**Securitization and the function of Functional Actors**

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*Abstract*

This article examines the role and nature of functional actors in securitization processes, which have been almost completely ignored in an otherwise booming literature. I argue that functional actors are a useful analytical category only if such actors are functionally distinct from other actors in the securitization process. A close analysis of The Copenhagen School’s *Security: A New Framework for Analysis* (1998) reveals that this is not the case; the majority of the functions such actors have (in the different sectors) are covered by other actors, including threatener and securitization requester. The exception is that they may contest securitization; yet in securitization studies this function has become associated not with functional actors but with audiences. I show that when the audience is conceived in line with its meaning in common usage (i.e. as the addressee of the securitizing speech act) only specific actors (most notably, referent objects who are promised protection via securitizing moves) can object to securitization, and only on securitizations (ostensibly) intended to save them. Based on the twin observation that: a) contestation matters normatively; and b) that – especially in liberal democracies – actors regularly object to securitization in which they are not the referent object or the threatener, I go on to locate the ability to veto/endorse securitization on behalf of others with functional actors. The remainder of the article sketches out what kind of functional actors exist in the different sectors of security and distils functional actors into the following categories: gatekeeper, (de)legitimater, epistemic community, rebel and champion. I conclude by arguing that audience-focused securitization scholars should embrace the notion of functional actors, after all scholars too are functional actors. As such they can enter securitization processes in a truly critical capacity without the need to locate ‘audiences’ that ‘speak’ for the analyst.

Keywords: functional actors, securitization, veto, Copenhagen School, audiences, media

*Introduction*

In critical security studies the secondary literature on the Copenhagen School’s (hereafter CS) securitization theory is booming. While not everyone accepts that the CS qualifies as a part of critical security studies (Hynek and Chandler, 2013; Aradau 2018), following David Mutimer (2019) I take critical here in the broadest sense, to wit as critical of traditional security studies’ positivism.

Moreover, this article shows that because securitization analysts can double-up as functional actors, an emancipatory agenda is not antithetical to securitization studies.

Securitization theory holds that security threats do not simply exist “out there”, but rather security is a highly political process with issues turned into security threats via a sequence of events usually involving a securitizing actor, a securitizing speech act/securitizing move (whereby a securitizing actor declares a particular referent object threatened in its existence unless urgent action is taken right away), the audience (which has to ‘accept’ the threat narrative contained in the securitizing move), and the enacting of extraordinary measures (the breaking of established rules) in order to deal with a (perceived) threat. Practically every aspect of the theory has been revisited and revised; it has been applied to a vast and diverse number of empirical contexts in the West and beyond, gaining traction in fields other than International Relations/Security Studies (e.g. Gearon and Parsons, 2018; Jackson, 2015). In spite of this flurry of activity however, nothing explicit has been written about *functional actors[[1]](#footnote-1)*, which is to say ‘actors who affect the dynamics of a sector. Without being the referent object or the actor calling for security on behalf of the referent object, this is an actor who significantly influences decisions in the field of security’ (Buzan et al., 1998: 36).

By posing the research question: ‘Who or what are functional actors in securitization?’ this paper seeks to close this gap in the literature. I do this not for the sake of identifying yet another new area in securitization studies, but to understand what role – if any – such actors play, why they have been overlooked and whether they should be ignored. In short, I do this to better our understanding of securitization processes.

 I commence my analysis from a standpoint of agnosticism regarding the specific relevance of functional actors. In its original formulation by the CS and Wæver, the relevance of the functional actor is ambiguous. On the one hand, they suggest that functional actors are significant (Ibid, 36), but on the other Wæver claims that they ‘are not involved in securitisation’ (Wæver, 2003:12). It is also noteworthy that in the 20-odd years since the publication of *Security: A New Framework for Analysis* (hereafter *SANFFA*), they have not developed this concept any further. From an email exchange with Barry Buzan in the autumn of 2018 I learned that the term functional actor was - during the co-writing of *SANFFA* - ‘pulled out of the air’; if it had some specific and deeper meaning in linguistics, he was unaware of it. I have been unable to find evidence of this, and I hasten to suggest that if there was a deeper meaning it would have been picked up by the many second-generation scholars skilled in the philosophy of language. Though pulled out of the air, the term functional actor implies something that we should not ignore. Curiously we can find this something in Buzan’s work on the English School (Buzan, 2004), wherein he examines the presence of institutions in functional terms, i.e. the idea that we can ascertain institutions in terms of the function they fulfil in international society. His proposal focuses on whether or not prospective institutions serve *– amongst other things -* one or more of the three primary goals of international order, identified by Bull as: (1) security against violence, (2) observance of agreements, and (3) property rights (Buzan, 2014:174). This is not the place to elaborate on debates concerning institutions in the English school. Nevertheless, for our purposes here Buzan’s take on functionalism suggests that we can elucidate the nature of functional actors in securitization if we try and uncover what distinct function, if any, these actors fulfil in this process, or else in the distinct sectors where securitization dynamics play out. It should be noted here that a functionalist approach does not merely allow us to understand *functional* actors; within the CS’ securitization theory all categories of actors are differentiated along the functions they fulfil in the securitization process. This means that the term ‘functional actor’ is probably not the most precise to capture this category of actor. Nevertheless, I stop short of suggesting a more suitable name. After all, the point of this article is to explain this very category.

 In order to uncover the function of functional actors I proceed as follows. The first section of this article examines what we definitively know about functional actors from *SANFFA*. A close textual analysis reveals that the different sector specific functional actors identified by the CS do not fulfil any distinct function; instead their role is already covered by one of the other analytical categories of actor identified by the CS. This being said, however, at least one passage of *SANFFA* does suggest a functionally distinct role for functional actors, namely when they assume the role of ‘veto actors’ during securitization (Buzan et al., 1998:89). Importantly, veto actors here does not refer to actors that possess formal (i.e. legal or constitutional) powers of veto as, for example, those held by the United Kingdom and the other four permanent members of the United Nations Security Council. Instead, the term veto actor is derived from Gareth Porter and Janet Brown’s (1991) work on environmental politics, who theorise *inter alia* actors that (1) lead on environmental protection initiatives, (2) actors that support lead actors and (3) actors that veto environmental efforts (Buzan, et al, 1998: 77ff). For the CS, but also in this paper, veto actors, or else veto powers, simply are actors that assume the capacity to oppose securitization, including by ‘contesting or ignoring’ it (Ibid, 1998: 77). Be that as it may, in securitization studies this role is not generally associated with functional actors but instead with audiences who, as actor, legitimate securitization through implicit, and latterly explicit, consent (Olesker, 2018; Salter, 2011; Roe, 2008).

