UNIVERSITY^{OF} BIRMINGHAM

University of Birmingham Research at Birmingham

Lopinavir–ritonavir in patients admitted to hospital with COVID-19 (RECOVERY)

RECOVERY Collaborative Group

DOI:

10.1016/S0140-6736(20)32013-4

License:

Creative Commons: Attribution (CC BY)

Document Version

Publisher's PDF, also known as Version of record

Citation for published version (Harvard):

RECOVERY Collaborative Group 2020, 'Lopinavir-ritonavir in patients admitted to hospital with COVID-19 (RECOVERY): a randomised, controlled, open-label, platform trial', *The Lancet*, vol. 396, no. 10259, pp. 1345-1352. https://doi.org/10.1016/S0140-6736(20)32013-4

Link to publication on Research at Birmingham portal

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)

•Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

Download date: 18. Apr. 2024

Lopinavir-ritonavir in patients admitted to hospital with COVID-19 (RECOVERY): a randomised, controlled, open-label, platform trial



RECOVERY Collaborative Group*

Summary

Background Lopinavir–ritonavir has been proposed as a treatment for COVID-19 on the basis of in vitro activity, preclinical studies, and observational studies. Here, we report the results of a randomised trial to assess whether lopinavir–ritonavir improves outcomes in patients admitted to hospital with COVID-19.

Methods In this randomised, controlled, open-label, platform trial, a range of possible treatments was compared with usual care in patients admitted to hospital with COVID-19. The trial is underway at 176 hospitals in the UK. Eligible and consenting patients were randomly allocated to either usual standard of care alone or usual standard of care plus lopinavir–ritonavir (400 mg and 100 mg, respectively) by mouth for 10 days or until discharge (or one of the other RECOVERY treatment groups: hydroxychloroquine, dexamethasone, or azithromycin) using web-based simple (unstratified) randomisation with allocation concealment. Randomisation to usual care was twice that of any of the active treatment groups (eg, 2:1 in favour of usual care if the patient was eligible for only one active group, 2:1:1 if the patient was eligible for two active groups). The primary outcome was 28-day all-cause mortality. Analyses were done on an intention-to-treat basis in all randomly assigned participants. The trial is registered with ISRCTN, 50189673, and ClinicalTrials.gov, NCT04381936.

Findings Between March 19, 2020, and June 29, 2020, 1616 patients were randomly allocated to receive lopinavir-ritonavir and 3424 patients to receive usual care. Overall, 374 (23%) patients allocated to lopinavir-ritonavir and 767 (22%) patients allocated to usual care died within 28 days (rate ratio 1.03, 95% CI 0.91-1.17; p=0.60). Results were consistent across all prespecified subgroups of patients. We observed no significant difference in time until discharge alive from hospital (median 11 days [IQR 5 to >28] in both groups) or the proportion of patients discharged from hospital alive within 28 days (rate ratio 0.98, 95% CI 0.91-1.05; p=0.53). Among patients not on invasive mechanical ventilation at baseline, there was no significant difference in the proportion who met the composite endpoint of invasive mechanical ventilation or death (risk ratio 1.09, 95% CI 0.99-1.20; p=0.092).

Interpretation In patients admitted to hospital with COVID-19, lopinavir—ritonavir was not associated with reductions in 28-day mortality, duration of hospital stay, or risk of progressing to invasive mechanical ventilation or death. These findings do not support the use of lopinavir—ritonavir for treatment of patients admitted to hospital with COVID-19.

Funding Medical Research Council and National Institute for Health Research.

Copyright © 2020 The Author(s). Published by Elsevier Ltd. This is an Open Access article under the CC BY 4.0 license.

Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the cause of COVID-19, emerged in China in late 2019 from a zoonotic source. Most COVID-19 infections are either asymptomatic or result in only mild disease.¹ However, a proportion of infected individuals develop a respiratory illness that requires hospital care, which can progress to critical illness with hypoxaemic respiratory failure that requires prolonged ventilatory support. Among patients with COVID-19 admitted to UK hospitals, the case fatality rate is over 26%, and is in excess of 37% in patients who require invasive mechanical ventilation ²

The drug combination lopinavir—ritonavir has been suggested as an antiviral treatment for COVID-19.³ Lopinavir is a HIV-1 protease inhibitor, which is combined with

ritonavir to increase its plasma half-life. Lopinavir is also an inhibitor of the severe acute respiratory syndrome coronavirus (SARS-CoV) main protease, which is critical for replication and appears to be highly conserved in SARS-CoV-2.^{4,5} Lopinavir has in vitro inhibitory activity against SARS-CoV, SARS-CoV-2, and Middle East respiratory syndrome (MERS) coronavirus.⁶⁻⁹ In a marmoset model of MERS, lopinavir—ritonavir improved clinical, radiological, and pathological outcomes and reduced viral loads.¹⁰ A study of lopinavir—ritonavir in a ferret model of COVID-19 found reduced clinical symptoms in treated animals but no effect on virus titres.¹¹

