}$ ).

Once the  $SF_{PL}$  was known, the response quantities of interest were obtained for each performance level. These were: (1) the roof displacement at the onset of first plastic hinge,  $u_{r,y}$ , (2) the peak roof displacement,  $u_{r,max}$ , (3) the  $IDR_{max}$ , (4) the maximum local rotational ductility of beams and columns,  $\mu_{\theta}$ , (5) the maximum roof displacement ductility,  $\mu_r$ , (6) the behavior factor  $q$ , (7) irregularity metrics quantified through indices, e.g.  $\Phi_s$  and  $\Phi_b$ ; and (8) the fundamental periods of vibration. The  $\mu_{\theta}$  is defined as  $1 + \theta_p / \theta_y$ , where  $\theta_y$  and  $\theta_p$  are the yield chord rotation and the plastic rotation the member's ends, respectively. The  $\mu_r$  is defined as  $u_{r,max} / u_{r,y}$  for each performance level. The corresponding behavior factor  $q$  is calculated as the ratio of the  $SF_{PL}$  over the  $SF_y$  (scale factor that drives the structure to the first yielding) [35,39]. The proposed  $q$  factor, unlike the traditional constant  $q$  factor used in EC8 [3], is determined for each performance level and depends on the deformation demands in terms of  $IDR$  and  $\mu_{\theta}$ . It should be noted that peak floor acceleration (PFA) can be used as an additional performance metric for the non-structural elements, e.g. acceleration sensitive equipment. The inclusion of acceleration measures in HFD method can be considered as an important future development

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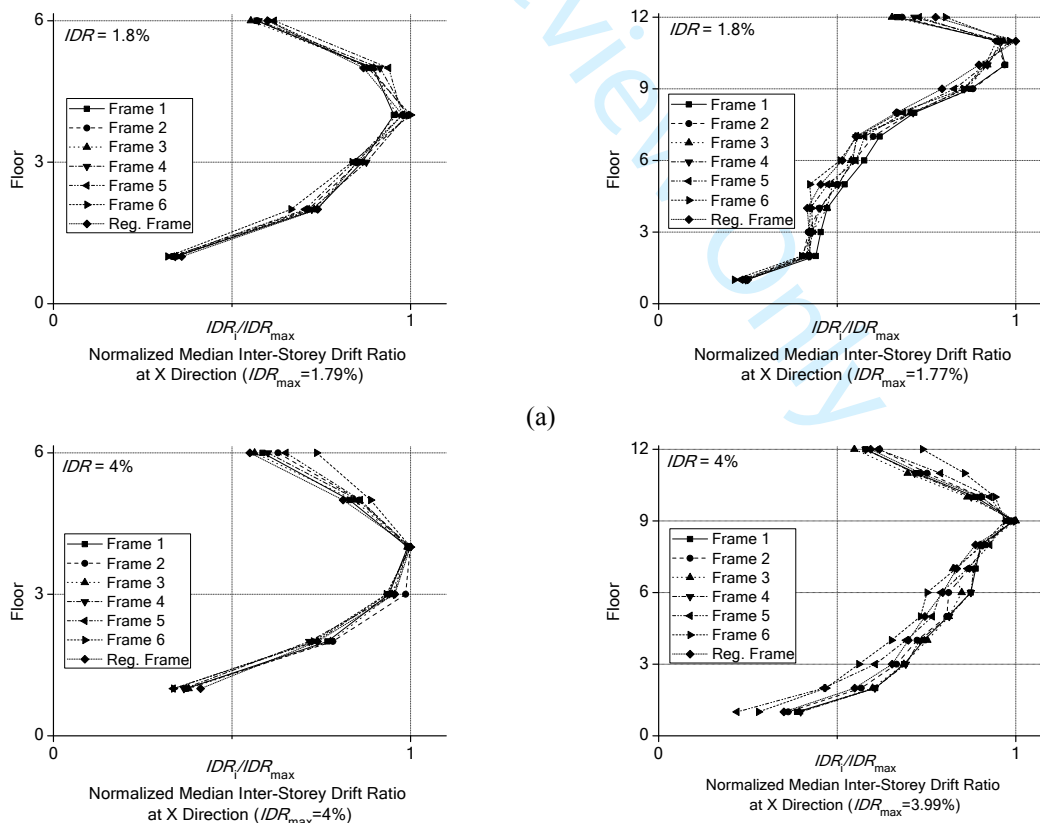
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## 4. TIME HISTORY ANALYSIS RESULTS

On the basis of the developments and assumptions presented in this work, the current section introduces the seismic behavior of the steel space irregular MRFs under consideration. A discussion on seismic responses for each type of structural irregularity is presented for representative frames. The results highlight the importance of considering the irregularity design parameters and are assessed accordingly to develop the proposed design method. A future goal is to further extend the current design method to other types of building structures with, e.g., strength and stiffness degradation, semi-rigid joints, I sections for columns, or combination of irregularities.

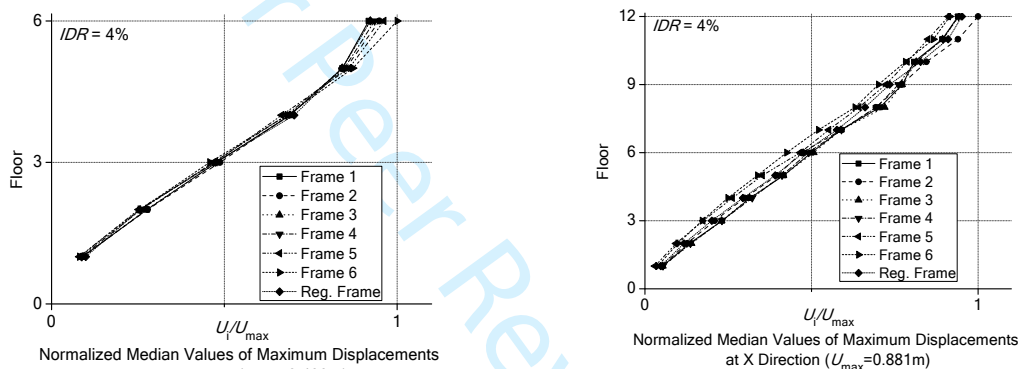
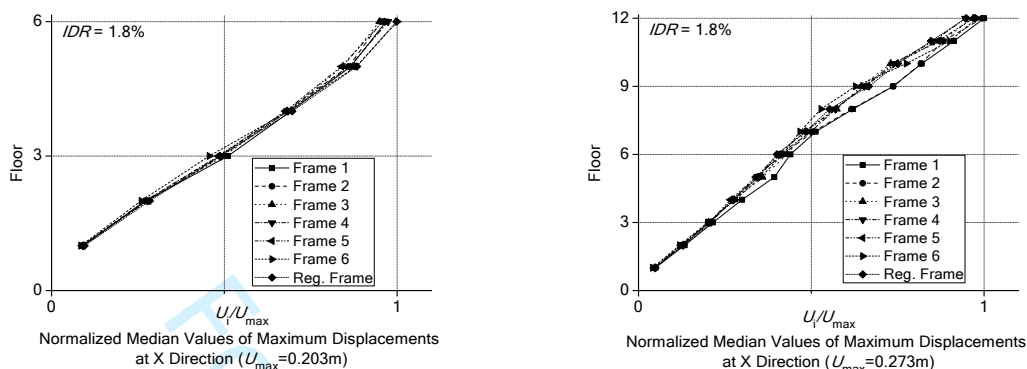
#### 4.1. Space frames irregular in plan view

Figure 5 shows the normalized median of the  $IDR$  along the height of the 6 and 12 storey frames of Group A. Accordingly, Figure 6 shows the normalized median of the peak values of lateral storey displacements along the frame elevation. Results are shown only for the performance levels of  $IDR_{max}=1.8\%$  and  $IDR_{max}=4.0\%$ . For the performance levels at the first plastic hinge and  $IDR_{max}=3.2\%$  one can look at [22]. It was found that the seismic response is similar along the x or y direction, and therefore, only the results of the x direction are presented. For comparison reasons, the responses of the corresponding regular-in-plan-view frames (with square plan view) are also plotted in these figures (labeled as Reg. Frame). It is noted that an accidental eccentricity of 5% was considered in the design of these regular-in-plan-view frames. An observation of Figures 5 and 6 and the remaining ones in [22], reveals that the response of the frames is similar with a dispersion that increases slightly with the number of storeys. In particular, the response of Frames type 1 – 5 is very similar with the response of the Reg. Frame, indicating that the plan irregularity considered in this study may not play an important role in the seismic response of the frames. However, the response of Frame 6 appears to be the one with the higher difference compared to the rest of frames and requires further investigation. In addition, it is observed from the  $IDR$  profiles that peak values in tall buildings are recorded in higher storeys. To make the  $IDR$  distribution more uniform along the frame elevation, a solution could be to increase the beam and/or the column dimensions at the upper floors. However, this may increase the weight of the building disproportionately. Finally, Figure 7 illustrates the normalized median inter-storey twist by the storey height at x and y direction of the 6 storey frames for the performance level with  $IDR = 4.0\%$ . The torsional response is smaller in all Frames 1 – 6 of Figure 1a compared to the torsional response of the Regular Frame, as shown in Figure 7. This result can also demonstrate that the EC8 [3] slenderness  $\lambda$  criterion for regularity in plan is valid, considering that all the other regularity in plan criteria of clause 4.2.3.2 of EC8 [3] are met.

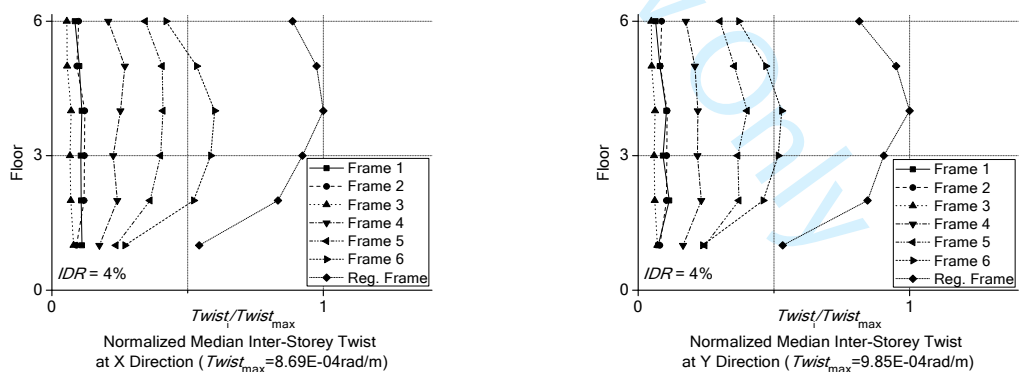


(a)

323 Figure 5. *IDR* profile of the 6 and 12 storey frames of Group A: (a) first plastic hinge; (b) *IDR* = 1.8%; (c) *IDR* = 3.2%; (d) *IDR* = 4%.