 The second section of this article examines whether audiences have rightly been ascribed that role or whether the veto securitization scholars attribute to audiences rests with functional actors. In short, I examine whether *some* audiences are in fact functional actors. I commence by looking at what audiences are in everyday language, and from this basis I argue that the only actual audiences in securitization are the referent object and the threatener[[2]](#footnote-2), for these are the *addressees* of securitizing speech acts. Accordingly, audiences are not only delimited to specific, rigidly defined groups, but the influence of their veto is limited as securitizing actors frequently override their objections in the name of security. From here I return to the role of the functional actor. I assume that the idea (popular in securitization studies) that securitization will be contested by a broader range of actors than simply ‘audiences-as-addressees’ is valid. After all, many different people regularly object to securitizations in which they are not referent object or threatener. I suggest that these actors are functional actors. The substantive difference between the functions of audiences and functional actors thus is that audiences object or endorse securitization on behalf of themselves, while functional actors object or endorse securitization on behalf of others.[[3]](#footnote-3)

 In the third section I go on to sketch out what kind of functional actors might exist in each sector, by looking at what kind of actors are likely to veto or endorse securitization in which others are referent object or threatener. On the basis of the findings, section four aims to distil the many functional actors into a number of specific roles, namely: gatekeeper, (de-)legitimater, epistemic community, rebel and champion. By way of a conclusion I point out that all those securitization scholars who place stock in the audience for normative reasons, have good reason to embrace the notion of functional actors. Thus, it allows scholars to enter securitization processes - where they are not referent objects or threatener - in a truly critical capacity.

*What do we concretely know about functional actors?*

The description of functional actors in *SANFFA* takes the form of a juxtaposition, whereby little is said about functional actors other than what they are not. Specifically, they are neither securitizing actors nor referent objects of securitization. Securitizing actors are described as ‘actors who securitize issues by declaring something – a referent object – existentially threatened’ (Ibid, 36); referent objects, in turn, are ‘things that are seen to be existentially threatened and that have a legitimate claim to survival’ (Ibid, 36). Given that we are told that ‘functional actors [are dealt with] in the sector chapters’ (Ibid, 36) I will begin my analysis by looking at what precisely we learn in each chapter about these actors. It should be noted that, in spite of the statement by Buzan, Wæver and de Wilde that functional actors will be discussed in the separate chapters, they do not – by that label - feature in the chapters on the societal and political sectors.[[4]](#footnote-4) By contrast, in the chapter on the military sector the discussion of functional actors is given a separate subheading because, we are told, this sector ‘is rich in actors that influence the dynamics of the sector without being either referent objects or securitizing actors. Many of these actors are either the *agencies of force*, ranging from assassins and mercenary companies through defense bureaucracies to armies, or *providers of the instruments of force*, most notably the arms industry’ (Ibid: 56 my emphasis). While there can be no doubt that these actors can be found in the military sector, what is their primary function? Clearly the primary function of agencies of force is to provide security.[[5]](#footnote-5) In the military sector agencies of force execute securitization (they may do this also on threats in other sectors), which is to say they carry out the extraordinary measures part of successful securitization (Ibid, 25-26).[[6]](#footnote-6) The arms industry, in turn, does not execute securitization; instead they identify security threats in need of securitization. By speaking security, however, it is not their intention to initiate their *own* securitization, instead they seek to convince other more powerful actors (most notably in this context governments) to securitize. They *request* securitization – at times – very likely simply in order to sell their products, but at other times because they perceive a real threat. The ability to request securitization is, if not by that label, mentioned in the CS’s original framework, because they recognise that while anyone can declare an entity existentially threatened, securitization is successful only when it involves: ‘existential threats, emergency action, and effects on interunit relations by breaking free of rules’ (Buzan et al., 1998: 26). Ultimately, however, the idea of securitization for agenda-setting purposes was more fully developed by Juha Vuori (2008:76) who argues:

[…] not all securitizing speech is uttered by the powers that be, who also do not always have to be state powers. People outside official authority can utilize securitization speech to achieve certain aims, provided they have sufficient social capital (cf. Bourdieu, 1991). One such aim can be the raising of an issue on the agenda of decision makers. [….] The perlocutionary effect intended is convincing decision-makers of the urgency of a threat, so they will raise the issue on their agenda and put the suggested measures into effect. The illocutionary point of this type of securitization is directive; the point is to try to get other people to do things, to get the hearer to carry out the course of action represented by the propositional content, e.g. to do X in order to repel threat Y.

In the chapter on the environmental sector of security we learn that functional actors are ‘economic actors [industries etc.], whose activity is directly linked to the quality of the environment. These are functional actors whose behaviour affects ecosystems […]’ (Buzan et al., 1998: 79). To describe these functional actors as such, however, detracts from the fact that they are one of those causing the threat by failing to factor environmental concerns into their conduct. Thus, while the degraded (e.g. toxic) environment is threatening to people living within it, the polluting companies are not simply functional actors but the agents at the source of the threat (for short: threateners). Another set of actors identified by the CS in this sector are those on the right side of the environmental security equation, for example, non-governmental organisations who work to protect the natural environment for the benefit of all. As with the arms industry examined above, their function is to request securitization from other more powerful (including adequately strategically situated actors) who can affect emergency measures (notably states); for example, by becoming signatories to an extraordinary (in terms of enforcement mechanisms and demandingness) global climate regime.

 In the economic sector the CS identifies notably fewer functional actors. Firms, states and IGOs are all actors that affect ‘the security dynamics within the sector, most notably as the demon or saviour of less-developed national economies’ (Ibid, 103). Another way of putting this, however, is to say that firms etc. can act as both the threatener and/or the executor of securitization/securitizing actor in this sector.

