

# Per-partnership transmission probabilities for Chlamydia trachomatis infection

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1 **Per-partnership transmission probabilities for *Chlamydia trachomatis***  
2 **infection: Evidence synthesis of population-based survey data**

3

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5

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23

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25 **Abstract**

26 **Background:** Chlamydia is the most commonly-diagnosed sexually-transmitted infection  
27 worldwide. Mathematical models used to plan and assess control measures rely on accurate  
28 estimates of chlamydia's natural history, including the probability of transmission within a  
29 partnership. Several methods for estimating transmission probability have been proposed,  
30 but all have limitations.

31 **Methods:** We have developed a new model for estimating per-partnership chlamydia  
32 transmission probabilities from infected to uninfected individuals, using data from  
33 population-based surveys. We used data on sexual behavior and prevalent chlamydia  
34 infection from the second UK National Study of Sexual Attitudes and Lifestyles (Natsal-2) and  
35 the US National Health and Nutrition Examination Surveys 2009-2014 (NHANES) for Bayesian  
36 inference of average transmission probabilities, across all new heterosexual partnerships  
37 reported. Posterior distributions were estimated by Markov chain Monte Carlo sampling  
38 using the Stan software.

39 **Results:** Posterior median male-to-female transmission probabilities per partnership were  
40 32.1% (95% credible interval [CrI] 18.4-55.9%) (Natsal-2) and 34.9% (95%CrI 22.6-54.9%)  
41 (NHANES). Female-to-male transmission probabilities were 21.4% (95%CrI 5.1-67.0%)  
42 (Natsal-2) and 4.6% (95%CrI 1.0-13.1%) (NHANES). Posterior predictive checks indicated a  
43 well-specified model, although there was some discrepancy between reported and predicted  
44 numbers of partners, especially in women.

45 **Conclusions:** The model provides statistically rigorous estimates of per-partnership  
46 transmission probability, with associated uncertainty, which is crucial for modelling and  
47 understanding chlamydia epidemiology and control. Our estimates incorporate data from  
48 several sources including population-based surveys and use information contained in the

49 correlation between number of partners and the probability of chlamydia infection. The  
50 evidence synthesis approach means that it is easy to include further data as it becomes  
51 available.

52

53 **Key words:** chlamydia, transmission, mathematical model, Bayesian statistics, evidence  
54 synthesis, population-based survey

55

56 **Key messages:**

- 57 • Estimates for parameters like transmission probability are important for building  
58 models of sexually-transmitted diseases that can be used to understand their  
59 epidemiology and plan and assess control interventions.
- 60 • Average per-partnership (rather than per-sex-act) transmission probability is a  
61 particularly useful parameter because there is more and better data on numbers of  
62 partnerships than numbers of sex acts.
- 63 • We have developed a new method for estimating per-partnership chlamydia  
64 transmission probability, using data from population-level studies. We used a  
65 Bayesian approach to provide a probability distribution representing the estimate  
66 and associated uncertainty.
- 67 • We applied our method to the Second National Study of Sexual Attitudes and  
68 Lifestyles (Natsal-2) from the UK and National Health and Nutrition Examination  
69 Surveys (NHANES) from the US.

70 **Introduction**

71 Chlamydia is the most commonly-diagnosed sexually transmitted infection worldwide. In  
72 2018 there were 1,382 and 3,694 chlamydia diagnoses per 100,000 15-24-year-old US men  
73 and women, respectively,(1) and 1,342 and 2,637 in England.(2) There is marked geographic  
74 variation in chlamydia burden,(3) and the effectiveness of widespread testing and/or  
75 screening in chlamydia control remains uncertain,(4,5) but the need for cost-effective  
76 control measures becomes ever-clearer as evidence for the link to pelvic inflammatory  
77 disease (PID) is strengthened(6) yet resources for sexual health services are reduced.

78

79 Mathematical models are important tools for assessing and predicting the effectiveness and  
80 cost-effectiveness of chlamydia control policies. Numerous models have been developed for  
81 these purposes(7) but a comparison of three individual-based models found they produced  
82 very different results.(8) A key parameter in any transmission-dynamic model is the  
83 transmission probability per infectious contact, where a “contact” may be defined either as  
84 a partnership or as a sex act. Transmission probability has to be estimated indirectly, as it  
85 would be unethical to conduct a study measuring it directly, and is subject to significant  
86 uncertainty. Modeling studies have used values ranging from 0.0375 to 0.154 per sex act;  
87 sometimes assuming equal male-to-female and female-to-male transmission rates, and  
88 sometimes allowing for a higher risk in the male-to-female direction.(7)

89

90 Transmission probability estimates can be based on cross-sectional concordance studies of  
91 sexual partnerships. For example, Katz used data from a US clinic to estimate the proportion  
92 of heterosexual couples forming in which the man only, the woman only, neither partner, or  
93 both are infected.(9) Using the observed proportion of couples in each state, he estimated

94 the male-to-female and female-to-male transmission probabilities over the time between  
95 partnership formation and observation.(9) However, concordance was observed before the  
96 partnership ended, and so the estimated transmission probabilities represented only  
97 transmission before observation – not the full per-partnership probability. Furthermore,  
98 these estimates do not allow for recovery and/or re-infection within a partnership. Althaus  
99 and colleagues proposed an alternative model based on differential equations which  
100 explicitly incorporated partnership formation and breakage, occurring with constant  
101 hazards.(10) The analysis is informative but the estimates it provides depend on values  
102 assumed for other parameters in the model, some of which are not well-defined; in  
103 particular, the duration of infection and the number of partnerships in the last six months.  
104 Finally, transmission probabilities can be estimated by calibrating a transmission model to  
105 population prevalence data.(11) With this approach, the values estimated depend on the  
106 data to which the model is calibrated, the values of other parameters, and the structural  
107 assumptions in the model.

108

109 In this paper we develop a different approach. We calculate average per-partnership  
110 chlamydia transmission probabilities from an infected man to an uninfected woman and  
111 from an infected woman to an uninfected man, using data from two population-based  
112 surveys: the 1999-2001 UK National Survey of Sexual Attitudes and Lifestyles (Natsal-2)(12)  
113 and the 2009-2014 US National Health and Nutrition Examination Surveys (NHANES)(13),  
114 synthesized with information on the clearance rate of untreated chlamydia infections. The  
115 method avoids many of the assumptions that are required for estimation within a dynamic  
116 model, and its reliance on other unknown quantities is minimal and well-described.

117 Furthermore, because estimates are based on data from population-based surveys, the

118 results are directly applicable to the general population. The methods could also be applied  
119 to other sexually transmitted infections with a susceptible-infected-susceptible (SIS) model  
120 of natural history.

121

## 122 **Methods**

123 The aim of the study was to provide a mathematical and statistical model that can be used  
124 to infer per-partnership transmission probability from survey data. We present an overview  
125 of our methods; further details are in the Supplementary Information.

126

### 127 **Mathematical model**

128 We used an SIS model of infection and recovery (Figure 1). Our model considers  
129 asymptomatic infections; symptomatic infections prompt treatment seeking and are  
130 therefore short-lived and unlikely to cause onward infection or to be detected in  
131 population-based surveys.

132

133 Let each individual  $j$ , of sex  $x$ , experience a force of infection  $F_j$ . This force of infection  
134 (accounting for heterosexual transmission only) is the rate at which an individual makes  
135 contacts with infected members of the opposite sex,  $\chi_{xj}$ , multiplied by the per-contact  
136 transmission probability,  $\rho_{x' \rightarrow x}$ :

137

$$138 \quad F_j = \chi_{xj} \rho_{x' \rightarrow x}.$$

139

140 ( $x'$  denotes the opposite sex to  $x$ .)

141

142 Individuals' recovery rate is  $\lambda_x$ . The probability that individual  $j$  is infected at a given  
143 moment is  $\pi_j$ . At steady state, the number of new infections per unit time ( $F_j(1 - \pi_j)$ )  
144 equals the number of recoveries ( $\lambda_x \pi_j$ ):

145

$$146 \quad F_j(1 - \pi_j) = \chi_{xj} \rho_{x' \rightarrow x} (1 - \pi_j) = \lambda_x \pi_j$$

147

148 Hence,

$$149 \quad \rho_{x' \rightarrow x} = \frac{\pi_j}{1 - \pi_j} \times \frac{\lambda_x}{\chi_{xj}}$$

150

## 151 **Data**

152 We inferred parameter values in the model by synthesizing data from several sources.

153

### 154 ***Clearance of untreated chlamydia infection***

155 Data informing the clearance rate of untreated infections came from studies in the  
156 literature synthesized in previous analyses.(14,15) Further details are provided in the  
157 original papers.(14,15)

158

### 159 ***Numbers of partners***

160 We used data on sexual behaviour and chlamydia infection from two population-based  
161 studies: Natsal-2,(16) and the three NHANES conducted biennially between 2009 and  
162 2014(17). We combined data from three NHANES to achieve a larger sample size than would  
163 be possible using only one.(17)\*

164



165 In Natsal-2, participants reported on their number of new opposite-sex partners in the last  
166 year, and this information was used to inform a probability distribution for the number of  
167 new partners in the last year.

168

169 In NHANES, participants were asked their number of partners, and whether they had had  
170 any new partners, in the last 12 months. We used these two questions to provide a proxy  
171 for the number of new partners in the last year. Where respondents reported no new  
172 partners in the last year, we took the number of new partners to be zero; where they  
173 reported one partner and a new partner, we took the number of new partners to be one;  
174 otherwise, we assumed that all but one of their total reported partners was new. This  
175 approach is similar to the use elsewhere of “shifted negative binomial” distributions for  
176 modelling partner numbers.(18)

177

### 178 ***Infection status***

179 The publicly-available data from both Natsal-2 and NHANES also includes chlamydia  
180 infection status, diagnosed using nucleic acid amplification tests (NAATs) on urine samples.  
181 Natsal-2 participants were eligible for a urine sample if they were aged 18-44 years and had  
182 ever had sex, and a randomly-selected half of those eligible were invited to provide samples.  
183 All NHANES participants aged 14-39 years were invited to provide a sample for testing, but  
184 the publicly-available data excludes 14-17-year-olds.

185

186 Numbers of partnerships reported by susceptible and infected men and women in Natsal-2  
187 and NHANES are provided in Supplementary Tables S1 and S2.

188

189           **Statistical model**

190   We conducted a Bayesian evidence synthesis, using data from the sources described to  
191   construct a likelihood. Survey weights were incorporated by multiplying the relevant  
192   component of the log-likelihood by the weight. The likelihood was combined with  
193   appropriate priors to provide a joint posterior for the model parameters.

194

195           ***Clearance of untreated infections***

196   The statistical model used for the clearance rates of untreated chlamydia infection is  
197   described elsewhere.(14) The model involves two courses for infection: fast- or slow-  
198   clearing. A proportion  $p$  of incident infections clear fast, and the remainder,  $1 - p$ , clear  
199   slowly. Some of the data on chlamydia clearance came from studies using culture diagnosis  
200   methods, and the model accounts for this using a sensitivity parameter for culture diagnosis  
201   in people with a previous positive culture for that infection,  $\psi$ . In this analysis we assumed  
202   that only the slow-clearing infections last long enough to be detected in population-based  
203   studies. The clearance rate (denoted  $\lambda_x$  above) is therefore equal to the slow clearance rate  
204   in the clearance model, and the transmission probability we estimated is the probability  
205   that an infection is transmitted and then follows the slow-clearing course.

206

207           ***Partnership dynamics***

208   We used negative binomial distributions to model the estimated numbers of new partners  
209   reported in the last year by men and women. A negative binomial distribution with size  $\alpha$   
210   and mean  $\mu$  can arise as a mixture of Poisson distributions, where the mixing distribution for  
211   the Poisson rate is a Gamma distribution with shape  $\alpha$  and rate  $\frac{\mu}{\alpha}$ .(19) In our model, the  
212   shape and rate depend on the sex of the individual, but are constrained so that the

213 expected number of partnerships per man must equal the expected number of partnerships  
214 per woman.