In patients with severe acute respiratory syndrome, a historically controlled study suggested that addition of lopinavir–ritonavir to ribavirin reduced the risk of adverse clinical outcomes and viral load. ¹² Although some

oa open access

Published Online October 5, 2020 https://doi.org/10.1016/ S0140-6736(20)32013-4

See Online/Comment https://doi.org/10.1016/ S0140-6736(20)32078-X

*The writing committee and trial steering committee are listed at the end of this manuscript and a complete list of collaborators in the Randomised Evaluation of COVID-19 Therapy (RECOVERY) trial is provided in the appendix (pp 2–20)

Correspondence to:
Prof Peter W Horby and
Prof Martin J Landray, RECOVERY
Central Coordinating Office,
Oxford OX3 7LF, UK
recoverytrial@ndph.ox.ac.uk
See Online for appendix

Research in context

Evidence before this study

We searched PubMed from inception up to July 23, 2020, for clinical trials published in English evaluating the effect of lopinavir-ritonavir among patients with laboratory-confirmed COVID-19 using the search terms ("COVID-19"[All Fields] OR "2019-nCoV"[All Fields]) OR "SARS-CoV-2"[All Fields]) AND ("lopinavir"[All Fields]) OR "ritonavir"[All Fields]) (filters: Clinical Trial, Randomized Controlled Trial). We identified only one randomised clinical trial that compared lopinavir-ritonavir with usual care in patients with COVID-19. This trial assigned 99 patients who had been admitted to hospital with COVID-19 to a lopinavir-ritonavir group (400 mg and 100 mg, respectively) and 100 patients to a standard-care group, and found no difference in viral clearance, time to clinical improvement, or 28-day mortality between the two groups. However, the trial was underpowered to exclude clinically relevant treatment effects.

Added value of this study

To our knowledge, the randomised evaluation of COVID-19 therapy (RECOVERY) trial is the first large-scale randomised

clinical trial to report the effects of lopinavir–ritonavir in patients admitted to hospital with COVID-19. We found no significant difference between the lopinavir–ritonavir group and the usual care group in terms of 28-day mortality, the probability of discharge alive within 28 days, or, among patients who were not receiving invasive mechanical ventilation at randomisation, the probability of progressing to the composite outcome of invasive mechanical ventilation or death. We saw no evidence of benefit of lopinavir–ritonavir in any patient subgroup.

Implications of all the available evidence

Our finding of no clinical benefit from lopinavir–ritonavir treatment compared with standard care supports earlier findings from a smaller clinical trial. Many clinical care guidelines have recommended lopinavir–ritonavir for treatment of patients admitted to hospital with COVID-19. These guidelines should be updated.

For the **protocol**, **statistical analysis plan**, **and additional information** see www.recoverytrial.net observational studies in patients with COVID-19 have reported that lopinavir—ritonavir is associated with a shorter duration of viral shedding¹³ and fever,¹⁴ other studies have reported no such effects.^{15,16} A previous randomised trial of lopinavir—ritonavir among 199 patients admitted to hospital with COVID-19 showed no improvement in viral load, duration of hospital stay, or mortality.¹⁷ However, the trial was too small to rule out the possibility of clinically relevant benefits and commentators recommended larger randomised trials to confirm or refute the lack of effect.¹⁸ Here, we report the results of a randomised trial to assess whether lopinavir—ritonavir improves clinical outcomes in patients admitted to hospital with COVID-19.

Methods

Study design and participants

The randomised evaluation of COVID-19 therapy (RECOVERY) trial is an investigator-initiated, individually randomised, open-label, platform trial to evaluate the effects of potential treatments in patients admitted to hospital with COVID-19.19,20 The trial is underway at 176 hospitals in the UK (appendix pp 2-20), supported by the National Institute for Health Research Clinical Research Network. The trial is coordinated by the Nuffield Department of Population Health at University of Oxford (Oxford, UK), the trial sponsor. The trial is done in accordance with the principles of the International Conference on Harmonisation-Good Clinical Practice guidelines and approved by the UK Medicines and Healthcare products Regulatory Agency and the Cambridge East Research Ethics Committee (20/EE/0101). The protocol, statistical analysis plan, and additional information are available on the study website.

Although the lopinavir–ritonavir, dexamethasone, and hydroxychloroquine groups have now been stopped, the trial continues to study the effects of azithromycin, tocilizumab, convalescent plasma, and REGN-CoV2 (a combination of two monoclonal antibodies directed against SARS-CoV-2 spike protein). Other treatments might be studied in the future.

Patients admitted to hospital were eligible for the study if they had clinically suspected or laboratory confirmed SARS-CoV-2 infection and no medical history that might, in the opinion of the attending clinician, put the patient at substantial risk if they were to participate in the trial. Initially, recruitment was limited to patients who were aged at least 18 years, but from May 9, 2020, this age limit was removed. Patients with severe hepatic insufficiency or who were using medicinal products that are highly dependent on cytochrome P450 3A4 for clearance and for whom elevated plasma concentrations would be associated with serious or life-threatening events (in line with the summary of product characteristics) were excluded from entry into the randomised comparison between lopinavir-ritonavir and usual care.21 Written informed consent was obtained from all patients, or their legal representative if they were too unwell or unable to provide consent.