 From what I have said so far, we can draw two preliminary conclusions. First, that functional actors are sector specific. Second, none of the actors that the CS identifies as functional actors fulfil a role that is not also already covered by other actors in the securitization process. The entities picked out by the CS are either: a) securitization requesters; b) securitizing actors and/or executors of securitization, or c) threateners. In short, it seems that, because functional actors are accounted for by other analytical categories, there is nothing else to say. Indeed, this may well explain why functional actors have practically played no role in securitization studies.

 The above would be a premature conclusion however, for in the little the CS does say about functional actors one comment in particular stands out. In the discussion of the environmental sector they argue that a holistic assessment of environmental security dynamics includes an assessment of: ‘Who are the veto and other functional actors in this issue area?’ (Ibid, 89) In short, here, functional actors are also those actors that contest securitization. This function comes through also in the economic sector, where states can act as gatekeepers ‘regarding how permeable it allows its borders to be to economic transactions […]’ (Ibid, 103). While this function sets functional actors apart from securitizing actors and securitization requesters[[7]](#footnote-7), there is one other entity allegedly in possession of veto power: the audience. In *SANFFA* the audience is not listed separately alongside referent objects, securitizing actors and functional actors, nor does it feature in the sector chapters. Instead the audience features only once[[8]](#footnote-8), then however decisively:

A discourse that takes the form of presenting something as an existential threat to a referent object does not by itself create securitization – this is a *securitizing move*, but the issue is securitized only if and when the *audience* accepts it as such. (Accept does not necessarily mean, in civilized, dominance-free discussion; it only means that an order always rests on coercion as well as consent. Since securitization can never only be imposed, there is some need to argue one’s case) (Ibid, 25, first emphasis in original, second added).

Over time – in securitization studies - the nature of the audience has become clearer and its role has increased. In 2003, Ole Wæver described the audience as ‘those who have to be convinced in order for the securitising move to be successful. Although one often tends to think in terms of ‘the population’ or citizenry being the audience (the ideal situation regarding ‘national security’ in a democratic society), it actually varies according to the political system and the nature of the issue’ (Wæver, 2003: 11-2). Moreover, critiques emerged that highlighted the impossibility of combining illocutionary speech with intersubjective agreement (e.g. Balzacq, 2005) which tilted the scales further in favour of the audience. Today, many securitization scholars consider audiences no longer as passive actors but rather active entities that decide securitization (Williams, 2003). We might say that for many securitization scholars audiences have become quasi-formal veto powers. Moreover, audiences are also diverse in their nature, including electorates, parliament, technocratic or scientific bodies; plus, analysts often identify multiple audiences in one and the same securitization (Salter, 2011; Kaunert and Léonard, 2011).Adam Côté, after a systematic review of the literature, summarizes the role of the audience thus: ‘the securitization audience is best defined as *the individual(s) or group(s) that has the capability to authorize the view of the issue presented by the securitizing actor and legitimize the treatment of the issue through security practice*’ (2016: 8 emphasis in original). For our purposes here, all of this means that one of the two statements below is true.

1. Functional actors do not exist because their function is already covered by one of the other categories, including audiences.
2. Some of the vetoing entities securitization scholars refer to as audiences really are functional actors.

In the remainder of this article I will argue in support of the second statement.

*Do audiences have veto power?*

Regardless of what anyone in securitization studies says audiences are and what their function is, let us consider here for a moment what the standard meaning of audience is. My trusted Oxford Compact Dictionary tells me that audiences are ‘the assembled spectators or listeners at an event’ (Soanes, 2000: 60). At the theatre, during an opera, or at a public lecture, for example, the audience are all those people who have come to see the play, hear the music, or listen to the lecture. The function of the play/music/lecture is to entertain and perhaps to educate, the function of the audience is primarily to consume. While audiences are thus mainly external to the performance, they do influence events. Audiences’ screaming particularly loudly or for a prolonged period of time might convince a performer to reappear and play another song, while a mass walkout can terminate a public lecture. More subtly, performances are planned with a view to audiences’ reactions and often altered with a view to feedback from past performances. Indeed, without an audience there would be little point in the performance. What insights can we draw from this conceptualisation for securitization? I think it makes sense to include the category of audience into securitization theory. Especially if securitization involves securitizing speech, then this speech must be aimed at someone, otherwise what would be the point of it? This also means that if we can ascertain the *addressee* of the securitizing speech act then we can know with certainty *who* the audience actually is. To do this, however, we need to figure out what securitizing actors *do* when they utter securitizing moves. We know that when they declare something/someone existentially threatened, they do this – usually ˗ by identifying a point of no return etc., yet what do they *do* in doing this? In short, what precisely is the performative element in doing this? Wæver answered this question some years ago when he argued:

What is the illocutionary act in relation to security? It is to define the particular case as one belonging to a specific category (‘security’) where the state tends to use all available means to combat it. It is partly a *threat* but also a kind of *promise* since more is staked on the particular issue. (1989:42, my emphases)

If then the securitizing speech act is either a warning and/or a promise, it seems to me that there are two possible addressees of securitizing speech: 1) agents at the identified source of the threat who are being warned off by means of the speech act; and 2) referent objects who are promised protection with the same.[[9]](#footnote-9) From this position, however, the intersubjectively shared view – among securitization scholars - that securitizing actors utter securitizing moves as a form of legitimation for subsequent action makes little sense.[[10]](#footnote-10) Instead securitizing speech acts are self-executing statements that serve to highlight the securitizing actors’ position on, or recognition of, a threat; to be valid they do not *require* acceptance by relevant audiences. However, this is *not* to say that referent objects cannot object to securitization. What it does mean is that their veto does not categorically influence whether securitization will go ahead. Consider that, in virtue of the social contract states have a duty of care towards their citizens, which might make it necessary that objections by referent objects are overridden in the name of security. During the Ebola crisis in West Africa across 2014-2015, people living in the affected areas, who were clearly the immediate referent object of securitization, were strongly opposed to securitization. Initially many were in denial regarding the disease and its lethality, and many went on to fear executors of securitization and to resent necessary practices (notably, some emergency workers were violently attacked while carrying out save burials) (Linn, 2015). In spite of this rejection of securitization, however, it continued.