215

### 216 ***Prevalence***

217 We used our model to calculate the probability  $\pi_j$  of each individual  $j$  being infected, given  
218 the number of partners they reported. The infection status of  $j$  has a Bernoulli distribution  
219 with parameter  $\pi_j$ :

220

$$221 \quad P(\delta_j|\pi_j) = P_{Bernoulli}(\delta_j|\pi_j) = \begin{cases} \pi_j & \delta_j = 1 \\ 1 - \pi_j & \delta_j = 0 \end{cases}$$

222 where

$$223 \quad \delta_j = \begin{cases} 1 & \text{if } j \text{ is infected} \\ 0 & \text{if } j \text{ is uninfected} \end{cases}$$

224

### 225 ***Full likelihood***

226 The log-likelihood of the data is given by:

$$227 \quad L = L_{turnover} + L_{clearance} + L_{infection}$$

228 where:

- 229 •  $L_{turnover}$  is the log-likelihood associated with partnership turnover (negative  
230 binomial distribution);
- 231 •  $L_{clearance}$  is the log-likelihood associated with clearance, and
- 232 •  $L_{infection}$  is the log-likelihood associated with the infection status of each  
233 participant at the time of testing in the survey (Bernoulli distribution).

234

### 235 ***Inference and Estimation***

236

237

### ***Priors***

238

We used uninformative priors for all parameters except the sensitivity of chlamydia

239

diagnosis by culture, which enters the model for chlamydia clearance. This had a

240

$\psi \sim \text{Beta}(78,8)$  prior, based on studies comparing the performance of culture diagnosis and

241

NAATs.(14)

242

243

### ***Bayesian methods and sampling of posterior distribution***

244

Estimation was carried out by sampling from the posterior using a Markov chain Monte

245

Carlo (MCMC) algorithm implemented in the Stan software,(20) within the R

246

environment.(21) The data, Stan model file and R scripts used for handling input and results

247

are all available online at [https://github.com/joanna-lewis/ct\\_transmission\\_probs](https://github.com/joanna-lewis/ct_transmission_probs). We ran

248

four chains for 2000 iterations each, discarding the first 1000 “warmup” iterations of each

249

chain. Posterior predictive checks were carried out, comparing simulated and observed

250

partner number distributions, and prevalence in men and women reporting different

251

numbers of partners. We also used prior distributions for the proportion of infections

252

leading to symptoms for men and women to simulate the annual number of symptomatic

253

infections that would have occurred under the parameter values inferred (see

254

supplementary information).

255

256

### **Sensitivity Analysis**

257

258

We conducted three sensitivity analyses to investigate different aspects of our model, which

259

are described in detail in Supplementary Information. First, we relaxed the assumption of

260 equal average numbers of partnerships in men and women. Secondly, we constructed a  
261 model in which individuals only form partnerships with members of the opposite sex  
262 reporting the same number of partnerships. This tests two aspects of the model: (a) by  
263 imposing totally assortative mixing by number of partners, it tests the effect of assuming  
264 that partners are chosen at random from all those available; and (b) by allowing for differing  
265 force of infection in individuals reporting different numbers of partners, it tests the effect of  
266 using a single average transmission probability across all partnerships. Finally, we used data  
267 from Natsal-2 to investigate the effect of studying the number of partnerships without a  
268 condom, rather than total partnership numbers.

269

## 270 **Results**

271 For all parameters split  $\hat{R}$  statistics for the MCMC sampling were between 0.9990 and  
272 1.0032, indicating good convergence, and the effective sample size was greater than 0.4 per  
273 transition of the Markov chain. No transitions ended with a divergence.

274

275 In Natsal-2 the mean number of new partners per year was inferred as 0.59 (95%CrI 0.54-  
276 0.65). Overall chlamydia prevalence was 2.1% (95%CrI 1.6-2.8%) in men and 2.0% (95%CrI  
277 1.4-2.8%) in women, compared to survey-based estimates of 2.4% (95%CI 1.5-3.6%) and  
278 1.5% (95%CI 1.0-2.1%). In NHANES the mean number of new partners inferred was 0.92  
279 (95%CrI 0.85-1.00). Prevalence was 1.7% (95%CrI 1.3-2.3%) in men and 3.7% (95%CrI 2.8-  
280 4.6%) in women, compared to survey-based estimates 1.9% (95%CI 1.3-2.6%) and 2.3%  
281 (95%CI 1.7-3.0%).

282

283 Figure 2 shows posterior distributions for the per-partnership transmission probabilities,  
284 derived using Natsal-2 and NHANES. Using Natsal-2, the posterior median transmission  
285 probabilities were 32.1% (95%CrI 18.4-55.9%) (male-to-female) and 21.4% (95%CrI 5.1-  
286 67.0%) (female-to-male). Using NHANES, they were 34.9% (95%CrI 22.6-54.9%) (male-to-  
287 female) and 4.6% (95%CrI 1.0-13.1%) (female-to-male). The posterior distributions for all  
288 parameters are summarized in Supplementary Table S4.

289

290 Posterior predictions for the partner number distributions generally agreed with data but  
291 there was some discrepancy, especially in women (Supplementary Figure S2). Predicted  
292 numbers of infections, by reported numbers of partners, agreed well with observations in  
293 both sexes, for both studies (Supplementary Figure S3).

294

295 For Natsal-2 we simulated median (2.5th-97.5th centile) 109,000 (25,000-327,000)  
296 symptomatic cases in men; the number of diagnoses recorded in 2000 was estimated as  
297 30,000-41,000.(22) In women we simulated median (2.5th-97.5th centile) 46,000 (25,000-  
298 77,000) symptomatic cases; 48,000-105,000 diagnoses were recorded.(22) For NHANES, we  
299 simulated median (2.5th-97.5th centile) 397,000 (83,000-1149,000) symptomatic cases in  
300 men; the number of diagnoses recorded in 2009 was 307,000. We simulated median (2.5th-  
301 97.5th centile) 429,000 (259,000-682,000) symptomatic cases in women, and 879,000  
302 diagnoses were recorded.

303

304 In the sensitivity analyses we found that relaxing the assumption of equal partnership  
305 numbers in men and women led to no meaningful differences in the posterior distributions  
306 for transmission probabilities. In a model where partnerships formed only between

307 individuals reporting the same number of partners, we found evidence of higher  
308 transmission probabilities in couples reporting fewer partners. Our model using data on  
309 partnerships without a condom resulted in posterior distributions shifted to slightly higher  
310 transmission probabilities, but the shift was small compared with the width of the  
311 distribution.

312

### 313 **Discussion**

314 We have described a new statistical model for inferring the per-partnership transmission  
315 probability of a sexually transmitted infection, and have applied it to population-level data  
316 on chlamydia from the UK and the US. Our method provides its estimates with uncertainty,  
317 which is crucial for modelling and understanding chlamydia epidemiology and control.

318 Estimates of average per-partnership (as opposed to per-sex-act) transmission probability  
319 are valuable for building predictive models of control measures, because data availability  
320 means that behavioural models can be parameterised more reliably in terms of number of  
321 partnerships than number of sex acts. Our estimates incorporate data from several sources  
322 including population based surveys and make use of information that is often disregarded,  
323 contained in the correlation between the number of partners reported and the probability  
324 of chlamydia infection.

325

326 In the UK we found a male-to-female transmission probability of 32.1% per partnership  
327 (95%CrI 18.4-55.9%), which was consistent with the corresponding US result of 34.9%  
328 (95%CrI 22.6-54.9%). The posterior for female-to-male transmission probability inferred  
329 from the UK data was much more uncertain, with posterior median 21.4% (95%CrI 5.1-

330 67.0%). The equivalent for the US data was lower, but with a narrower and overlapping  
331 credible interval: 4.6% (95%CrI 1.0-13.1%).

332

333 Posterior predictive checks agreed well with the original data, indicating a well-specified  
334 model. The main exception is the partnership number data in women: in both Natsal-2 and  
335 NHANES, higher partner numbers are under-reported compared to simulations. Under-  
336 reporting of partner numbers by women is a recognized phenomenon which has been  
337 widely discussed.(23) The partnership number distributions may explain the low female-to-  
338 male transmission estimated using NHANES. If NHANES respondents reported new  
339 partner(s) in the last year, and more than one partner in total, then we took the number of  
340 new partners to be one less than the total number of partners: in fact, this proxy is an upper  
341 bound, as more than one could have been an existing partner. If the number of partners and  
342 hence the contact rate is over-estimated by this proxy then there will be a corresponding  
343 reduction in the per-partnership transmission probability.

344

345 Katz estimated a male-to-female transmission probability of 39.5% (95%CI 19.3-59.7%) per  
346 partnership:(9) consistent with our estimate. Katz's estimate for female-to-male  
347 transmission probability is 32.3% (95%CI 10.0-54.6%): well within our credible interval for  
348 UK data, but barely overlapping for the US estimate. Althaus et al.'s ODE-based pair model  
349 produced a higher estimated transmission probability per partnership (55.5%, IQR 49.2-  
350 62.5%), assuming two partners every six months (four per year).(10) However, they note  
351 that their model does not account for heterogeneity in transmissibility of chlamydia,  
352 whereas ours allows for differences by sex. We also account for sex differences in chlamydia



353 clearance rate and heterogeneity in partnership turnover rates, which is an important  
354 feature in explaining observed partner number distributions.

355

356 Our model assumes a closed system at steady state. These assumptions are reasonable as  
357 the number of people entering and leaving the sexually-active population each year is small  
358 compared to the total population, and any changes in the model parameters are slow  
359 compared to the dynamics of the system. We have ignored the role of same-sex contacts,  
360 but their effect on our estimates is also likely to be small because only people with at least  
361 one opposite-sex partner were included in the data. We chose to include people reporting  
362 partners of both sexes in our analysis to maximise the amount of data used, and because  
363 excluding them ignores their involvement in the heterosexual network and could bias our  
364 results.

365

366 Another assumption of the analysis is that individuals choose partnerships at random from  
367 all the partnerships offered by the opposite sex. Whilst we know that sexual mixing is to  
368 some extent assortative, sensitivity analysis indicates that assortativity would not lead to  
369 greatly differing force of infection per contact in people reporting different numbers of  
370 partners (see Supplementary Information). There was some evidence from this analysis of a  
371 higher transmission probability in people reporting no new partners, particularly in the  
372 NHANES dataset. This could reflect lower condom use or longer partnerships and would be  
373 an interesting avenue for further research. However, even if there are qualitative  
374 differences between partnerships, leading to heterogeneity in transmission probabilities,  
375 this does not invalidate the concept of a single average across all partnerships, which is still  
376 a hugely useful quantity for modelling. In a further sensitivity analysis we modelled number

377 of partnerships without a condom, estimated using data from Natsal-2. The posterior  
378 distributions suggested that qualitative differences such as condom use may reduce  
379 population-average transmission probabilities, but to an extent that is small compared with  
380 the uncertainty in the estimates. It might be valuable for sexual behavior surveys to collect  
381 explicit information on the annual number of new partnerships without a condom for  
382 parameter inference and predictive modelling, and our sensitivity analysis suggests that our  
383 model could be used to infer transmission probabilities from such data.

384

385 The evidence synthesis approach that we used can readily incorporate further data as it  
386 becomes available, so that improved data collection would allow our analysis to be  
387 augmented to improve our estimates. For example, there is particular uncertainty in the  
388 proportion of infections that become symptomatic in each sex, and in the clearance rate of  
389 untreated infections in men; the latter limiting the precision of the female-to-male  
390 transmission probability. We have argued elsewhere that surveillance and screening  
391 programmes could be used to collect data on long-term chlamydia clearance in men to  
392 inform a more precise estimate of clearance rate(15). Additionally, it has been suggested  
393 that previous exposure to chlamydia may confer partial immunity,(24) which would reduce  
394 the transmission probability to older and/or more sexually active individuals, who would be  
395 more likely to have had a prior infection. Whilst further empirical study of chlamydia  
396 immunology is required, it is interesting that the posterior predictive checks showed that  
397 our model tends to under-predict prevalence in those reporting few partners and over-  
398 predict in those reporting several partners (Figure S4), which would be consistent with  
399 partial immunity in high-risk individuals who are more likely to have been infected before.

400

401 In conclusion, it is important to use rigorous parameter estimates in computational models,  
402 and to quantify their uncertainty and its effect on conclusions and recommendations. Our  
403 method provides such estimates for the probability of chlamydia transmission, and with  
404 appropriate data the methods described here could also be applied to other sexually  
405 transmitted infections which can be represented using the SIS model. The estimates can be  
406 used in transmission modeling to understand the effect of control policies on patterns of  
407 prevalence.

408 **Ethics**

409 This was a secondary analysis of publicly-available data, and no additional ethical approval  
410 was required or sought.

411

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430

431 **Data availability**

432 The raw data analysed in this study has been made publicly available by the researchers in  
433 question, and can be accessed as described in the References.