Randomisation and masking

Baseline data were collected using a web-based case report form that included demographics, amount of respiratory support, major comorbidities, suitability of the study treatments for a particular patient, and treatment availability at

the study site (appendix p 25). Eligible and consenting patients were assigned to either usual standard of care or usual standard of care plus lopinavir-ritonavir or one of the other available RECOVERY treatment groups using web-based simple (unstratified) randomisation with allocation concealed until after randomisation. Randomisation to usual care was twice that of any of the active treatment groups the patient was eligible for (eg, 2:1 in favour of usual care if the patient was eligible for only one active group, 2:1:1 if the patient was eligible for two active groups). For some patients, lopinavir-ritonavir was unavailable at the hospital at the time of enrolment or was considered by the attending clinician to be either definitely indicated or definitely contraindicated. These patients were excluded from the randomised comparison between lopinavir-ritonavir and usual care and hence are not included in this report. Patients allocated to lopinavirritonavir were to receive lopinavir 400 mg plus ritonavir 100 mg by mouth every 12 h for 10 days or until discharge, if sooner. Allocated treatment was prescribed by the attending clinician. Participants and local study staff were not masked to the allocated treatment. The trial steering committee, investigators, and all other individuals involved in the trial were masked to outcome data during the trial.

Procedures

A single online follow-up form was completed when participants were discharged alive from hospital, died, or at 28 days after randomisation, whichever occurred earliest (appendix pp 27–30). Information was recorded on adherence to allocated study treatment, receipt of other COVID-19 treatments, duration of admission, receipt of respiratory or renal support, and vital status (including cause of death). Additionally, routine healthcare and registry data were obtained including information on vital status (with date and cause of death), discharge from hospital, receipt of respiratory support, or renal replacement therapy.

Outcomes

Outcomes were assessed at 28 days after randomisation, with further analyses specified at 6 months. The primary outcome was 28-day all-cause mortality. Secondary outcomes were time to discharge from hospital and, among patients not on invasive mechanical ventilation at randomisation, post-enrolment use of invasive mechanical ventilation (including extracorporeal membrane oxygenation) or death. Prespecified subsidiary clinical outcomes were cause-specific mortality, use of haemodialysis or haemofiltration, major cardiac arrhythmia (recorded in a subset), and receipt and duration of ventilation (for which full data are still being collected from relevant routine sources).

Information on suspected serious adverse reactions was collected in an expedited fashion to comply with regulatory requirements.

Statistical analysis

An intention-to-treat comparison was made between patients randomly assigned to lopinavir-ritonavir and patients randomly assigned to usual care but for whom lopinavir-ritonavir was both available and suitable as a treatment. For the primary outcome of 28-day mortality, the log-rank observed minus expected statistic and its variance were used to both test the null hypothesis of equal survival curves (ie, the log-rank test) and to calculate the one-step estimate of the average mortality rate ratio and its 95% CI. We constructed Kaplan-Meier survival curves to display cumulative mortality over the 28-day period. We used the same method to analyse time to hospital discharge, with patients who died in hospital right-censored on day 29 (as for such patients it was known that they were not discharged alive within 28 days). Median time to discharge was derived from Kaplan-Meier estimates. For the prespecified composite secondary outcome of invasive mechanical ventilation or death

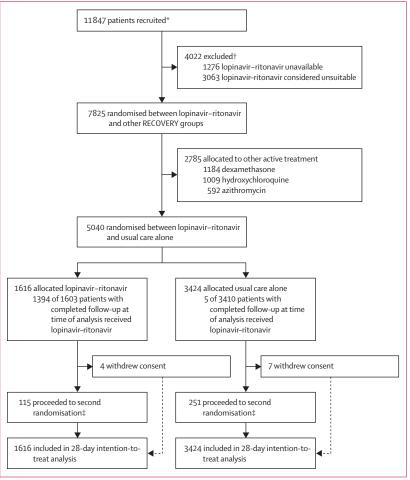


Figure 1: Trial profile

*Number recruited overall during the period that participants could be recruited into lopinavir-ritonavir comparison. †Some patients were included in both of the below groups. ‡A second randomisation to tocilizumab versus usual care in patients with hypoxia and C-reactive protein ≥75 mg/L was introduced in protocol version 4.0.