 This being said, at least in liberal democracies – and concerning less harmful threats than deadly epidemics - when the number of objectors is too numerous (for example, when mass protests are held) referent objects can in principle succeed in vetoing securitization.[[11]](#footnote-11) Yet audiences rejecting the securitizing move can also have the opposite effect and (seemingly) necessitate securitization, notably, when threateners do not heed warnings to stop threatening behaviour. In summary, while referent objects and threateners can veto securitization, their view is not decisive to the securitization process. This discussion raises the question: why has the audience become so important in securitization studies? The answer is that most securitization scholars believe in the value of democratic deliberation whereby securitization is decided intersubjectively, and not by an all-powerful sovereign actor (Roe, 2012). Moreover, most are anti-securitization – largely because of the adverse consequences that securitization has for beneficiaries, innocent bystanders and even threateners. The assumption is that democratic deliberation on the need for securitization in any given case reduces its likelihood; after all, those in favour can still be convinced of the wisdom of desecuritization (Hansen, 2012). What these analysts do not see, however, is that this theorizing transforms the audience from an apolitical analytical lens into a normative category in which the hopes and views of securitization analysts are staked. We can see this clearly from the fact that securitization no longer depends on simply informing the audience (Buzan et al., 1998), but that the goal posts have shifted to actual consent, including of security measures (Roe 2008; Salter 2011). It is also evident from the fact that, in contemporary securitization studies, audiences pertain to any one group that might object to securitization and not to the actual addressees of speech acts by securitizing actors.

So, what can we take from all this? It seems to me that while securitization scholars are incorrect to label as audiences actors that are not the addressees of the securitizing speech acts, they are correct in the observation that actors *other* than audiences can and will object to securitization. That is, there are plenty of actors who will object to securitization on behalf of others. In addition, it seems to me that those actors (i.e. actors that veto securitization in which they are not referent object or threatener) are correctly – and in line with *SANFFA* - labelled as functional actors.[[12]](#footnote-12) This formulation also suddenly shows why Wæver and the CS’s claim that functional actors are simultaneously important, yet not involved in securitization is accurate. In the following section I will sketch out what kind of functional actors may exist in the different sectors of security.

*A sketch of functional actors in different security sectors*

So far, I have argued that many of the actors securitization scholars refer to as audiences are in fact functional actors. I also think, however, that securitization scholars are right in their view that securitization is often vetoed and that it is important and often justified that some securitizations are contested (cf. Floyd, 2019a). We have established that while both audiences and functional actors fulfil the function of objecting to securitization, audiences object on behalf of themselves, while functional actors object on behalf of others. Splitting functional actors away from audiences enables acuter theoretical precision. Notably, with the audience now clearly defined it is much easier to ascertain whether or not securitizing moves lead to audience acceptance. Going forward, securitization scholars interested in rhetorical threat construction could go on to examine the interplay between audiences and functional actors as well as that between securitizing actors and functional actors.

In this section, I want to try and sketch out what kind of functional actors we may find in the different sectors. Before I can get to this, three further things need to be said. First, the idea of a consenting audience in the CS original formulation of securitization means that we must assume that functional actors seek to influence the process of securitization negatively as well as positively. In other words, functional actors may act not only to veto securitization but also to endorse it (c.f. Balzacq et al., 2016).[[13]](#footnote-13)

Second, the number of functional actors is likely to be larger in liberal democracies than in autocracies. This is because in free societies the right to freedom of speech and expression translates into the right to veto/endorse securitization.[[14]](#footnote-14) By contrast, in autocracies no comparable right to veto securitization exists; functional actors veto/endorse securitization antithetical to the ideology of the regime, often at a high personal cost to themselves. Moreover, in autocracies endorsement of regime-led securitization often rests not on consent but on coercion. Given that the remainder of this article aims to provide a broad overview of possible functional actors – unless otherwise stated – it refers to free societies.

Third, it is important to notice that the right to veto does not automatically translate into success. In other words, functional actors, like audiences-as-addressees, can try and influence securitization; whether they succeed is another issue.

 In the military sector many groups of people who are not referent objects will object to securitization, simply because in this sector securitization can have the worst possible consequences: war. Pacifists, who can be made up of all sections of society including members of the general public, public intellectuals, academics and celebrities, object to all wars – including humanitarian interventions – as a matter of principle, while any one of these might also object to simply a particular war effort. Politicians might also object to war efforts, especially after recent war efforts gone wrong. Notably, in Britain the intervention in Libya influenced parliamentarians’ decision not to support military intervention in Syria after the chemical attacks in the summer of 2013. Defence industries and enforcers of force in turn are likely to endorse securitization, stressing their readiness as well as the long-term strategic importance of acting on a threat with kinetic force.

The astute reader will notice that defence industries were mentioned above when I suggested that their function in *SANFFA* is one of securitization requester (i.e. an actor who requests securitization from a more enabled actor (cf. Floyd, 2018)). This raises the question, what is the relationship between securitization requesters and functional actors; specifically, do we really need both of these analytical categories? My answer is affirmative. While both types of actor seek to influence securitization without being involved in it (Wæver, 2003:12), they do so at different times in the process. Securitizing requesters seek to influence the initiation of securitization, while functional actors are active *during* securitization. While it is possible, and likely, that requesters of securitization (e.g. the defence industry) double-up as functional actors down the line, not all functional actors will have requested securitization. Most obviously those functional actors that veto securitization won’t have requested it. In short, while there is overlap between the kind of entities that will request securitization from more powerful/ appropriate entities and those that - later on in the process- endorse it (the media comes to mind here), these actors fulfil a different function in the wider securitization process and ought to be recognised as such (see Table 1 below).

 In the environmental sector securitization looks quite different depending on who is to be made secure. In the Global North environmentalists in academia, Think Tanks and NGOs with a genuine interest in the natural environment (who are also usually aware of its manifold interconnections with human well-being), have a long history in vetoing securitization of the environment by the military. After all, securitization of the environment by the military may simply take the form of protecting defence departments from adhering to increasingly strict environmental legislation (Barnett, 2001). Yet those very same environmentalists, but also powerful individuals (the former US Vice President Al Gore or the Hollywood actor Leonardo DiCaprio come to mind) endorse securitization of the environment by governments in the Global South provided that it means making the natural environment safe for human beings. The latter kind of securitization has its objectors too. The ‘skeptical environmentalist’ and academic Bjorn Lomborg (2013), for example, argues that the plight of the poor is such that developing states ought to prioritise economic development, even at the expense of climate security.