326 Figure 6. Floor displacements profile of the 6 and 12 storey frames of Group A: (a) *IDR* = 1.8%; and (b) *IDR* = 4%.



330 Figure 7. Normalized median inter-storey twist of the 6-storey frames of Group A: *IDR* = 4.0% at: (a) x  
331 direction and (b) y direction.

332 5.2 Space frames with setbacks along the height

333 Figure 8 depicts with the same manner as Figures 5 and 6 the lateral storey displacements and *IDR* profiles  
334 of the Group B frames, for the first three performance levels. The 6-storey frames (See Figure 2, Frames 6 to 21)  
335 are shown in those figures. The figures illustrate the frame responses along the x direction. The displacement  
336 and *IDR* profiles were created by using the maximum values obtained from the frame nodes at the perimeter of  
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each storey. In Figure 8 the responses of Frame 10, 19 and 21 are highlighted with dark marked lines as more representative ones among the 16 six-storey frames, while the remaining frame responses are depicted by light gray lines. For comparison reasons, the responses of the corresponding regular frames (no setbacks and an accidental eccentricity of 5%) are also plotted in those figures (labeled as Reg. Frame).

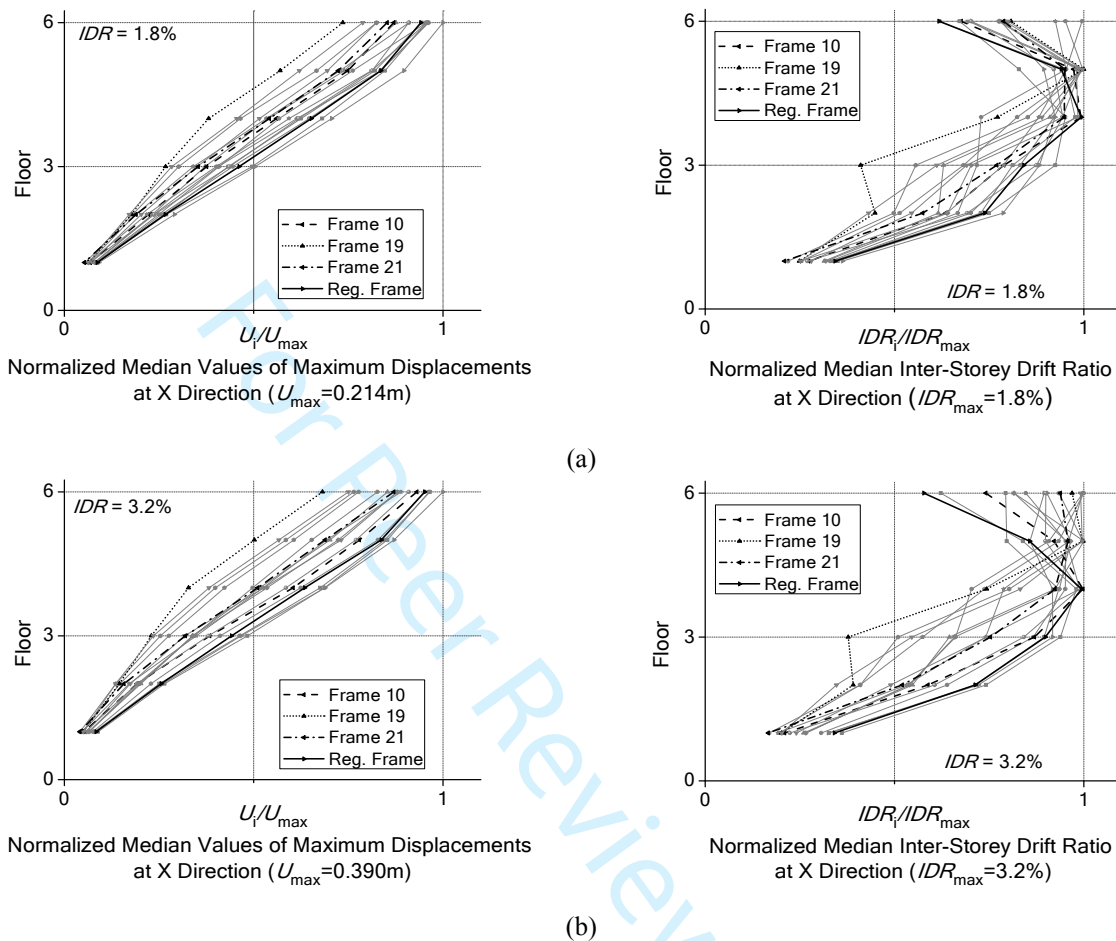


Figure 8. Peak floor displacements and  $IDR$  profiles of the 6-storey frames of Group B: (a)  $IDR = 1.8\%$ ; and (b)  $IDR = 3.2\%$ .

**Table 7.** Frames exhibiting the minimum and maximum floor displacement along the x and y direction among all the frames of Group B (See Figure 2), including the corresponding regular frame.

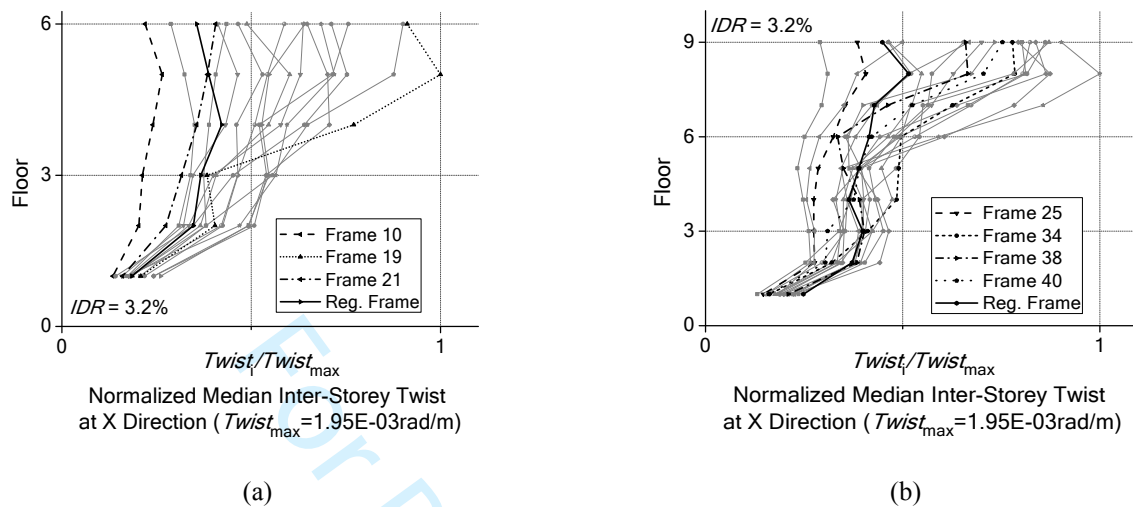
3-storey				6-storey				9-storey			
x direction		y direction		x direction		y direction		x direction		y direction	
min	max	min	max	min	max	min	max	min	max	min	max
Frame 5	Regular Frame	Frame 5	Regular Frame	Frame 19	Frame 11	Frame 14	Frame 18	Frame 40	Regular Frame	Frame 39	Frame 26

The indices  $\Phi_s$  and  $\Phi_b$  considered here, appear to be adequate to quantify the influence of the setbacks on the dynamic behavior of frames. For all the performance levels, those frames with values of  $\Phi_s$  and  $\Phi_b$  close to unity exhibit larger floor displacements than those frames which form a “tower” along their height. This is also observed in Table 7, where the frames that exhibit the minimum and maximum displacement along the x and y direction among all the frames of Group B (including the corresponding regular frame) are summarized. It should be noted that the frame responses along the x direction show a larger dispersion compared with the ones along the y direction because of the flexibility of the “tower” along the x direction.

Figure 8 shows that  $IDR$  profiles among all the frames have the same shape for the low performance level. In high-damage performance levels, the shape of  $IDR$  profiles is becoming less uniform due to the inelastic deformations. The dispersion with respect to the amplitudes increases between the lower and higher floors. The



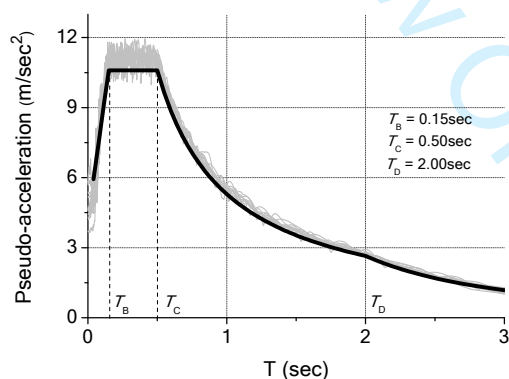
363 torsional behavior was also found to be larger in all frames of Group B compared to the torsional behavior of the  
 364 Reg. Frame. Figure 9 illustrates the normalized median inter-storey twist by the storey height for the 6-storey  
 365 and 9-storey frames associated with  $IDR = 3.2\%$  along the x direction.  
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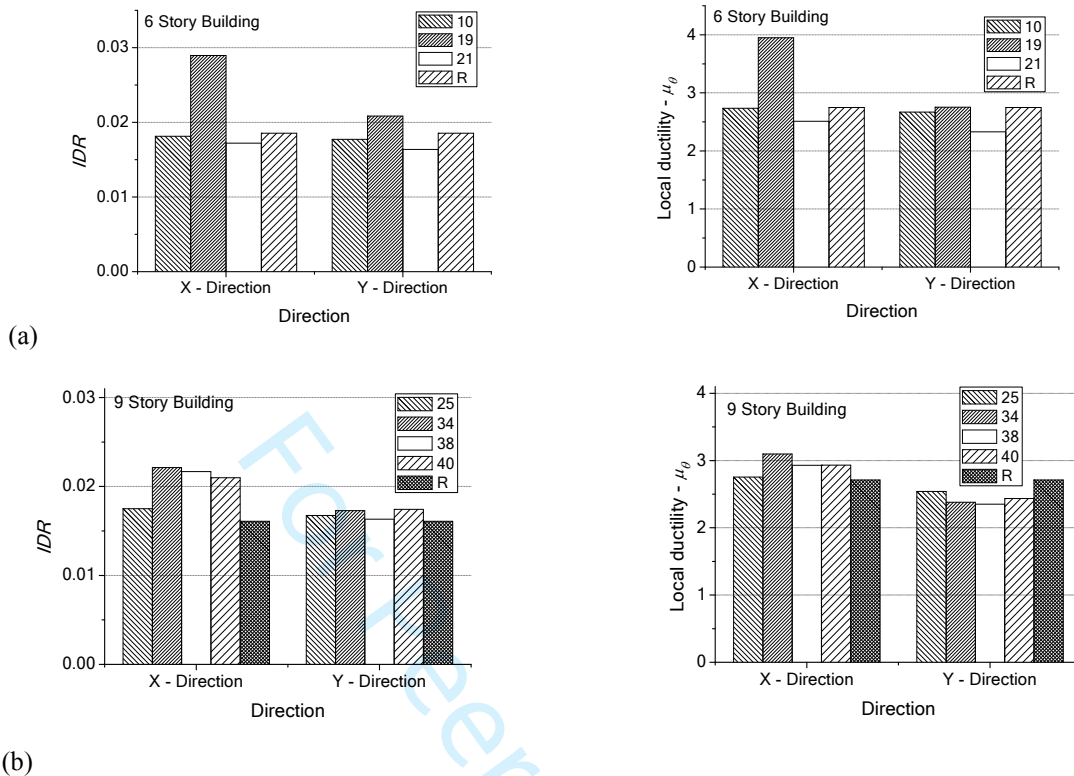
367 Figure 9. (a) Normalized median inter-storey twist of the 6-storey; and (b) 9-storey frames of Group B  
 368 associated with  $IDR = 3.2\%$  along the x direction.

