

## Forbidding a set difference of size 1

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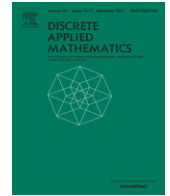
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## Note

## Forbidding a set difference of size 1

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## ABSTRACT

How large can a family  $\mathcal{A} \subset \mathcal{P}[n]$  be if it does not contain  $A, B$  with  $|A \setminus B| = 1$ ? Our aim in this paper is to show that any such family has size at most  $\frac{2+o(1)}{n} \binom{n}{\lfloor n/2 \rfloor}$ . This is tight up to a multiplicative constant of 2. We also obtain similar results for families  $\mathcal{A} \subset \mathcal{P}[n]$  with  $|A \setminus B| \neq k$ , showing that they satisfy  $|\mathcal{A}| \leq \frac{C_k}{n^k} \binom{n}{\lfloor n/2 \rfloor}$ , where  $C_k$  is a constant depending only on  $k$ .

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## 1. Introduction

A family  $\mathcal{A} \subset \mathcal{P}[n] = \mathcal{P}(\{1, \dots, n\})$  is said to be a *Sperner family* or *antichain* if  $A \not\subset B$  for all distinct  $A, B \in \mathcal{A}$ . Sperner's theorem [9], one of the earliest results in extremal combinatorics, states that every Sperner family  $\mathcal{A} \subset \mathcal{P}[n]$  satisfies

$$|\mathcal{A}| \leq \binom{n}{\lfloor n/2 \rfloor}. \quad (1)$$

(We remark that this paper is self-contained; for background on Sperner's theorem and related results see [2].)

Kalai [5] noted that the Sperner condition can be rephrased as follows:  $\mathcal{A}$  does not contain two sets  $A$  and  $B$  such that, in the unique subcube of  $\mathcal{P}[n]$  spanned by  $A$  and  $B$ ,  $A$  is the bottom point and  $B$  is the top point. He asked: what happens if we forbid  $A$  and  $B$  to be at a 'fixed ratio'  $p : q$  in this subcube. That is, we forbid  $A$  to be  $p/(p+q)$  of the way up this subcube and  $B$  to be  $q/(p+q)$  of the way up this subcube. Equivalently,  $q|A \setminus B| \neq p|B \setminus A|$  for all distinct  $A, B \in \mathcal{A}$ . Note that the Sperner condition corresponds to taking  $p = 0$  and  $q = 1$ . In [8], we gave an asymptotically tight answer for all ratios  $p : q$ , showing that one cannot improve on the 'obvious' example, namely the  $q - p$  middle layers of  $\mathcal{P}[n]$ .

**Theorem 1.1** ([8]). *Let  $p, q$  be coprime natural numbers with  $q \geq p$ . Suppose  $\mathcal{A} \subset \mathcal{P}[n]$  does not contain distinct  $A, B$  with  $q|A \setminus B| = p|B \setminus A|$ . Then*

$$|\mathcal{A}| \leq (q - p + o(1)) \binom{n}{\lfloor n/2 \rfloor}. \quad (2)$$

Up to the  $o(1)$  term, this is best possible. Indeed, the proof of Theorem 1.1 in [8] also gives the exact maximum size of such  $\mathcal{A}$  for infinitely many values of  $n$ .

