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# Forbidding a set difference of size 1 

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## Note

# Forbidding a set difference of size 1 

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#### Abstract

How large can a family $\mathcal{A} \subset \mathcal{P}[n]$ be if it does not contain $A, B$ with $|A \backslash B|=1$ ? Our aim in this paper is to show that any such family has size at most $\frac{2+o(1)}{n}\binom{n}{\lfloor n / 2\rfloor}$. This is tight up to a multiplicative constant of 2 . We also obtain similar results for families $\mathcal{A} \subset \mathcal{P}[n]$ with $|A \backslash B| \neq k$, showing that they satisfy $|\mathcal{A}| \leq \frac{C_{k}}{n^{k}}\binom{n}{\lfloor n / 2\rfloor}$, where $C_{k}$ is a constant depending only on $k$. © 2014 Elsevier B.V. All rights reserved.


## 1. Introduction

A family $\mathcal{A} \subset \mathscr{P}[n]=\mathscr{P}(\{1, \ldots, n\})$ is said to be a Sperner family or antichain if $A \not \subset B$ for all distinct $A, B \in \mathcal{A}$. Sperner's theorem [9], one of the earliest results in extremal combinatorics, states that every Sperner family $\mathcal{A} \subset \mathscr{P}$ [ $n$ ] satisfies

$$
\begin{equation*}
|\mathcal{A}| \leq\binom{ n}{\lfloor n / 2\rfloor} \tag{1}
\end{equation*}
$$

(We remark that this paper is self-contained; for background on Sperner's theorem and related results see [2].)
Kalai [5] noted that the Sperner condition can be rephrased as follows: $\mathcal{A}$ does not contain two sets $A$ and $B$ such that, in the unique subcube of $\mathscr{P}[n]$ spanned by $A$ and $B, A$ is the bottom point and $B$ is the top point. He asked: what happens if we forbid $A$ and $B$ to be at a different position in this subcube? In particular, he asked how large $\mathcal{A} \subset \mathscr{P}$ [n] can be if we forbid $A$ and $B$ to be at a 'fixed ratio' $p: q$ in this subcube. That is, we forbid $A$ to be $p /(p+q)$ of the way up this subcube and $B$ to be $q /(p+q)$ of the way up this subcube. Equivalently, $q|A \backslash B| \neq p|B \backslash A|$ for all distinct $A, B \in \mathcal{A}$. Note that the Sperner condition corresponds to taking $p=0$ and $q=1$. In [8], we gave an asymptotically tight answer for all ratios $p: q$, showing that one cannot improve on the 'obvious' example, namely the $q-p$ middle layers of $\mathcal{P}[n]$.

Theorem 1.1 ([8]). Let $p, q$ be coprime natural numbers with $q \geq p$. Suppose $\mathcal{A} \subset \mathcal{P}[n]$ does not contain distinct $A, B$ with $q|A \backslash B|=p|B \backslash A|$. Then

$$
\begin{equation*}
|\mathcal{A}| \leq(q-p+o(1))\binom{n}{\lfloor n / 2\rfloor} . \tag{2}
\end{equation*}
$$

Up to the $o(1)$ term, this is best possible. Indeed, the proof of Theorem 1.1 in [8] also gives the exact maximum size of such $\mathcal{A}$ for infinitely many values of $n$.

[^1]Another natural question considered in [8] asks how large a family $\mathcal{A} \subset \mathcal{P}[n]$ can be if, instead of forbidding a fixed ratio, we forbid a 'fixed distance' in these subcubes. For example, how large can $\mathcal{A} \subset \mathcal{P}[n]$ be if $A$ is not at distance 1 from the bottom of the subcube spanned with $B$ for all $A, B \in \mathcal{A}$ ? Equivalently, $|A \backslash B| \neq 1$ for all $A, B \in \mathcal{A}$. Here the following family $\mathcal{A}^{*}$ provides a lower bound: let $\mathcal{A}^{*}$ consist of all sets $A$ of size $\lfloor n / 2\rfloor$ such that $\sum_{i \in A} i \equiv r(\bmod n)$ where $r \in\{0, \ldots, n-1\}$ is chosen to maximise $\left|\mathcal{A}^{*}\right|$. Such a choice of $r$ gives $\left|\mathcal{A}^{*}\right| \geq \frac{1}{n}\binom{n}{\lfloor n / 2\rfloor}$. Note that if we had $|A \backslash B|=1$ for some $A, B \in \mathcal{A}^{*}$, since $|A|=|B|$, we would also have $|B \backslash A|=1$ - letting $A \backslash B=\{i\}$ and $B \backslash A=\{j\}$ we then have $i-j \equiv 0(\bmod n)$ giving $i=j$, a contradiction.