434

435 **Conflict of interest**

436 None declared.

437

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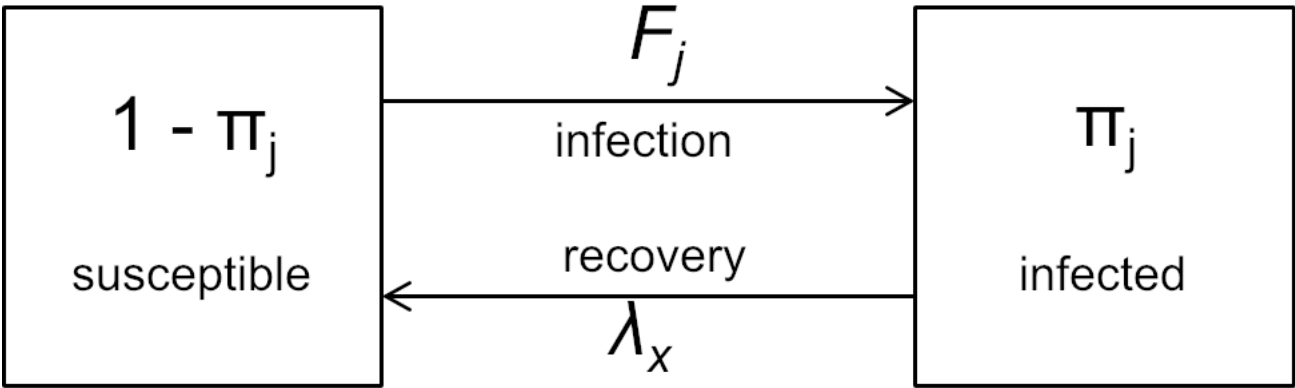
502 **Figure Legends**

503 **Figure 1:** SIS (susceptible-infected-susceptible) model of chlamydia infection and recovery  
504 for individual  $j$ , of sex  $x$ .  $\pi_j$  is the probability of being infected with chlamydia and  $1 - \pi_j$  is  
505 the probability of being susceptible.  $F_j$  is the force of infection and  $\lambda_x$  is the recovery rate.

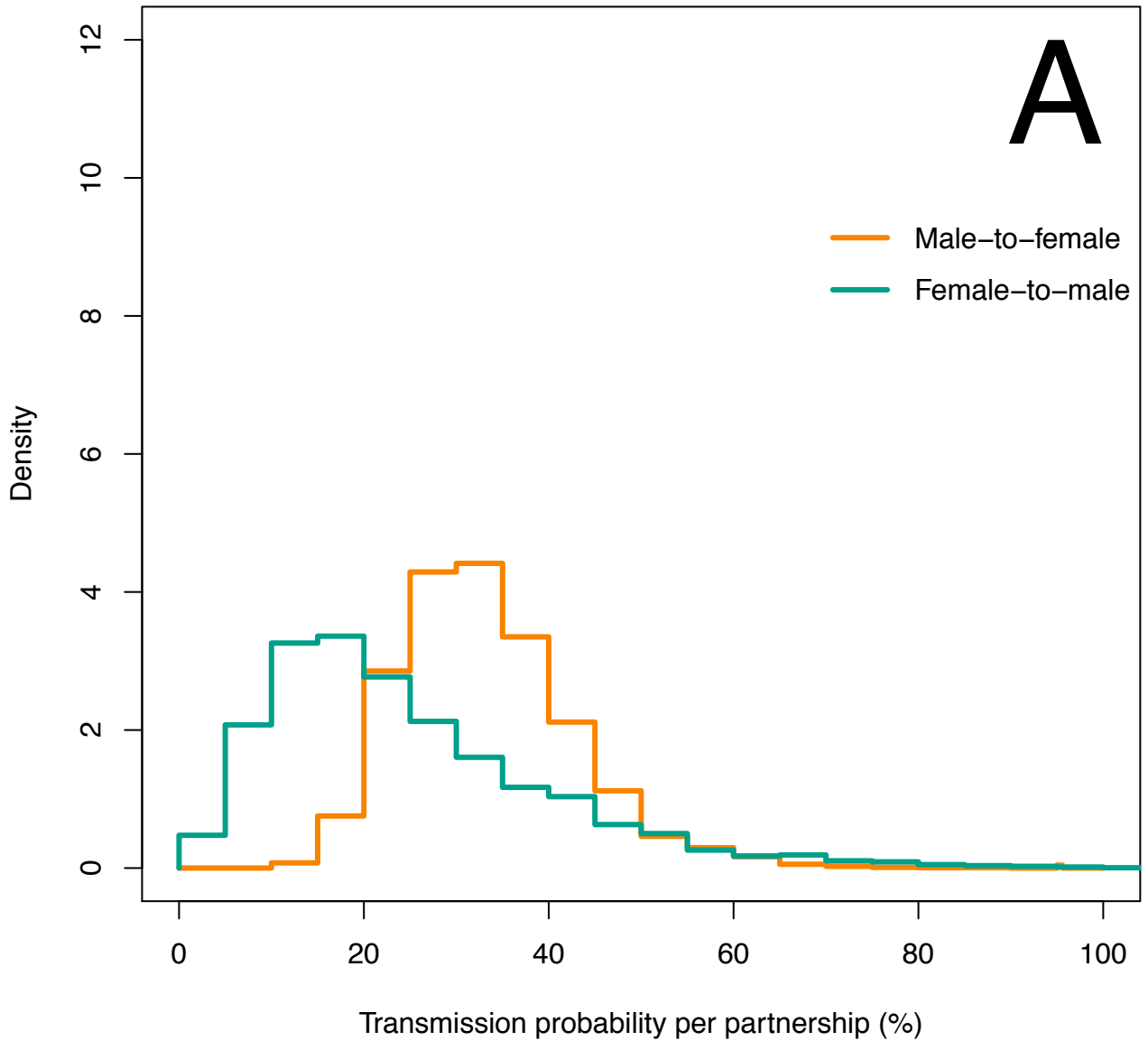
506

507 **Figure 2:** Posterior distributions for the per-partnership probability of chlamydia  
508 transmission, derived using number of new partners reported in (A) The second National  
509 Study of Sexual Attitudes and Lifestyles (Natsal-2), and (B) the National Health and Nutrition  
510 Examination Surveys (NHANES) 2009-2014 (all studies combined). The yellow line in each  
511 figure represents male-to-female transmission probability and the green line female-to-  
512 male.



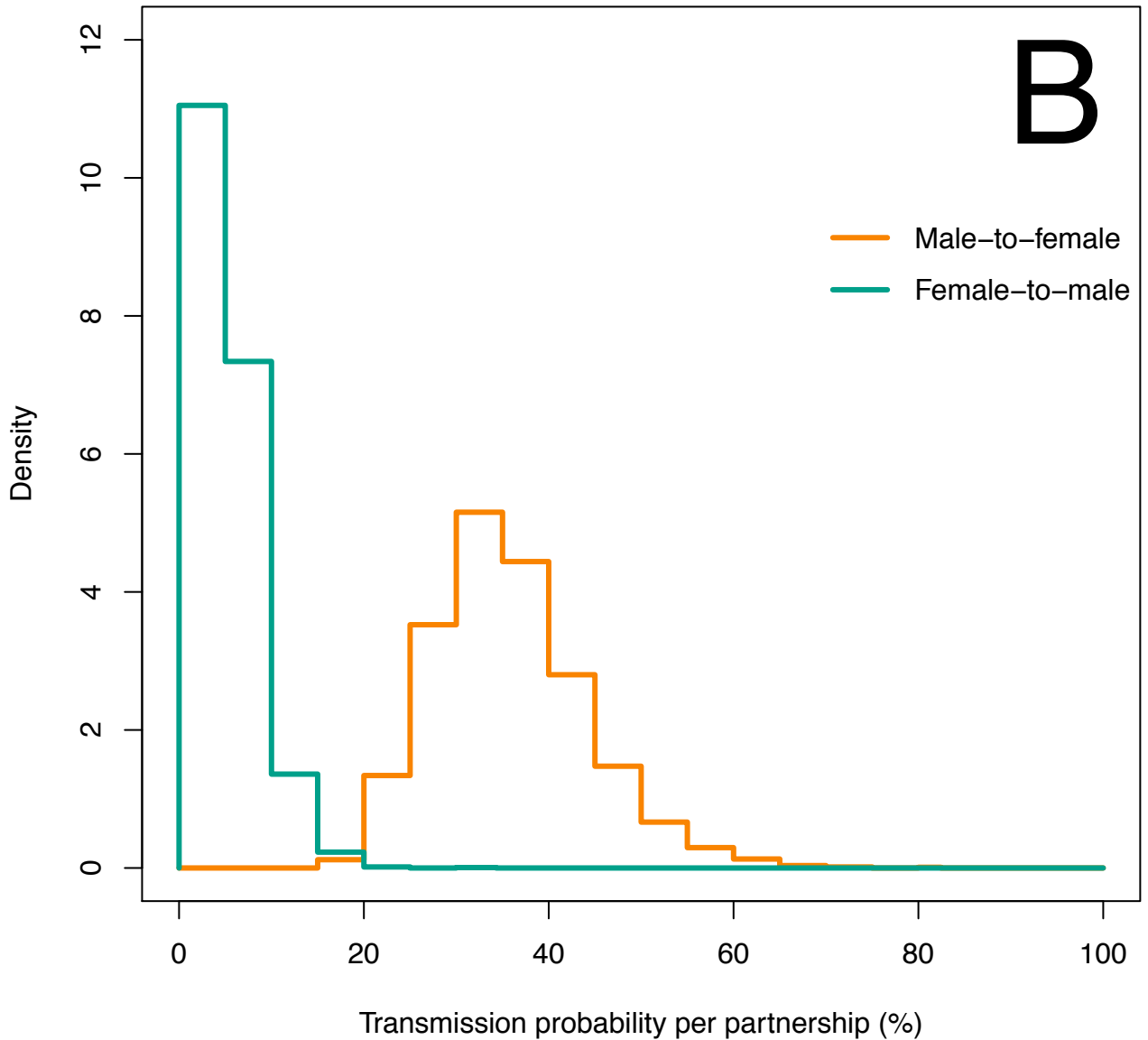


# Natsal-2 opposite-sex partnerships



# NHANES opposite-sex partnerships

# B



# Per-partnership transmission probabilities for *Chlamydia trachomatis* infection: Evidence synthesis of population-based survey data – Supplementary Information

Joanna Lewis, Peter J. White and Malcolm J. Price

## Contents

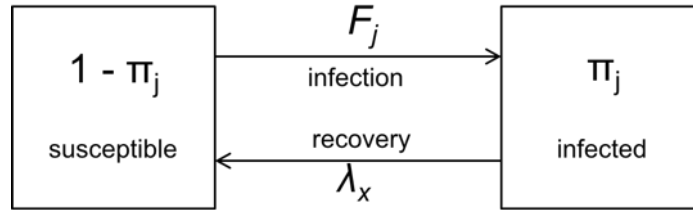
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## 1. Methods

The aim of the study is to provide a mathematical and statistical model that can be used to infer per-partnership transmission probability from survey data.

### a. Mathematical model

Let each individual  $j$  experience a force of infection  $F_j$ , which depends on his or her rate of forming infectious contacts (partnerships). Assume that all women recover from infection at the same rate,  $\lambda_f$ , and all men recover at the same rate,  $\lambda_m$ . We use a susceptible-infected-susceptible (SIS) model of infection and recovery (Figure 1). The probability that individual  $j$  is infected at a given moment is  $\pi_j$ , and the probability that he or she is susceptible is  $1 - \pi_j$ .



**Figure S1:** Susceptible-infected-susceptible (SIS) model of chlamydia infection and recovery.

Assuming only heterosexual transmission, the force of infection is the rate at which an individual makes contacts with infected members of the opposite sex, multiplied by the per-contact transmission probability. We denote the sex of individual  $j$  with the symbol  $x$ , and the opposite sex with the symbol  $x'$ . The rate of contacting infected members of the opposite sex is  $\chi_{xj}$ , and the per-contact transmission probability from the opposite sex is  $\rho_{x' \rightarrow x}$ . Then:

$$F_j = \chi_{xj} \rho_{x' \rightarrow x}.$$

At steady state, the number of new infections per unit time equals the number of recoveries, so we know also that:

$$F_j(1 - \pi_j) = \chi_{xj} \rho_{x' \rightarrow x} (1 - \pi_j) = \lambda_x \pi_j$$

Hence,

$$\rho_{x' \rightarrow x} = \frac{\pi_j}{1 - \pi_j} \frac{\lambda_x}{\chi_{xj}}$$

and

$$\frac{\pi_j}{1 - \pi_j} = \frac{\chi_{xj} \rho_{x' \rightarrow x}}{\lambda_x}$$

The following assumptions are implicit in this argument and are discussed in the main text:

1. Closed system: the number of people entering and leaving the system is negligible.
2. Steady state: prevalence is stable, and force of infection and recovery rate do not change.
3. Identical partnerships: all partnerships have the same risk of transmission, regardless of partnership length and frequency of sex acts.