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Another natural question considered in [8] asks how large a family  $\mathcal{A} \subset \mathcal{P}[n]$  can be if, instead of forbidding a fixed ratio, we forbid a ‘fixed distance’ in these subcubes. For example, how large can  $\mathcal{A} \subset \mathcal{P}[n]$  be if  $A$  is not at distance 1 from the bottom of the subcube spanned with  $B$  for all  $A, B \in \mathcal{A}$ ? Equivalently,  $|A \setminus B| \neq 1$  for all  $A, B \in \mathcal{A}$ . Here the following family  $\mathcal{A}^*$  provides a lower bound: let  $\mathcal{A}^*$  consist of all sets  $A$  of size  $\lfloor n/2 \rfloor$  such that  $\sum_{i \in A} i \equiv r \pmod{n}$  where  $r \in \{0, \dots, n-1\}$  is chosen to maximise  $|\mathcal{A}^*|$ . Such a choice of  $r$  gives  $|\mathcal{A}^*| \geq \frac{1}{n} \binom{n}{\lfloor n/2 \rfloor}$ . Note that if we had  $|A \setminus B| = 1$  for some  $A, B \in \mathcal{A}^*$ , since  $|A| = |B|$ , we would also have  $|B \setminus A| = 1$  – letting  $A \setminus B = \{i\}$  and  $B \setminus A = \{j\}$  we then have  $i - j \equiv 0 \pmod{n}$  giving  $i = j$ , a contradiction.

In [8], we showed that any such family  $\mathcal{A} \subset \mathcal{P}[n]$  satisfies  $|\mathcal{A}| \leq \frac{C}{n} 2^n = O\left(\frac{1}{n^{1/2}} \binom{n}{\lfloor n/2 \rfloor}\right)$  for some absolute constant  $C > 0$ . We conjectured that the family  $\mathcal{A}^*$  constructed in the previous paragraph is asymptotically maximal (Conjecture 5 of [8]). In Section 2, we prove that this is true up to a factor of 2.

**Theorem 1.2.** Suppose that  $\mathcal{A} \subset \mathcal{P}[n]$  is a family of sets with  $|A \setminus B| \neq 1$  for all  $A, B \in \mathcal{A}$ . Then  $|\mathcal{A}| \leq \frac{2+o(1)}{n} \binom{n}{\lfloor n/2 \rfloor}$ .

One could also ask what happens if we forbid a fixed set difference of size  $k$ , instead of 1 (where we think of  $k$  as fixed and  $n$  as varying). This turns out to be harder. In [8] we noted that the following family  $\mathcal{A}_k^* \subset \mathcal{P}[n]$  gives a lower bound of  $\frac{1}{n^k} \binom{n}{\lfloor n/2 \rfloor}$ : supposing  $n$  is prime, let  $\mathcal{A}_k^*$  consist of all sets  $A$  of size  $\lfloor n/2 \rfloor$  which satisfy  $\sum_{i \in A} i^d \equiv 0 \pmod{n}$  for all  $1 \leq d \leq k$ . In Section 3 we prove that this is also best possible up to a multiplicative constant.

**Theorem 1.3.** Let  $k \in \mathbb{N}$ . Suppose that  $\mathcal{A} \subset \mathcal{P}[n]$  with  $|A \setminus B| \neq k$  for all  $A, B \in \mathcal{P}[n]$ . Then  $|\mathcal{A}| \leq \frac{C_k}{n^k} \binom{n}{\lfloor n/2 \rfloor}$ , where  $C_k$  is a constant depending only on  $k$ .

Our notation is standard. We write  $[n]$  for  $\{1, \dots, n\}$ , and  $[a, b]$  for the interval  $\{a, \dots, b\}$ . For a set  $X$ , we write  $\mathcal{P}(X)$  for the power set of  $X$  and  $X^{(k)}$  for the collection of all  $k$ -sets in  $X$ . We often suppress integer-part signs.

## 2. Proof of Theorem 1.2

Our proof of Theorem 1.2 uses Katona’s averaging method (see [6]), but modified in a key way. Ideally here, as in the proof of Sperner’s theorem or Theorem 1.1, we would find configurations of sets covering  $\mathcal{P}[n]$  so that each configuration has at most  $C/n^{3/2}$  proportion of its elements in  $\mathcal{A}$ , for any family  $\mathcal{A}$  satisfying  $|A \setminus B| \neq 1$  for  $A, B \in \mathcal{A}$ . Then, provided that these configurations cover  $\mathcal{P}[n]$  uniformly, we could count incidences between elements of  $\mathcal{A}$  and these configurations to get an upper bound on  $|\mathcal{A}|$ .