In [8], we showed that any such family $\mathcal{A} \subset \mathcal{P}[n]$ satisfies $|\mathcal{A}| \leq \frac{C}{n} 2^{n}=O\left(\frac{1}{n^{1 / 2}}\binom{n}{\lfloor n / 2\rfloor}\right.$ ) for some absolute constant $C>0$. We conjectured that the family $\mathcal{A}^{*}$ constructed in the previous paragraph is asymptotically maximal (Conjecture 5 of [8]). In Section 2, we prove that this is true up to a factor of 2.
Theorem 1.2. Suppose that $\mathcal{A} \subset \mathscr{P}[n]$ is a family of sets with $|A \backslash B| \neq 1$ for all $A, B \in \mathcal{A}$. Then $|\mathcal{A}| \leq \frac{2+o(1)}{n}\binom{n}{\lfloor n / 2\rfloor}$.
One could also ask what happens if we forbid a fixed set difference of size $k$, instead of 1 (where we think of $k$ as fixed and $n$ as varying). This turns out to be harder. In [8] we noted that the following family $\mathcal{A}_{k}^{*} \subset \mathcal{P}$ [ $n$ ] gives a lower bound of $\frac{1}{n^{k}}\binom{n}{\lfloor n / 2\rfloor}$ : supposing $n$ is prime, let $\mathscr{A}_{k}^{*}$ consist of all sets $A$ of size $\lfloor n / 2\rfloor$ which satisfy $\sum_{i \in A} i^{d} \equiv 0(\bmod n)$ for all $1 \leq d \leq k$. In Section 3 we prove that this is also best possible up to a multiplicative constant.
Theorem 1.3. Let $k \in \mathbb{N}$. Suppose that $\mathcal{A} \subset \mathscr{P}[n]$ with $|A \backslash B| \neq k$ for all $A, B \in \mathscr{P}[n]$. Then $|\mathcal{A}| \leq \frac{C_{k}}{n^{k}}\binom{n}{\lfloor n / 2\rfloor}$, where $C_{k}$ is a constant depending only on $k$.

Our notation is standard. We write $[n]$ for $\{1, \ldots, n\}$, and $[a, b]$ for the interval $\{a, \ldots, b\}$. For a set $X$, we write $\mathcal{P}(X)$ for the power set of $X$ and $X^{(k)}$ for the collection of all $k$-sets in $X$. We often suppress integer-part signs.

## 2. Proof of Theorem 1.2

Our proof of Theorem 1.2 uses Katona's averaging method (see [6]), but modified in a key way. Ideally here, as in the proof of Sperner's theorem or Theorem 1.1, we would find configurations of sets covering $\mathcal{P}[n]$ so that each configuration has at most $C / n^{3 / 2}$ proportion of its elements in $\mathcal{A}$, for any family $\mathcal{A}$ satisfying $|A \backslash B| \neq 1$ for $A, B \in \mathcal{A}$. Then, provided that these configurations cover $\mathcal{P}[n]$ uniformly, we could count incidences between elements of $\mathcal{A}$ and these configurations to get an upper bound on $|\mathcal{A}|$.

However, we do not see how to find such configurations. So instead our approach is as follows. Rather than insisting that each of the sets in our configuration is well-behaved (in the sense above), we will only require that most of them have at most $C / n^{3 / 2}$ proportion of their elements in $\mathcal{A}$. It turns out that this can be achieved, and that it is good enough for our purposes.
Proof. We will prove the proposition under the assumption that $n$ is even-this can easily be removed. To begin with, remove all elements in $\mathcal{A}$ of size smaller than $n / 2-n^{2 / 3}$ or larger than $n / 2+n^{2 / 3}$. By Chernoff's inequality (see Appendix A of [1]), we have removed at most $o\left(\frac{1}{n}\binom{n}{n / 2}\right)$ sets. Let $\mathfrak{B}$ denote the remaining sets in $\mathcal{A}$. It suffices to show that $|\mathscr{B}| \leq \frac{2+o(1)}{n}\binom{n}{n / 2}$.