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370 Frame structures with setbacks along their height are expected to exhibit a larger level of damage compared  
 371 to the corresponding regular structures. To further justify this discussion on the frame considered, the inelastic  
 372 behavior of the frames of Group B was evaluated for a common level of seismic intensity by conducting time-  
 373 history nonlinear analyses with five pairs of semi-artificial accelerograms. The accelerograms were generated  
 374 to be compatible to the elastic design spectrum of EC8 [3] ( $PGA = 0.36g$  and soil class B) in order to evaluate the  
 375 response of the frames under a seismic intensity beyond the design-based level. The two components of the  
 376 artificial motions shown in Figure 10 were alternated in x and y direction, respectively. The analyses were  
 377 performed for 10 of the 40 frames shown in Figure 2c (Frame 3, 4, 5, 10, 19, 21, 25, 34, 40) and their  
 378 corresponding regular frames (labeled as R). Figure 11 shows bar charts of the results in terms of  $IDR_{max}$  and  $\mu_0$   
 379 for the 6-storeys and 9-storeys frames along the x and y direction.



380 Figure 10. Response spectra of the semi-artificial ground motions.  
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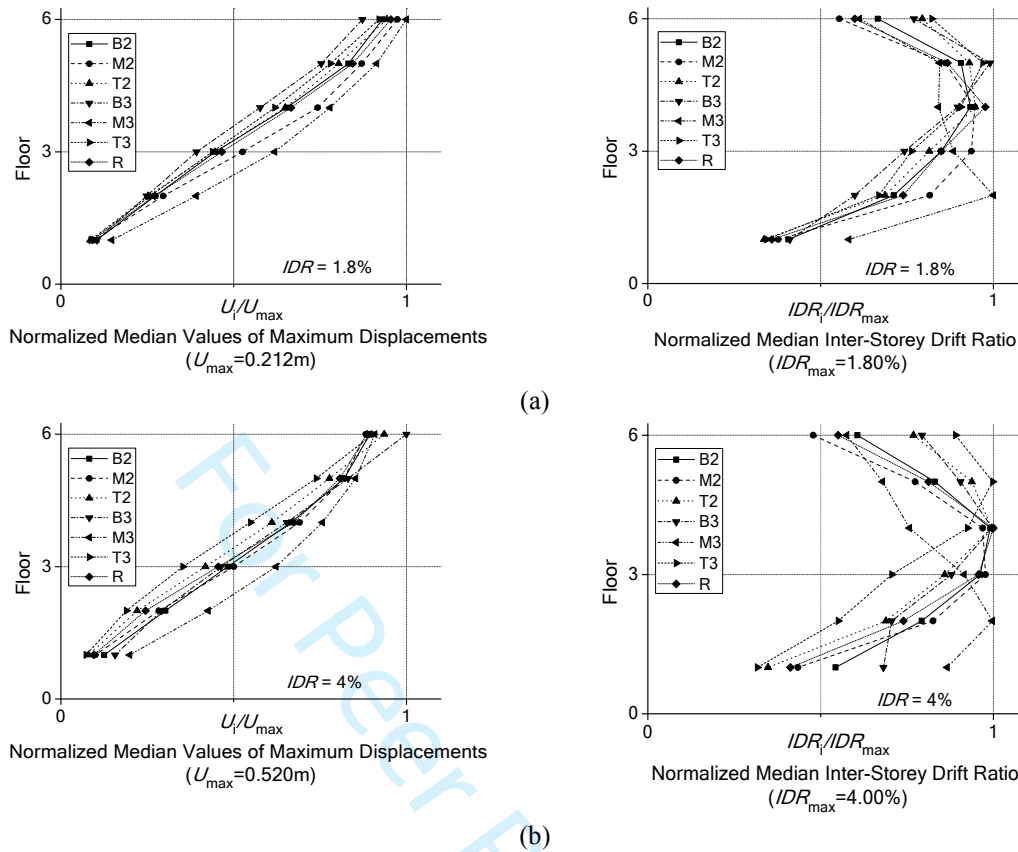
384 Figure 11.  $IDR_{max}$  and  $\mu_0$  along the x and y direction for the: (a) 6-storey [Frames 10, 19, 21]; and (c) 9-storey  
 385 frames [Frames 25, 34, 38, 40] of Group B.

386  
 387 It is observed from Figure 11 that frames with setbacks experienced a larger damage along the x direction,  
 388 while along the y direction regular frames often exhibited a larger level of damage than the irregular frames. In  
 389 addition, regarding the x direction, increasing the height of the frames leads to an increase of drift and ductility  
 390 demands for all irregular frames as compared with the regular ones.

### 391 5.3. Space frames with mass irregularity along their height

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 393 Figure 12 shows peak lateral storey displacements and  $IDR$  profiles in elevation of the 6-storey frames of Group  
 394 C, for the two performance levels. The remaining performance levels can be found in [22]. For comparison  
 395 reasons, the responses of the corresponding regular frames (no mass discontinuity and an accidental eccentricity  
 396 of 5%) are also plotted in these figures (labeled as R). It is observed that the regular frames exhibited a larger  
 397 displacement and  $IDR$  profile at the onset of the first plastic hinge compared with the irregular ones. Only the  
 398 case of Frame T2 (mass discontinuity is at the top storey and mass ratio  $m_r = 2.0$ ) provides with slightly higher  
 399 values at the top storeys. The same trend with the results of the 6-storey frames is observed for the 3-storey and  
 400 9-storey frames.

401  
 402 The 6-storey and 9-storey frames behaved in a similar way at higher performance levels. In general, smaller  
 403 displacements exhibited in frames with the mass discontinuity at the top storey (T) of the frame, followed by the  
 404 frames with the mass discontinuity at the bottom storey (B) of the frame, and then by those with the mass  
 405 discontinuity at the middle storey (M) of the frame. On the contrary, in case of 3-storey frames, the trend  
 406 appears to be different. Frames with the mass discontinuity at the top storey (T) exhibited larger displacements.  
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409 Figure 12. Peak floor displacements and  $IDR$  profiles of the 6-storey frames of Group C: (a)  $IDR = 1.8\%$ ; (b)  
 410  $IDR = 4.0\%$   
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## 5. HFD DESIGN METHOD

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 414 The HFD method [21-24] determines the roof displacement  $u_{r,max(d)}$  at design as the minimum of the peak roof  
 415 displacements  $u_{r,max(IDR)}$  and  $u_{r,max(\mu)}$  which correspond to non-structural and structural deformation respectively,

$$416 \quad u_{r,max(d)} = \min(u_{r,max(IDR)}, u_{r,max(\mu)}) \quad (3)$$

417 The roof ductility  $\mu_{r,d}$  as the design quantity is then determined as

$$418 \quad \mu_{r,d} = \frac{u_{r,max(d)}}{u_{r,y}} \quad (4)$$

419 The designer can obtain  $u_{r,y}$  by conducting an elastic strength-based design ( $q=1$ ) for frequent earthquake. The  
 420 behavior factor  $q$  is given as a function of  $\mu_{r,d}$ .

421 In this section, empirical formulae for  $u_{r,max(IDR)}$ ,  $u_{r,max(\mu)}$  and  $q$  as functions of basic geometrical/dynamical  
 422 characteristics of the frames are developed by a nonlinear regression analysis in MATLAB [41]. By analyzing  
 423 the created response databank of frames with irregular plan view (Group A),  $u_{r,max(IDR)}$  is expressed as

$$424 \quad u_{r,max(IDR)} = b_1 \cdot H \cdot IDR^{b_2} \quad (5)$$

425 where  $IDR$  is the targeted interstorey drift ration and  $H$  is the height of the frame (in m). The parameters  $b_1$  and  $b_2$   
 426 are provided in Table 8. By analyzing the response databank of frames with setbacks along the height (Group B),  
 427 the ratio  $\beta = u_{r,max(IDR)} / (H \cdot IDR)$  was found to be the most representative parameter to quantify the design  
 demand. The ratio  $\beta$  is calculated by

$$428 \quad \beta = 1 - b_1 \cdot (n_s - 1)^{b_2} \cdot \Phi_s^{b_3} \cdot \Phi_b^{b_4} \quad (6)$$

429 where constants  $b_1$ ,  $b_2$ ,  $b_3$ , and  $b_4$  are given in Table 9 for motions along the x and y directions. Equation (6) is  
 430 simple and satisfies the natural condition  $\beta = 1$  for  $n_s = 1$ . By analyzing the response databank of frames with

mass discontinuities along the height (Group C), the  $u_{r,\max(IDR)}$  can be expressed as in Eq. (5). The parameters  $b_1$  and  $b_2$  are given in Table 10 with respect to the storeys number and the location of mass discontinuity (bottom, middle, or top).

With  $IDR$  to be known, the ratio  $u_{r,\max,app}/u_{r,\max,exact}$  is taken for all irregular frames. The “appx” subscript stands for the word “approximate” and refers to the value obtained by the empirical Eqs (5) and (6), while the “exact” subscript refers to the seismic response databanks. The mean, median and standard deviation (Stdev) values are also provided. For the frames of Group A, Eq. (5) provides a mean value equal to 1.04, median value equal to 0.99 and dispersion value equal to 0.30 for the ratio  $u_{r,\max,app}/u_{r,\max,exact}$ . The same equation for the frames of Group C provides a mean value equal to 1.01, median value equal to 0.98 and dispersion value equal to 0.26 for the ratio  $u_{r,\max,app}/u_{r,\max,exact}$ . Similar results can be obtained from the use of Eq. (6) for the frames of Group B.