However, we do not see how to find such configurations. So instead our approach is as follows. Rather than insisting that each of the sets in our configuration is well-behaved (in the sense above), we will only require that *most* of them have at most  $C/n^{3/2}$  proportion of their elements in  $\mathcal{A}$ . It turns out that this can be achieved, and that it is good enough for our purposes.

**Proof.** We will prove the proposition under the assumption that  $n$  is even – this can easily be removed. To begin with, remove all elements in  $\mathcal{A}$  of size smaller than  $n/2 - n^{2/3}$  or larger than  $n/2 + n^{2/3}$ . By Chernoff’s inequality (see Appendix A of [1]), we have removed at most  $o\left(\frac{1}{n} \binom{n}{n/2}\right)$  sets. Let  $\mathcal{B}$  denote the remaining sets in  $\mathcal{A}$ . It suffices to show that  $|\mathcal{B}| \leq \frac{2+o(1)}{n} \binom{n}{n/2}$ .

We write  $I = [1, n/2 + n^{2/3}]$  and  $J = [n/2 + n^{2/3} + 1, n]$  so that  $[n] = I \cup J$ . Let us choose a permutation  $\sigma \in S_n$  uniformly at random. Given this choice of  $\sigma$ , for all  $i \in I, j \in J$  let  $C_{i,j} = \{\sigma(1), \dots, \sigma(i)\} \cup \{\sigma(j)\}$ . Let  $\mathcal{C}_j = \{C_{i,j} : i \in I\}$ , and call these sets ‘partial chains’. Also let  $\mathcal{C} = \bigcup_{j \in J} \mathcal{C}_j$ .

Now, for any choice of  $\sigma \in S_n$ , at most one of the partial chains of  $\mathcal{C}$  can contain an element of  $\mathcal{B}$ . Indeed, suppose both  $C_{i_1, j_1} = C_{i_1} \cup \{\sigma(j_1)\}$  and  $C_{i_2, j_2} = C_{i_2} \cup \{\sigma(j_2)\}$  lie in  $\mathcal{A}$  for distinct  $j_1, j_2 \in J$ . Since  $C_{i_1}$  and  $C_{i_2}$  are elements of a chain, without loss of generality we may assume  $C_{i_1} \subset C_{i_2}$ . But then  $(C_{i_1} \cup \{\sigma(j_1)\}) \setminus (C_{i_2} \cup \{\sigma(j_2)\}) = \{\sigma(j_1)\}$ , which contradicts  $|A \setminus B| \neq 1$  for all  $A, B \in \mathcal{B}$ .

Note that the above bound alone does not guarantee the upper bound on  $|\mathcal{A}|$  stated in the theorem, since a fixed partial chain  $\mathcal{C}_j$  may contain many elements of  $\mathcal{A}$ . We now show that this cannot happen too often.

For  $i \in I$  and  $j \in J$ , let  $X_{i,j}$  denote the random variable given by

$$X_{i,j} = \begin{cases} 1 & \text{if } C_{i,j} \in \mathcal{B} \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i; \\ 0 & \text{otherwise.} \end{cases}$$

From the previous paragraph, we have

$$\sum_{i,j} X_{i,j} \leq 1 \tag{3}$$

where both here and below the sum is taken over all  $i \in I$  and  $j \in J$ . Taking expectations on both sides of (3) this gives

$$\sum_{i,j} \mathbb{E}(X_{i,j}) \leq 1. \tag{4}$$

Rearranging we have

$$\sum_{i,j} \mathbb{E}(X_{i,j}) = \sum_{i,j} \sum_{B \in \mathcal{B}} \mathbb{P}(C_{i,j} = B \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i). \quad (5)$$