We write $I=\left[1, n / 2+n^{2 / 3}\right]$ and $J=\left[n / 2+n^{2 / 3}+1, n\right]$ so that $[n]=I \cup J$. Let us choose a permutation $\sigma \in S_{n}$ uniformly at random. Given this choice of $\sigma$, for all $i \in I, j \in J$ let $C_{i, j}=\{\sigma(1), \ldots \sigma(i)\} \cup\{\sigma(j)\}$. Let $\mathcal{C}_{j}=\left\{C_{i, j}: i \in I\right\}$, and call these sets 'partial chains'. Also let $\mathcal{C}=\bigcup_{j \in J} \mathcal{C}_{j}$.

Now, for any choice of $\sigma \in S_{n}$, at most one of the partial chains of $\mathcal{C}$ can contain an element of $\mathscr{B}$. Indeed, suppose both $C_{i_{1}, j_{1}}=C_{i_{1}} \cup\left\{\sigma\left(j_{1}\right)\right\}$ and $C_{i_{2}, j_{2}}=C_{i_{2}} \cup\left\{\sigma\left(j_{2}\right)\right\}$ lie in $\mathcal{A}$ for distinct $j_{1}, j_{2} \in J$. Since $C_{i_{1}}$ and $C_{i_{2}}$ are elements of a chain, without loss of generality we may assume $C_{i_{1}} \subset C_{i_{2}}$. But then $\left(C_{i_{1}} \cup\left\{\sigma\left(j_{1}\right)\right\}\right) \backslash\left(C_{i_{2}} \cup\left\{\sigma\left(j_{2}\right)\right\}\right)=\left\{\sigma\left(j_{1}\right) z\right\}$, which contradicts $|A \backslash B| \neq 1$ for all $A, B \in \mathscr{B}$.

Note that the above bound alone does not guarantee the upper bound on $|\mathcal{A}|$ stated in the theorem, since a fixed partial chain $\mathcal{C}_{i}$ may contain many elements of $\mathcal{A}$. We now show that this cannot happen too often.

For $i \in I$ and $j \in J$, let $X_{i, j}$ denote the random variable given by

$$
X_{i, j}=\left\{\begin{array}{ll}
1 & \text { if } C_{i, j} \in \mathscr{B} \text { and } C_{k, j} \notin \mathscr{B} \\
0 & \text { otherwise }
\end{array} \quad \text { for } k<i ;\right.
$$

From the previous paragraph, we have

$$
\begin{equation*}
\sum_{i, j} X_{i, j} \leq 1 \tag{3}
\end{equation*}
$$

where both here and below the sum is taken over all $i \in I$ and $j \in J$. Taking expectations on both sides of (3) this gives

$$
\begin{equation*}
\sum_{i, j} \mathbb{E}\left(X_{i, j}\right) \leq 1 \tag{4}
\end{equation*}
$$

Rearranging we have

$$
\begin{equation*}
\sum_{i, j} \mathbb{E}\left(X_{i, j}\right)=\sum_{i, j} \sum_{B \in \mathscr{B}} \mathbb{P}\left(C_{i, j}=B \text { and } C_{k, j} \notin \mathscr{B} \text { for } k<i\right) . \tag{5}
\end{equation*}
$$

We now bound $\mathbb{P}\left(C_{i, j}=B\right.$ and $C_{k, j} \notin \mathscr{B}$ for $\left.k<i\right)$ for sets $B \in \mathscr{B}$. Note that we can only have $C_{i, j}=B$ if $|B|=i+1$. Furthermore, for such $B$, since $C_{i, j}$ is equally likely to be any subset of $[n]$ of size $i+1$, we have $\mathbb{P}\left(C_{i, j}=B\right)=1 /\binom{n}{i+1}$. We will show that for all such $B$

$$
\begin{equation*}
\mathbb{P}\left(C_{i, j}=B \text { and } C_{k, j} \notin \mathscr{B} \text { for } k<i\right)=(1-o(1)) \mathbb{P}\left(C_{i, j}=B\right) \tag{6}
\end{equation*}
$$