	Lopinavir-ritonavir (n=1616)	Usual care (n=3424)
Age, years	66-0 (16-0)	66-4 (15-8)
<70*	920 (57%)	1910 (56%)
≥70 to <80	321 (20%)	706 (21%)
≥80	375 (23%)	808 (24%)
Sex		
Men	973 (60%)	2104 (61%)
Women†	643 (40%)	1320 (39%)
Ethnicity		
White	1240 (77%)	2541 (74%)
Black, Asian, and minority ethnic	250 (15%)	615 (18%)
Unknown	126 (8%)	268 (8%)
Number of days since symptom onset	8 (5–12)	8 (4–12)
Number of days since admission to hospital	2 (1-4)	2 (1-4)
Respiratory support received		
No oxygen received	425 (26%)	896 (26%)
Oxygen only‡	1131 (70%)	2384 (70%)
Invasive mechanical ventilation	60 (4%)	144 (4%)
Previous diseases		
Diabetes	430 (27%)	958 (28%)
Heart disease	403 (25%)	908 (27%)
Chronic lung disease	386 (24%)	776 (23%)
Tuberculosis	4 (<1%)	12 (<1%)
HIV	3 (<1%)	3 (<1%)
Severe liver disease§	0	0
Severe kidney impairment¶	113 (7%)	263 (8%)
Any of the above	918 (57%)	1962 (57%)
Severe acute respiratory syndro	me coronavirus 2 test	result
Positive	1399 (87%)	3024 (88%)
Negative	207 (13%)	388 (11%)
Unknown	10 (1%)	12 (<1%)

Data are mean (SD), n (%), or median (IQR). *Includes two children (<18 years). †Includes six pregnant women. ‡Includes non-invasive ventilation. Ω pefined as requiring ongoing specialist care. Ω mL/min per 1-73 m².

Table 1: Baseline characteristics

within 28 days (among those not receiving invasive mechanical ventilation at randomisation), the precise date of invasive mechanical ventilation was not available and so the risk ratio was estimated instead.

Prespecified analyses of the primary outcome were done separately in six subgroups defined by characteristics at the time of random assignment: age, sex, ethnicity, level of respiratory support, days since symptom onset, and predicted 28-day mortality risk (appendix p 23). Observed effects within subgroup categories were compared using a χ^2 test for heterogeneity or trend, in accordance with the prespecified analysis plan.

Estimates of rate ratios and risk ratios are shown with 95% CIs. All p values are two-sided and are shown

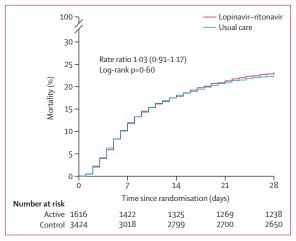


Figure 2: Effect of allocation to lopinavir-ritonavir on 28-day mortality

without adjustment for multiple testing. The full database is held by the study team that collected the data from study sites and did the analyses at the Nuffield Department of Population Health (University of Oxford, Oxford, UK).

As stated in the protocol, appropriate sample sizes could not be estimated when the trial was being planned since the numbers that could be enrolled are dependent on how large the epidemic becomes. However, our aim was to randomise several thousand patients (appendix p 22). The independent data monitoring committee reviewed unblinded analyses of the study data and any other information considered relevant at intervals of around 2 weeks. The committee was charged with determining if, in their view, the randomised comparisons in the study provided evidence on mortality that was strong enough (with a range of uncertainty around the results that was narrow enough) to affect national and global treatment strategies (appendix p 31). In such a circumstance, the committee would inform the chief investigators who would make the results available to the public and amend the trial accordingly.

On June 25, 2020, the independent data monitoring committee conducted a routine review of the data and recommended that the chief investigators review the unblinded data on the lopinavir–ritonavir comparison with usual care. The chief investigators and steering committee concluded that the data showed no beneficial effect of lopinavir–ritonavir in patients admitted to hospital with COVID-19. Therefore, enrolment of participants to the lopinavir–ritonavir group was closed on June 29, and the preliminary result for the primary outcome was made public. Investigators were advised that any patients currently taking lopinavir–ritonavir as part of the study should discontinue.

Analyses were done using Stata version 15.1 and R version 3.4 and validated using SAS version 4. The trial is registered with ISRCTN, 50189673, and ClinicalTrials. gov, NCT04381936.

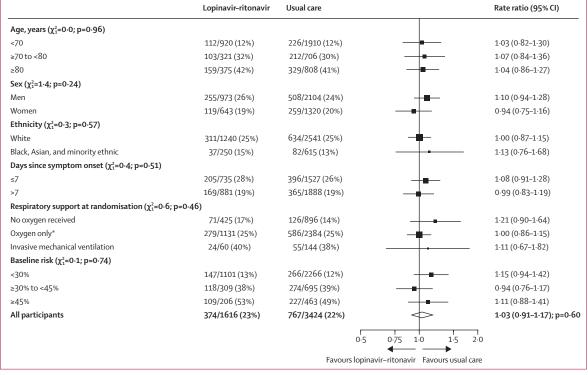


Figure 3: Effect of allocation to lopinavir-ritonavir on 28-day mortality by baseline characteristics
Subgroup-specific rate ratio estimates are represented by squares (with areas of the squares proportional to the amount of statistical information) and the lines through them correspond to the 95% CIs. The ethnicity and days since onset subgroups exclude those with missing data, but these patients are included in the overall summary diamond. The method used to calculate baseline predicted risk is described in the appendix (p 23). The χ^2 statistics correspond to tests for trend (or heterogeneity) in the log rate ratios across the levels of each subgroup. *Includes patients receiving non-invasive ventilation.