We now bound  $\mathbb{P}(C_{i,j} = B \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i)$  for sets  $B \in \mathcal{B}$ . Note that we can only have  $C_{i,j} = B$  if  $|B| = i + 1$ . Furthermore, for such  $B$ , since  $C_{i,j}$  is equally likely to be any subset of  $[n]$  of size  $i + 1$ , we have  $\mathbb{P}(C_{i,j} = B) = 1/\binom{n}{i+1}$ . We will show that for all such  $B$

$$\mathbb{P}(C_{i,j} = B \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i) = (1 - o(1))\mathbb{P}(C_{i,j} = B). \quad (6)$$

To see this, note that given any set  $D \subset [n]$ , there is at most one element  $d \in D$  such that  $D - d \in \mathcal{B}$ . Indeed,  $|(D - d') \setminus (D - d)| = 1$  for any distinct choices of  $d, d' \in D$ . Recalling that  $C_{k,j} = C_{i,j} - \{\sigma(k+1), \dots, \sigma(i)\}$  for all  $k < i$  and that  $\sigma(k+1)$  is chosen uniformly at random from the  $k+1$  elements of  $C_{k+1,j} - \{\sigma(j)\}$ , we see that for  $k+1 \geq n/2 - n^{2/3}$  we have

$$\mathbb{P}(C_{k,j} \notin \mathcal{B} | C_{k+1,j}, \dots, C_{i,j}) \geq \left(1 - \frac{1}{k+1}\right) \geq \left(1 - \frac{1}{n/2 - n^{2/3}}\right). \quad (7)$$

Also, since  $\mathcal{B}$  contains no sets of size less than  $n/2 - n^{2/3}$ , for  $k+1 < n/2 - n^{2/3}$  we have

$$\mathbb{P}(C_{k,j} \notin \mathcal{B} | C_{k+1,j}, \dots, C_{i,j}) = 1. \quad (8)$$

But now by repeatedly applying (7) and (8) we get that for any  $B$  of size  $i+1 \in [n/2 - n^{2/3}, n/2 + n^{2/3}]$  we have

$$\begin{aligned} \mathbb{P}(C_{i,j} = B \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i) &\geq \left(1 - \frac{1}{n/2 - n^{2/3}}\right)^{(i - n/2 - n^{2/3})} \mathbb{P}(C_{i,j} = B) \\ &\geq \left(1 - \frac{1}{n/2 - n^{2/3}}\right)^{2n^{2/3}} \mathbb{P}(C_{i,j} = B) \\ &= (1 - o(1))\mathbb{P}(C_{i,j} = B). \end{aligned}$$

Now combining (6) with (4) and (5) we obtain

$$\begin{aligned} 1 &\geq \sum_{i,j} \mathbb{E}(X_{i,j}) \\ &= \sum_{i,j} \sum_{B \in \mathcal{B}} \mathbb{P}(C_{i,j} = B \text{ and } C_{k,j} \notin \mathcal{B} \text{ for } k < i) \\ &= \sum_{i,j} \sum_{B \in \mathcal{B}^{(i+1)}} (1 - o(1))\mathbb{P}(C_{i,j} = B) \\ &= (1 - o(1)) \sum_{i,j} \frac{|\mathcal{B}^{(i+1)}|}{\binom{n}{i+1}} \\ &= (1 - o(1))|J| \sum_i \frac{|\mathcal{B}^{(i+1)}|}{\binom{n}{i+1}}. \end{aligned}$$

Since  $|J| = n/2 - n^{2/3}$ , this shows that

$$\frac{2 + o(1)}{n} \geq \sum_i \frac{|\mathcal{B}^{(i+1)}|}{\binom{n}{i+1}}$$

giving  $|\mathcal{B}| \leq \frac{2+o(1)}{n} \binom{n}{n/2}$ , as required.  $\square$

### 3. Proof of Theorem 1.3

The proof of Theorem 1.3 will use the following result of Frankl and Füredi [4].