To see this, note that given any set $D \subset[n]$, there is at most one element $d \in D$ such that $D-d \in \mathscr{B}$. Indeed, $\mid\left(D-d^{\prime}\right) \backslash$ $(D-d) \mid=1$ for any distinct choices of $d, d^{\prime} \in D$. Recalling that $C_{k, j}=C_{i, j}-\{\sigma(k+1), \ldots, \sigma(i)\}$ for all $k<i$ and that $\sigma(k+1)$ is chosen uniformly at random from the $k+1$ elements of $C_{k+1, j}-\{\sigma(j)\}$, we see that for $k+1 \geq n / 2-n^{2 / 3}$ we have

$$
\begin{equation*}
\mathbb{P}\left(C_{k, j} \notin \mathscr{B} \mid C_{k+1, j}, \ldots, C_{i, j}\right) \geq\left(1-\frac{1}{k+1}\right) \geq\left(1-\frac{1}{n / 2-n^{2 / 3}}\right) \tag{7}
\end{equation*}
$$

Also, since $\mathscr{B}$ contains no sets of size less than $n / 2-n^{2 / 3}$, for $k+1<n / 2-n^{2 / 3}$ we have

$$
\begin{equation*}
\mathbb{P}\left(C_{k, j} \notin \mathscr{B} \mid C_{k+1, j}, \ldots, C_{i, j}\right)=1 \tag{8}
\end{equation*}
$$

But now by repeatedly applying (7) and (8) we get that for any $B$ of size $i+1 \in\left[n / 2-n^{2 / 3}, n / 2+n^{2 / 3}\right]$ we have

$$
\begin{aligned}
\mathbb{P}\left(C_{i, j}=B \text { and } C_{k, j} \notin \mathcal{B} \text { for } k<i\right) & \geq\left(1-\frac{1}{n / 2-n^{2 / 3}}\right)^{\left(i-n / 2-n^{2 / 3}\right)} \mathbb{P}\left(C_{i, j}=B\right) \\
& \geq\left(1-\frac{1}{n / 2-n^{2 / 3}}\right)^{2 n^{2 / 3}} \mathbb{P}\left(C_{i, j}=B\right) \\
& =(1-o(1)) \mathbb{P}\left(C_{i, j}=B\right)
\end{aligned}
$$

Now combining (6) with (4) and (5) we obtain

$$
\begin{aligned}
1 & \geq \sum_{i, j} \mathbb{E}\left(X_{i, j}\right) \\
& =\sum_{i, j} \sum_{B \in \mathcal{B}} \mathbb{P}\left(C_{i, j}=B \text { and } C_{k, j} \notin \mathcal{B} \text { for } k<i\right) \\
& =\sum_{i, j} \sum_{B \in \mathcal{B}^{(i+1)}}(1-o(1)) \mathbb{P}\left(C_{i, j}=B\right) \\
& =(1-o(1)) \sum_{i, j} \frac{\left|\mathcal{B}^{(i+1)}\right|}{\binom{n}{i+1}} \\
& =(1-o(1))|J| \sum_{i} \frac{\left|\mathcal{B}^{(i+1)}\right|}{\binom{n}{i+1}} .
\end{aligned}
$$

Since $|J|=n / 2-n^{2 / 3}$, this shows that

$$
\frac{2+o(1)}{n} \geq \sum_{i} \frac{\left|\mathcal{B}^{(i+1)}\right|}{\binom{n}{i+1}}
$$

giving $|\mathcal{B}| \leq \frac{2+o(1)}{n}\binom{n}{n / 2}$, as required.

## 3. Proof of Theorem 1.3

The proof of Theorem 1.3 will use the following result of Frankl and Füredi [4].
Theorem 3.1 (Frankl-Füredi). Let $r, k \in \mathbb{N}$ with $0 \leq k<r$. Suppose that $\mathcal{A} \subset[n]^{(r)}$ with $|A \cap B| \neq k$ for all $A, B \in \mathcal{A}$. Then $|\mathcal{A}| \leq d_{r} n^{\max (k, r-k-1)}$ where $d_{r}$ is a constant depending only on $r$.