Role of the funding source

The funders of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. PWH and MJL had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Results

Between March 19, 2020, and June 29, 2020, 7825 (66%) of 11847 patients randomly assigned when the lopinavir-ritonavir group was open for enrolment were eligible to be randomly allocated to lopinavirritonavir (lopinavir-ritonavir was available in the hospital and the attending clinician was of the opinion that the patient had no known indication for or contraindication to lopinavir-ritonavir; figure 1; appendix p 33). 1616 patients were randomly allocated to lopinavir-ritonavir and 3424 were randomly allocated to usual care, with the remainder being randomly allocated to one of the other RECOVERY treatment groups. The mean age of study participants in this comparison was 66.2 years (SD 15.9; table 1; appendix p 33). At randomisation, about a quarter of patients had no ventilatory support, most were receiving oxygen only, and a very small proportion were on invasive mechanical ventilation (table 1).

Follow-up information was complete for 5018 (>99%) of 5040 patients (1606 [99%] of 1616 patients in the lopinavir–ritonavir group and 3412 [>99%] of 3424 patients in the usual care group). Among patients with a completed follow-up form, 1394 (87%) allocated to lopinavir–ritonavir received at least one dose (figure 1; appendix p 34). The median duration of treatment was 5 days (IQR 2–8). In the usual care group, five patients (<1%) received lopinavir–ritonavir. Use of azithromycin or another macrolide as part of clinical care during follow-up was similar in both groups (374 [23%] patients in the lopinavir–ritonavir group vs 862 [25%] in the usual care group), as was use of dexamethasone (160 [10%] vs 355 [10%]; appendix p 34).

51 (3%) of 1616 patients in the lopinavir–ritonavir group and 128 (4%) of 3424 patients in the usual care group proceeded to second randomisation and were allocated to tocilizumab in accordance with protocol version 4.0 or later. 72 patients were additionally randomly assigned to convalescent plasma versus control (19 [1%] patients allocated to lopinavir–ritonavir vs 53 [2%] patients allocated to usual care) in accordance with protocol version 6.0. Among the 163 sites that randomly assigned at least one patient to the lopinavir–ritonavir comparison, the median number of patients randomised was 22 (IQR 11–42).

We observed no significant difference in the proportion of patients who met the primary outcome of

	Lopinavir-ritonavir (n=1616)	Usual care (n=3424)	RR (95% CI)	p value
Primary outcome				
28-day mortality	374 (23%)	767 (22%)	1.03 (0.91–1.17)	0.60
Secondary outcomes				
Discharged from hospital within 28 days	1113 (69%)	2382 (70%)	0.98 (0.91–1.05)	0.53
Receipt of invasive mechanical ventilation or death*	449/1556 (29%)	871/3280 (27%)	1.09 (0.99–1.20)	0.092
Invasive mechanical ventilation	152/1556 (10%)	279/3280 (9%)	1.15 (0.95–1.39)	0.15
Death	350/1556 (22%)	712/3280 (22%)	1.04 (0.93-1.16)	0.54

Data are n (%) or n/N (%), unless otherwise indicated. RR=rate ratio for the outcomes of 28-day mortality and hospital discharge, and risk ratio for the outcome of receipt of invasive mechanical ventilation or death (and its subcomponents). *Analyses exclude those on invasive mechanical ventilation at randomisation.

Table 2: Effect of allocation to lopinavir-ritonavir on key study outcomes

28-day mortality between the two randomised groups (374 [23%] patients in the lopinavir–ritonavir group vs 767 [22%] patients in the usual care group; rate ratio 1.03, 95% CI 0.91-1.17; p=0.60; figure 2). We observed similar results across all prespecified subgroups (figure 3). In a post-hoc exploratory analysis restricted to the 4423 (88%) patients with a positive SARS-CoV-2 test result, the result was similar (rate ratio 1.05, 0.92-1.19; p=0.49).

Allocation to lopinavir–ritonavir was associated with a similar time until discharge alive from hospital as usual care (median 11 days [IQR 5 to >28] in both groups) and a similar probability of discharge alive from hospital within 28 days (rate ratio 0.98, 95% CI 0.91-1.05; p=0.53; table 2). Among individuals not on invasive mechanical ventilation at baseline, the number of patients who progressed to the prespecified composite secondary outcome of invasive mechanical ventilation or death among those allocated to lopinavir–ritonavir was similar to that among those allocated to usual care (risk ratio 1.09, 0.99-1.20; p=0.092; table 2).

We found no significant differences in cause-specific mortality (appendix p 35). Among those not on renal dialysis or haemofiltration at randomisation, the number of patients requiring this treatment within 28 days was similar between the two groups (66 [4%] of 1588 patients in the lopinavir–ritonavir group vs 140 [4%] of 3348 patients in the usual care group; risk ratio 0·99, 95% CI 0·75–1·32; p=0·97). We observed no significant differences in the frequency of new cardiac arrhythmias (appendix p 36). There was one report of a serious adverse reaction thought to be related to lopinavir–ritonavir, which was a case of elevated alanine aminotransferase that did not meet standard criteria for drug-induced liver injury and from which the patient recovered after stopping treatment.