Our model considers asymptomatic infections; symptomatic infections prompt treatment-seeking and are therefore short-lived and unlikely to cause onward infection or to be detected in population-based surveys.

## **b. Data**

We infer parameter values in the model by synthesizing data from several sources.

### ***i. Clearance of untreated chlamydia infection***

Data informing the clearance rate of untreated chlamydia infection in men and women came from studies in the literature synthesized in previous analyses.<sup>1,2</sup> In each study people found to be infected with chlamydia were re-tested at a later date, having remained untreated in the interim. The number who cleared their infection provides information on the clearance rate. Nine studies in women and eight in men were included, involving a total of 569 women and 165 men. Further details are provided in the original papers describing this analysis.<sup>1,2</sup>

### ***ii. Partnership numbers***

We used data on sexual behaviour and chlamydia infection from two population-based studies: the second National Study of Sexual Attitudes and Lifestyles (Natsal-2),<sup>3</sup> and the three National Health and Nutrition Examination Surveys (NHANES) conducted biennially between 2009 and 2014<sup>4</sup>. The ideal data to inform the sexual contact rate would be the number of new sexual partnerships formed in the last year.

In Natsal-2, participants reported their number of opposite-sex partners in the last year and were then asked:

- *Was this [woman/man] a new partner who you had sex with for the first time during the last year? (if they had reported one partner) or*
- *How many of these [women/men] were new partners who you had sex with for the first time during the last year? (if they had reported more than one partner).*

This information was used to inform the distribution of number of new partners in the last year in the Natsal-2 population.

We combined data from the three NHANES conducted between 2009 and 2014 to achieve a larger sample size than would be possible using just one study.<sup>4</sup> Participants were asked:

- *In the past 12 months, with how many [women/men] have you had vaginal sex?* and
- *In the past 12 months, did you have any kind of sex with a person that you never had sex with before?*

We used these two questions to provide a proxy for the number of new partners in the last year according to the following algorithm:

- If a participant stated they had had no new partners in the last year, we took the number of new partners to be zero.
- If a participant stated they had had new partner(s) in the last year, and reported one partner in total, we took the number of new partners to be one.
- If a participant stated they had had new partner(s) in the last year, and reported more than one partner in total, we took the number of new partners to be one less than the total number of partners.

This approach is similar to the use elsewhere of “shifted negative binomial” distributions for modelling partner numbers.<sup>5</sup>

### ***iii. Infection status***

The publicly-available data from both Natsal-2 and NHANES also includes chlamydia infection status, diagnosed using nucleic acid amplification tests (NAATs) on urine samples, which provides information on the prevalence of infection in individuals reporting different numbers of partners. Natsal-2 participants were eligible for a urine sample if they were aged 18-44 years and had ever had sex, and a randomly-

selected half of these eligible participants were invited to provide samples. All NHANES participants aged 14-39 years were invited to provide a sample for chlamydia testing, but the publicly-available data excludes 14-17-year-olds.

The raw data on numbers of partnerships reported by susceptible and infected men and women in Natsal-2 and NHANES are provided in Supplementary Tables S1 and S2.

**Table S1:** Raw data from the Second National Study of Sexual Attitudes and Lifestyles (Natsal-2) used to inform the model.

| Number of new partners                 | Number of Men |          |       |            |          |         | Chlamydia prevalence in men (95%CI) (%)                 | Number of Women |          |       |            |          |         | Chlamydia prevalence in women (95%CI)(%)                  |
|--|---------------|----------|-------|------------|----------|---------|---|-----------------|----------|-------|------------|----------|---------|---|
|  | Unweighted    |          |       | Weighted   |          |         |   | Unweighted      |          |       | Weighted   |          |         |   |
|  | Uninfected    | Infected | Total | Uninfected | Infected | Total   |   | Uninfected      | Infected | Total | Uninfected | Infected | Total   |   |
| 0                                      | 784           | 9        | 793   | 1063.78    | 13.57    | 1077.35 | 1.3 (0.5, 2.5)  | 1346            | 17       | 1363  | 1210.19    | 11.38    | 1221.57 | 0.9 (0.5, 1.5)  |
| 1                                      | 243           | 6        | 249   | 266.15     | 6.57     | 272.72  | 2.4 (0.8, 5.6)  | 309             | 9        | 318   | 213.90     | 5.11     | 219.01  | 2.3 (1.0, 4.7)  |
| 2                                      | 98            | 4        | 102   | 99.39      | 4.91     | 104.30  | 4.7 (1.1, 12.5)   | 76              | 5        | 81    | 55.64      | 2.85     | 58.49   | 4.9 ( 1.4, 11.6)  |
| 3                                      | 51            | 3        | 54    | 48.32      | 4.62     | 52.94   | 8.7 (1.5, 25.1)   | 27              | 2        | 29    | 16.57      | 1.82     | 18.39   | 9.9 (1.0, 33.2)   |
| 4                                      | 18            | 2        | 20    | 16.79      | 3.05     | 19.84   | 15.4 (1.3, 50.0)  | 18              | 0        | 18    | 13.40      | 0        | 13.40   | 0*  |
| 5                                      | 14            | 1        | 15    | 13.55      | 0.94     | 14.50   | 6.5 (0.1, 32.8)   | 9               | 2        | 11    | 7.33       | 0.86     | 8.19    | 10.5 (0.9, 36.2)  |
| 6                                      | 8             | 1        | 9     | 8.88       | 1.94     | 10.83   | 18.0 (0.4, 67.7)  | 3               | 0        | 3     | 2.04       | 0        | 2.04    | -   |
| 7                                      | 6             | 0        | 6     | 7.92       | 0        | 7.92    | 0*  | 2               | 0        | 2     | 1.06       | 0        | 1.06    | -   |
| 8                                      | 1             | 0        | 1     | 1.62       | 0        | 1.62    | 0*  | 1               | 0        | 1     | 0.48       | 0        | 0.48    | -   |
| 9                                      | 5             | 2        | 7     | 5.68       | 1.51     | 7.19    | 21.0 (2.1, 60.6)  | 1               | 0        | 1     | 1.26       | 0        | 1.26    | -   |
| 10                                     | 3             | 1        | 4     | 4.09       | 0.58     | 4.67    | 12.4 (0.0, 1.0)   | 1               | 1        | 2     | 0.48       | 0.25     | 0.73    | 34.1 <sup>‡</sup>   |
| 11                                     | 1             | 0        | 1     | 1.76       | 0        | 1.76    | 0*  | 0               | 1        | 1     | 0          | 0.62     | 0.62    | 100*  |
| 12                                     | 3             | 0        | 3     | 4.46       | 0        | 4.46    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 13                                     | 1             | 0        | 1     | 0.99       | 0        | 0.99    | 0*  | 1               | 0        | 1     | 0.81       | 0        | 0.81    | 0*  |
| 15                                     | 1             | 0        | 1     | 1.16       | 0        | 1.16    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 19                                     | 1             | 0        | 1     | 0.78       | 0        | 0.78    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 20                                     | 0             | 0        | 0     | 0          | 0        | 0       | -   | 2               | 0        | 2     | 1.91       | 0        | 1.91    | 0*  |
| 36                                     | 0             | 0        | 0     | 0          | 0        | 0       | -   | 1               | 0        | 1     | 0.46       | 0        | 0.46    | 0*  |
| 39                                     | 0             | 0        | 0     | 0          | 0        | 0       | -   | 1               | 0        | 1     | 0.44       | 0        | 0.44    | 0*  |
| 55                                     | 1             | 0        | 1     | 0.44       | 0        | 0.44    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| <b>Overall mean number of partners</b> | 0.85          | 2.41     | 0.89  | 0.71       | 2.07     | 0.74    | <b>Overall prevalence in men:<br/>2.38 (1.52, 3.55)</b> | 1.03            | 1.51     | 1.04  | 0.95       | 1.31     | 0.96    | <b>Overall prevalence in women:<br/>1.48 (1.01, 2.08)</b> |

CI: confidence interval

\*Confidence intervals cannot be calculated where 0% or 100% of individuals were infected.

<sup>‡</sup>A confidence interval could not be calculated because of the small number of individuals.



**Table S2:** Raw data from the National Health and Nutrition Examination Surveys (NHANES; 2009-2014 combined) used to inform the model.

| Number of new partners                 | Number of Men |          |       |            |          |         | Chlamydia prevalence in men (95%CI) (%)             | Number of Women |          |       |            |          |         | Chlamydia prevalence in women (95%CI)(%)              |
|--|---------------|----------|-------|------------|----------|---------|---|-----------------|----------|-------|------------|----------|---------|---|
|  | Unweighted    |          |       | Weighted   |          |         |   | Unweighted      |          |       | Weighted   |          |         |   |
|  | Uninfected    | Infected | Total | Uninfected | Infected | Total   |   | Uninfected      | Infected | Total | Uninfected | Infected | Total   |   |
| 0                                      | 1617          | 29       | 1646  | 1662.23    | 25.61    | 1687.84 | 1.5 (0.9, 2.3)                                      | 1901            | 43       | 1944  | 1898.59    | 34.05    | 1932.65 | 1.8 (1.2, 2.5)  |
| 1                                      | 306           | 12       | 318   | 330.54     | 10.92    | 341.46  | 3.2 (1.4, 6.3)                                      | 291             | 18       | 309   | 289.92     | 10.79    | 300.71  | 3.6 (2.0, 5.9)  |
| 2                                      | 123           | 4        | 127   | 109.99     | 2.99     | 112.98  | 2.6 (0.6, 7.1)                                      | 78              | 7        | 85    | 78.20      | 5.21     | 83.42   | 6.3 (1.8, 14.9)                                       |
| 3                                      | 72            | 2        | 74    | 61.48      | 0.83     | 62.31   | 1.3 (0.1, 5.5)                                      | 34              | 2        | 36    | 31.85      | 1.20     | 33.05   | 3.6 (0.1, 18.5)                                       |
| 4                                      | 58            | 2        | 60    | 55.29      | 0.65     | 55.94   | 1.2 (0.1, 4.9)                                      | 17              | 0        | 17    | 15.40      | 0        | 15.40   | 0*  |
| 5                                      | 19            | 2        | 21    | 23.46      | 0.72     | 24.18   | 3.0 (0.0, 92.3)                                     | 15              | 1        | 16    | 14.50      | 0.44     | 14.94   | 2.9 (0.0, 93.5)                                       |
| 6                                      | 23            | 1        | 24    | 22.14      | 0.55     | 22.69   | 2.4 (0.0, 19.3)                                     | 4               | 1        | 5     | 4.78       | 0.44     | 5.22    | 8.43 <sup>‡</sup>                                     |
| 7                                      | 13            | 0        | 13    | 14.68      | 0        | 14.68   | 0*  | 8               | 0        | 8     | 8.31       | 0        | 8.31    | 0*  |
| 8                                      | 11            | 0        | 11    | 9.24       | 0        | 9.24    | 0*  | 3               | 1        | 4     | 5.22       | 1.35     | 6.57    | 20.6 <sup>‡</sup>                                     |
| 9                                      | 19            | 1        | 20    | 17.35      | 0.62     | 17.97   | 3.5 (0.0, 98.8)                                     | 3               | 0        | 3     | 1.91       | 0        | 1.91    | 0*  |
| 10                                     | 3             | 0        | 3     | 2.89       | 0        | 2.89    | 0*  | 2               | 0        | 2     | 0.98       | 0        | 0.98    | 0*  |
| 11                                     | 8             | 0        | 8     | 6.41       | 0        | 6.41    | 0*  | 7               | 0        | 7     | 4.25       | 0        | 4.25    | 0*  |
| 12                                     | 5             | 0        | 5     | 4.31       | 0        | 4.31    | 0*  | 0               | 1        | 1     | 0          | 0.62     | 0.62    | 0*  |
| 13                                     | 3             | 0        | 3     | 3.21       | 0        | 3.21    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 14                                     | 8             | 1        | 9     | 5.09       | 0.53     | 5.62    | 9.4 <sup>‡</sup>                                    | 1               | 0        | 1     | 0.42       | 0        | 0.42    | 0*  |
| 17                                     | 3             | 1        | 4     | 2.72       | 0.44     | 3.16    | 13.9 <sup>‡</sup>                                   | 1               | 0        | 1     | 0.69       | 0        | 0.69    | 0*  |
| 18                                     | 3             | 0        | 3     | 2.36       | 0        | 2.36    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 19                                     | 6             | 0        | 6     | 3.99       | 0        | 3.99    | 0*  | 0               | 1        | 1     | 0          | 0.39     | 0.39    | 100*  |
| 21                                     | 2             | 0        | 2     | 2.90       | 0        | 2.90    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 23                                     | 0             | 0        | 0     | 0          | 0        | 0       | -   | 1               | 0        | 1     | 0.82       | 0        | 0.82    | 0*  |
| 24                                     | 3             | 1        | 4     | 3.36       | 0.52     | 3.89    | 13.5 <sup>‡</sup>                                   | 2               | 0        | 2     | 4.13       | 0        | 4.13    | 0*  |
| 29                                     | 2             | 0        | 2     | 1.60       | 0        | 1.60    | 0*  | 1               | 0        | 1     | 0.60       | 0        | 0.60    | 0*  |
| 34                                     | 1             | 0        | 1     | 0.80       | 0        | 0.80    | 0*  | 1               | 0        | 1     | 1.24       | 0        | 1.24    | 0*  |
| 38                                     | 1             | 0        | 1     | 0.62       | 0        | 0.62    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 39                                     | 1             | 0        | 1     | 0.36       | 0        | 0.36    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 44                                     | 1             | 0        | 1     | 2.11       | 0        | 2.11    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 49                                     | 4             | 0        | 4     | 5.52       | 0        | 5.52    | 0*  | 1               | 0        | 1     | 1.60       | 0        | 1.60    | 0*  |
| 78                                     | 1             | 0        | 1     | 0.90       | 0        | 0.90    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 79                                     | 1             | 0        | 1     | 0.64       | 0        | 0.64    | 0*  | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| 89                                     | 0             | 0        | 0     | 0          | 0        | 0       | -   | 1               | 0        | 1     | 2.22       | 0        | 2.22    | 0*  |
| 99                                     | 1             | 1        | 2     | 0.75       | 0.52     | 1.27    | 41.2 <sup>‡</sup>                                   | 0               | 0        | 0     | 0          | 0        | 0       | -   |
| <b>Overall mean number of partners</b> | 1.26          | 3.74     | 1.31  | 1.18       | 2.53     | 1.20    | <b>Overall prevalence in men: 1.87 (1.33, 2.56)</b> | 0.52            | 1.17     | 0.54  | 0.57       | 1.01     | 0.58    | <b>Overall prevalence in women: 2.25 (1.65, 3.00)</b> |