We will also make use of the Erdős-Ko-Rado theorem [3].
Theorem 3.2 (Erdős-Ko-Rado). Suppose that $k \in \mathbb{N}$ and that $2 k \leq n$. Then any family $\mathcal{A} \subset[n]^{(k)}$ with $A \cap B \neq \emptyset$ for all $A, B \in \mathcal{A}$ satisfies $|\mathcal{A}| \leq\binom{ n-1}{k-1}$.

We are now ready for the proof of the main result. Given a set $U \subset[n]$ and a permutation $\sigma \in S_{n}$, below we write $\sigma(U)=\{\sigma(u): u \in U\}$.
Proof of Theorem 1.3. We will assume for convenience that $n$ is a multiple of $3 k$-this assumption can easily be removed. To begin, remove all elements in $\mathcal{A}$ of size smaller than $n / 2-n^{2 / 3}$ or larger than $n / 2+n^{2 / 3}$. By Chernoff's inequality (see Ap-


$$
\mathcal{B}_{l}=\{B \in \mathscr{B}:|B| \equiv l(\bmod k)\} .
$$

To prove the theorem it suffices to prove that for all $l \in[0, k-1]$ we have $\left|\mathcal{B}_{l}\right| \leq \frac{c^{\prime}}{n^{k}}\binom{n}{n / 2}$, where $c^{\prime}=c^{\prime}(k)>0$. We will show this when $l=0$ as the other cases are similar.

Let $I=[1, n / 3]$ and $J=[n / 3+1, n]$ so that $[n]=I \cup J$. Let us choose a permutation $\sigma \in S_{n}$ uniformly at random. Given this choice of $\sigma$, for all $i \in[n / 3 k]$ and $S \in J^{(n / 3)}$ let

$$
c_{i, S}=\sigma(\{1, \ldots, i k\}) \cup \sigma(S) .
$$

Let $\mathcal{C}_{S}=\left\{\mathcal{C}_{i, S}: i \in[n / 3 k]\right\}$ and call these sets 'partial chains'. We write

$$
\mathcal{D}=\left\{S \in\binom{J}{n / 3}: \mathcal{C}_{S} \cap \mathscr{B}_{0} \neq \emptyset\right\} \subset\binom{J}{n / 3} .
$$

We claim that for any choice of $\sigma \in S_{n}$, we have

$$
\begin{equation*}
|\mathscr{D}| \leq \frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{|J|}{n / 3}, \tag{9}
\end{equation*}
$$

where $d_{2 k}$ is as in Theorem 3.1. Indeed otherwise, by averaging, there exists $T \in J^{(n / 3-2 k)}$ for which the family

$$
\mathscr{D}_{T}=\left\{U \in(J \backslash T)^{(2 k)}: U \cup T \in \mathscr{D}\right\} \subset(J \backslash T)^{(2 k)}
$$

satisfies $\left|\mathscr{D}_{T}\right|>\frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{U \backslash T \mid}{ 2 k}$. This gives that

$$
\left|\mathscr{D}_{T}\right|>\frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{U \backslash T \mid}{ 2 k} \geq \frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}} \frac{|J \backslash T|^{2 k}}{(2 k)^{2 k}}=\frac{d_{2 k}|J \backslash T|^{2 k}}{(n / 3)^{k}} \geq\left. d_{2 k} J \backslash T\right|^{k},
$$

since $|\backslash \backslash T|=n / 3+2 k \geq n / 3$. However, applying Theorem 3.1 to $\mathscr{D}_{T}$ with $r=2 k$ we find $U, U^{\prime} \in \mathscr{D}_{T}$ with $\left|U \cap U^{\prime}\right|=k$. This then gives $C_{i, U \cup T}, C_{i^{\prime}, U^{\prime} \cup T} \in \mathscr{B}_{0}$ for some $i, i^{\prime} \in[n]$. Without loss of generality, we have $i \leq i^{\prime}$. But then, as $\sigma(\{1, \ldots, i k\}) \subset$ $\sigma\left(\left\{1, \ldots, i^{\prime} k\right\}\right)$, we have

$$
\left|C_{i, U \cup T} \backslash C_{i^{\prime}, U^{\prime} \cup T}\right|=\left|\sigma(U) \backslash \sigma\left(U^{\prime}\right)\right|=\left|U \backslash U^{\prime}\right|=|U|-\left|U \cap U^{\prime}\right|=2 k-k=k .
$$