 One question that arises in the context of vetoing is whether functional actors are not simply desecuritizing actors and thus already accounted for in the wider theory of securitization. Vuori has suggested, for example, that acts of resistance by referent objects are desecuritizing moves (Vuori, 2011a: 191). I do not deny the veracity of this claim, however, if *successful securitization* necessarily involves policy change as opposed to ‘merely’ securitizing language, it follows that securitization cannot be undone with words alone. This also means that desecuritization is likely to be subject to the same power relations as securitization and that the only meaningful actors that can undo securitization, are actors sufficiently powerful to undo ‘the institutional facts’ (Vuori, 2011b: 116). In other words, while anyone can request desecuritization not everyone is also a desecuritizing actor.[[15]](#footnote-15) Actors who request desecuritization *during* securitization are functional actors; after all this is one way of objecting to securitization. Yet more needs to be said here. Bourbeau and Vuori (2015) have argued that desecuritizing moves can pre-empt securitization altogether, i.e. before it occurs. This observation is important in the context of this article as it suggests that the conceptual space occupied by securitization requesters must be shared with securitization pre-emptors. And just as the former can double up as functional actors during securitization so can the latter. For greater clarity I depict distinct actors and their functions in Table 1 below.

*Table 1 Schema of functionally distinct actors in securitization processes[[16]](#footnote-16)*

|  |  |
| --- | --- |
| **Actor**  | **Function**  |
| **Securitization requester\***  | Seeks to convince more powerful/strategically positioned actors of the need to securitize. |
| **Securitization pre-emptor\***  | Seeks to pre-empt securitization by discouraging would-be securitizing actors from initiating securitization.  |
| **Securitizing actor**  | Utters a securitizing move and enacts emergency measures, either by changing its own behaviour, or by instructing executors of securitization, they are in a position of power over (i.e. government over police or military) to address the threat.  |
| **Audiences**  | The referent object and/or the threatener who are the addressees of the securitizing speech act. Audiences veto or endorse securitization on behalf of themselves. |
| **Functional actors\*** | Actors that veto or endorse securitization on behalf of others. |
| **Executors of securitization**  | In states and collectives of states, security professionals (police, border guards etc.) charged with the execution of securitization. In securitizations by non-state actors likely to be same as the securitizing actor. |
| **Desecuritizing actor**  | An actor able to undo the institutional facts of securitization. |

In the economic sector securitization might take the form of state protectionism. Such measures will be vetoed worldwide by ideological neo-liberals believing in the good of market forces. The consensus across much of the British news-media (The Guardian 2018; The Economist, 2018[[17]](#footnote-17)), for example, seems to be that US President Donald Trump’s national security policy of imposing economic sanctions on, for example, EU and Chinese steel, is harmful. Conversely, such securitization will be endorsed by individuals who believe that sectors of national economies need to be secured. The academic and realist security studies scholar Randall Schweller (2018), for example, endorses this policy in an article for *Foreign Affairs*.

In *SANFFA* the CS does not – by label - identify functional actors in the societal or the political sectors of security, yet here too different groups and individuals will endorse/veto securitization. Recall that the referent object of societal securitization is identity (Buzan et al., 1998:119). Securitization in this sector can take the form of minority groups securing their identity against (perceived) threats, while dominant identities secure themselves against dilution, most prominently by migration. Globally, the latter has endorsers and objectors. In the US 2016 presentational election, Trump campaigned (and won) at least in part on a platform of securitization against migration from Mexico; his proposals (in-)famously include the building of a wall to stem the flow of illegal migration- a move that has received much criticism by non-referent object’ actors around the world. In 2016, for example, then German Foreign Minister Frank-Walter Steinmeier referred to Trump’s wall plans as ‘a bad idea’ and as a ‘politics of fear’ (in Oreskes, 2016).

The political sector is about ‘threats to state sovereignty’ (Buzan et al., 1998, 141), given that such threats can take the form of a move to larger or bigger entities (e.g. for instance into the EU), this sector is hard to disentangle from the societal sector (Ibid, 141 -145). One threat to sovereign states in this sector is the secession of territories. Globally the securitization of secession in other countries has endorsers and objectors; many will be driven by their own aspirations for secession, or else by fears of secession in the respective domestic context. However, objectors are also fuelled by *how* the issue is securitized. The violent crack-down by Spanish police at the Catalan referendum in the autumn of 2017, was widely vetoed by a large number of high-profile European politicians, including Angela Merkel, Jeremy Corbyn and Charles Michel (Rolandi, 2017).

This concludes my short sketch of functional actors in the five established sectors of security as advanced by the CS. Many more actors abound in each sector, and the aim here is not to provide a full list but rather to make some general observations about functional actors across the different sectors. My observations, in no particular order, can be summarized as follows:

1. Functional actors are individuals and groups that seek to influence the trajectory of securitization positively or negatively.
2. Functional actors veto/endorse securitizations in which they are not the referent object of securitization or the threatener. In short they contest/sanction securitization on behalf of others. As such these actors are functionally distinct from audiences-as-addressees of securitizing speech acts.
3. Functional actors do not seek to initiate or pre-empt securitization either by themselves or by someone else; instead they comment on existing securitization processes. As such these actors are functionally distinct from securitization requesters and securitization pre-emptors.
4. Some functional actors are sector specific, but many functional actors are present in all sectors.
5. The number of functional actors increases relative to how free a given society is.[[18]](#footnote-18)

*Different categories of functional actors*

So far, I have argued that many of the actors identified by securitization scholars as audiences really are functional actors, that is: actors who seek to positively or negatively influence the trajectory of securitization - in which they are not the referent object or the threatener - while it is already underway. We have seen that everyone from ordinary people, celebrities, foreign politicians, parliament, to knowledge-based experts (including academics) and the media can be a functional actor in securitization processes. In order to offer a more refined theoretical contribution still, this final section attempts to distil the disparate functional actors into the wider purposes or tasks they fulfil. To this end I aim to focus on the social/political roles distinct groups of functional actors fulfil by drawing out their powers and how they operate (their methods). By invoking some further contemporary empirical examples than those discussed already[[19]](#footnote-19), I suggest in this section that functional actors can be categorised into: gatekeeper, (de)legitimater, epistemic community, rebel and champion.