CI: confidence interval

\*Confidence intervals cannot be calculated where 0% or 100% of individuals were infected.

<sup>‡</sup>A confidence interval could not be calculated because of the small number of individuals.

### c. Statistical model

We conducted a Bayesian evidence synthesis using data from the sources described to construct a likelihood. This was combined with appropriate priors to provide a posterior distribution for the model parameters.

#### i. Partnership dynamics

We used negative binomial distributions to model the estimated numbers of new partners reported in the last year by men and women. A negative binomial distribution with size  $\alpha$  and mean  $\mu$  can arise as a mixture of Poisson distributions, where the mixing distribution for the Poisson rate is a Gamma distribution with shape  $\alpha$  and rate  $\frac{\mu}{\alpha}$ . Formally, let the number of new partners reported by individual  $j$  be represented by the random variable  $N_j$  which has a Poisson distribution with rate  $\sigma_j$ :

$$N_j \sim \text{Poisson}(\sigma_j),$$

so that

$$P(n_j | \sigma_j) = P_{\text{Poisson}}(n_j | \sigma_j) = \frac{e^{-\sigma_j} \sigma_j^{n_j}}{n_j!}$$

Now, let the partner change rate be a random variable having a Gamma distribution with shape  $\alpha_j$  and rate  $\beta_j = \frac{\mu_j}{\alpha_j}$ :

$$\sigma_j \sim \text{Gamma}(\alpha_j, \beta_j)$$

so that

$$P(\sigma_j | \alpha_j, \beta_j) = P_{\text{Gamma}}(\sigma_j | \alpha_j, \beta_j) = \frac{(\beta_j)^{\alpha_j}}{\Gamma(\alpha_j)} \sigma_j^{(\alpha_j-1)} e^{-\sigma_j \beta_j}.$$

It can be shown<sup>6</sup> by integrating over the Poisson rate  $\sigma_j$  that  $N_j$  has a negative binomial distribution with size  $\alpha_j$  and mean  $\mu_j = \frac{\alpha_j}{\beta_j}$ :

$$N_j \sim \text{NB}(\mu_j, \alpha_j)$$

$$P(n_j | \mu_j, \alpha_j) = P_{\text{NB}}(n_j | \mu_j, \alpha_j) = \binom{n_j + \alpha_j - 1}{n_j} \left( \frac{\mu_j}{\mu_j + \alpha_j} \right)^{n_j} \left( \frac{\alpha_j}{\mu_j + \alpha_j} \right)^{\alpha_j}$$

In our model, the shape and rate depend on the sex of the individual:

$$(\alpha_j, \beta_j) = \begin{cases} (\alpha_m, \beta_m) & \text{for men} \\ (\alpha_f, \beta_f) & \text{for women} \end{cases}$$

As we are considering heterosexual transmission, the expected number of partnerships per man must equal the expected number of partnerships per woman, so we constrain the negative binomial partnership number distributions in men and women to have the same mean:

$$\alpha_m / \beta_m = \alpha_f / \beta_f = \mu.$$

The Gamma distribution is the conjugate prior for the Poisson. Given that we observe  $n_j$  new partnerships in a year in individual  $j$ , we can “update” our knowledge of the partner change rate in individual  $j$  and say that

$$\sigma_j \sim \text{Gamma}(\alpha_j + n_j, \beta_j + 1)$$

(See <sup>6</sup> for a full discussion of conjugate priors, including the Poisson model.)

## ii. Prevalence

As described above, the probability that individual  $j$  is infected with chlamydia is a function of the Poisson rate of forming partnerships with infected people ( $\chi_{xj}$ ), the per-partnership transmission probability ( $\rho_{x' \rightarrow x}$ ), and the clearance rate ( $\lambda_x$ ):

$$\frac{\pi_j}{1 - \pi_j} = \frac{\chi_{xj} \rho_{x' \rightarrow x}}{\lambda_x} \quad (1)$$

The rate of individual  $j$  forming infectious contacts,  $\chi_{xj}$ , equals the rate of forming contacts,  $\sigma_j$ , multiplied by the proportion of contacts offered by the opposite sex that are infectious,  $\pi_p^{x'}$ :

$$\chi_{xj} = \sigma_j \pi_p^{x'} \quad (2)$$

$\pi_p^{x'}$  is calculated by integrating (numerically) the product of prevalence and expected number of partnerships formed, over all possible partner change rates in sex  $x'$ , and then dividing by the total expected number of partnerships formed,  $\mu_{x'} = \mu$ :

$$\pi_p^{x'} = \frac{1}{\mu} \int_{\sigma=0}^{\infty} P(\sigma | \mu, \alpha_{x'}) \frac{\sigma \pi_p^x \rho_{x \rightarrow x'}}{\sigma \pi_p^x \rho_{x \rightarrow x'} + \lambda_x} \sigma d\sigma$$

Substituting (2) into (1), the probability that an individual  $j$  is infected,  $\pi_j$ , therefore fulfills the equality:

$$\begin{aligned} \frac{\pi_j}{1 - \pi_j} &= \frac{\sigma_j \pi_p^{x'} \rho_{x' \rightarrow x}}{\lambda_x} \\ \pi_j &= \frac{1}{1 + z_j} \end{aligned}$$

where

$$z_j = \frac{\lambda_x}{\sigma_j \pi_p^{x'} \rho_{x' \rightarrow x}}$$

For individual  $j$ , the exact value of  $\sigma_j$  is not known, but the reported number of new partners,  $n_j$ , provides some information, allowing us to update our Gamma prior as described above. The expected prevalence in individuals reporting  $n_j$  partners is calculated by integrating the product of prevalence and the updated Gamma probability density for individual  $j$ :

$$\begin{aligned} \pi_j &= \int_{\sigma=0}^{\infty} P_{Gamma}(\sigma | \alpha_j + n_j, \beta_j + 1) \frac{1}{1 + z(\sigma_j)} d\sigma \\ &= \frac{(\beta_j + 1)^{\alpha_j + n_j}}{\Gamma(\alpha_j + n_j)} \int_{\sigma=0}^{\infty} \frac{\sigma^{\alpha_j + n_j} e^{-(\beta_j + 1)\sigma}}{\sigma + \lambda_x / \pi_p^{x'} \rho_{x' \rightarrow x}} d\sigma \end{aligned}$$

The infection status of  $j$  has a Bernoulli distribution with parameter  $\pi_j$ :

$$P(\delta_j | \pi_j) = P_{Bernoulli}(\delta_j | \pi_j) = \begin{cases} \pi_j & \delta_j = 1 \\ 1 - \pi_j & \delta_j = 0 \end{cases}$$

where

$$\delta_j = \begin{cases} 1 & \text{if } j \text{ is infected} \\ 0 & \text{if } j \text{ is uninfected} \end{cases}$$

## iii. Infection clearance rate

We modelled immunological clearance of infection using the parameter  $\lambda_x$ . The statistical model is described elsewhere,<sup>1</sup> and allows for two courses of infection: fast- or slow-clearing. A proportion  $p$  of

incident infections clear fast, and the remainder,  $1 - p$ , clear slow. In this analysis we assume that only the slow-clearing infections last long enough to be detected in population-based studies. The clearance rate (denoted  $\lambda_x$  below) is therefore equal to the slow clearance rate in the clearance model, and the transmission probability we estimate is the probability that an infection is transmitted and then follows the slow-clearing course. The parameter values are inferred from published observational data in men and women<sup>1,2</sup>.

In the absence of data on the rates of testing and treating for asymptomatic chlamydia infection at the time of Natsal-2 and NHANES, we were not able to account in our model for chlamydia clearance via treatment of asymptomatic infections. We investigated the results of this decision in our predictive checks (see below).

#### iv. Full likelihood

The full set of model parameters is  $\{\mu, \beta, \sigma, p, \lambda, \psi, A\}$ , where  $A = F_j / \sigma_j = \pi_p^{x'} \rho_{x' \rightarrow x}$  for transmission from  $x'$  to  $x$  or  $\pi_p^x \rho_{x \rightarrow x'}$  for transmission from  $x$  to  $x'$ . From these we derive the parameters  $\{\alpha, \pi, \pi_p, \rho\}$ . The meaning of each symbol is summarized in Table S3.

**Table S3:** Summary of symbols used to describe the model.

| Symbol  | Description   |
|---|---|
| $\mu$   | Mean number of new partnerships per person.   |
| $\beta = (\beta_m, \beta_f)$                              | Rate parameters for gamma distributions   |
| $\sigma = (\sigma_1, \sigma_2, \dots)$                    | Poisson rates of partnership formation.   |
| $p = (p_m, p_f)$  | Proportion of infections in men and women which are fast-clearing.                              |
| $\lambda = (\lambda_m, \lambda_f)$                        | Clearance rate of slow-clearing infections  |
| $\psi$  | Sensitivity of culture diagnosis methods (for the clearance rate model).                        |
| $A = (A_{f \rightarrow m}, A_{m \rightarrow f})$          | Per-partnership prevalence, multiplied by per-partnership transmission probability.             |
| $\alpha = (\alpha_m, \alpha_f)$                           | Shape parameters for gamma distributions.   |
| $\pi = (\pi_1, \pi_2, \dots)$                             | Expected chlamydia prevalence in each individual.   |
| $\pi_p = (\pi_p^m, \pi_p^f)$                              | Proportion of all partnerships in which the man/woman is infected.                              |
| $\rho = (\rho_{m \rightarrow f}, \rho_{f \rightarrow m})$ | Per-partnership transmission probability from an infected man/woman to a susceptible woman/man. |

Survey weights  $w_i$  are incorporated by multiplying the relevant component of the log-likelihood by the weight. The log-likelihood of the data is given by:

$$L = L_{turnover} + L_{clearance} + L_{infection}$$

where:

- $L_{turnover}$  is the log-likelihood associated with the partnership turnover data in men and women.

$$\begin{aligned} L_{turnover} &= L_{turnover}^m + L_{turnover}^f \\ &= \sum_m w_j \times P_{NB}(n_j | \alpha_m, \beta_m) + \sum_f w_j \times P_{NB}(n_j | \alpha_f, \beta_f) \end{aligned}$$

- $L_{clearance}$  is the log-likelihood associated with the clearance data:

$$L_{clearance} = \sum_{data} P_{binomial}(r|n_{test}, \theta)$$

where  $n_{test}$  is the number of people tested for each data point,  $r$  is the number who had cleared their infection and  $\theta$  is the proportion expected to clear the infection (full details provided elsewhere<sup>4</sup>).