However $|A \backslash B| \neq k$ for all $A, B \in \mathscr{B}_{0}$. This contradiction shows that (9) must hold.
Now the bound (9) shows that for any choice of $\sigma \in S_{n}$, at most $c_{k} / n^{k}$ proportion of the sets $\complement_{S}$ can contain elements of $\mathscr{B}_{0}$. Note however that any of these partial chains may still contain many elements from $\mathcal{B}_{0}$. As in the proof of Theorem 1.2, we now show that this cannot happen too often.

For $i \in[n / 3 k]$ and $S \in J^{(n / 3)}$, let $X_{i, S}$ denote the random variable given by

$$
X_{i, S}= \begin{cases}1 & \text { if } C_{i, S} \in \mathscr{B}_{0} \text { and } C_{i^{\prime}, S} \notin \mathscr{B}_{0} \text { for all } i^{\prime}<i ; \\ 0 & \text { otherwise. }\end{cases}
$$

From the previous paragraph, we have

$$
\begin{equation*}
\sum_{i, S} X_{i, S} \leq \frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{|J|}{n / 3} \tag{10}
\end{equation*}
$$

where both here and below the sum is taken over all $i \in[n / 3 k]$ and $S \in J^{(n / 3)}$. Taking expectations on both sides of (3) this gives

$$
\begin{equation*}
\sum_{i, S} \mathbb{E}\left(X_{i, S}\right) \leq \frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{|J|}{n / 3} . \tag{11}
\end{equation*}
$$

Rearranging we have

$$
\begin{equation*}
\sum_{i, S} \mathbb{E}\left(X_{i, S}\right)=\sum_{i, S} \sum_{B \in \mathscr{B}_{0}} \mathbb{P}\left(C_{i, S}=B \text { and } C_{i^{\prime}, S} \notin \mathscr{B}_{0} \text { for } i^{\prime}<i\right) . \tag{12}
\end{equation*}
$$

We now bound $\mathbb{P}\left(C_{i, S}=B\right.$ and $C_{i^{\prime}, S} \notin \mathscr{B}_{0}$ for $\left.i^{\prime}<i\right)$ for sets $B \in \mathscr{B}_{0}$. Note that we can only have $C_{i, S}=B$ if $|B|=i k+n / 3$. Furthermore, for such $B$, since $C_{i, S}$ is equally likely to be any subset of $[n]$ of size $i k+n / 3$, we have $\mathbb{P}\left(C_{i, S}=B\right)=1 /\binom{n}{i k+n / 3}$. We will prove that for all such $B$

$$
\begin{equation*}
\mathbb{P}\left(C_{i, S}=B \text { and } C_{i^{\prime}, S} \notin \mathscr{B}_{0} \text { for } i^{\prime}<i\right)=(1-o(1)) \mathbb{P}\left(C_{i, S}=B\right) . \tag{13}
\end{equation*}
$$

To see this, note that given any set $D \subset[n]$ and two sets $E_{1}, E_{2} \in D^{(k)}$ for which $D \backslash E_{1}, D \backslash E_{2} \in \mathscr{B}_{0}$, we must have $E_{1} \cap E_{2} \neq 0-$ otherwise $\left|\left(D \backslash E_{1}\right) \backslash\left(D \backslash E_{2}\right)\right|=k$. Therefore, for $|D| \geq 2 k$, by Theorem 3.2, there are at most $\binom{|D|-1}{k-1}=\frac{k}{|D|}\binom{|D|}{k}$ choices of $E \in$ $D^{(k)}$ with $D \backslash E \in \mathscr{B}_{0}$. Recalling that $C_{i^{\prime}, S}=C_{i, S}-\left\{\sigma\left(i^{\prime} k+1\right), \ldots, \sigma(i k)\right\}$ for all $i^{\prime}<i$ and that $\left\{\sigma\left(i^{\prime} k+1\right), \ldots, \sigma\left(\left(i^{\prime}+1\right) k\right)\right\}$ is chosen uniformly at random among all $k$-sets in $\left\{\sigma(1), \ldots, \sigma\left(\left(i^{\prime}+1\right) k\right)\right\}$, we see that for $\left(i^{\prime}+1\right) k+n / 3 \geq\left(n / 2-n^{2 / 3}\right)$ we have