 Most of the functional actors invoked in the previous section I am aware of from the news media. In other words, the media is a vehicle for other functional actors to be known and heard. Conversely, however, the media itself is easily one of the most powerful functional actors in securitization processes. Research suggests that newspapers and television channels have the power to shape public opinion regarding securitization (cf. Vultee, 2011). Moreover, given that media outlets actively control what becomes public knowledge, by deciding what is prioritised and most importantly how information is relayed, the media itself, fulfils the role of gatekeeper in securitization processes. This gatekeeping function can be used to endorse securitization (for example, Fox News reported mainly positively on President Trump’s securitization of borders in the face of the 2018 migrant caravan that saw thousands of people from Latin America arrive at the US border with Mexico), including by arguing the case for its necessity (for example, by showing, or at least often restating Trump’s claim that criminals are among the migrants), and also by defending securitization as justified and legal (e.g. by making reference to relevant legislation or simply by referring to the rights of sovereign states).

 When opposed to any given securitization, however, gatekeepers can also seek emancipation away from existing securitization. The left-leaning UK newspaper *The Guardian*, for example, seeks emancipation away from the securitization of migration and of borders. To this end it reported on the migrant caravan by focusing on the plight of individuals. From this basis it went on to advance the view that US President Trump is wrong and unjustified in constructing these vulnerable people as security threats (e.g. Mealer, 2018).

In addition to traditional forms of media, social media also works as a gatekeeper. Although, for example, Facebook does not produce news content, it polices its content (Milan, 2015: 3). Moreover, it uses algorithms that select and channel information in line with values held by their ‘human designers’ (Koene, 2016). During the 2016 American election, for example, evidence suggests that ‘Facebook’s News Feed algorithm suppressed users’ access to credible journalism in the run-up to Trump’s election’ (Grygiel, 2019).

In liberal democracies parliament is another powerful functional actor.[[20]](#footnote-20) It acts to (de-)legitimise securitizations that are put to vote or at least debated in that forum.[[21]](#footnote-21) In short, unlike other functional actors, this actor has – if consulted - formal power of the veto. In order to explore the role of functional actors as (de-)legitimater further it is first of all necessary to examine the meaning of legitimacy. This is necessary because legitimacy and justice are often confused, including by political scientists. Some, as Fabienne Peter (2017) points out wrongly claim that ‘only a just state is morally acceptable and legitimate’. The problem is that this obfuscates that legitimacy is about ‘the justification of coercive power’ which is bound up with the right to rule (political authority) (Ibid.). Legitimate political authority is a function of how political authority is awarded. It is widely accepted that only democratic governments are legitimate. This also means that when democratically elected governments make unpopular decisions concerning the use of force (we may think of the 2003 Iraq war with the British public, where the Iraqi people were the referent object) then this does not render the decision, or indeed the government, illegitimate. Democratically elected governments become illegitimate only when they erode the basis of their legitimate political authority; for example, by banning free and fair elections, or by dismantling the separation of powers (Turkey’s current President Recep Tayyip Erdoğan comes to mind here). While, as just explained, legitimacy is about the justification of coercive authority and consequently securitizations that bypass parliament (for example, by not putting an issue to the vote) not automatically illegitimate, it is also the case that democracies that systematically stifle debate on securitizations have potentially eroded the basis of their political authority (cf. Balzacq, 2015:7).

Be that as it may, when it comes to legitimacy and securitization *perception* matters more than the accurate use of the terminology. In liberal democracies many people consider securitizations that do not have parliament’s consent illegitimate, erroneously equating legitimacy with justice (i.e. rightness). Important for our purposes here is that it does not matter whether these people’s view is correct and securitization is indeed illegitimate, because for elected leaders it matters whether they are *perceived* to be legitimate. In other words, because it is generally accepted that parliament (or in any case, the legislature) (de)-legitimises securitization, parliament acts as (de-)legitimater, regardless of whether this is so. Indeed, there is more. The dominant, yet skewed, view of legitimacy as justice also means that other actors can fulfil the role of (de-)legitimater. In liberal democracies at least there is widespread consensus that securitization is legitimate only when those that are most affected by securitization have consented to securitization or to being saved[[22]](#footnote-22), including the means employed.Concretely this means that groups of people disproportionally affected by securitization can operate as (de-)legitimaters of securitization.[[23]](#footnote-23) As a case in point, consider that when the Italian Five Star movement overturned the mandatory vaccination programme the preceding government had introduced for Measles, Mumps and Rubella in order to secure unvaccinated children and people with poor immune systems in 2018, it stressed the right of parents (who would have to live with the potential health implications from vaccinating their child) to choose whether or not to vaccinate (Winfield and Murru, 2018). In short, functional actors disproportionally affected by securitization are especially able to influence the general public’s view of whether securitization is necessary and justified. And the general public’s view in turn often influences what politicians dependent on public approval will do.

 Finally, from the above discussion we know that foreign politicians (including ministers, state leaders but also opposition leaders) veto/ endorse securitization processes elsewhere as functional actors. Given that they do so not as individuals but in their official capacity as legitimate political authorities, it seems to me that these actors too belong into the wider category of (de-)legitimater. Thus, they provide, or withhold, external legitimation.