**Table 8.** Values of parameters  $b_1$  and  $b_2$  of Eq. (5) for steel space frames with irregular plan view

Number of storeys	$IDR_y - IDR_{1.8\%}$		$IDR_{1.8\%} - IDR_{3.2\%}$		$IDR > IDR_{3.2\%}$	
	$b_1$	$b_2$	$b_1$	$b_2$	$b_1$	$b_2$
3	0.84	1.01	1.03	1.06	0.99	1.05
6	0.37	0.88	0.93	1.11	1.51	1.25
9	0.29	0.88	2.07	1.37	2.38	1.41
12	0.28	0.91	1.46	1.32	5.58	1.71
15	0.22	0.89	5.04	1.67	6.88	1.76

**Table 9.** Values of parameters  $b_1 - b_4$  of Eq. (6) for steel space frames with setbacks

Direction	$b_1$	$b_2$	$b_3$	$b_4$
x	0.18	0.36	0.85	0.24
y	0.18	0.36	0.17	0.10

**Table 10.** Values of parameters  $b_1$  and  $b_2$  of Eq. (5) for steel space frames with mass discontinuities

Number of storeys	Location of mass discontinuity	$b_1$	$b_2$
3	B	0.83	1.01
	M	0.83	1.01
	T	1.26	1.11
6	B	1.16	1.17
	M	0.72	1.03
	T	0.82	1.07
9	B	1.95	1.34
	M	1.47	1.24
	T	1.38	1.27

The  $u_{r,\max(\mu)}$  can be estimated from

$$u_{r,\max(\mu)} = \mu_{r,\theta} \cdot u_{r,y} \quad (7)$$

where  $\mu_{r,\theta}$  is the maximum ductility of the top storey with respect the  $\mu_\theta$  and can be calculated for the frames with irregular plan view (Group A) as

$$\mu_{r,\theta} = 1 + 0.81 \cdot (\mu_\theta - 1) \quad \text{for } \mu_\theta \leq 4.68 \quad (8)$$

$$\mu_{r,\theta} = 2.58 + 0.38 \cdot (\mu_\theta - 1) \quad \text{for } \mu_\theta > 4.68$$

and for the frames with setbacks (Group B) and vertical mass discontinuities (Group C) as

$$\mu_{r,\theta} = 1 + b_1 \cdot (\mu_\theta - 1)^{b_2} \quad (9)$$

Table 11a and b provides the constants  $b_1$  and  $b_2$  respectively. Eq. (8) provides a mean value equal to 0.94, median value equal to 0.94 and dispersion value equal to 0.24 for the ratio  $\mu_{r,\theta,app}/\mu_{r,\theta,exact}$ . For the Group B frames, Eq. (9) provides a mean value equal to 1.02, median value equal to 0.97 and dispersion value equal to 0.28 for the ratio  $\mu_{r,\theta,app}/\mu_{r,\theta,exact}$ , while for the Group C frames and the same ratio, Eq. (9) provides a mean value equal to 1.04, median value equal to 1.02 and dispersion value equal to 0.24.

461  
462**Table 11.** Values of constants  $b_1$  and  $b_2$  of Eq. (9) for:

(a) Steel space frames with setbacks		
Direction	$b_1$	$b_2$
x and y	0.79	1.00
(b) Steel space frames with mass discontinuities		
Location of mass discontinuity	$b_1$	$b_2$
B	1.22	0.60
M	1.17	0.59
T	1.26	0.61

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As it was mentioned in the Section 3.3, the behavior factor  $q$  was defined as  $q = SF_{IDR} / SF_y$ . Figure 13a illustrates schematically the relation of behavior factor  $q$  versus the targeted  $IDR$  for the 6-storey space frames of Group A, while Figure 13b plots the median values of all frames for each type of irregular plan-view introduced in Figure 1a. Number of storeys had no influence on  $q$ . The  $q$ - $IDR$  relation did not significantly differ from the corresponding one for regular frames for the case studies examined in the present work. Moreover, the period of vibration and frequency of the ground motion was found to not have a significant effect on the  $q$ - $IDR$  relation. A similar finding is also valid for the Groups B and C frames.

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For the Group B frames there is an influence of the torsional response on the  $q$ - $IDR$  relation as it is shown in Figure 14. Figures 14a and 14b show the  $q$ - $IDR$  relation along the x and y direction, respectively, for some representative Group B frames and the corresponding regular frames. Figure 14 shows the median values for the four performance levels considered here. Having as reference the trend of the regular frame, a large dispersion of the  $q$ - $IDR$  relation for the frames with setbacks is observed. Figure 15 illustrates the relation of behavior factor  $q$  with the  $IDR$  for mass ratio  $m_r = 2$ . Figure 15a shows the  $q$ - $IDR$  relation of the 6-storey frames of Group C for the three cases of mass discontinuity considered against the corresponding regular frame, while Figure 15b the  $q$ - $IDR$  relation of the 3-storey, 6-storey and 9-storey frames where the mass discontinuity is located at the middle storey (M) of the frames. The trend of the  $q$ - $IDR$  relation was found to be similar for mass ratio  $m_r = 3$ . For frames with a mass discontinuity along their height, a larger behavior factor  $q$  than the corresponding one for regular frames can be used to satisfy the targeted  $IDR$ , as shown in Figure 15a. Accordingly, the  $q$  factor increases also with the number of storeys for a given level of performance, as shown in Figure 15b. Table 12 summarizes the median values of scale factors (SF) used in time-history analyses to drive the frames of Group C to reach the target performance level. Only results for the  $IDR = 1.8\%$  and  $IDR = 4.0\%$  performance levels are provided. Results for the remaining performance levels can be found in [22]. It is concluded that frames with a mass discontinuity along their height satisfy the various performance levels for a lower seismic intensity than the corresponding regular frames, since the SF is smaller for the former case study. It should be noted here that the design of the frames affects the analysis results and could lead into a different conclusion. More frame cases should be examined in the future with additional mass discontinuities to generalize the abovementioned findings.

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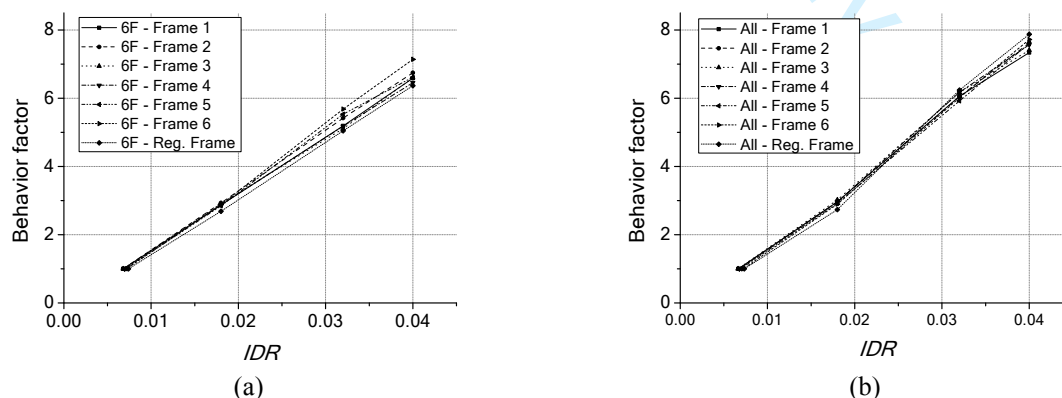
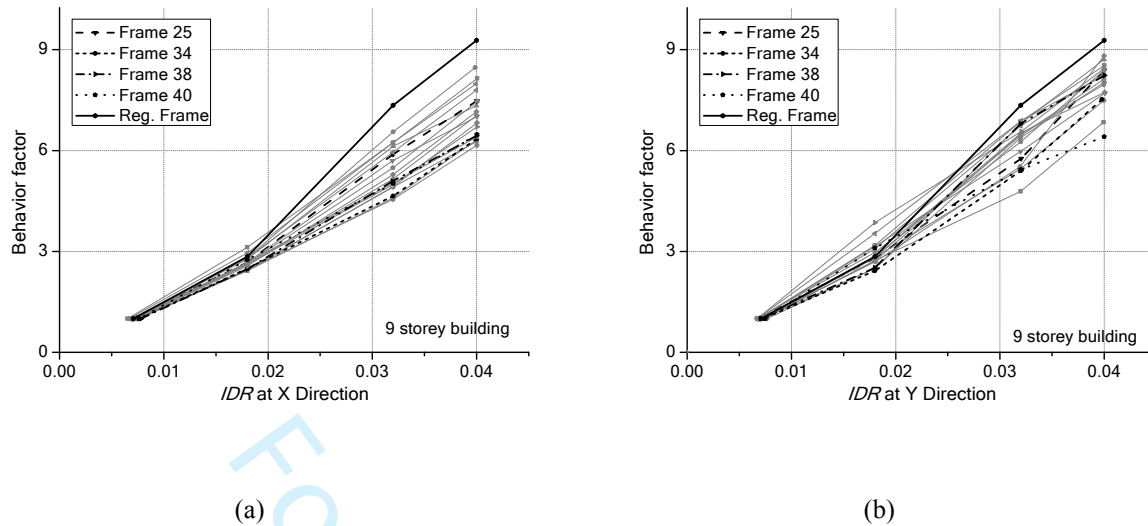
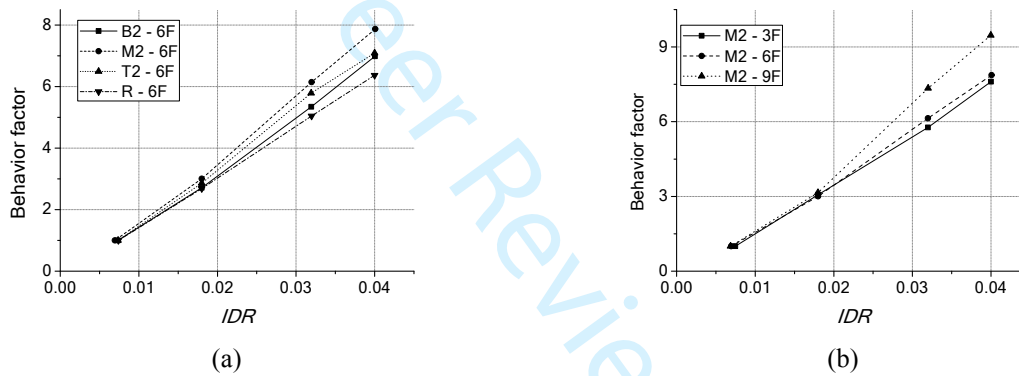
492  
493  
494

Figure 13. Behavior factor  $q$  versus  $IDR$  for the frames of Group A: (a) 6-storey frames; and (b) all frames (median values)





495 Figure 14. Behavior factor  $q$  versus  $IDR$  for the 9-storey frames of Group B at: (a) along x direction; and (b)  
 496 along y direction  
 497



498 Figure 15. Behavior factor  $q$  versus  $IDR$  for mass ratio  $m_r = 2$ : (a) 6-storey frames of Group C for the three cases  
 499 of mass discontinuity considered against the corresponding regular frame; (b) 3-storey, 6-storey and 9-storey  
 500 frames where the mass discontinuity is located at the middle storey (M) of the frames.  
 501  
 502

503 **Table 12.** Median values of scale factors (SF) that correspond to performance levels  $IDR = 1.8\%$  and  $IDR = 4.0\%$   
 504 for the Group C frames.  
 505

Performance level	Design case	Scale Factor (SF)		
		3 storey	6 storey	9 storey
<b>IDR=1.8%</b>	B2	1.74	1.97	1.85
	M2	2.02	2.02	2.19
	T2	1.97	2.04	2.10
	B3	1.91	1.91	1.70
	M3	2.03	2.27	1.87
	T3	2.14	2.04	1.70
	<b>R</b>	<b>1.76</b>	<b>1.87</b>	<b>2.00</b>
<b>IDR=4%</b>	B2	3.49	3.71	4.03
	M2	3.14	3.81	3.89
	T2	3.07	3.61	3.53
	B3	2.87	3.56	3.49
	M3	3.47	3.80	4.32
	T3	3.24	3.75	3.29
	<b>R</b>	<b>3.34</b>	<b>4.01</b>	<b>4.32</b>

506  
 507

508 **Table 13.** Values of parameters  $b_1$  and  $b_2$  for: Eqs (11) and (12).