Discussion

The results of this large randomised trial indicate that lopinavir-ritonavir is not an effective treatment for patients admitted to hospital with COVID-19. The lower bound of the confidence limit for the primary outcome rules out any reasonable possibility of a meaningful mortality benefit. Additionally, allocation to lopinavirritonavir was not associated with reductions in the duration of hospital stay or the risk of being ventilated or dying for those not on ventilation at baseline. These results were consistent across subgroups of age, sex, ethnicity, duration of symptoms before randomisation, amount of respiratory support at randomisation, and baseline predicted risk of death at randomisation.

It is unclear whether the dose of lopinavir-ritonavir we used achieved adequate SARS-CoV-2 inhibitory concentrations in the lungs.22 Data on the in vitro 50% maximum effective concentration (EC₅₀) of lopinavirritonavir against SARS-CoV-2 are limited and variable, having been variously reported as 3.6, 5.7, and 9.6 µg/mL.23 Although the extensive protein binding of lopinavir (>95%) might result in unbound plasma drug concentrations below the highest reported EC50, 22,24 the reported in vitro EC₅₀ data have been generated in the presence of some serum protein and so do not represent a zero protein binding value. Additionally, concentrations of lopinavir in patients with COVID-19 have been reported to be substantially higher than in patients with HIV, perhaps due to inhibition of CYP3A4 metabolism by inflammation.^{24–27} A pharmacokinetic analysis of a range of putative SARS-CoV-2 antiviral drugs has predicted that lopinavir-ritonavir at the doses we used would achieve lung concentrations above the EC90, albeit not across the entire dosing interval.23

Our trial, which focused on providing clear information on the effect of lopinavir-ritonavir on unambiguous clinical outcomes, including all-cause mortality, has several limitations. Since the safety profile of lopinavirritonavir is well established, we did not collect detailed information on non-serious adverse reactions or reasons for stopping treatment. Neither did we collect information on physiological, laboratory, or virological parameters, which have been studied previously.¹⁷ Finally, very few intubated patients with COVID-19 were enrolled in this study as there were difficulties in administering treatment to patients who could not swallow. Crushing lopinavir-ritonavir tablets for administration down a feeding tube results in unreliable bioavailability and potential tube blockage. 28,29 Although a liquid formulation of lopinavir-ritonavir exists, it was unavailable for this study and, since the liquid formulation contains alcohol, is not compatible with the polyurethane feeding tubes that are commonly used in the UK.

The results from the RECOVERY trial show that lopinavir–ritonavir monotherapy is not an effective treatment for patients admitted to hospital with COVID–19. Treatment of COVID-19 with lopinavir–ritonavir has been recommended as a first-line or second-line in many countries.³ Since our preliminary results were made public on June 29, 2020, WHO has halted the lopinavir–ritonavir

monotherapy and the lopinavir–ritonavir plus interferon beta combination groups of the SOLIDARITY trial because the interim results are in line with those presented here—lopinavir–ritonavir does not improve clinical outcomes for patients admitted to hospital with COVID-19.³⁰

Contributors

The manuscript was initially drafted by PWH and MJL, further developed by the writing committee, and approved by all members of the trial steering committee. PWH and MJL vouch for the data and analyses, and for the fidelity of this report to the study protocol and data analysis plan. PWH, MM, JKB, LCC, SNF, TJ, KJ, WSL, AM, KR, EJ, RH, and MJL designed the trial and study protocol. MM, AP, JR, EE, BP, CG, SC, DC, MD, MPW, JKB, KJ, WSL, and RH collected the data. JLB, LL, NS, JRE, and EJ did the statistical analysis. All authors contributed to data interpretation and critical review and revision of the manuscript. PWH and MJL had access to the study data and had final responsibility for the decision to submit for publication.

Writing Committee (on behalf of the RECOVERY Collaborative Group)
Peter W Horby, Marion Mafham, Jennifer L Bell, Louise Linsell,
Natalie Staplin, Jonathan R Emberson, Adrian Palfreeman, Jason Raw,
Einas Elmahi, Benjamin Prudon, Christopher Green, Simon Carley,
David Chadwick, Matthew Davies, Matthew P Wise, J Kenneth Baillie,
Lucy C Chappell, Saul N Faust, Thomas Jaki, Katie Jeffery, Wei Shen Lim,
Alan Montgomery, Kathryn Rowan, Edmund Juszczak, Richard Haynes,
Martin J Landray, PWH, MM, and JLB contributed equally, and EJ, RH,
and MJL contributed equally.

Data Monitoring Committee

Peter Sandercock, Janet Darbyshire, David DeMets, Robert Fowler, David Lalloo, Ian Roberts, Janet Wittes.

Declaration of interests

We declare no competing interests relevant to the submitted work.