 Academics but also Think Tanks, NGOs and relevant knowledge-based industry/businesses variously veto or endorse securitization. As knowledge-based experts they act as epistemic communities, who endorse or veto securitization not in line with ideological leanings (unlike many media outlets), but rather on the basis of reasoned argument. This said, we must acknowledge here that this is not always true. Defence experts, for example, may be lobbied by the defence industry passing off vested interests as objective knowledge. Nevertheless, this type of functional actor is especially important when it comes to science-based securitizations (notably, of the environment, anti-microbial resistance or infectious disease). With regards to global climate change, for example, the Intergovernmental Panel on Climate Change (IPCC) has not only established the link between man-made carbon emissions and climate change, instead the 5th Assessment report features a chapter on Human Security. This chapter is authored by academics and draws on existing peer-review (Gleditsch & Nordås: 2014). Its concluding paragraph does endorse securitization of climate change by the International Organization for Migration and the U.S. National Intelligence Council, at least in so far as this pertains to furthering human security in developing countries (Adger, et al., 2014: 777). Epistemic communities thus fulfil the purpose of authorizing or vetoing securitization through reason and facts on the basis of (objective) knowledge.[[24]](#footnote-24)

 Moving on, we already know that in free societies, ordinary individuals can - as audiences - object to securitization that concerns them, hence there should be no reason why they cannot also object to securitizations that concern others. Many UK citizens, for example, have vocally objected to President Trump’s securitization of borders, including on social media platforms and in protest movements. Indeed, from Maria Barkardjieva’s work on social media we can infer that social media increases the number of ordinary individuals seeking to influence securitization. Thus whereas traditional forms of activism take time, many people do not have, social media activism has infused ordinary people with ‘the sense of agency and efficiency – ‘I/we can do something’ (Barkardjieva, 2015: 985). Moreover, far from being a purely individualistic exercise, social media has facilitated the emergence of new social movements, ‘collective we’s [making] claims for recognition in the political process’ (Ibid, 986).

While mass protests against President Trump and his security policies during Trump’s state visit to the UK in 2018 failed to alter his securitization of borders; in principle at least, protests (especially violent ones) can help to keep the powers that be in check. In short, ordinary people can assume the role of rebel.

Individuals with large amounts of symbolic capital can also act to successfully champion securitization. Former Vice President of the US Al Gore comes to mind here regarding his widely publicized efforts to first request and latter endorse the securitization of climate change to safeguard future generations. This example also shows the difference between on the one hand epistemic communities and champions on the other, thus the latter may make use of scientific facts to make their case, but they are not engaged in the production of scientific knowledge. That is, they are not an authority on e.g. climate change, but because of their celebrity status they can have sufficient symbolic capital.

This brings me to the end of the different categories of functional actor. Much like the CS’s sectors of security, these categories are not absolute, nor is this list meant to be complete. Moreover, it is possible for (groups of) people to occupy more than one category of functional actor at the same time. Academics in security studies operate as epistemic community, when they endorse desecuritization (as do securitization scholars), or – as do the Welsh School and human security scholars – endorse the securitization of the individual from a large variety of threats, many of which are non-military in nature. Yet academics are also gatekeepers. Academic journals are subject to peer review and security studies journals control the knowledge published on their pages. For instance, even without evidence to support my point I am certain that readers will agree that no reputable journal in security studies would publish an article that objects to the securitization of climate change on the grounds that climate change is entirely natural. In any case, future research on functional actors must consider to what extent public opinion influences the vetoing of securitization by distinct functional actors, while it must also consider the conditions under which functional actors are most likely to succeed.

*Conclusion*

This article started from the observation that nothing has been written about functional actors in an otherwise burgeoning literature on securitization theory. A closer examination, however, revealed this to be untrue; hitherto functional actors have been referred to as audiences. Notably, in securitization studies audiences are not merely the addressees of securitizing speech acts, but a wide array of actors able to authorise securitization. Given that securitization scholars are mostly sceptical of securitization, audiences are normatively important. Ultimately, evidence of audiences’ dissent problematizes securitization.

 By denying that audiences are categorically decisive for the success of securitization as well as by limiting audiences to addressees of securitizing speech acts this article goes against accepted wisdom. As such I anticipate that many securitization scholars will object to the arguments advanced in this article. I want to end my analysis by explaining why they should embrace the view offered here instead. My first reason is that academics must realise that in cases where they are (part of) the referent object of securitization (for example, when the referent is the electorate), they themselves are audiences and as such can veto securitization on behalf of themselves.[[25]](#footnote-25) Second, in all other instances of securitization, securitization scholars can be, and already are, functional actors. In other words, securitization scholars can enter securitization processes in which they are not referent object or threatener in a critical capacity directly, without relying on ‘audiences’. As academics with access to privileged platforms (including by giving public lectures, appearing on the radio, political TV shows, by writing opinion pieces in newspapers and by giving evidence to government select committees) they are already better positioned to veto or endorse securitization on behalf of others than an average member of the general public, notably – and especially when like-minded academics come together - they serve as epistemic communities.

To conclude, it is time that securitization scholars stopped staking their normative hopes on audiences and to mistake functional actors for audiences. Instead scholars ought to realize that they too are functional actors with – in liberal democracies - the right to veto or endorse securitization on behalf of others, and hence – at least ˗ the potential power to change its occurrence and form.