**Theorem 3.1** (Frankl–Füredi). Let  $r, k \in \mathbb{N}$  with  $0 \leq k < r$ . Suppose that  $\mathcal{A} \subset [n]^{(r)}$  with  $|A \cap B| \neq k$  for all  $A, B \in \mathcal{A}$ . Then  $|\mathcal{A}| \leq d_r n^{\max(k, r-k-1)}$  where  $d_r$  is a constant depending only on  $r$ .

We will also make use of the Erdős–Ko–Rado theorem [3].

**Theorem 3.2** (Erdős–Ko–Rado). Suppose that  $k \in \mathbb{N}$  and that  $2k \leq n$ . Then any family  $\mathcal{A} \subset [n]^{(k)}$  with  $A \cap B \neq \emptyset$  for all  $A, B \in \mathcal{A}$  satisfies  $|\mathcal{A}| \leq \binom{n-1}{k-1}$ .

We are now ready for the proof of the main result. Given a set  $U \subset [n]$  and a permutation  $\sigma \in S_n$ , below we write  $\sigma(U) = \{\sigma(u) : u \in U\}$ .

**Proof of Theorem 1.3.** We will assume for convenience that  $n$  is a multiple of  $3k$ —this assumption can easily be removed. To begin, remove all elements in  $\mathcal{A}$  of size smaller than  $n/2 - n^{2/3}$  or larger than  $n/2 + n^{2/3}$ . By Chernoff's inequality (see Appendix A of [1]), we have removed at most  $o\left(\frac{1}{n^k} \binom{n}{n/2}\right)$  sets. Let  $\mathcal{B}$  denote the remaining sets in  $\mathcal{A}$ . For each  $l \in [0, k-1]$ , let

$$\mathcal{B}_l = \{B \in \mathcal{B} : |B| \equiv l \pmod{k}\}.$$

To prove the theorem it suffices to prove that for all  $l \in [0, k-1]$  we have  $|\mathcal{B}_l| \leq \frac{c'}{n^k} \binom{n}{n/2}$ , where  $c' = c'(k) > 0$ . We will show this when  $l = 0$  as the other cases are similar.

Let  $I = [1, n/3]$  and  $J = [n/3 + 1, n]$  so that  $[n] = I \cup J$ . Let us choose a permutation  $\sigma \in S_n$  uniformly at random. Given this choice of  $\sigma$ , for all  $i \in [n/3k]$  and  $S \in J^{(n/3)}$  let

$$C_{i,S} = \sigma(\{1, \dots, ik\}) \cup \sigma(S).$$

Let  $\mathcal{C}_S = \{C_{i,S} : i \in [n/3k]\}$  and call these sets 'partial chains'. We write

$$\mathcal{D} = \left\{ S \in \binom{J}{n/3} : \mathcal{C}_S \cap \mathcal{B}_0 \neq \emptyset \right\} \subset \binom{J}{n/3}.$$

We claim that for any choice of  $\sigma \in S_n$ , we have

$$|\mathcal{D}| \leq \frac{d_{2k}(12k^2)^k}{n^k} \binom{|J|}{n/3}, \quad (9)$$

where  $d_{2k}$  is as in Theorem 3.1. Indeed otherwise, by averaging, there exists  $T \in J^{(n/3-2k)}$  for which the family

$$\mathcal{D}_T = \left\{ U \in \binom{J \setminus T}{2k} : U \cup T \in \mathcal{D} \right\} \subset \binom{J \setminus T}{2k}$$

satisfies  $|\mathcal{D}_T| > \frac{d_{2k}(12k^2)^k}{n^k} \binom{|J \setminus T|}{2k}$ . This gives that