<b>(a) Steel space frames with setbacks – Eq. (11)</b>			
Direction	$b_1$	$b_2$	$b_3$
x	1.39	1.05	-0.06
y	1.39	1.05	-0.34
<b>(b) Steel space frames with mass discontinuities – Eq. (12)</b>			
Location of mass discontinuity	$b_1$	$b_2$	
B	1.38	1.00	
M	1.50	1.00	
T	1.43	1.00	

509

510 The expression providing  $q$  was found to be of the form

$$q = 1 + 1.35 \cdot (\mu_r - 1) \quad \text{for the frames of Group A} \quad (10)$$

$$q = 1 + b_1 \cdot (\mu_r - 1)^{b_2} \cdot \Phi_s^{b_3} \quad \text{for the frames of Group B} \quad (11)$$

$$q = 1 + b_1 \cdot (\mu_r - 1)^{b_2} \quad \text{for the frames of Group C} \quad (12)$$

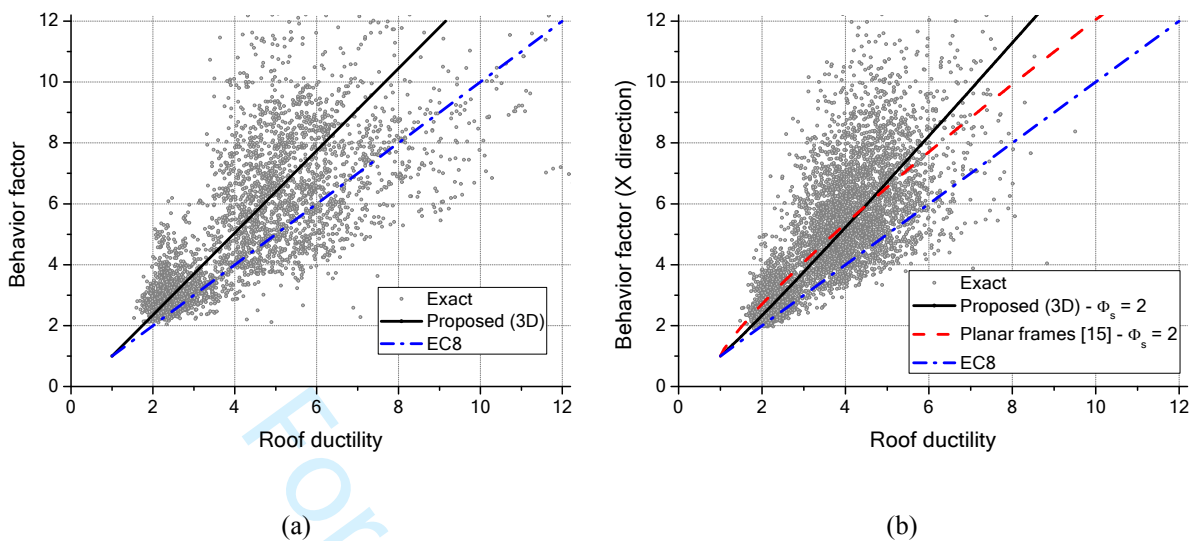
511 where the parameters  $b_1$  and  $b_2$  of Eqs (11) and (12) are obtained from Table 13 and  $\mu_r$  is the  $\mu_{r,d}$  of Eq. (4).  
 512 Equations (10), (11) and (12) fulfill the condition  $q=1$  for  $\mu_r=1$ . These equations show that the equal-  
 513 displacement rule of EC8 [3] overestimates the peak floor displacements.

514 The proposed equations can be applied to frames with stiffness parameter  $\rho$  and strength parameter  $\alpha$  within  
 515 their minimum and maximum values in Section 2. The created databank can be further increased by considering  
 516 buildings which will be designed using different values of behavior factor  $q$ . This will also contribute to the  
 517 inclusion of the  $\rho$  and  $\alpha$  parameters in the proposed equations and further development of the regression  
 518 coefficients considered in this work. It should be also noticed that based on the analysis results no effect of the  
 519 period of vibration and frequency content of the ground motion on the relationship between  $q$  and  $\mu_r$ ,  $\mu_{r,max}$  and  
 520  $IDR_{max}$ ,  $\mu_r$  and  $\mu_\theta$  was identified and for this reason their effect has not been included in all proposed equations.  
 521 In addition, the inclusion of peak floor acceleration as an additional performance metric of the HFD method can  
 522 further control non-structural damage as well as residual drifts in the design equations and provide useful  
 523 information for estimating potential losses and repair costs at different performance levels.

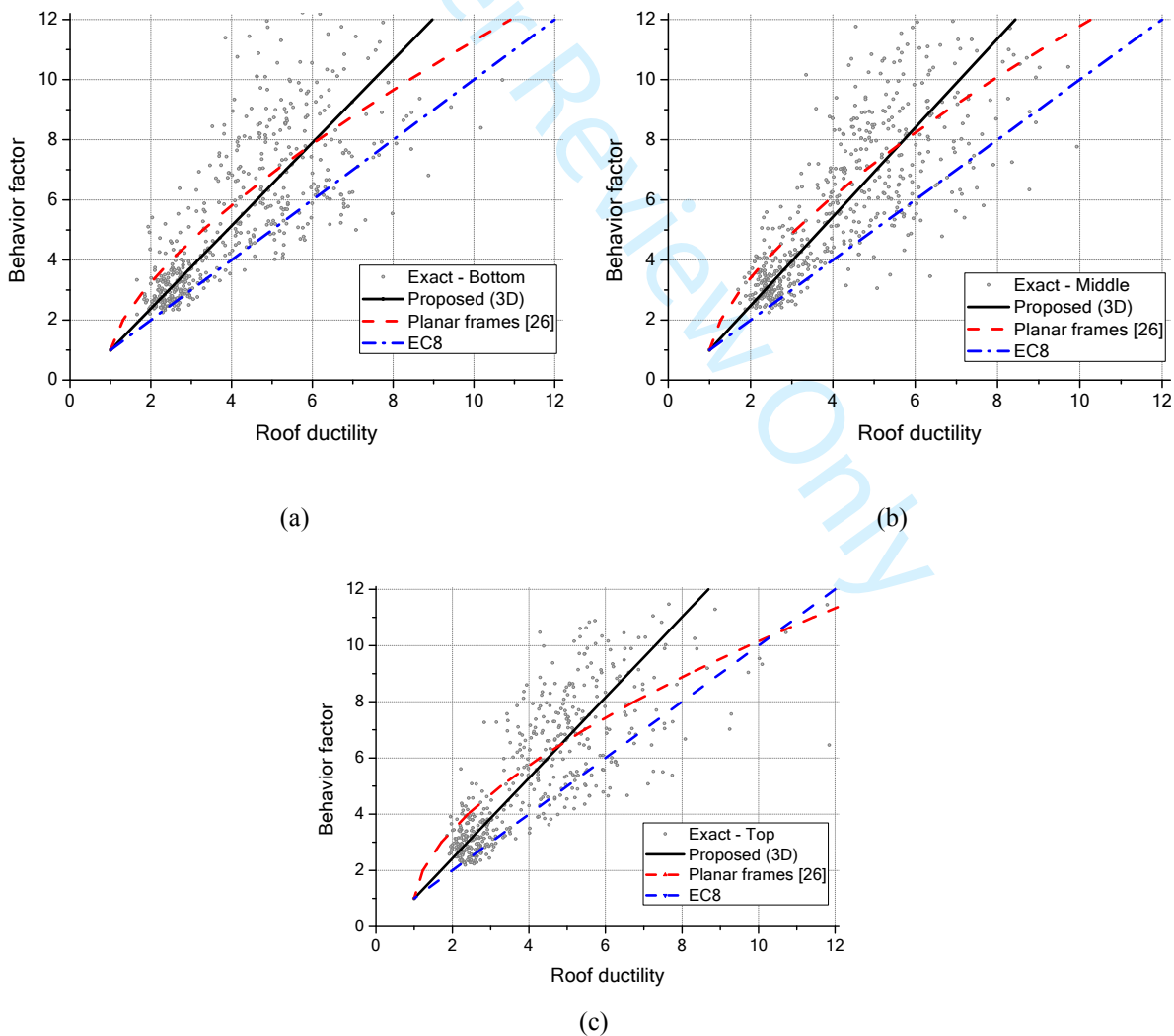
524 Figure 16 shows graphically Eqs (10) and (11) for the Group A and B frames together with the  
 525 corresponding databank results and the equal displacement rule, while in Figure 18b the  $q-\mu_r$  relation introduced  
 526 in [11] for planar frames with setbacks is also plotted here for comparison reasons assuming  $\Phi_s = 2$ .  
 527 Accordingly, Figure 17 plots Eq. (12) for the Group C frames together with the corresponding databank results,  
 528 the equal displacement rule and the relations introduced in [19] for planar frames with vertical mass  
 529 discontinuities. Figures 16 and 17 show higher dispersion in the  $q$  value at higher roof ductility levels which can  
 530 be related to the variability induced from each seismic record. The present results appear to be more accurate  
 531 than those of EC8 [3], which overestimates ductility demands in all cases.