$$
\begin{equation*}
\mathbb{P}\left(C_{i^{\prime}, S} \notin \mathcal{B}_{0} \mid C_{i^{\prime}+1, S}, \ldots, C_{i, S}\right) \geq\left(1-\frac{k}{\left(i^{\prime}+1\right) k}\right) \geq\left(1-\frac{k}{n / 6-n^{2 / 3}}\right) \tag{14}
\end{equation*}
$$

Also, since $\mathscr{B}_{0}$ contains no sets of size less than $n / 2-n^{2 / 3}$, for $\left(i^{\prime}+1\right) k+n / 3<\left(n / 2-n^{2 / 3}\right)$ we have

$$
\begin{equation*}
\mathbb{P}\left(C_{i^{\prime}, S} \notin \mathscr{B}_{0} \mid C_{i^{\prime}+1, S}, \ldots, C_{i, S}\right)=1 . \tag{15}
\end{equation*}
$$

But now by repeatedly applying (14) and (15), we get that for any $B$ of size $i k+n / 3 \in\left[n / 2-n^{2 / 3}, n / 2+n^{2 / 3}\right]$ we have

$$
\begin{aligned}
\mathbb{P}\left(C_{i, S}=B \text { and } C_{i^{\prime}, S} \notin \mathscr{B}_{0} \text { for } i^{\prime}<i\right) & \geq\left(1-\frac{k}{n / 6-n^{2 / 3}}\right)^{2 n^{2 / 3} / k} \mathbb{P}\left(C_{i, S}=B\right) \\
& \geq\left(1-\frac{k}{n / 6-n^{2 / 3}}\right)^{2 n^{2 / 3} / k} \mathbb{P}\left(C_{i, S}=B\right) \\
& =(1-o(1)) \mathbb{P}\left(C_{i, S}=B\right) .
\end{aligned}
$$

Now combining (13) with (11) and (12) we obtain

$$
\begin{aligned}
\frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{|J|}{n / 3} & \geq \sum_{i, S} \mathbb{E}\left(X_{i, S}\right) \\
& =\sum_{i, S} \sum_{B \in \mathscr{B}_{0}} \mathbb{P}\left(C_{i, S}=B \text { and } C_{i^{\prime}, S} \notin \mathscr{B}_{0} \text { for } i^{\prime}<i\right) \\
& =\sum_{i, S} \sum_{B \in \mathscr{B}_{0}^{(i k+n / 3)}}(1-o(1)) \mathbb{P}\left(C_{i, S}=B\right) \\
& =(1-o(1)) \sum_{i, S} \frac{\left|\mathscr{B}_{0}^{(i k+n / 3)}\right|}{\binom{n}{i k+n / 3}} \\
& =(1-o(1))\binom{|J|}{n / 3} \sum_{j \in[n]} \frac{\left|\mathscr{B}_{0}^{(j)}\right|}{\binom{n}{j}} .
\end{aligned}
$$

But this shows that

$$
\frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}} \geq \sum_{j \in[n]} \frac{\left|\mathscr{B}_{0}^{(j)}\right|}{\binom{n}{j}}
$$

giving $\left|\mathcal{B}_{0}\right| \leq \frac{d_{2 k}\left(12 k^{2}\right)^{k}}{n^{k}}\binom{n}{n / 2}$, as required.

## 4. Concluding remarks

It would be very interesting to determine the true answer in Theorem 1.2, i.e., to remove the factor of 2 . This is related to the well-known problem of finding the maximum size of a set system in which no two members are at Hamming distance 2 , where there is also a 'gap' of a multiplicative constant 2 . Indeed, our proof of Theorem 1.2 can be modified to show that the answers to these two questions are asymptotically equal. See Katona [7] for background on this problem.

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