- $L_{prevalence}$  is the log-likelihood associated with the prevalence data in men and women reporting different numbers of partners:

$$L_{prevalence} = \sum_m w_j P_{Bernoulli}(\delta_j | \pi_j) + \sum_f w_j P_{Bernoulli}(\delta_j | \pi_j)$$

#### d. Inference and Estimation

##### i. Priors

Prior distributions for the parameters were as follows:

- $\mu \sim \text{Exponential}(0.1)$  (uninformative)
- $\beta \sim \text{Exponential}(0.1)$  (uninformative)
- $p \sim \text{Beta}(1,1)$  (uninformative)
- $\lambda_{slow} \sim \text{Exponential}(0.001)$  (uninformative)
- $\psi \sim \text{Beta}(78,8)$  (based on studies comparing test performance<sup>7</sup>)
- $A \sim \text{Exponential}(0.001)$  (uninformative)

##### ii. Bayesian methods and sampling of posterior distribution

Estimation was carried out by sampling from the posterior using a Markov chain Monte Carlo (MCMC) algorithm implemented in the Stan software,<sup>8</sup> within the R environment.<sup>9</sup> The data, Stan model file and R scripts used for handling input and results are all available online at [https://github.com/mrc-ide/ct\\_transmission\\_prob](https://github.com/mrc-ide/ct_transmission_prob). MCMC estimation is carried out by drawing thousands of samples from the joint posterior distribution. We ran four chains for 2000 iterations each, discarding the first 1000 “warmup” iterations of each chain. The results reported below are summary means, medians and credible intervals of the marginal distributions from this sampled joint posterior.

##### iii. Posterior predictive checks

We carried out graphical posterior predictive checks<sup>6</sup> to check the fit of the model. We simulated values for the data (number of partners and infection status for each individual), using each sample from the joint posterior distribution. The simulated data were compared to observed data to look for any systematic differences.

We expect that a proportion  $\phi_x$  of incident chlamydia infections in sex  $x$  will cause symptoms that prompt testing and treatment, while the remaining  $1 - \phi_x$  are asymptomatic. As noted above, our model considers *asymptomatic* infections, so the modelled force of infection represents the force of asymptomatic infection. The force of *symptomatic* infection is  $\frac{\phi_x}{1-\phi_x}$  times the force of asymptomatic infection, and we expect to observe symptomatic diagnoses in the population at this per-person rate. We used prior distributions for  $\phi$  ( $\phi_m \sim \text{Beta}(11, 5)$ ;  $\phi_f \sim \text{Beta}(27, 90)$ <sup>7</sup>), the posteriors for force of infection, and the population size, to simulate the annual number of symptomatic diagnoses.

## 2. Results

### a. Posterior parameter distributions

**Table S4:** Summary of posterior distributions for model parameters, inferred using data from the second National Study of Sexual Attitudes and Lifestyles (Natsal-2) and National Health and Nutrition Examination Surveys (NHANES). The first six parameters were sampled directly; the last three were calculated from the first six, as described in the text.

| Parameter   | Natsal |                         |                  |                         | NHANES |                         |                  |                          |
|---|--------|-------------------------|------------------|-------------------------|--------|-------------------------|------------------|--------------------------|
|   | Men    |                         | Women            |                         | Men    |                         | Women            |                          |
|   | Mean   | Median<br>(95% CrI)     | Mean             | Median<br>(95% CrI)     | Mean   | Median<br>(95% CrI)     | Mean             | Median<br>(95% CrI)      |
| $\mu$<br>(Mean partnerships)  | 0.593  | 0.592<br>(0.545, 0.646) | Shared parameter |                         | 0.922  | 0.921<br>(0.848, 1.001) | Shared parameter |                          |
| $\beta$<br>(Rate parameter for gamma distribution)  | 0.512  | 0.510<br>(0.437, 0.597) | 0.366            | 0.364<br>(0.291, 0.453) | 0.176  | 0.176<br>(0.156, 0.198) | 0.137            | 0.136,<br>(0.116, 0.160) |
| $\rho$<br>(Proportion of infections fast-clearing)  | 0.314  | 0.314<br>(0.208, 0.423) | 0.206            | 0.206<br>(0.150, 0.266) | 0.316  | 0.316<br>(0.209, 0.430) | 0.207            | 0.207<br>(0.152, 0.267)  |
| $\lambda$ (year <sup>-1</sup> )<br>(Slow clearance rate)  | 0.642  | 0.571<br>(0.144, 1.54)  | 0.737            | 0.735<br>(0.601, 0.884) | 0.634  | 0.566<br>(0.122, 1.512) | 0.735            | 0.733<br>(0.600, 0.883)  |
| $\psi$<br>(Sensitivity of culture diagnosis*)   | 0.911  | 0.912<br>(0.860, 0.953) | Shared parameter |                         | 0.911  | 0.912<br>(0.859, 0.954) | Shared parameter |                          |
| $A = (A_{f \rightarrow m}, A_{m \rightarrow f})$<br>( $\pi_p \times \rho$ ; see below)                  | 0.025  | 0.022<br>(0.005, 0.062) | 0.028            | 0.028<br>(0.018, 0.043) | 0.014  | 0.012<br>(0.003, 0.033) | 0.040            | 0.039<br>(0.028, 0.056)  |
| $\alpha$<br>(Shape parameter for gamma distribution)  | 0.303  | 0.303<br>(0.262, 0.350) | 0.217            | 0.215<br>(0.179, 0.261) | 0.162  | 0.162<br>(0.146, 0.179) | 0.126            | 0.126<br>(0.111, 0.142)  |
| $\pi_p$<br>(Proportion of all partnerships infected.)   | 0.087  | 0.086<br>(0.062, 0.115) | 0.105            | 0.104<br>(0.069, 0.148) | 0.114  | 0.113<br>(0.084, 0.147) | 0.261            | 0.261<br>(0.207, 0.317)  |
| $\rho = (\rho_{f \rightarrow m}, \rho_{m \rightarrow f})$<br>(Per-partnership transmission probability) | 0.252  | 0.214<br>(0.051, 0.670) | 0.334            | 0.321<br>(0.184, 0.559) | 0.052  | 0.046<br>(0.010, 0.131) | 0.358            | 0.349<br>(0.226, 0.549)  |

CrI: credible interval

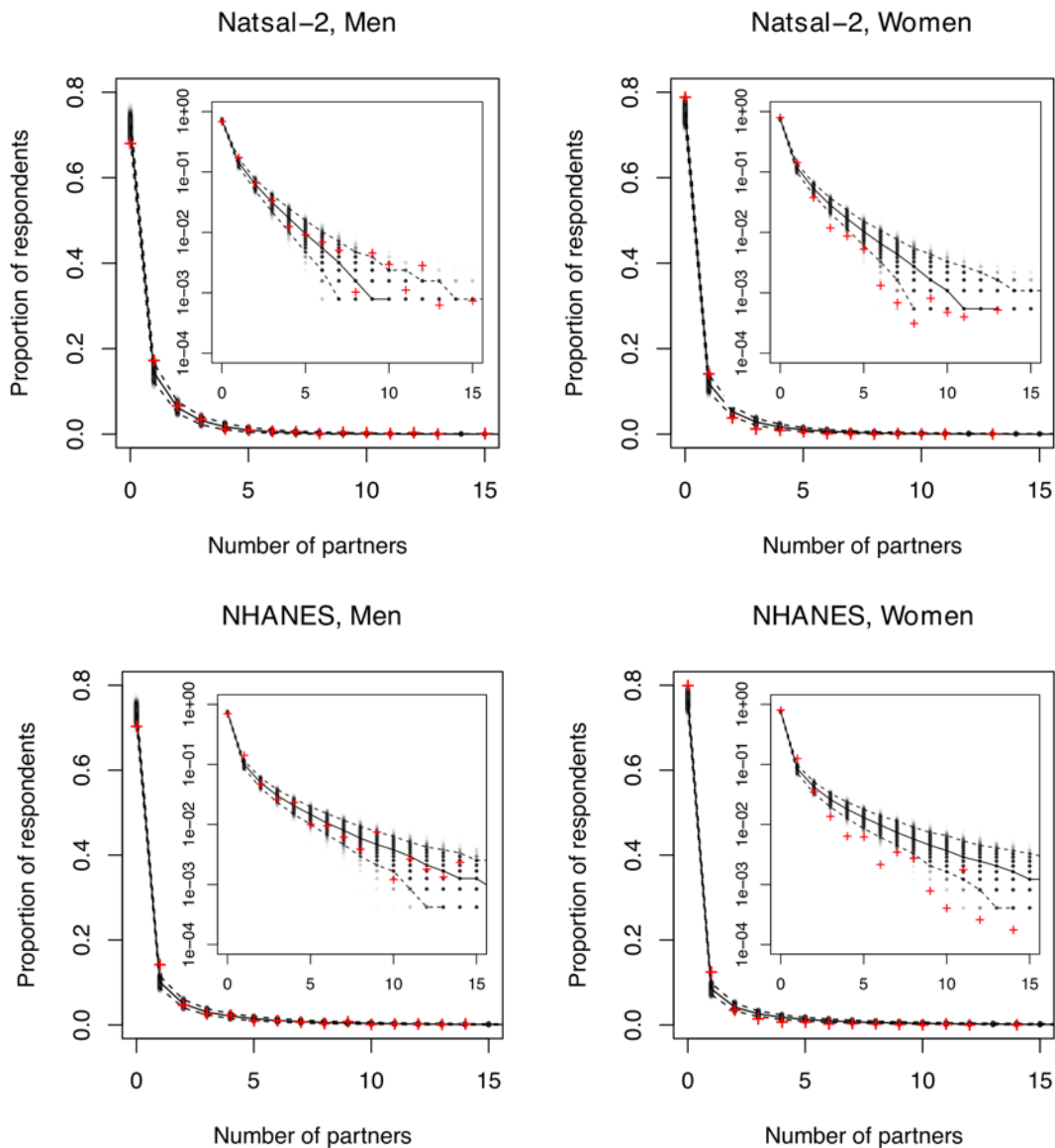
\*Culture sensitivity at re-testing for chlamydia clearance, in people previously diagnosed by culture.<sup>1</sup>

## b. Posterior predictive checks

### i. Partner number distributions

Figure S2 illustrates the model's agreement with partnership number data, showing the actual and simulated proportions of men and women who reported each number of partners. Transparent grey circle markers represent simulations from the posterior distributions; lines show the 50<sup>th</sup> (solid) and 2.5<sup>th</sup>/97.5<sup>th</sup> (dashed) centiles of the simulations, and red crosses show the data. For a perfect model and completely accurate reporting of the data, we would expect the dashed lines to enclose 95% of data points.

In both studies, the partnership numbers simulated in men generally agreed well with the data. The predictive properties were less good in women, with under-reporting of high partner numbers compared to simulations. If the average number of partnerships formed by men and women were allowed to differ then the agreement between simulations and data was improved and the posterior distributions for transmission probability remained similar. In our model we chose to constrain the average number in men and women to be equal because this is a necessary condition in reality.