532 Furthermore, for a realistic range of  $q$  (less than 8), the comparison in Figures 16 and 17 of the proposed  $q-$   
 533  $\mu_r$  relations for space irregular frames to the corresponding relations for planar irregular frames, reveal a  
 534 difference in their seismic response. For a targeted level of seismic performance, space frames require higher  
 535 ductility demands and lower behavior factors than the corresponding planar ones. This finding is in accordance  
 536 with the current design codes [3], where a proper reduction on the behavior factor is recommended for space  
 537 irregular structures for which torsional response plays an important role. A larger lateral resistance seems to be  
 538 required in order to control the seismic response of these type of structures.

539



540 Figure 16. Graphical depiction of the response databank results and approximation by the proposed relation for  
 541 space MRFs. Comparison with the proposed in [11] relation for planar MRFs and the equal-displacement rule  
 542 (EC8 [3]), for the case of frames with: (a) irregular plan view (Group A); (b) setbacks (Group B) with  $\Phi_s = 2$ .  
 543



544  
 545

Figure 17. Graphical depiction of the response databank results and approximation by the proposed relation for space MRFs. Comparison with the proposed in [19] relation for planar MRFs and the equal-displacement rule (EC8 [3]) for the frames with vertical mass discontinuities (Group C) on: (a) bottom floor; (b) middle floor; and (c) top floor.

## 6. SEISMIC DESIGN EXAMPLES

This section utilizes the developed relationships within the framework of the HFD seismic design method [21-24] for designing the three space steel irregular frames shown in Figure 18. The frames were first designed according to EC8 [3] and EC3 [27] provisions assuming the same grade of steel and load combinations as those introduced in Section 2. All buildings have 3m storey height and 6m bay width in both directions (Figure 18). The performance levels for seismic design are: (a) IO (Immediate Occupancy) under the FOE (Frequently Occurring Earthquake), (b) LS (Life Safety) under the DBE (Design Basis Earthquake), (c) CP (Collapse Prevention) under the MCE (Maximum Considered Earthquake). Figure 19 shows the Type 1 (soil class B) elastic design spectra of EC8 [3] for the FOE, DBE and MCE levels. For the DBE level, the peak ground acceleration ( $PGA_{DBE}$ ) was taken equal to 0.36g. The PGAs under the FOE and the MCE were taken equal to  $0.25 \times PGA_{DBE}$  and  $1.5 \times PGA_{DBE}$ , respectively. Based on ASCE 41-13 [42], the  $IDR$  and  $\mu_{\theta}$  should be lower than 0.7% and 1.0 under the FOE, lower than 2.5% and 9.0 under the DBE and lower than 5.0% and 11.0 under the MCE, respectively. Table 14 summarizes all the relevant information of the design.

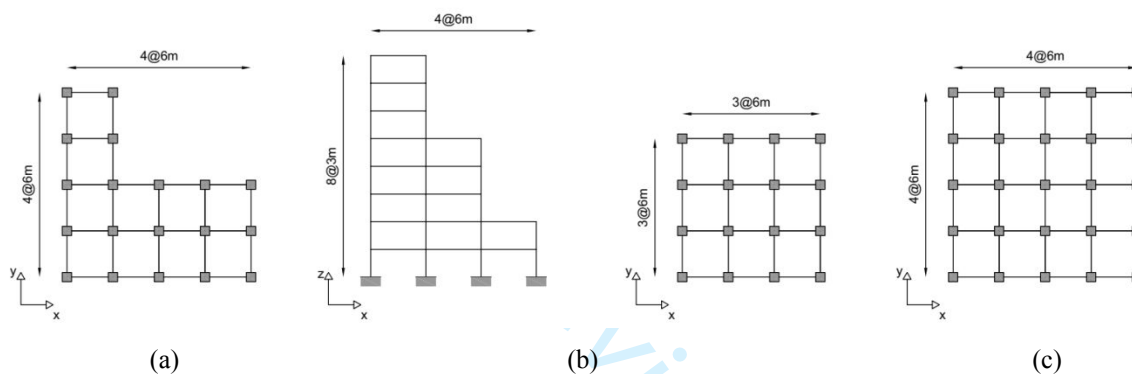


Figure 18. Building structures considered here for the design examples: (a) 6-storey space frame with L-shaped plan view; (b) 8-storey setback space frame; and (c) 9-storey space frame with a vertical mass irregularity at 5<sup>th</sup> floor.

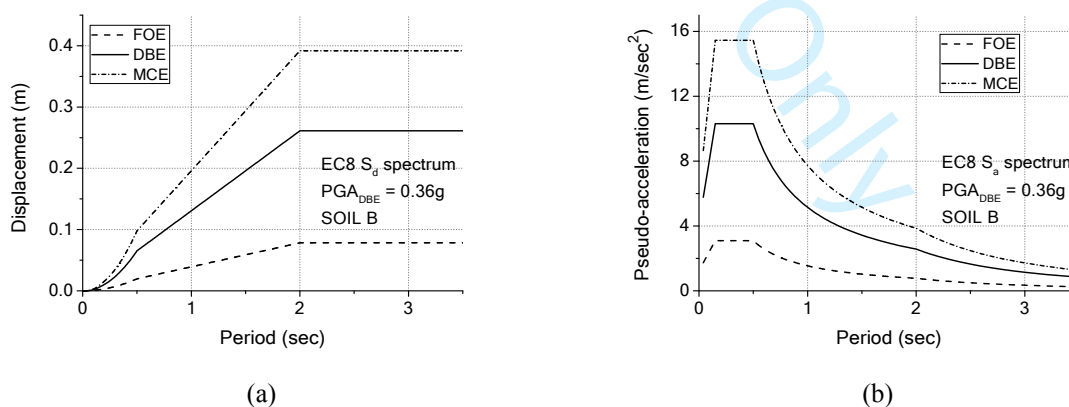


Figure 19. Design spectra of EC8 [3] for soil class B and  $PGA_{DBE} = 0.36g$ : (a) displacement design spectra; (b) pseudo-acceleration design spectra.

**Table 14.** Types and steel grade for designed frames (3\* means that the 5<sup>th</sup> floor has a mass three times larger the one of the adjacent floors)

Design Example	Storeys Number	Lateral-resistant system	$PGA_{DBE}$	Metrics of Irregularity			Grade of steel Beam - Column
				$\Phi_s$	$\Phi_b$	Mass	
1	6	MRF with L shaped plan	0.36g	-	-	-	S235 – S355

2	8	MRF Setback	0.36g	1.21	2.05	-	S235 – S355
3	9	MRF Mass Irregular	0.36g	-	-	3*	S235 – S355

### 573 6.1. Six storey space MRF with L shaped floor plan.

574 Figure 18a illustrates a six storey space MRF with L shaped floor plan. The story height is 3.0 m. Table 15  
575 provides the sections under a first elastic design for the FOE and the natural periods. The fundamental period is  
576 translational and equal to 1.24 sec. Considering that the initial FOE design is to determine the yielding roof  
577 displacement,  $u_{r,y}$  equals to 0.076 m and  $IDR_y = 0.58\%$ . This value of  $IDR$  fulfils the limit states of IO  
578 performance level as defined in ASCE 41-13 [42]. The designed structure is further assessed for the LS and the  
579 CP performance levels. For estimating the frame response under the DBE, the behavior factor  $q$  in DBE level  
580 can be taken from  $PGA_{DBE}/PGA_{FOE} = 4.00$ . By using Eq. (10) and Eq. (4),  $\mu_{r,d} = (4-1)/1.35+1 = 3.22$  and  $u_{r,max} =$   
581  $0.076 \times 3.22 = 0.245$  m, respectively. By employing Eq. (8) and Eq. (5),  $\mu_0 = (3.22-1)/0.81+1 = 3.74$  and  $IDR_{max}$   
582  $= (0.245/(3 \times 6 \times 0.93))^{1/1.11} = 2.22\%$ , respectively. For estimating the frame response under the MCE, the factor  $q$   
583 in MCE level can be taken from  $(PGA_{MCE}/PGA_{DBE}) \times q_{DBE} = 6$ . Accordingly, by using Eq. (10) and Eq. (4),  $\mu_{r,d} =$   
584  $(6-1)/1.35+1 = 4.7$  and  $u_{r,max} = 0.076 \times 4.7 = 0.357$  m, respectively. By employing Eq. (8) and Eq. (5),  $\mu_0 = (4.7-$   
585  $2.58)/0.38+1 = 6.58$  and  $IDR_{max} = (0.357/(3 \times 6 \times 0.93))^{1/1.11} = 3.12\%$ , respectively. In the view of the above  
586 results and considering the limit values of ASCE 41-13 [42], one can observe that the frame design which fulfils  
587 the IO performance level fulfils the LS and CP requirements as well.

588 A second design is made for the LS performance level. The target values of the  $IDR_{max}$  and  $\mu_0$  are equal to 2.5%  
589 and 9, respectively (ASCE 41-13 [42]). Eq. (5) estimates the target roof displacement  $u_{r,max(IDR)}$  as  
590  $3 \times 6 \times 0.93 \times 0.025^{1.11} = 0.279$  m. Then, the target roof displacement ductility  $\mu_{r,IDR}$  is estimated by employing Eq.  
591 (4) as  $0.279/0.076 = 3.67$ . By using Eq. (8), the target local rotational ductility  $\mu_{r,0}$  is calculated as  $2.58+0.38 \times (9-$   
592  $1) = 5.62$ . The design roof ductility  $\mu_{r,d}$  is determined by taking the minimum values of  $\mu_{r,IDR}$  and  $\mu_{r,0}$  and is equal  
593 to the min (3.67, 5.62) = 3.67. It is found that drift controls the LS performance level. For a SDOF system with  
594 the same period as the designed frame ( $T_1 = 1.24$  sec), the peak displacement can be determined from the DBE  
595 displacement design spectrum shown in Figure 19a and is equal to 0.165 m. Then, this displacement is increased  
596 to account for the frame response. The calculations give  $0.165 \times 1.4 = 0.231$  m, where the multiplier 1.4 is  
597 proposed by ASCE 41-13 [42] for six storey buildings. The estimated roof displacement appears to be smaller  
598 than the  $u_{r,max(IDR)} = 0.279$  m, and the target values of the  $IDR_{max}$  and  $\mu_0$  for DBE performance level require  
599 revision according to [25,27]. By revising the target displacement to 0.231 m, the target values of  $IDR_{max}$  and  $\mu_0$   
600 for this design example should be around 2.11% and 3.52, respectively, based on the Eqs. (4), (5) and (8). Based  
601 on Eq. (10) and implementing the new values of  $IDR_{max}$  and  $\mu_0$ , the required behavior factor  $q$  is calculated equal  
602 to 3.75. The designer performs a response spectrum analysis using the DBE design spectrum (Figure 19b)  
603 reduced by the obtained  $q$  factor. The resulted cross-sections are the same as the ones determined in IO level  
604 (Table 15).