$$|\mathcal{D}_T| > \frac{d_{2k}(12k^2)^k}{n^k} \binom{|J \setminus T|}{2k} \geq \frac{d_{2k}(12k^2)^k}{n^k} \frac{|J \setminus T|^{2k}}{(2k)^{2k}} = \frac{d_{2k}|J \setminus T|^{2k}}{(n/3)^k} \geq d_{2k}|J \setminus T|^k,$$

since  $|J \setminus T| = n/3 + 2k \geq n/3$ . However, applying Theorem 3.1 to  $\mathcal{D}_T$  with  $r = 2k$  we find  $U, U' \in \mathcal{D}_T$  with  $|U \cap U'| = k$ . This then gives  $C_{i,U \cup T}, C_{i',U' \cup T} \in \mathcal{B}_0$  for some  $i, i' \in [n]$ . Without loss of generality, we have  $i \leq i'$ . But then, as  $\sigma(\{1, \dots, ik\}) \subset \sigma(\{1, \dots, i'k\})$ , we have

$$|C_{i,U \cup T} \setminus C_{i',U' \cup T}| = |\sigma(U) \setminus \sigma(U')| = |U \setminus U'| = |U| - |U \cap U'| = 2k - k = k.$$

However  $|A \setminus B| \neq k$  for all  $A, B \in \mathcal{B}_0$ . This contradiction shows that (9) must hold.

Now the bound (9) shows that for any choice of  $\sigma \in S_n$ , at most  $c_k/n^k$  proportion of the sets  $\mathcal{C}_S$  can contain elements of  $\mathcal{B}_0$ . Note however that any of these partial chains may still contain many elements from  $\mathcal{B}_0$ . As in the proof of Theorem 1.2, we now show that this cannot happen too often.

For  $i \in [n/3k]$  and  $S \in J^{(n/3)}$ , let  $X_{i,S}$  denote the random variable given by

$$X_{i,S} = \begin{cases} 1 & \text{if } C_{i,S} \in \mathcal{B}_0 \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for all } i' < i; \\ 0 & \text{otherwise.} \end{cases}$$

From the previous paragraph, we have

$$\sum_{i,S} X_{i,S} \leq \frac{d_{2k}(12k^2)^k}{n^k} \binom{|J|}{n/3} \quad (10)$$

where both here and below the sum is taken over all  $i \in [n/3k]$  and  $S \in J^{(n/3)}$ . Taking expectations on both sides of (3) this gives

$$\sum_{i,S} \mathbb{E}(X_{i,S}) \leq \frac{d_{2k}(12k^2)^k}{n^k} \binom{|J|}{n/3}. \quad (11)$$

Rearranging we have

$$\sum_{i,S} \mathbb{E}(X_{i,S}) = \sum_{i,S} \sum_{B \in \mathcal{B}_0} \mathbb{P}(C_{i,S} = B \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for } i' < i). \quad (12)$$

We now bound  $\mathbb{P}(C_{i,S} = B \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for } i' < i)$  for sets  $B \in \mathcal{B}_0$ . Note that we can only have  $C_{i,S} = B$  if  $|B| = ik + n/3$ . Furthermore, for such  $B$ , since  $C_{i,S}$  is equally likely to be any subset of  $[n]$  of size  $ik + n/3$ , we have  $\mathbb{P}(C_{i,S} = B) = 1/\binom{n}{ik+n/3}$ . We will prove that for all such  $B$

$$\mathbb{P}(C_{i,S} = B \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for } i' < i) = (1 - o(1))\mathbb{P}(C_{i,S} = B). \quad (13)$$