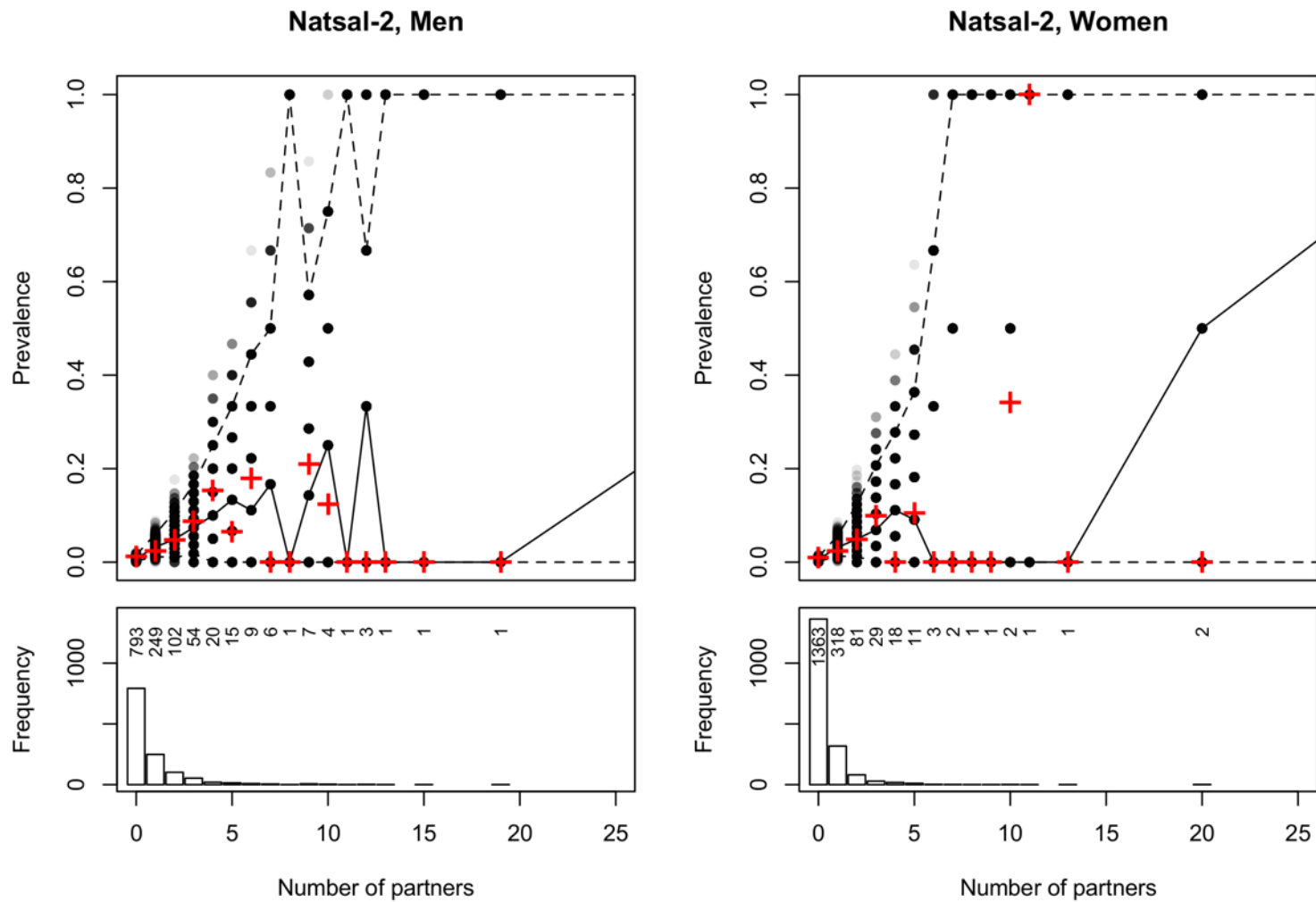
**Figure S2:** Simulated (grey) and observed (red) proportions of men (left) and women (right) reporting different numbers of new partners in the last year in the second National Study of Sexual Attitudes and Lifestyles (Natsal-2; top) and National Health and Nutrition Examination Studies (NHANES) 2009-2014 (bottom). The main graph in each panel uses a linear scale on the y-axis, and the inset shows the same information but on a log scale. Simulations are shown using transparent grey markers, so that several

superimposed markers appear as a darker grey. The solid and dashed lines show the 2.5th, 50th and 97.5th centiles of the simulations. The observed data shown takes into account the survey weights.

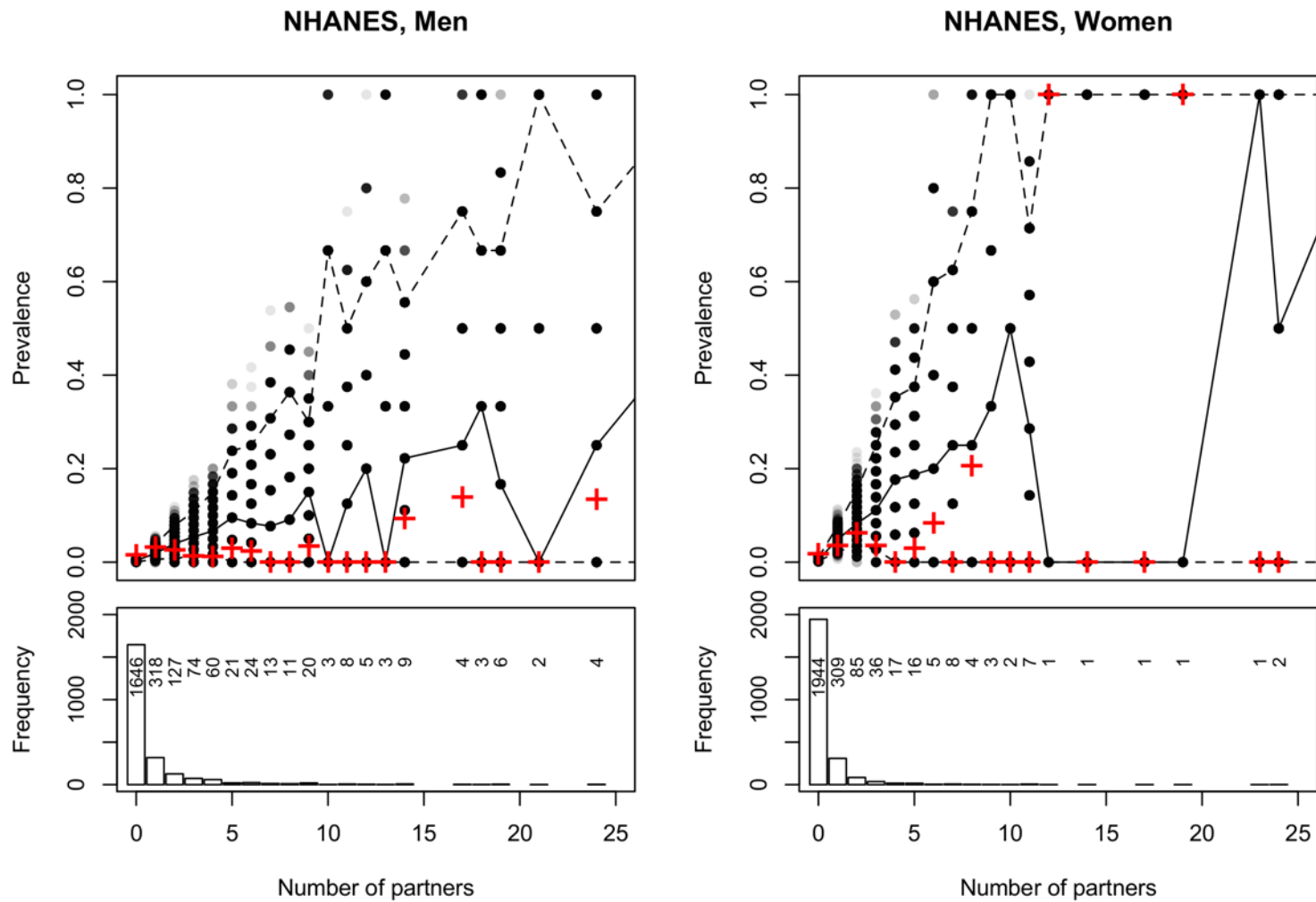
### ***ii. Infection status***

We checked the predictive properties of the infection model by using each sampled parameter set to simulate infection status in each survey participant, given their reported number of partners. In Figures S3 (Natsal-2) and S4 (NHANES), each transparent grey marker shows simulated prevalence among the participants reporting a given number of partners, which agreed well with the observed data. Only a small number of participants reported the highest numbers of partners (see bar graphs in lower panels), so only a few levels of prevalence were possible in those with several partners. For example, one man in Natsal-2 reported 19 partners, so simulated prevalence could only be 0 (one man, uninfected) or 1 (one man, infected).





**Figure S3:** Simulated (grey) and observed (red) chlamydia prevalence (y-axis) in men and women reporting different numbers of new partners in the last year (x-axis) in the second National Study of Sexual Attitudes and Lifestyles (Natsal-2). Simulations are shown using transparent grey markers, so that several superimposed markers appear as a darker grey. The solid and dashed lines join the 2.5th, 50th and 97.5th centiles of the simulations. The observed data takes into account the survey weights. Bar charts below each plot show the (unweighted) number of survey participants reporting each number of partnerships.



**Figure S4:** Simulated (grey) and observed (red) chlamydia prevalence (y-axis) in men and women reporting different numbers of new partners in the last year (x-axis) in the National Health and Nutrition Examination Studies (NHANES). Simulations are shown using transparent grey markers, so that several superimposed markers appear as a darker grey. The solid and dashed lines join the 2.5th, 50th and 97.5th centiles of the simulations. The observed data takes into account the survey weights. Bar charts below each plot show the (unweighted) number of survey participants reporting each number of partnerships.

**iii. Symptomatic infections**

Table S5 shows the median and central 95% range of simulated numbers of symptomatic chlamydia cases, based on our posterior distributions and the male and female populations of England aged 15-44 in 2000 (Natsal-2), or the US aged 15-39 in 2009 (NHANES). For comparison, we also report the number of diagnoses recorded in surveillance systems covering approximately the same times and locations. In men in both studies and women in Natsal-2 the range of our simulations overlapped with the range from surveillance, suggesting that most of the observed diagnoses can be accounted for by treatment-seeking in response to symptoms, and that few additional diagnoses were made as a result of asymptomatic testing. In women in NHANES, more diagnoses were observed than we expected to be sought by symptomatic cases alone, so it seems likely that there was additional testing of asymptomatic women which would merit further empirical investigation.

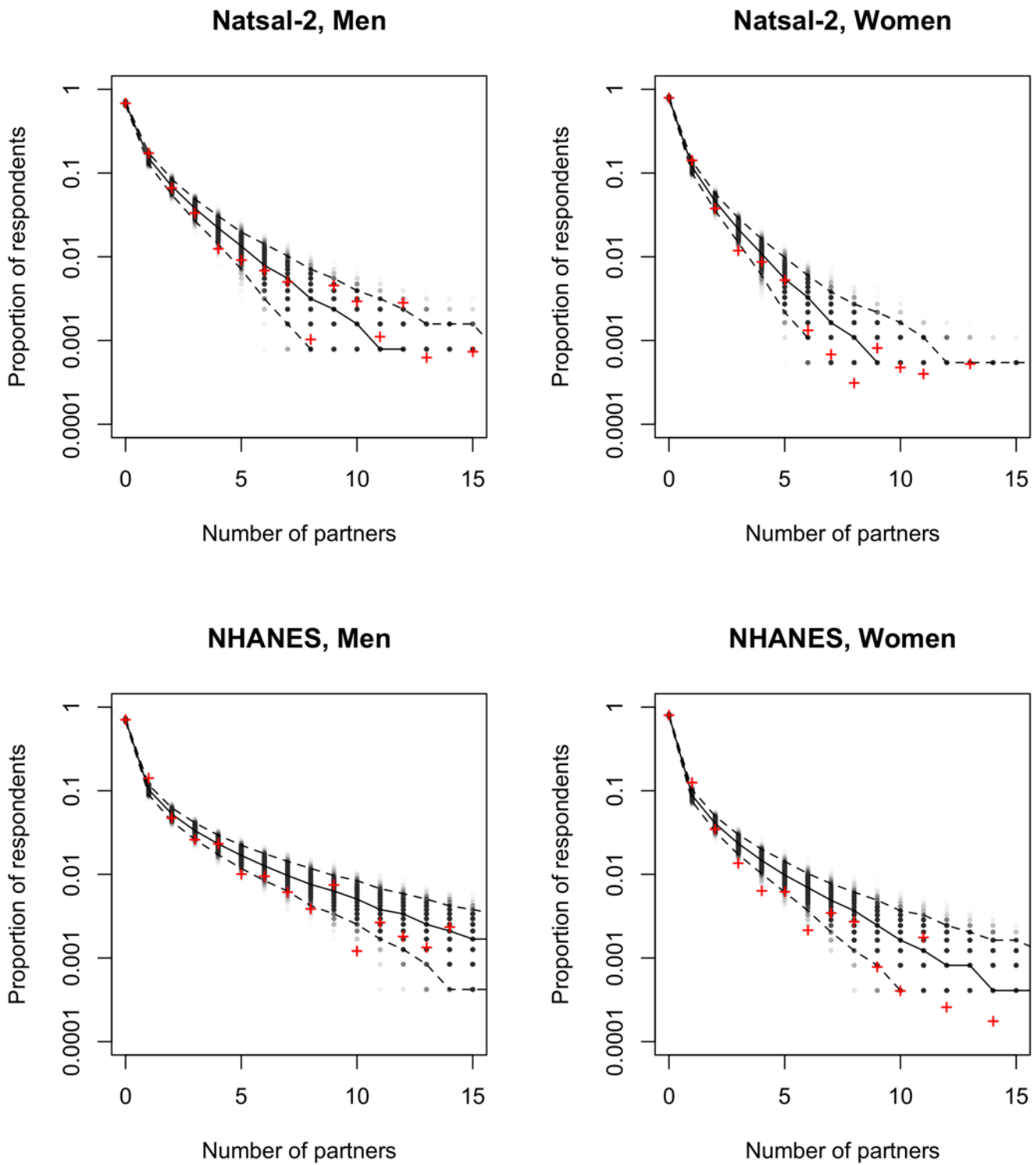
**Table S5:** Numbers of symptomatic chlamydia cases simulated using posterior parameter distributions inferred using Natsal-2 and NHANES data, and diagnoses recorded in surveillance systems covering approximately the same times and locations. For comparison to Natsal-2 we used diagnosis rate ranges in 15-44-year-olds in 2000,<sup>10</sup> and for NHANES we used the range of recorded diagnoses over the years 2009-2014.<sup>11</sup>