605 A third design is prepared for the CP performance level. The target values of the  $IDR_{max}$  and  $\mu_0$  are equal to 5%  
606 and 11, respectively (ASCE 41-13 [42]). Eq. (5) estimates  $u_{r,max(IDR)} = 3 \times 6 \times 1.51 \times 0.025^{1.25} = 0.643$  m. Then, by  
607 using Eq. (4),  $\mu_{r,IDR} = 0.643/0.076 = 8.46$ . Based on Eq. (8),  $\mu_{r,0} = 2.58+0.38 \times (11-1) = 6.38$ . Thus, the  $\mu_{r,d}$   
608 is equal to 6.38. It is found here that local ductility controls the CP performance level and  $u_{r,max(d)} = u_{r,max(\mu)} =$   
609  $6.38 \times 0.076 = 0.485$  m. Based on the MCE displacement design spectrum shown in Figure 19a and the  
610 assumption of the SDOF system introduced before, the maximum displacement is found to be  $0.248 \times 1.4 =$   
611  $0.347$  m. The estimated roof displacement appears to be smaller than the  $u_{r,max(IDR)} = 0.485$  m, and the target  
612 values of the  $IDR_{max}$  and  $\mu_0$  for the MCE performance level require revision. By revising the target displacement  
613 to 0.347 m, the target values of  $IDR_{max}$  and  $\mu_0$  for this design example should be around 3.04% and 6.24,  
614 respectively, based on the Eqs. (4), (5) and (8). The required behavior factor  $q = 5.82$  based on Eq. (10). The  
615 designer performs a response spectrum analysis using the MCE design spectrum (Figure 19b) reduced by the  
616 obtained  $q$  factor. The resulted cross-sections are the same as the ones determined in IO level (Table 15). In the  
617 view of the above results, one can observe that IO performance level determines the frame dimensions in the  
618 current example.

619 The conventional FBD method [3, 27] using a behavior factor  $q = 6.5 \times 0.8 = 5.2$  is also applied to design the  
620 current space irregular MRF. The obtained cross-section and first three natural periods are given in Table 15. It  
621 is observed that the LS performance level determines the frame dimension in the FBD (EC8 [3]). The frame  
622 behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.073$  m and  $IDR_{max} = 0.55\%$ . Accordingly,  
623 under the DBE the  $u_{r,max}$  can be computed as  $0.073 \times PGA_{DBE}/PGA_{FOE} = 0.292$  m and the  $IDR_{max}$  as  
624  $0.55\% \times PGA_{DBE}/PGA_{FOE} = 2.20\%$ . Under the MCE the  $u_{r,max}$  can be computed as  $0.292 \times PGA_{MCE}/PGA_{DBE} =$   
625  $0.438$  m and the  $IDR_{max}$  as  $2.20\% \times PGA_{MCE}/PGA_{DBE} = 3.30\%$ .



## 6.2. Eight storey setback steel space MRF

Figure 18b illustrates a steel space MRF with setbacks consisting of eight storeys of height 3.0m each. According to Eq. (1), the values of the indexes  $\Phi_s$  and  $\Phi_b$  of the MRF are equal to 1.21 and 2.05, respectively. Eq. (6) determines a value equal to 0.49 for the parameter  $\beta$ . As was mentioned in Section 4.2, based on the analyses results of this work, in most cases the direction with setback determines the performance level and thus only the equations of that direction are considered in this example. Table 16 provides the sections under FOE and the natural periods. The fundamental period is translational. The  $u_{r,y}$  equals 0.079 m, while  $IDR_y$  equals 0.58% satisfying the IO level [42]. The  $q$  factor of the frame in DBE is 4.00. By using Eq. (11) and Eq. (4),  $\mu_{r,d} = ((4-1)/(1.39 \times 1.21^{-0.06}))^{1/1.05} + 1 = 3.10$  and  $u_{r,max} = 3.10 \times 0.079 = 0.245$  m, respectively. By employing Eq. (9) and Eq. (6),  $\mu_\theta = (3.10-1)/0.79+1 = 3.66$  and  $IDR_{max} = u_{r,max} / (H \times \beta) = 2.07\%$ , respectively. For estimating the frame response under the MCE, the  $q$  factor for the space MRF is 6. Accordingly, by using Eq. (11) and Eq. (4),  $\mu_{r,d} = ((6-1)/(1.39 \times 1.21^{-0.06}))^{1/1.05} + 1 = 4.42$  and  $u_{r,max} = 4.42 \times 0.079 = 0.350$  m, respectively. By employing Eq. (9) and Eq. (6),  $\mu_\theta = (4.42-1)/0.79+1 = 5.33$  and  $IDR_{max} = u_{r,max} / (H \times \beta) = 2.96\%$ , respectively. Based on the limit values of ASCE 41-13 [42], designing the frame under IO, both the LS and CP performance levels are satisfied.

If the design starts from the LS performance level, the  $u_{r,max(IDR)} = H \times \beta \times IDR_{max} = 0.294$  m and therefore, the  $\mu_{r,IDR}$  is equal to  $0.294/0.079 = 3.72$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+0.79 \times (9-1) = 7.32$  and therefore, the  $\mu_{r,d} = \min(3.72, 7.32) = 3.72$ . It is found that drift controls the LS design. For a SDOF system with  $T = 1.47$  sec, the maximum displacement is found  $0.197 \times 1.4 = 0.276$  m under DBE (Figure 19a). The multiplier 1.4 is for eight storey buildings [42]. The displacement is smaller than the  $u_{r,max(IDR)} = 0.294$  m and the revised values of  $IDR_{max}$  and  $\mu_\theta$  based on Eqs. (4) and (9) are 2.23% and 4.16, respectively. These values fulfil the limit states of ASCE 41-13 [42]. Based on Eq. (11) the required behavior factor  $q = 4.90$ . The resulted cross-sections are the same as the ones of IO level (Table 16).

If the design starts from the CP performance level, the  $u_{r,max(IDR)} = H \times \beta \times IDR_{max} = 0.588$  m and therefore, the  $\mu_{r,IDR}$  equals  $0.588/0.079 = 7.44$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+0.79 \times (11-1) = 8.90$  and thus  $\mu_{r,d} = 7.44$ . It is found that drift controls the CP design in current example as well. The maximum displacement expected under MCE would not be larger than  $0.300 \times 1.4 = 0.420$  m (Figure 19a) which is smaller than the  $u_{r,max(IDR)} = 0.588$  m. The revised values of  $IDR_{max}$  and  $\mu_\theta$  are 3.57% and 6.46, respectively. These values fulfil the limit states of ASCE 41-13 [42]. The use of  $IDR_{max} = 3.57\%$  lead to similar structure with the IO level.

The FBD method [3, 27] is also applied here using factor  $q = 6.5 \times 0.8 = 5.2$ . The LS performance level determines the frame dimension. The obtained cross-section and first three natural periods are given in Table 16. The frame behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.079$  m and  $IDR_{max} = 0.58\%$ . Accordingly, under the DBE the  $u_{r,max} = 0.316$  m and the  $IDR_{max} = 2.32\%$ . Under the MCE the  $u_{r,max} = 0.474$  m and the  $IDR_{max} = 3.48\%$ .

## 6.3. Nine storey steel space MRF with mass discontinuity along the height

Figure 18c illustrate the floor plan of the nine storey steel space MRF with mass discontinuity along the height. The frame has an accidental eccentricity of 5% while the 5<sup>th</sup> floor has three times the mass of the adjacent floors. Table 17 provides the sections under FOE and the natural periods. The  $u_{r,y} = 0.117$  m and  $IDR_y = 0.62\%$  which fulfils the IO limit state values [42]. The  $q$  factor for DBE is 4.00. By using Eq. (12),  $\mu_{r,d} = 1+(4.00-1)/1.48 = 3.03$ , and Eq. (4),  $u_{r,max} = 3.03 \times 0.117 = 0.354$  m. Eq. (9) determines  $\mu_\theta = 1+((3.03-1)/1.17)^{1/0.59} = 3.54$  and Eq. (5)  $IDR_{max} = (0.354/(1.47 \times 3 \times 9))^{1/1.24} = 2.22\%$ . For estimating the frame response under the MCE, the  $q$  factor for the space MRF is 6. Accordingly, by using Eq. (12) and Eq. (4),  $\mu_{r,d} = 1+(6-1)/1.48 = 4.38$  and  $u_{r,max} = 4.38 \times 0.117 = 0.512$  m, respectively. By employing Eq. (9) and Eq. (5),  $\mu_\theta = 1+((4.38-1)/1.17)^{1/0.59} = 7.03$  and  $IDR_{max} = (0.512/(1.47 \times 3 \times 9))^{1/1.24} = 3.00\%$ , respectively. Based on the limit values of ASCE 41-13 [42], designing the frame under IO, both the LS and CP performance levels are satisfied.

Under LS performance level design, Eq. (5) estimates  $u_{r,max(IDR)}$  as  $9 \times 3 \times 1.47 \times 0.025^{1.24} = 0.409$  and therefore, the  $\mu_{r,IDR}$  is  $0.409/0.117 = 3.50$ . By using Eq. (9), the  $\mu_{r,\theta} = 1+1.17 \times (9-1)^{0.59} = 4.99$  and thus  $\mu_{r,d} = 3.50$ . For a SDOF system with  $T = 1.95$  sec, the maximum displacement is found to be  $0.26 \times 1.48 = 0.384$  m under the DBE displacement design spectrum (Figure 19a). The multiplier 1.48 is for nine storey buildings [42]. The is smaller than 0.409 m and the revised values of the  $IDR_{max}$  and  $\mu_\theta$  are 2.24% and 4.10, respectively. These values satisfy the limit values of ASCE 41-13 [42]. Based on Eq. (12) the required behavior factor  $q = 4.38$ . The resulted cross-sections are the same as the ones of IO level (Table 17).

677 Under CP performance level design,  $u_{r,max(IDR)} = 9 \times 3 \times 1.47 \times 0.05^{1.24} = 0.967$  m and therefore,  $\mu_{r,IDR} = 0.967/0.117$   
 678  $= 8.26$ . The  $\mu_{r,0}$  is calculated as  $1 + 1.17 \times (11-1)^{0.59} = 5.55$  and thus  $\mu_{r,d} = 5.55$ . As a result, local ductility controls  
 679 the CP design in the current example and placing the attention, the  $u_{r,max(d)} = u_{r,max(\mu)} = 5.55 \times 0.117 = 0.65$  m.  
 680 Under the MCE displacement design spectrum, the maximum roof displacement of the frame is expected to be  
 681  $0.39 \times 1.48 = 0.577$  m (Figure 19a). The value is smaller than 0.65 m and revised values of  $IDR_{max}$  and  $\mu_0$  are  
 682 3.30% and 7.80, respectively. These values satisfy the limit value of ASCE 41-13 [42]. The use of  $IDR_{max} =$   
 683 3.30% lead to similar structure with the IO level.