To see this, note that given any set  $D \subset [n]$  and two sets  $E_1, E_2 \in D^{(k)}$  for which  $D \setminus E_1, D \setminus E_2 \in \mathcal{B}_0$ , we must have  $E_1 \cap E_2 \neq \emptyset$ —otherwise  $|(D \setminus E_1) \setminus (D \setminus E_2)| = k$ . Therefore, for  $|D| \geq 2k$ , by Theorem 3.2, there are at most  $\binom{|D|-1}{k-1} = \frac{k}{|D|} \binom{|D|}{k}$  choices of  $E \in D^{(k)}$  with  $D \setminus E \in \mathcal{B}_0$ . Recalling that  $C_{i',S} = C_{i,S} - \{\sigma(i'k+1), \dots, \sigma(ik)\}$  for all  $i' < i$  and that  $\{\sigma(i'k+1), \dots, \sigma((i'+1)k)\}$  is chosen uniformly at random among all  $k$ -sets in  $\{\sigma(1), \dots, \sigma((i'+1)k)\}$ , we see that for  $(i'+1)k + n/3 \geq (n/2 - n^{2/3})$  we have

$$\mathbb{P}(C_{i',S} \notin \mathcal{B}_0 | C_{i'+1,S}, \dots, C_{i,S}) \geq \left(1 - \frac{k}{(i'+1)k}\right) \geq \left(1 - \frac{k}{n/6 - n^{2/3}}\right). \quad (14)$$

Also, since  $\mathcal{B}_0$  contains no sets of size less than  $n/2 - n^{2/3}$ , for  $(i'+1)k + n/3 < (n/2 - n^{2/3})$  we have

$$\mathbb{P}(C_{i',S} \notin \mathcal{B}_0 | C_{i'+1,S}, \dots, C_{i,S}) = 1. \quad (15)$$

But now by repeatedly applying (14) and (15), we get that for any  $B$  of size  $ik + n/3 \in [n/2 - n^{2/3}, n/2 + n^{2/3}]$  we have

$$\begin{aligned} \mathbb{P}(C_{i,S} = B \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for } i' < i) &\geq \left(1 - \frac{k}{n/6 - n^{2/3}}\right)^{2n^{2/3}/k} \mathbb{P}(C_{i,S} = B) \\ &\geq \left(1 - \frac{k}{n/6 - n^{2/3}}\right)^{2n^{2/3}/k} \mathbb{P}(C_{i,S} = B) \\ &= (1 - o(1))\mathbb{P}(C_{i,S} = B). \end{aligned}$$

Now combining (13) with (11) and (12) we obtain

$$\begin{aligned} \frac{d_{2k}(12k^2)^k}{n^k} \binom{|U|}{n/3} &\geq \sum_{i,S} \mathbb{E}(X_{i,S}) \\ &= \sum_{i,S} \sum_{B \in \mathcal{B}_0} \mathbb{P}(C_{i,S} = B \text{ and } C_{i',S} \notin \mathcal{B}_0 \text{ for } i' < i) \\ &= \sum_{i,S} \sum_{B \in \mathcal{B}_0^{(ik+n/3)}} (1 - o(1))\mathbb{P}(C_{i,S} = B) \\ &= (1 - o(1)) \sum_{i,S} \frac{|\mathcal{B}_0^{(ik+n/3)}|}{\binom{n}{ik+n/3}} \\ &= (1 - o(1)) \binom{|U|}{n/3} \sum_{j \in [n]} \frac{|\mathcal{B}_0^{(j)}|}{\binom{n}{j}}. \end{aligned}$$

But this shows that

$$\frac{d_{2k}(12k^2)^k}{n^k} \geq \sum_{j \in [n]} \frac{|\mathcal{B}_0^{(j)}|}{\binom{n}{j}}$$

giving  $|\mathcal{B}_0| \leq \frac{d_{2k}(12k^2)^k}{n^k} \binom{n}{n/2}$ , as required.  $\square$

#### 4. Concluding remarks

It would be very interesting to determine the true answer in Theorem 1.2, i.e., to remove the factor of 2. This is related to the well-known problem of finding the maximum size of a set system in which no two members are at Hamming distance 2, where there is also a ‘gap’ of a multiplicative constant 2. Indeed, our proof of Theorem 1.2 can be modified to show that the answers to these two questions are asymptotically equal. See Katona [7] for background on this problem.

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