Data sharing

The protocol, consent form, statistical analysis plan, definition and derivation of clinical characteristics and outcomes, training materials, regulatory documents, and other relevant study materials are available online at www.recoverytrial.net. As described in the protocol, the trial steering committee will facilitate use of the study data and approval will not be unreasonably withheld. Deidentified participant data will be made available to bona fide researchers registered with an appropriate institution within 3 months of publication. However, the steering committee will need to be satisfied that any proposed publication is of high quality, honours the commitments made to the study participants in the consent documentation and ethical approvals, and is compliant with relevant legal and regulatory requirements (eg, relating to data protection and privacy). The steering committee will have the right to review and comment on any draft manuscripts before publication. Data will be made available in line with the policy and procedures described at https://www.ndph.ox.ac.uk/data-access. Those wishing to request access should complete the form at https://www.ndph.ox.ac.uk/ files/about/data_access_enquiry_form_13_6_2019.docx and e-mail data. access@ndph.ox.ac.uk.

${\bf Acknowledgments}$

Above all, we thank the thousands of patients who participated in this study. We also thank the many doctors, nurses, pharmacists, other allied health professionals, and research administrators at 176 National Health Service (NHS) hospital organisations across the UK, supported by staff at the National Institute for Health Research (NIHR) Clinical Research Network, NHS DigiTrials, Public Health England, UK Department of Health and Social Care, Intensive Care National Audit & Research Centre, Public Health Scotland, National Records Service of Scotland, Secure Anonymised Information Linkage at University of Swansea, and the NHS in England, Scotland, Wales, and Northern Ireland. The RECOVERY trial is supported by a grant to the University of Oxford (Oxford, UK) from UK Research and Innovation and NIHR (MC_PC_19056) and by core funding provided by NIHR Oxford Biomedical Research Centre, Wellcome, the Bill & Melinda Gates Foundation, the UK Department for International Development, Health

Data Research UK, the Medical Research Council (MRC) Population Health Research Unit, the NIHR Health Protection Unit in Emerging and Zoonotic Infections, and NIHR Clinical Trials Unit Support Funding. TJ is supported by a grant from the UK MRC (MC_UU_0002/14) and an NIHR Senior Research Fellowship (NIHR-SRF-2015-08-001). WSL is supported by core funding provided by NIHR Nottingham Biomedical Research Centre. Abbvie contributed some supplies of lopinavir-ritonavir for use in this study. Tocilizumab was provided free of charge for this study by Roche. The views expressed in this publication are those of the authors and not necessarily those of the NHS, the NIHR, or the UK Department of Health and Social Care. The authors have no conflict of interest or financial relationships relevant to the submitted work to disclose. No form of payment was given to anyone to produce the manuscript. All authors have completed and submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. The Nuffield Department of Population Health at the University of Oxford has a staff policy of not accepting honoraria or consultancy fees directly or indirectly from industry.

References

- Verity R, Okell LC, Dorigatti I, et al. Estimates of the severity of coronavirus disease 2019: a model-based analysis. *Lancet Infect Dis* 2020: 20: 669–77.
- 2 Docherty AB, Harrison EM, Green CA, et al. Features of 20133 UK patients in hospital with covid-19 using the ISARIC WHO Clinical Characterisation Protocol: prospective observational cohort study. BMJ 2020; 369: m1985.
- 3 Dagens A, Sigfrid L, Cai E, et al. Scope, quality, and inclusivity of clinical guidelines produced early in the covid-19 pandemic: rapid review. *BMJ* 2020; 369: m1936.
- 4 Nukoolkarn V, Lee VS, Malaisree M, Aruksakulwong O, Hannongbua S. Molecular dynamic simulations analysis of ritonavir and lopinavir as SARS-CoV 3CL(pro) inhibitors. J Theor Biol 2008; 254: 861–67.
- 5 Liu X, Wang XJ. Potential inhibitors against 2019-nCoV coronavirus M protease from clinically approved medicines. *J Genet Genomics* 2020; 47: 119–21.
- 6 Chen F, Chan KH, Jiang Y, et al. In vitro susceptibility of 10 clinical isolates of SARS coronavirus to selected antiviral compounds. *J Clin Virol* 2004; 31: 69–75.
- 7 de Wilde AH, Jochmans D, Posthuma CC, et al. Screening of an FDA-approved compound library identifies four small-molecule inhibitors of Middle East respiratory syndrome coronavirus replication in cell culture. Antimicrob Agents Chemother 2014; 15, 4077, 244.
- 8 Sheahan TP, Sims AC, Leist SR, et al. Comparative therapeutic efficacy of remdesivir and combination lopinavir, ritonavir, and interferon beta against MERS-CoV. *Nat Commun* 2020; 11: 222.
- 9 Choy KT, Wong AY, Kaewpreedee P, et al. Remdesivir, lopinavir, emetine, and homoharringtonine inhibit SARS-CoV-2 replication in vitro. *Antiviral Res* 2020; 178: 104786.
- 10 Chan JF, Yao Y, Yeung ML, et al. Treatment with lopinavir/ritonavir or interferon-β1b improves outcome of MERS-CoV infection in a nonhuman primate model of common marmoset. J Infect Dis 2015; 212: 1904–13.
- 11 Park SJ, Yu KM, Kim YI, et al. Antiviral efficacies of FDA-approved drugs against SARS-CoV-2 infection in ferrets. MBio 2020; 11: e01114-70
- 12 Chu CM, Cheng VC, Hung IF, et al. Role of lopinavir/ritonavir in the treatment of SARS: initial virological and clinical findings. *Thorax* 2004; 59: 252–56.
- Yan D, Liu XY, Zhu YN, et al. Factors associated with prolonged viral shedding and impact of lopinavir/ritonavir treatment in hospitalised non-critically ill patients with SARS-CoV-2 infection. Eur Respir J 2020; 56: 2000799.
- 14 Ye XT, Luo YL, Xia SC, et al. Clinical efficacy of lopinavir/ritonavir in the treatment of coronavirus disease 2019. Eur Rev Med Pharmacol Sci 2020; 24: 3390–96.
- 15 Lecronier M, Beurton A, Burrel S, et al. Comparison of hydroxychloroquine, lopinavir/ritonavir, and standard of care in critically ill patients with SARS-CoV-2 pneumonia: an opportunistic retrospective analysis. Crit Care 2020; 24: 418.