684 The FBD method [3,27] is also applied here using factor  $q = 6.5 \times 0.8 = 5.2$ . The LS performance level  
 685 determines the frame dimension. The obtained cross-section and first three natural periods are given in Table 17.  
 686 The frame behaves elastically under FOE and it is expected to experience  $u_{r,y} = 0.087$  m and  $IDR_{max} = 0.51\%$ .  
 687 Accordingly, under the DBE the  $u_{r,max} = 0.348$  m and the  $IDR_{max} = 2.04\%$ . Under the MCE the  $u_{r,max} = 0.522$  m  
 688 and the  $IDR_{max} = 3.06\%$ .

689 **Table 15.** Columns and beams sections and first three natural periods of the 6-storey steel space MRF with  
 690 irregular floor plan (L-shaped)

691

Design Example 1: 6-storey steel space MRF with L shaped floor plan						
Floor	Hybrid method			EC8 method		
	IPE		SHS	IPE		SHS
	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$
1	330	450	340x20	400	450	350x16
2	330	500	340x20	400	500	350x16
3	330	450	320x20	400	500	350x16
4	300	400	320x20	360	450	300x16
5	300	360	300x20	330	360	300x16
6	300	360	300x20	330	360	300x16
$T_1=1.24\text{sec} - T_2=1.23\text{sec} - T_3=1.19\text{sec}$			$T_1=1.11\text{sec} - T_2=1.10\text{sec} - T_3=1.04\text{sec}$			

692 **Table 16.** Columns and beams sections and first three natural periods of the 8-storey steel space MRF with  
 693 setbacks

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Design Example 2: Eight storey steel space MRF with setbacks						
Floor	Hybrid and EC8 method					
	IPE		SHS	IPE		SHS
	$F_{xe}$	$F_{ye}$	$C_e$	$F_{xi}$	$F_{yi}$	$C_i$
1	330	300	400x16	360	330	400x16
2	330	300	400x16	400	330	400x16
3	330	300	400x16	400	330	400x16
4	330	300	350x16	400	330	350x16
5	330	300	350x16	360	330	350x16
6	330	300	350x16	360	-	-
7	300	300	300x16	330	-	-
8	300	300	300x16	330	-	-
$T_1=1.47\text{sec} - T_2=1.37\text{sec} - T_3=1.13\text{sec}$						

695 **Table 17.** Columns and beams sections and first three natural periods of the 9-storey steel space MRF with  
 696 vertical mass discontinuities

697

Design Example 3: Nine storey steel space MRF with vertical mass discontinuity						
Floor	Hybrid method			EC8 method		
	IPE		SHS	IPE		SHS
	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$	$B_{xe} - B_{ye}$	$B_{xi} - B_{yi}$	$C_i - C_e$
1	300	400	400x20	450	550	400x20
2	330	450	400x20	450	550	400x20
3	330	450	400x16	450	550	400x20
4	330	450	400x16	400	550	400x16
5	400	500	400x16	400	500	400x16
6	330	400	350x16	360	450	350x16
7	300	330	350x16	360	400	350x16

8	300	330	300x16	330	360	300x16
9	300	330	300x16	330	360	300x16
$T_1=1.95\text{sec} - T_2=1.95\text{sec} - T_3=1.91\text{sec}$			$T_1=1.56\text{ sec} - T_2=1.56\text{ sec} - T_3=1.49\text{ sec}$			

698

699 **6.4 Comparison and seismic assessment using semi-artificial accelerograms**

700 The three design frames introduced in previous sections were subjected to the five pairs of semi-artificial  
 701 accelerograms shown in Figure 10. The time history analysis results were then used to evaluate the frames  
 702 performance as well as to both design methods.

703 The dynamic nonlinear analysis results are summarized in Table 18. Compared to the FBD method, one can  
 704 observe that the hybrid design method provides a better control for the structural damage in terms of drift and  
 705 ductility. This is because the hybrid design method utilizes a deformation and damage control  $q$  factor which  
 706 can obtain several values based on the targeted performance level, while the FBD method employs a  $q$  factor  
 707 that takes a general and constant value uncoupled from specific damage objectives. Moreover,  $IDR_{\max}$  and  $u_{r,\max}$   
 708 obtained from the dynamic nonlinear analysis are constantly overestimated by FBD method, while those values  
 709 are well predicted by the proposed method. However, a better prediction is observed for the six storey MRF by  
 710 the hybrid method whereas an underestimation is observed for the nine storey MRF. Both design methods  
 711 provided structures with similar weights. HFD and FBD designs of Example 1 have weight equal to 1211 kN  
 712 and 1198 kN, respectively, while in Example 2 both methods determined a weight equal to 916. For the design  
 713 Example 3, the hybrid design method provided a 15% lighter structure. The total weight of the steel  
 714 (transformed in kN) was measured equal to 2536 kN. The FBD method provided a heavier structure with weight  
 715 equal to 2946 kN. Table 19 provides the total mass of the structures and the performance level that controls the  
 716 design in each case.

717 It should be noted that in all MRFs designed by the FBD, the interstorey drift sensitivity coefficient  $\theta$  of EC8 [3]  
 718 governs the design under the DBE thus determining a smaller actual behavior factor  $q$  than the one chosen  
 719 initially. However, the initial choice of the  $q$  factor plays a direct role in the design solution by FBD and lighter  
 720 solutions can very likely be adopted if a lower  $q$  factor was adopted instead. HFD appears to be more rational in  
 721 estimating a behavior factor which satisfies both the targeted performance levels and drift requirements without  
 722 implying later any indirect revision. As a result, a lighter structure is very likely to be adopted.

723

724 **Table 18.** Dynamic analyses results and comparison with both design methods for the 3 examples considered  
 725 here

Six storey steel space MRF with L shaped floor plan - $PGA_{DBE} = 0.36g$												
Example 1	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.58	0.58	2.06	2.22	2.78	3.12	0.55	0.55	1.75	2.20	2.40	3.30
$u_{r,\max}$ (m)	0.078	0.076	0.245	0.245	0.346	0.357	0.074	0.073	0.225	0.292	0.332	0.438
$\mu_0$	1.00	1.00	3.17	3.74	4.22	6.58	1.00	1.00	2.93	-	4.80	-
Eight storey steel space MRF with setbacks - $PGA_{DBE} = 0.36g$												
Example 2	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.60	0.58	2.01	2.07	3.02	2.96	0.60	0.58	2.01	2.32	3.02	3.48
$u_{r,\max}$ (m)	0.086	0.079	0.278	0.246	0.418	0.350	0.086	0.079	0.278	0.316	0.418	0.474
$\mu_0$	1.00	1.00	3.08	3.66	4.39	5.33	1.00	1.00	3.08	-	4.39	-
Nine storey steel space MRF with vertical mass irregularity - $PGA_{DBE} = 0.36g$												
Example 3	Hybrid method						Force based desing (EC8)					
	FOE		DBE		MCE		FOE		DBE		MCE	
	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>a</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>	TH <sup>a</sup>	EST <sup>b</sup>
$IDR$ (%)	0.63	0.62	1.93	2.22	2.57	3.00	0.51	0.51	1.74	2.04	2.39	3.06
$u_{r,\max}$ (m)	0.125	0.117	0.333	0.354	0.450	0.512	0.090	0.087	0.283	0.348	0.411	0.522
$\mu_0$	1.00	1.00	3.37	3.54	4.45	7.03	1.00	1.00	3.42	-	4.64	-

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\*TH: time history analysis; \*EST: estimations of hybrid design method;

<sup>b</sup>EST (EC8): estimations using the equal displacement rule of EC8

**Table 19.** Mass and performance level (PL) that controls the design for the frames

Design Example	Hybrid method		Force based desing (EC8)	
	Mass (tons)	Control PL	Mass (tons)	Control PL
1	123.45	IO	122.12	LS
2	93.37	IO	93.37	LS
3	258.51	IO	300.31	LS

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732

## 7. CONCLUSIONS

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The main findings of the present study can be summarized as follows:

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(1) Empirical expressions for a hybrid seismic design method (HFD) are developed for space steel moment resisting frames irregular in plan view and in elevation. Irregularity in elevation is either due to non-uniform distribution of mass or due to the presence of setbacks along the height of the frame. The proposed damage-control expressions apply to frames with low values of the mid-height stiffness parameter  $\rho$  and high values of the column-to-beam flexural strength parameter  $\alpha$ .

739

(2) A torsional response component is observed in the irregular in-plan frames of this study. However, the level of this response is considerably smaller compared to the torsional response of the corresponding regular frames with 5% accidental eccentricity. This conclusion is limited to frames with the same bay widths and number of bays in both directions, similar to the frames of this work. The torsional response component of irregular setback frames can be more than two times the one of the corresponding regular frames with 5% accidental eccentricity.

745

(3) Buildings with setbacks only in one direction have different response and global ductility demands along the x and y in-plan direction. Thus, relationships between peak roof displacement – interstorey drift ratio ( $u_r - IDR$ ) and behavior factor - maximum roof displacement ductility ( $q - \mu_r$ ) are different along the x and y direction. Based on the analyses results, in most cases, the setback direction determines the design controlling performance level.

750

(4) For a certain value of  $IDR$ , frames with mass discontinuity along their height have higher ductility demands compared to the corresponding regular frames. In addition, these frames satisfy the various performance levels for a lower seismic intensity than the corresponding regular frames.

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(5) For a realistic range of  $q$  values (less than 8), the comparison of the proposed  $q-\mu_r$  relationships versus the corresponding relationships proposed for planar irregular frames reveals a difference in the seismic response of space and planar irregular frames. For a targeted level of seismic performance, space frames require higher ductility demands and lower behavior factors than the corresponding planar ones. The above behavior seems to be related to the presence of the torsional response component in space irregular frames.

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(6) Nonlinear time-history analyses revealed that HFD design method provides better estimations of critical response quantities, such as, the  $IDR$ , member ductility  $\mu_0$ , and roof displacement  $u_r$  under the three performance levels considered than the conventional FBD method.

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(7) In the three design examples designed by the FBD method, the interstorey drift sensitivity coefficient  $\theta$  of EC8 governs the design under the DBE level. As a result, a smaller behavior factor  $q$  than the one initially chosen is very likely to be determined by FBD. Following the HFD method, the immediate occupancy (IO) performance level controls the design in current examples without implying any indirect revision to the initial selection of  $q$  factor and a lighter structure could very likely be designed.

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(8) In the three design examples, resulting total weights of the designed 6-storey irregular-in-plan-view and 8-storey with setbacks buildings by both design methods were found to be similar. In the design of the 9-storey building with vertical mass discontinuities the HFD method led to a 15% lighter structure.

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