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1. Some scholars explicitly identify functional actors as part of their empirical research. Vuori 2011 focuses on the media in this capacity, others (Sjöstedt, 2011, Vultee, 2011) clearly mean functional actors when they write about the media, but– to my knowledge - no theoretical/conceptual work that probes this category exists. [↑](#footnote-ref-1)
2. Person or persons at the source of the threat. Traditionally this might have been called aggressor, however, not all threats are agent-intended. Climate change for example is agent-caused but not intended (cf. Floyd, 2019b: 394). [↑](#footnote-ref-2)
3. In the UK the phrase ‘on behalf of’ encompasses both doing something for another person’s benefit or interest, as well as acting as a representative of another. In the US the former is often referred to as ‘*in* behalf of’ and only the latter as ‘on behalf of’ (cf. Soanes, 2000: 87). I have decided to stick with the UK’s ‘on behalf of’ here. I use it to mean that functional actors object or endorse securitization for the sake of other people/groups/entities (notably, referent objects and putative threateners). Moreover, while it is possible that functional actors object on behalf of others with the intention to primarily help or benefit themselves, the objection will almost certainly need to draw on the security equation in question (e.g. by stating that x is not really threatened).  [↑](#footnote-ref-3)
4. A justification is provided for this imbalance on page 42 of SANFFA. Moreover, in their analysis of the societal sector the CS writes ‘[…] the media is an important actor that contributes significantly to the definition of situations. Who are the parties to conflicts; what are the conflicts about? With its attraction to simple stories, the media will often tell the news in terms of “us” versus “them” or, in the case of foreign news, of “Serbs” and “Muslims”. When ethnic or religious categories are established as the interpretative instruments for understanding a situation, the media has often played a role in this’ (Buzan et al., 1998: 124). This raises the following question: is – for the CS - the media a functional or securitizing actor? In my view this statement leaves it unclear. In support of the fact that the media is a functional actor here is the fact that it ‘significantly influences decisions in the field of security’ (cf. Buzan et al., 1998: 36); against and thus for the status of securitizing actor (or – in some cases - securitizing requester), stand the fact that by invoking “us” versus “them” dynamics the media ‘calls for security on behalf of the referent’ (ibid, 36). [↑](#footnote-ref-4)
5. Here in the widest sense, thus a strategy of aggression also is aimed at providing security, if by expansion and domination. [↑](#footnote-ref-5)
6. The CS argue: ‘Securitization is not fulfilled only by the breaking of rules (which can take many forms) nor solely by existential threats (which can lead to nothing) but by cases of existential threats that legitimize the breaking of rules. *Still,* we have a problem of size and significance. Many actions can take this form on a small scale […] a *successful* securitization thus has three components (or steps): existential threats, emergency action, and effects on interunit relations by breaking free of rules. (Buzan et al., 1998: 25-26, emphases added). Extrapolating from this we can say that securitization is *successful* when countermeasures are adopted, while securitization *exists* when an issue/object/entity has been framed as a security issue by a securitizing actor (cf. Floyd: 2016). For many securitization scholars, the latter includes that the threat narrative has been ‘accepted’ by a relevant audience. [↑](#footnote-ref-6)
7. As I argue below threateners are one type of audience of securitizing moves, as such they can object to securitization. [↑](#footnote-ref-7)
8. Not literally once, as this point is restated a couple of times throughout. [↑](#footnote-ref-8)
9. It is not necessary that both audiences are present in all securitizations. In securitizations of natural disasters, for example, there is no threatener. [↑](#footnote-ref-9)
10. I return to the issue of legitimation later on. [↑](#footnote-ref-10)
11. In the same way as audiences can terminate, for instance, public lectures by walking-out. [↑](#footnote-ref-11)
12. To be clear, other issues influence securitization. For example, regardless of whether they speak their view on securitization an arms industry important for exports and balance of payments can become an important *factor* in securitization. This raises the question as to whether functional actors need to be active in the way I propose. I think that they do, because while the arms industry can gain the status of actor, unless they *vocally* endorse or veto securitization they do not realize that agency. In plain English, factors are not (functional) actors. [↑](#footnote-ref-12)
13. This is evident also from the categories of lead and support actors in the environmental sector (cf. above and Buzan et al., 1998: 78) [↑](#footnote-ref-13)
14. Alas, in liberal democracies the right to free speech is in danger of becoming a right only on paper. Especially where the securitization of identity (by numerous disparate groups) is concerned, many sections of society (including public intellectuals, academics, but also public sector employees) are no longer fully able to voice objections (cf. Fukuyama, 2018; Haidt, 2017). [↑](#footnote-ref-14)
15. Note that some scholars deny the possibility of requesting desecuritization as any linkage between security and an issue recreates securitization (Behnke, 1999). More recently a similar point has been advanced by Ido Oren and Ty Solomon (2015) who argue that audiences have accepted securitizing moves when they chant slogans/logics contained within such moves, regardless of whether they believe in them. For more detail on who can and indeed should desecuritize see Floyd, 2019a, 184-189. [↑](#footnote-ref-15)
16. Actors marked with a star are vital to a fuller understanding of securitisation processes; however, not all of these actors will necessarily feature in each and every securitization. [↑](#footnote-ref-16)
17. Please note *The Independent, The Financial Times, The Times* and many others feature almost exclusively stories condemning Trump’s decision. I do not list them here because they are not editorials. But see for example: <https://www.bbc.co.uk/news/business-44324565> [↑](#footnote-ref-17)
18. Note here that the number of factors influencing securitization in more or less free societies may well be no different (cf. FN12 above). [↑](#footnote-ref-18)
19. This also enables me to give yet further examples of functional actors within a limited word count. [↑](#footnote-ref-19)
20. Given that parliament - in matters of national security – is not the referent object of securitization, I hold that parliament is not the audience but instead a functional actor in the same securitization process. However, considering that parliamentarians represent the general public – who may well be the referent object of securitization – I acknowledge that the border between the two actors can be fluid (here, parallels with the media abound). Importantly, however, and as the discussion shows, the categorisation as either audience or functional actor does not alter the fact that they can object to securitization processes. [↑](#footnote-ref-20)
21. It is important here not to overstate this power. Research has shown that in times of emergency, all branches of government tend to pull together and everyone – at the point of the emergence of the threat - is already on the side of securitization (see Evangelista, 2008; de Londras, 2011; Neal, 2013; Jarvis and Legrad, 2016). In other words, few will have to be convinced of the need for securitization. Up for debate (especially since the 2003 Iraq war), is rather the nature of the precise security measures to be used (note that this further explains the increasingly popular view (in securitization studies) that audiences have to consent to the nature of the emergency measures). [↑](#footnote-ref-21)
22. Hence also the widespread view of that the audience acts to (de-)legitimatize securitization (cf. above). This comes out strongly in debates on humanitarian intervention, thus intervention is generally considered legitimate only when those to be rescued have consented to being rescued by means of war (cf. Pattison, 2010, see also Parry (2017) on the role of consent in other-defence). [↑](#footnote-ref-22)
23. This includes audiences as referent objects of securitization, who as argued above can succeed in vetoing securitization.  [↑](#footnote-ref-23)
24. Of course this knowledge is not always objective (cf. Villumsen Berling, 2011), as with (de-)legitimaters who – as argued above - bar one exception are not technically in the position to (de-)legitimize, what matters is that epistemic communities are perceived to bring scientific and hence objective knowledge to the table. [↑](#footnote-ref-24)
25. This also applies in cases where they are threateners. [↑](#footnote-ref-25)