| Survey   | Group                  | Simulated symptomatic cases (1000s; median and 95% CrI) | Observed diagnoses (1000s) |
|----------|------------------------|---|----------------------------|
| Natsal-2 | Men aged 15-44 years   | 109 (25-327)  | 30-41                      |
|          | Women aged 15-44 years | 46 (25-77)  | 48-105                     |
| NHANES   | Men aged 15-39 years   | 397 (83-1149)   | 307-398                    |
|          | Women aged 15-39 years | 429 (259-682)   | 879-981                    |

**c. Sensitivity Analysis**

**i. Balancing partnership numbers**

We tested the effect of constraining the mean numbers to be equal by repeating the analysis, relaxing the constraint of equal mean partnership number in men and women (see online code). Figure S5 illustrates this model's agreement with partnership number data. In both studies the agreement between simulations and observations is improved compared to the constrained model, especially in women, but more than 5% of observations still fell outside the 95% prediction interval. Using Natsal-2, the posterior median (95%CrI) for the mean number of new partners per year in men was 0.75 (0.67-0.83) and in women was 0.40 (0.35-0.45). Inferred transmission probabilities were 32.4% (18.4-55.5)% (male-to-female) and 26.2% (5.8-84.8)% (female-to-male). Using NHANES, the inferred mean number of partners in men was 1.10 (1.08-1.33) and in women was 0.58 (0.52-0.66). Transmission probabilities were 31.3% (20.4-48.7)% (male-to-female) and 6.3% (1.4-18.0)% (female-to-male). Therefore, constraining the mean number of partnerships to be equal did not materially change the posterior distributions for transmission probabilities.



**Figure S5:** Simulated (grey) and observed (red) proportions of men (left) and women (right) reporting different numbers of new partners in the last year in the second National Study of Sexual Attitudes and Lifestyles (Natsal-2; top) and the National Health and Nutrition Examination Surveys (NHANES) 2009-2014 (bottom). In this model, the mean number of partnerships was not constrained to be equal between the sexes. Simulations are shown using transparent grey markers, so that several superimposed markers appear as a darker grey. The solid and dashed lines show the 2.5th, 50th and 97.5th centiles of the simulations. The observed data shown takes into account the survey weights.

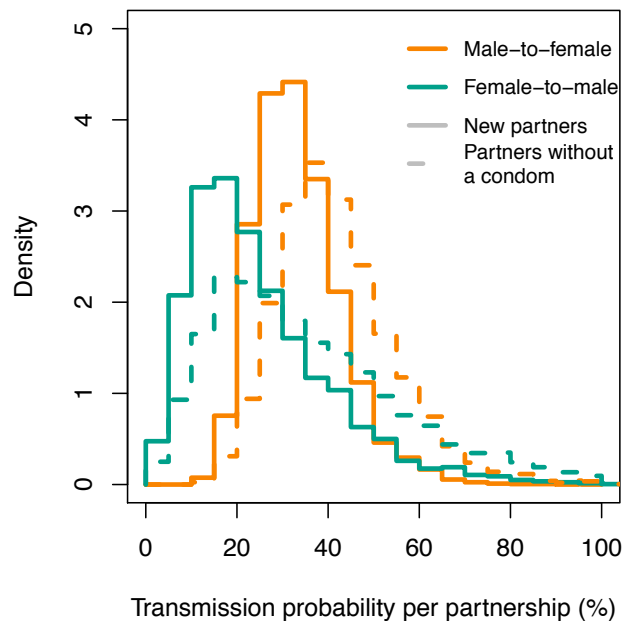
## ii. Condom use

In Natsal-2 participants were asked, *With how many different women/men have you had vaginal (or anal) intercourse in the past year without using a condom?* To investigate the potential effects of condom use on our estimates, we used this question to estimate the number of new partners without a condom:

- If participants reported 0 partners without a condom then we classified them as having 0 new partners without a condom.
- If participants reported the same number of partners in the last year as partners without a condom (i.e. if all partners in the last year were without a condom) then we classified the number of new partners without a condom as the same as the total number of new partners.
- If neither of these conditions applied then we classified the number of new partners without a condom as the reported number of partners without a condom.

We used the same model as in the main analysis to estimate the transmission probabilities in partnerships where condoms were not always used. Figure S6 shows the posterior distributions compared to the posteriors in the main analysis.

As expected, the posterior distributions were shifted slightly to the right, suggesting higher transmission probabilities in partnerships without a condom, but the shift was small compared to the uncertainty in the estimates. The posterior median (95% credible interval) transmission probabilities were 40.1% (21.5-72.8)% from men to women and 31.6% (7.2-96.1)% from women to men. We conclude that it might be valuable for sexual behavior surveys to collect information on the annual number of new partnerships without a condom for parameter inference and predictive modelling. In the absence of such data, however, it is more reliable to calculate an average probability across all new partnerships, and we have no reason to suppose that such an average is not valid.



**Figure S6:** Posterior distributions for the per-partnership probability of chlamydia transmission, derived using data from the second National Study of Sexual Attitudes and Lifestyles (Natsal-2). The orange lines represent male-to-female transmission probability and the green lines female-to-male. The solid lines represent distributions inferred from reported numbers of new heterosexual partners, as in the main analysis. The dashed lines represent distributions inferred from the estimated number of new partners without a condom, as described in the text above.

### **iii. Assortative mixing**

The model reported in the main text assumes random mixing between men and women – that is, that for individual  $j$ , the probability that a partnership they form with a member of the opposite sex is a potential source of infection does not depend on  $j$ 's partnership formation rate. In fact, evidence indicates that sexual mixing is assortative,<sup>12,13</sup> although this is difficult to quantify precisely.

To investigate the potential effects of assortative mixing in our model, we reasoned that if individuals with more partners tend to form partnerships with others who also have more partners – and therefore the partners are more likely to be infected with chlamydia – then  $\pi_p^{x'}$  would be higher in people with more partners. If the transmission probability were the same for every partnership then we would therefore expect the product

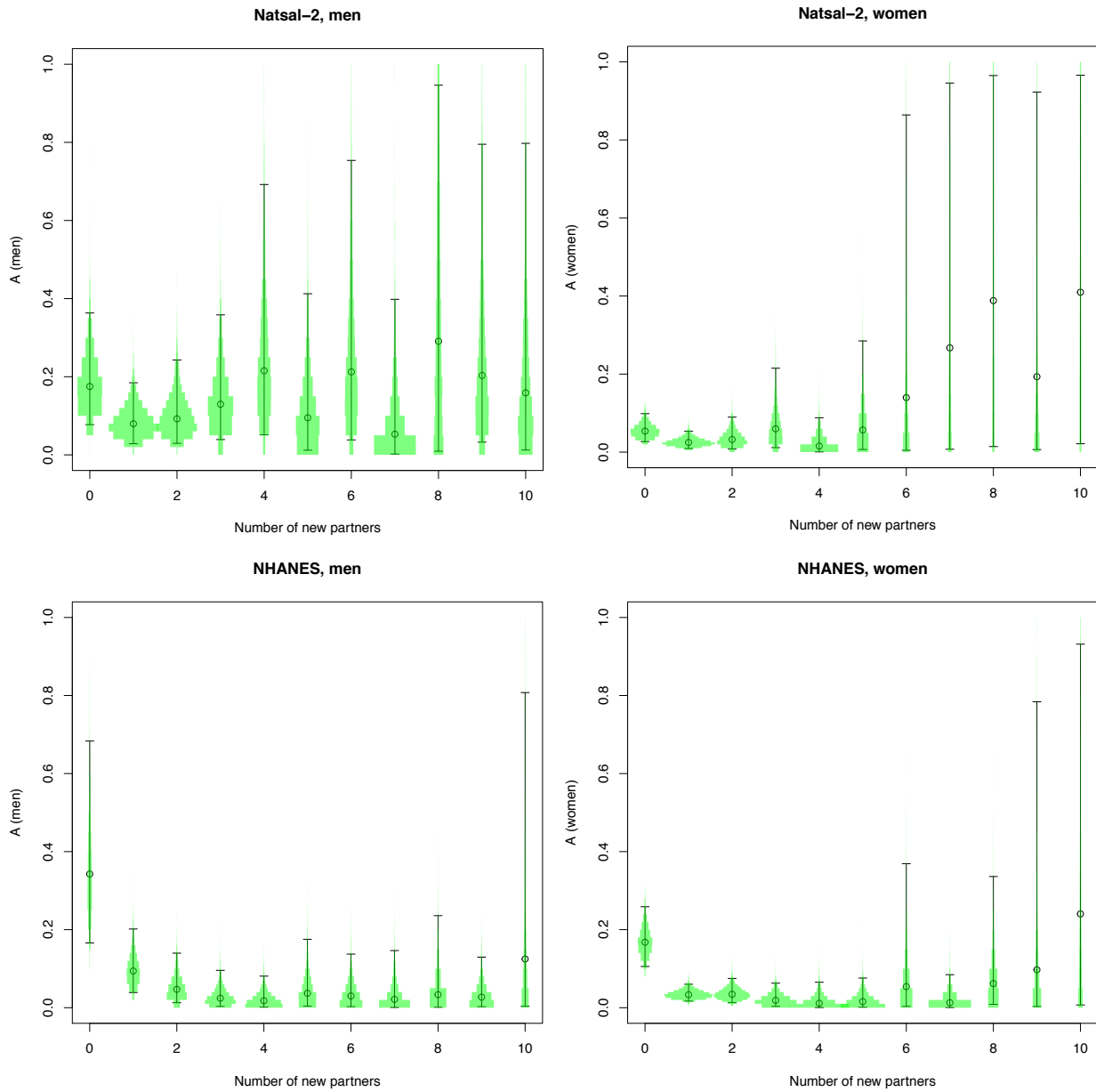
$$A_{x' \rightarrow x} = \pi_p^{x'} \rho_{x' \rightarrow x}$$

to be higher in people with more partners.

We ran an adapted model which allows  $A$  to be different for men and women reporting different numbers of partners. If people with more partners are more likely to form partnerships with infected people then we would expect  $A$  to be higher in those individuals.

Figure S7 shows the posterior distributions for  $A$  that we inferred in men and women reporting different numbers of partners. For Natsal-2, although the posterior distributions for  $A$  were slightly higher in people reporting no new partners, there was considerable overlap and therefore no evidence of significantly higher prevalence in partnerships presented to individuals with high partnership formation rate than to those with low formation rate. In NHANES the posterior distributions suggested higher values for  $A$  in both men and women reporting no new partners: the opposite of what we would expect if there is assortative mixing. This pattern may arise if there is a higher transmission probability in slow-turnover partnerships, because they tend to last longer and have more sex acts during the infectious period, possibly with lower levels of condom use.

We found no evidence in either Natsal-2 or NHANES of higher  $A$  in people reporting more partners, providing confidence that the random mixing in the model has not affected our results.



**Figure S7:** Posterior distributions for  $A$  inferred separately for men and women reporting different numbers of partners. Error bars show median and 95% credible interval, and green polygons are histograms of the posteriors.

### 3. References

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