For more on the **Nuffield Department of Population Health staff policy** see
https://www.ndph.ox.ac.uk/files/
about/ndph-independence-ofresearch-policy-jun-20.pdf

- 16 Osborne V, Davies M, Lane S, et al. Lopinavir–ritonavir in the treatment of COVID-19: a dynamic systematic benefit–risk assessment. *Drug Saf* 2020; 43: 809–21.
- 17 Cao B, Wang Y, Wen D, et al. A trial of lopinavir–ritonavir in adults hospitalized with severe Covid-19. N Engl J Med 2020; 382: 1787–99.
- 18 Havlichek D Jr. A trial of lopinavir–ritonavir in Covid-19. N Engl J Med 2020; 382: e68.
- 19 Horby P, Lim WS, Emberson JR, et al. Dexamethasone in hospitalized patients with Covid-19—preliminary report. N Engl J Med 2020; published online July 17. https://doi.org/10.1056/ NEJMoa2021436.
- 20 Horby P, Mafham M, Linsell L, et al. Effect of hydroxychloroquine in hospitalized patients with COVID-19: preliminary results from a multi-centre, randomized, controlled trial. medRxiv 2020; published online July 15. https://doi.org/10.1101/2020.07.15.20151852 (preprint).
- 21 EMC. Kaletra 200 mg/50 mg film-coated tablets. 2020. https://www.medicines.org.uk/emc/product/221/ (accessed Sept 18, 2020).
- 22 Cattaneo D, Cattaneo D, Gervasoni C, et al. Does lopinavir really inhibit SARS-CoV-2? *Pharmacol Res* 2020; **158**: 104898.
- 23 Arshad U, Pertinez H, Box H, et al. Prioritization of anti-SARS-Cov-2 drug repurposing opportunities based on plasma and target site concentrations derived from their established human pharmacokinetics. Clin Pharmacol Ther 2020; published online May 21. https://doi.org/10.1002/cpt.1909.

- 24 Schoergenhofer C, Jilma B, Stimpfl T, Karolyi M, Zoufaly A. Pharmacokinetics of lopinavir and ritonavir in patients hospitalized with coronavirus disease 2019 (COVID-19). Ann Intern Med 2020; published online May 12. https://doi.org/10.7326/M20-1550.
- 25 Gregoire M, Le Turnier P, Gaborit BJ, et al. Lopinavir pharmacokinetics in COVID-19 patients. J Antimicrob Chemother 2020; 75: 2702–04.
- 26 Baldelli S, Corbellino M, Clementi E, Cattaneo D, Gervasoni C. Lopinavir/ritonavir in COVID-19 patients: maybe yes, but at what dose? J Antimicrob Chemother 2020; 75: 2704–06.
- 27 Marzolini C, Stader F, Stoeckle M, et al. Effect of systemic inflammatory response to SARS-CoV-2 on lopinavir and hydroxychloroquine plasma concentrations. Antimicrob Agents Chemother 2020; 64: e01177–20.
- 28 Best BM, Capparelli EV, Diep H, et al. Pharmacokinetics of lopinavir/ritonavir crushed versus whole tablets in children. J Acquir Immune Defic Syndr 2011; 58: 385–91.
- 29 Lê MP, Jaquet P, Patrier J, et al. Pharmacokinetics of lopinavir/ ritonavir oral solution to treat COVID-19 in mechanically ventilated ICU patients. J Antimicrob Chemother 2020; 75: 2657–60.
- 30 WHO. "Solidarity" clinical trial for COVID-19 treatments. Latest update on treatment arms. July 6, 2020. https://www.who. int/emergencies/diseases/novel-coronavirus-2019/global-research-on-novel-coronavirus-2019-ncov/solidarity-clinical-trial-for-covid-19-treatments (accessed July 6, 2020).